# ON PROBLEMS RELATED TO AN INEQUALITY OF DE LELLIS AND TOPPING 

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#### Abstract

In this paper we study various problems related to an inequality proved recently by De Lellis and Topping.


## 1. Introduction

In this paper we consider various problems related to a recent result of De Lellis and Topping about the Schur Lemma

Theorem A (Almost Schur Lemma [5]). For $n \geq 3$, if $\left(M^{n}, g\right)$ is a closed Riemannian manifold with non-negative Ricci tensor, then

$$
\begin{equation*}
\int_{M}\left|\operatorname{Ric}-\frac{\bar{R}}{n} g\right|^{2} d v(g) \leq \frac{n^{2}}{(n-2)^{2}} \int_{M}\left|\operatorname{Ric}-\frac{R}{n} g\right|^{2} d v(g), \tag{1}
\end{equation*}
$$

where $\bar{R}=\operatorname{vol}(g)^{-1} \int_{M} R d v(g)$ is the average of the scalar curvature $R$ of $g$.
This result could be viewed as a quantitative version or a stability result of the Schur Lemma. Moreover, this result is optimal in the following sense: the constant in inequality (1) is the best and the non-negativity of the Ricci tensor can not be removed in general.

We observed in [8] that inequality (1) can be rewritten in terms of $\sigma_{k}$-scalar curvatures. Namely, it is equivalent to

$$
\begin{equation*}
\left(\int_{M} \sigma_{1}(g) d v(g)\right)^{2} \geq \frac{2 n}{n-1} \operatorname{vol}(g) \int_{M} \sigma_{2}(g) d v(g), \tag{2}
\end{equation*}
$$

where

$$
\sigma_{1}(g)=\frac{R_{g}}{2(n-1)} \quad \text { and } \quad \sigma_{2}(g)=\frac{1}{2(n-2)^{2}}\left\{-|R i c|^{2}+\frac{n}{4(n-1)} R^{2}\right\} .
$$

For the definition of $\sigma_{k}(g)$ scalar curvature for general $k$ see below. With this observation and a nice argument of Gursky [15] we improved Theorem A in $n=4$.

Theorem B [8] If $n=4$, and if $\left(M^{4}, g\right)$ is a closed Riemannian manifold with nonnegative scalar tensor, then (1) holds. Moreover, equality holds if and only if $\left(M^{4}, g\right)$ is an Einstein manifold.

[^0]From Theorem B, one may naturally ask whether equality in (1) holds if and only if $(M, g)$ is Einstein. The first result of this paper gives a positive answer.

Theorem 1. Equality in Theorem $A$ holds if and only if $\left(M^{n}, g\right)$ is an Einstein manifold.
With the observation mentioned above, it is natural to consider the following Yamabe type functional

$$
\begin{equation*}
\mathcal{E}(g)=\frac{\operatorname{vol}(g) \int_{M} \sigma_{2}(g) d v(g)}{\left(\int_{M} \sigma_{1}(g) d v(g)\right)^{2}}, \tag{3}
\end{equation*}
$$

at least for metrics with $\int_{M} \sigma_{1}(g) d v(g) \neq 0$. For a metric $g$ with nonnegative Ricci tensor Theorem A implies that

$$
\begin{equation*}
\mathcal{E}(g) \leq \frac{n-1}{2 n} \tag{4}
\end{equation*}
$$

Theorem B implies that (4) holds for metrics with nonnegative scalar curvature, when $n=4$. We conjectured in [8] that (4) for metrics with nonnegative scalar curvature if $n=3$. However (4) is not true in general for metrics nonnegative scalar curvature if $n>4$. In fact we have
Theorem 2. If $n>4$, for any metric $g_{0}$ with positive Yamabe constant, which is equivalent to the condition that there is a metric in $\left[g_{0}\right]$ with positive scalar curvature, we have

$$
\begin{equation*}
\sup _{g \in\left[g_{0}\right] \cap \mathcal{C}_{1}} \mathcal{E}(g)=\infty \tag{5}
\end{equation*}
$$

and

$$
\begin{equation*}
Y\left(\left[g_{0}\right]\right):=\inf _{g \in[g]_{\cap} \cap \mathcal{C}_{1}} \mathcal{E}(g)<\frac{n-1}{2 n}, \tag{6}
\end{equation*}
$$

where $\left[g_{0}\right]$ is the conformal class of $g_{0}$ and $\mathcal{C}_{k}=\left\{g \mid \sigma_{j}(g)>0 \forall j \leq k\right\}$. Moreover, we have

$$
\begin{equation*}
Y\left(\left[g_{0}\right]\right)>-\infty . \tag{7}
\end{equation*}
$$

Furthermore, we have

$$
\begin{equation*}
Y\left(\left[g_{0}\right]\right)>0 \tag{8}
\end{equation*}
$$

if and only if

$$
\mathcal{C}_{2}\left(\left[g_{0}\right]\right):=\left[g_{0}\right] \cap \mathcal{C}_{2} \neq \emptyset .
$$

In view of Theorem 2 it is natural to ask
Problem. Is there a conformal metric $g \in\left[g_{0}\right] \cap \mathcal{C}_{1}$ achieving the infimum $Y\left(\left[g_{0}\right]\right)$ in $\left[g_{0}\right] \cap \mathcal{C}_{1}$, namely

$$
\mathcal{E}(g)=\inf _{g \in[g 0] \cap \mathcal{C}_{1}} \mathcal{E}(g) ?
$$

Or is there a metric $g \in\left[g_{0}\right] \cap \mathcal{C}_{1}$ which is a critical point of $\mathcal{E}$ in $\left[g_{0}\right]$ ?

Note that for the standard sphere $\left(\mathbb{S}^{n}, g_{\mathbb{S}^{n}}\right)$ we have $\mathcal{E}\left(g_{\mathbb{S}^{n}}\right)=\frac{n-1}{2 n}$, but

$$
0<Y\left(\left[g_{\mathbb{S}^{n}}\right]\right)=\inf _{g \in\left[g_{\mathbb{S}^{n}}\right] \cap \mathcal{C}_{1}} \mathcal{E}(g)<\frac{n-1}{2 n}
$$

It is easy to see that the standard round metric $g_{\mathbb{S}^{n}}$ is a critical point of $\mathcal{E}$. It would be interesting to know the value of $Y\left(\left[g_{\mathbb{S}^{n}}\right]\right)$.

We are also interested in the generalization of (2) to large $k$. Let us use the convention $\sigma_{0}=1$. Hence we can rewrite (2) as

$$
\begin{equation*}
\left(\int_{M} \sigma_{1}(g) d v(g)\right)^{2} \geq \frac{2 n}{n-1} \int_{M} \sigma_{0}(g) d v(g) \int_{M} \sigma_{2}(g) d v(g) \tag{9}
\end{equation*}
$$

Note that the elementary symmetric functions $\sigma_{1}$ and $\sigma_{2}$ satisfy the Newton inequality $\sigma_{1}^{2} \geq \frac{2 n}{n-1} \sigma_{0} \sigma_{2}$. In general we have the Newton-MacLaurin formula for general $k$

$$
\begin{equation*}
\sigma_{k}^{2}(\Lambda) \geq c(n, k) \sigma_{k-1}(\Lambda) \cdot \sigma_{k+1}(\Lambda) \tag{10}
\end{equation*}
$$

for $\Lambda \in \Gamma_{k}^{+}:=\left\{\Lambda \in \mathbb{R}^{n} \mid \sigma_{j}(\Lambda)>0 \forall j \leq k\right\}$. Here $c(n, k)=(k+1)(n-k+1) /(n-k) k$ and we used the convention that $\sigma_{k}=0$ if $k<0$ or $k>n$. Inspired by Theorem A and Theorem B we would like to ask under which conditions there holds

$$
\begin{equation*}
\left(\int_{M} \sigma_{k}(g) d v(g)\right)^{2} \geq c(n, k) \int_{M} \sigma_{k-1}(g) d v(g) \int_{M} \sigma_{k+1}(g) d v(g) \tag{11}
\end{equation*}
$$

At least, if the underlying manifold $M$ is locally conformally flat, we have a generalization of Theorem B.

Theorem 3. Let $n \geq 3$ and $k \in[n / 2-1, n / 2)$. When $\left(M^{n}, g\right)$ is locally conformally flat with $g \in \mathcal{C}_{k}$, then (11) holds. Moreover, equality holds if and only if $(M, g)$ is a space form.

Now one may ask if there is a De Lellis-Topping type result for a suitable "Ricci curvature" such that the corresponding Theorem B type result is Theorem 3. There are really such curvatures, the Lovelock curvatures, which were introduced by Lovelock, but at least went back to Lanczos [17] in 1938. For the definition, see [19] and Section 5 below. We remark here that the Lovelock curvatures are natural generalizations of the Einstein tensor, other than the Ricci tensor.

Theorem 4. Let $\left(M^{n}, g\right)$ be a closed Riemannnian manifold with non-positive Ricci tensor and $1 \leq k<n / 2$. We have

$$
\int_{M}\left|R^{(k)}-\bar{R}^{(k)}\right|^{2} d v(g) \leq \frac{4 n(n-1)}{(n-2 k)^{2}} \int_{M}\left|E^{(k)}+\frac{n-2 k}{2 n} R^{(k)} g\right|^{2} d v(g)
$$

where $\bar{R}^{(k)}$ is the average of $R^{(k)}$. Here $\operatorname{tr} E^{(k)}=-\frac{n-2 k}{2} R^{(k)}$ is defined below.

When $k=1$, Theorem 4 is just Theorem A. For a given $k>1$, if $n=2(k+1)$ and $\left(M^{n}, g\right)$ is local conformally flat, then Theorem 3 is just Theorem 4 with a slightly different condition $g \in \Gamma_{k}^{+}$. Note that the condition $g \in \Gamma_{k}^{+}$with $k \geq n / 2$ implies the condition that $g$ has non-negative Ricci curvature. See [12]. The condition $g \in \Gamma_{k}^{+}$with $k<n / 2$ is not stronger than the condition that $g$ has non-negative Ricci curvature.

The paper is organized as follows. In Section 2 we prove the rigidity result, Theorem 1. In Section 3 we first recall the definition of $\sigma_{k}$-scalar curvature and then prove Theorem 2 by choosing the suitable test metrics. In the construction of such metrics we need to pay extra attention to assure that all test metrics have positive scalar curvature. In Section 4 we generalize Theorem B to large $k$. In Section 5 we recall the definition of generalized Einstein tensors and then prove a De Lellis-Topping type result for these Einstein tensors.

## 2. Rigidity

Proof of Theorem 1. Assume that the equality holds, or equivalently,

$$
\begin{equation*}
\int_{M}|R-\bar{R}|^{2} d v(g)=\frac{4 n(n-1)}{(n-2)^{2}} \int_{M}\left|R i c-\frac{R}{n} g\right|^{2} d v(g) \tag{12}
\end{equation*}
$$

Then from the proof of Theorem $A$ in [5] we know there exists some $\lambda \in \mathbb{R} \cup\{\infty\}$

$$
\begin{equation*}
R i c-\frac{R}{n} g=\lambda\left(\nabla^{2} f-\frac{\Delta f}{n} g\right) \tag{13}
\end{equation*}
$$

and

$$
\begin{equation*}
\operatorname{Ric}(\nabla f, \nabla f)=0 \tag{14}
\end{equation*}
$$

where $\Delta f=R-\bar{R}$ with $\int_{M} f=0$. Here by $\lambda=\infty$ we mean $\nabla^{2} f-\frac{\Delta f}{n} g=0$. In this case, integrating this equality we obtain

$$
\int_{M}\left|\nabla^{2} f\right|^{2}-\frac{(\Delta f)^{2}}{n} d v(g)=0
$$

From (14) we have

$$
\begin{equation*}
\int_{M}\left|\nabla^{2} f\right|^{2} d v(g)=\int_{M}|\Delta f|^{2} d v(g)=\int_{M}(R-\bar{R})^{2} d v(g) \tag{15}
\end{equation*}
$$

Therefore,

$$
0=\int_{M}|\Delta f|^{2} d v(g)=\int_{M}(R-\bar{R})^{2} d v(g)
$$

which, together with (12), means that $g$ is an Einstein metric.
Now we consider $\lambda \in \mathbb{R}$. In the following, we use the normal coordinates to calculate. Recall the fact the Ricci tensor is non-negative. From the Cauchy-Schwarz inequality and (14), for all $x \in M$ and all tangent vector $Y \in T_{x} M$,

$$
\begin{equation*}
|\operatorname{Ric}(\nabla f(x), Y)|^{2} \leq \operatorname{Ric}(\nabla f(x), \nabla f(x)) \operatorname{Ric}(Y, Y)=0, \tag{16}
\end{equation*}
$$

that is, $\operatorname{Ric}(\nabla f, \cdot)=0$, or equivalently

$$
\begin{equation*}
R_{j}^{i} f_{i}=0 \tag{17}
\end{equation*}
$$

Here we use Einstein summation convention. From (13), (17) and $R_{i j, j}=\frac{1}{2} R_{i}$, we have

$$
\begin{aligned}
\frac{1}{2} R_{i} & =\lambda\left(f_{i j}-\frac{\Delta f g_{i j}}{n}\right)_{j}+\left(\frac{R g_{i j}}{n}\right)_{j}=\lambda f_{i j j}-\lambda \frac{(\Delta f)_{i}}{n}+\frac{R_{i}}{n} \\
& =\lambda f_{j j i}-\lambda \frac{(\Delta f)_{i}}{n}+\frac{R_{i}}{n} \\
& =\left(\lambda+\frac{1-\lambda}{n}\right) R_{i}
\end{aligned}
$$

which implies that either $\lambda=\frac{n-2}{2(n-1)}$ or $\lambda \neq \frac{n-2}{2(n-1)}$ and $R_{i}=0$. In the latter case, $R$ is constant and it follows from (12) that $g$ is an Einstein metric. Now we consider the former case, ie. $\lambda=\frac{n-2}{2(n-1)}$. (13) will be read as

$$
\begin{equation*}
\text { Ric }=\frac{n-2}{2(n-1)} \nabla^{2} f+\frac{R}{2(n-1)} g+\frac{(n-2) \bar{R}}{2 n(n-1)} g \tag{18}
\end{equation*}
$$

By differentiating (17) and using $R_{i j, j}=\frac{1}{2} R_{i}$ we have

$$
\begin{equation*}
\frac{1}{2} R^{i} f_{i}+R^{i j} f_{i j}=0 \tag{19}
\end{equation*}
$$

Combining (18) and (19) gives

$$
\begin{equation*}
\frac{1}{2} R^{i} f_{i}+\frac{n-2}{2(n-1)}\left|\nabla^{2} f\right|^{2}+\frac{R(R-\bar{R})}{2(n-1)}+\frac{(n-2) \bar{R}(R-\bar{R})}{2 n(n-1)}=0 . \tag{20}
\end{equation*}
$$

Since $M$ is compact, there exists some point $x_{0} \in M$ such that $R\left(x_{0}\right)=\max R$. At this point, we have $R-\bar{R} \geq 0, R \geq 0, \bar{R} \geq 0$ and $R^{i}=0$. From (20) we have $\max R=\bar{R}$, and hence $R \equiv \bar{R} . g$ is also an Einstein metric in this case.

Theorem A and Theorem 1 give a characterization of Einstein metrics. We remark that a metric $g$ satisfying (13) is called an Ricci almost soliton in [21], which is a generalization of the Ricci soliton.

## 3. An equivalent inequality in terms of $\sigma_{k}$ SCalar

Let us first recall the definition of the $k$-scalar curvature, which was first introduced by Viaclovsky [24] and has been intensively studied by many mathematicians, see for example [10], [25] and the references in [6]. There are many geometric applications of analysis developed in the study of the $k$-scalar curvature. For example, a 4 -dimensional sphere Theorem was proved in [4] (see also [3], a 3-dimensional sphere Theorem in [6] and [1], an eigenvalue estimates for the Dirac operator in [26] and various geometric inequalities in [14]). The results of this section and next section are also applications of this analysis.

Let

$$
S_{g}=\frac{1}{n-2}\left(R i c_{g}-\frac{R_{g}}{2(n-1)} \cdot g\right)
$$

be the Schouten tensor of $g$. For an integer $k$ with $1 \leq k \leq n$ let $\sigma_{k}$ be the $k$-th elementary symmetric function in $\mathbb{R}^{n}$. The $k$-scalar curvature is

$$
\sigma_{k}(g):=\sigma_{k}\left(\Lambda_{g}\right)
$$

where $\Lambda_{g}$ is the set of eigenvalue of the matrix $g^{-1} \cdot S_{g}$. In particular, $\sigma_{1}(g)=\operatorname{tr} S$ and $\sigma_{2}=\frac{1}{2}\left((\operatorname{tr} S)^{2}-|S|^{2}\right)$. It is trivial to see that

$$
\begin{aligned}
\sigma_{1}(g) & =\frac{R}{2(n-1)} \\
\sigma_{2}(g) & =\frac{1}{2(n-2)^{2}}\left\{-|R i c|^{2}+\frac{n}{4(n-1)} R^{2}\right\} \\
\mid \text { Ric }-\left.\frac{R}{n} g\right|^{2} & =\mid \text { Ric }\left.\right|^{2}-\frac{R^{2}}{n}
\end{aligned}
$$

From above it is easy to have the following observation.
Observation. ([8]) Inequality (1) is equivalent to (2).
In [8] we proved Theorem B, namely there is an inequality

$$
\begin{equation*}
\mathcal{E}(g) \leq \frac{n-1}{2 n} \tag{21}
\end{equation*}
$$

provided that $g$ is a metric of non-negative scalar curvature and $n=4$. We conjectured that this statement is true for $n=3$. In this Section we show Theorem 2, namely this statement is not true for $n>4$.

We first prove one part of Theorem 2 in
Proposition 1. Let $n>4$ and $g_{0} \in \mathcal{C}_{1}$. Then we have (7). Moreover, (8) holds if and only if

$$
\mathcal{C}_{2}\left(\left[g_{0}\right]\right):=\left[g_{0}\right] \cap \mathcal{C}_{2} \neq \emptyset .
$$

Proof. Recall the ordinary Yamabe constant $Y_{1}$ and another Yamabe type constant $Y_{2,1}$ studied in [6]

$$
Y_{1}\left(\left[g_{0}\right]\right):=\inf _{g \in \mathcal{C}_{1}\left(\left[g_{0}\right]\right)} \frac{\int_{M} \sigma_{1}(g) d v(g)}{(\operatorname{vol}(g))^{\frac{n-2}{n}}} \quad \text { and } \quad Y_{2,1}\left(\left[g_{0}\right]\right):=\inf _{g \in \mathcal{C}_{1}\left(\left[g_{0}\right]\right)} \frac{\int_{M} \sigma_{2}(g) d v(g)}{\left(\int_{M} \sigma_{1}(g) d v(g)^{\frac{n-4}{n-2}}\right.}
$$

By a direct computation we have in [6]

$$
\begin{align*}
2 \int \sigma_{2}(g) d v(g)= & \frac{n-4}{2} \int \sigma_{1}(g)|\nabla u|_{g_{0}}^{2} e^{2 u} d v(g)+\frac{n-4}{4} \int|\nabla u|_{g_{0}}^{4} e^{4 u} d v(g) \\
& +\int e^{2 u} \sigma_{1}(g) \sigma_{1}\left(g_{0}\right) d v(g)-\int e^{4 u}\left|S\left(g_{0}\right)\right|_{g_{0}}^{2} d v(g)  \tag{22}\\
& +(4-n) \int \sum_{i, j} S\left(g_{0}\right)^{i j} u_{i} u_{j} d v(g)+\int \sigma_{1}\left(g_{0}\right)|\nabla u|_{g_{0}}^{2} e^{4 u} d v(g) \\
& +\int e^{4 u}\left\langle\nabla u, \nabla \sigma_{1}\left(g_{0}\right)\right\rangle_{g_{0}} d v(g)
\end{align*}
$$

It follows that

$$
\begin{equation*}
\int \sigma_{2}(g) d v(g) \geq \frac{n-4}{16} \int|\nabla u|_{g_{0}}^{4} e^{4 u} d v(g)-c \int e^{4 u} d v(g) \tag{23}
\end{equation*}
$$

provided that $g \in \Gamma_{1}^{+}$. Moreover we have

$$
\begin{equation*}
\int_{M} \sigma_{1}(g) d v(g)=\int\left(\frac{n-2}{2}|\nabla u|^{2}+\sigma_{1}\left(g_{0}\right)\right) e^{2 u} d v(g) \geq Y_{1}\left(\left[g_{0}\right]\right)(\operatorname{vol}(g))^{\frac{n-2}{n}} \tag{24}
\end{equation*}
$$

From (23), (24) and Hölder's inequality Hölder's inequality, we have

$$
\begin{align*}
\int \sigma_{2}(g) d v(g)-c^{\prime} \int e^{4 u} d v(g) & \geq c\left(\int|\nabla u|_{g_{0}}^{4} e^{4 u} d v(g)+\int e^{4 u} d v(g)\right) \\
& \geq c\left(\int_{M} \sigma_{1}(g) d v(g)\right)^{2}(\operatorname{vol}(g))^{-1} \tag{25}
\end{align*}
$$

so that

$$
\int \sigma_{2}(g) d v(g) \geq\left(c_{1}-c_{2} Y_{1}\left(\left[g_{0}\right]\right)^{-2}\right)\left(\int_{M} \sigma_{1}(g) d v(g)\right)^{2}(v o l(g))^{-1}
$$

that is, $Y\left(\left[g_{0}\right]\right) \geq c_{1}-c_{2} Y_{1}\left(\left[g_{0}\right]\right)^{-2}>-\infty$. This proves $(7)$.
Now we assume that (8) hold. Since $g_{0} \in \mathcal{C}_{1}$ we have $Y_{1}\left(\left[g_{0}\right]\right)>0$. It is clear that for $g \in \mathcal{C}_{1}\left(\left[g_{0}\right]\right)$

$$
\frac{\int_{M} \sigma_{2}(g) d v(g)}{\left(\int_{M} \sigma_{1}(g) d v(g)\right)^{\frac{n-4}{n-2}}}=\mathcal{E}(g)\left(\frac{\int_{M} \sigma_{1}(g) d v(g)}{(\operatorname{vol}(g))^{\frac{n-2}{n}}}\right)^{\frac{n}{n-2}} \geq Y\left(\left[g_{0}\right]\right)\left(Y_{1}\left(\left[g_{0}\right]\right)\right)^{\frac{n}{n-2}}
$$

It follows that $Y_{2,1}\left(\left[g_{0}\right]\right)>0$, which is equivalent to the non-emptiness of $\mathcal{C}_{2}\left(\left[g_{0}\right]\right)$ by a result in [6]. See also [23].

Now assume the non-emptiness of $\mathcal{C}_{2}\left(\left[g_{0}\right]\right)$. Let $g \in \mathcal{C}_{1}\left(\left[g_{0}\right]\right)$. First define a nonlinear eigenvalue of $\nabla^{2} u+d u \otimes d u-\frac{|\nabla u|^{2}}{2} g_{0}+S_{g_{0}}$ by

$$
\lambda\left(g_{0}, \sigma_{2}\right):=\inf _{g=e^{-2 u} g_{0} \in \mathcal{C}_{1}\left(\left[g_{0}\right]\right)} \frac{\int \sigma_{2}(g) d v(g)}{\int e^{4 u} d v(g)} .
$$

We have proved in [6] that $\lambda\left(g_{0}, \sigma_{2}\right)>0$, i.e.,

$$
\begin{equation*}
\int_{M} \sigma_{2}(g) \geq \lambda\left(g_{0}, \sigma_{2}\right) \int_{M} e^{4 u} d v(g) \tag{26}
\end{equation*}
$$

for any $g=e^{-2 u} g_{0} \in \Gamma_{1}^{+}$.
From (23), (24), (26) and Hölder's inequality, we deduce

$$
\begin{align*}
\int \sigma_{2}(g) d v(g) & \geq c\left(\int|\nabla u|_{g_{0}}^{4} e^{4 u} d v(g)+\int e^{4 u} d v(g)\right) \\
& \geq c\left(\int_{M} \sigma_{1}(g) d v(g)\right)^{2}(\operatorname{vol}(g))^{-1} \tag{27}
\end{align*}
$$

This is what we want to show.
We in fact proved that the following four statements are equivalent for a conformal class $\left[g_{0}\right]$ with $\mathcal{C}_{1} \neq \emptyset(n>4)$.
(i) $\mathcal{C}_{2}\left(\left[g_{0}\right]\right) \neq \emptyset$,
(ii) $Y_{2,1}\left(\left[g_{0}\right]\right)>0$,
(iii) $Y\left(\left[g_{0}\right]\right)>0$,
(iv) $\lambda\left(g_{0}, \sigma_{2}\right)>0$.

Proposition 2. Let $n \geq 3$ and $\mathcal{C}_{1}\left(\left[g_{0}\right]\right) \neq \emptyset$. Then there exist a metric $g \in \mathcal{C}_{1}\left(\left[g_{0}\right]\right)$ with

$$
\mathcal{E}(g)<\frac{n-2}{2 n}
$$

Proof. Let $\tilde{g} \in \mathcal{C}_{1}\left(\left[g_{0}\right]\right)$ be a Yamabe solution, ie. $\sigma_{1}(\tilde{g})=$ const. From the Newton inequality $\sigma_{2}(\Lambda) \leq \frac{n-2}{2 n} \sigma_{1}^{2}(\Lambda)$ for any $\Lambda \in \mathbb{R}^{n}$ and equality holds if and only if $\Lambda=$ $c(1,1, \cdots 1)$ for some $c \in \mathbb{R}$, we have

$$
\begin{aligned}
\int_{M} \sigma_{2}(\tilde{g}) d v(\tilde{g}) & \leq \frac{n-2}{2 n} \int_{M} \sigma_{1}(\tilde{g})^{2} d v(\tilde{g}) \\
& =\frac{n-2}{2 n} \frac{\left(\int_{M} \sigma_{1}(\tilde{g}) d v(\tilde{g})\right)^{2}}{\operatorname{vol}(\tilde{g})}
\end{aligned}
$$

Hence $\mathcal{E}(\tilde{g}) \leq \frac{n-2}{2 n}$. From above it is easy to see that $\mathcal{E}(\tilde{g})=\frac{n-2}{2 n}$ if and only if $\tilde{g}$ is an Einstein metric. In this case, it is clear that $\operatorname{Ric}(\tilde{g})$ is positive definite. Then we choose a nearby, not Einstein metric $\tilde{g}_{1}$ with positive Ricci tensor. Then by Theorem 1, we have $\mathcal{E}\left(\tilde{g}_{1}\right)<\frac{n-2}{2 n}$.

The proof is motivated by an argument of Gursky in [15].
Now we remain to prove
Proposition 3. Let $n>4$ and $\mathcal{C}_{1}\left(\left[g_{0}\right]\right) \neq \emptyset$. Then (5) holds. Namely

$$
\bar{Y}\left(\left[g_{0}\right]\right):=\sup _{g \in\left[g_{0}\right] \cap \mathcal{C}_{1}} \mathcal{E}(g)=\infty
$$

To prove the Proposition we use the gluing method developed by Gromov-Lawson [9] (see also [22]).

Improving slightly the construction given in [7], which is motivated by [9] and [22], we have

Lemma 1. Assume $n>4$. Let $g_{0}$ be in Theorem 2. For any small constant $\delta, \lambda \in(0,1)$ such that $\lambda^{\frac{3}{8}} \gg \delta \gg \lambda^{\frac{1}{2}}$, there exists a constant $\delta_{1}>0$ and a function $u: \mathbb{R}^{n} \rightarrow \mathbb{R}$ satisfying:
(i) $\delta_{1}=\lambda^{-1} \delta^{3}, \delta \ll \delta_{1} \ll \delta^{\frac{1}{3}}$,
(ii) The metric $g=e^{-2 u} g_{0}$ has positive scalar curvature in $B_{\delta_{1}}$,
(iii) $u=\log \left(\lambda+|x|^{2}\right)+b_{0}$ for $|x| \leq \delta$,
(iv) $u=\log |x|$ for $|x| \geq \delta_{1}$,
(v) $\operatorname{vol}\left(B_{\delta_{1} \backslash} \backslash B_{\delta}, g\right)=O\left(\delta_{1}^{n} \delta^{-n}\right), \int_{B_{\delta_{1}} \backslash B_{\delta}} \sigma_{1}(g) d v(g)=O\left(\delta_{1}^{n-2} \delta^{2-n}\right)$ and $\int_{B_{\delta_{1}} \backslash B_{\delta}} \sigma_{2}(g) d v(g)=$ $O\left(\delta_{1}^{n-4} \delta^{4-n}\right)$, where $b_{0}=-\log \delta_{1}+O(1)$.

Lemma 2. Assume $n>4$. Let $g_{0}$ be in Theorem 2. For any small constant $\delta, \lambda \in(0,1)$ such that $\lambda^{\frac{3}{8}} \gg \delta \gg \lambda^{\frac{1}{2}}$, define a conformal metric $g=e^{-2 u} g_{0}$ with $u(x)=\log (\lambda+$ $\left.|x|^{2}\right)+b_{0}$ in $B_{\delta}$, where $b_{0}$ is some constant. Then $g$ has positive scalar curvature in $B_{\delta}$ and we have the following

$$
\begin{align*}
v o l\left(B_{\delta}, g\right) & =e^{-n b_{0}} \lambda^{-\frac{n}{2}}\left[B+O(\lambda)+O\left(\left(\lambda \delta^{-2}\right)^{\frac{n}{2}}\right)\right]  \tag{28}\\
\int_{B_{\delta}} \sigma_{1}(g) d v(g) & =e^{(2-n) b_{0}} \lambda^{1-\frac{n}{2}}\left[2 n B+O(\lambda)+O\left(\left(\lambda \delta^{-2}\right)^{\frac{n}{2}}\right)\right]  \tag{29}\\
\int_{B_{\delta}} \sigma_{2}(g) d v(g) & =e^{(4-n) b_{0}} \lambda^{2-\frac{n}{2}}\left[2 n(n-1) B+O(\lambda)+O\left(\left(\lambda \delta^{-2}\right)^{\frac{n}{2}}\right)\right] \tag{30}
\end{align*}
$$

Here $B=\int_{\mathbb{R}^{n}} \frac{1}{\left(1+|x|^{2}\right)^{n}} d x$.
Lemma 3. Let $g_{0}$ be as in Theorem 2, $n>4$ and $B_{r_{0}}$ be a geodesic ball with respect to $g_{0}$ for some $r_{0}$. Then there exists a conformal metric $g=e^{-2 u} g_{0}$ in $B_{r_{0}} \backslash\{0\}$ satisfying:
(i) The metric $g=e^{-2 u} g_{0}$ has positive scalar curvature in $B_{r_{0}} \backslash\{0\}$,
(ii) $u=\log |x|$ for $|x| \leq r_{2}$,
(iii) $u=b_{1}$ for $|x| \geq r_{1}$,
where $r_{2}<r_{1}<r_{0}$ and $b_{1}$ is a constant.
Proof of Proposition 3. Let $\left\{x_{k}\right\}_{k=1}^{K}$ be $K$ points in $M$ and $B_{r_{0 K}}\left(x_{k}\right)$ be disjoint geodesic balls centered as $x_{k}$ with radius $r_{0 K}$, where $r_{0 K} \rightarrow 0$ as $K \rightarrow \infty$. For any $K \in \mathbb{N}$, we choose some $\delta=o\left(K^{-\gamma}\right)$ such that $\delta_{K}:=K^{\gamma} \delta \rightarrow 0$ as $K \rightarrow \infty$ for some $\gamma$ chosen later. For simplicity, set $\delta_{k}=\lambda_{k}^{\frac{3}{7}}$, which satisfies the assumption on $\delta, \lambda$ in Lemma 1 and define $\delta_{1 k}=\lambda_{k}^{-1} \delta_{k}^{3}=\delta_{k}^{\frac{2}{3}}$ and $b_{0 k}$ as in Lemma 1. Also define $r_{1 K}, r_{2 K} \leq r_{0 K}$ and $b_{1 K}$ as in Lemma 3 (independent of $k$ ). We point out that $r_{1 K}$ and $r_{2 K}$ can be chosen as small as we want. For sufficient small $\delta_{k}$ with $\delta_{1 k} \leq r_{2 K}$, define a sequence of metrics $g_{K}=e^{-2 u_{K}} g_{0}$ as follows. In $M \backslash B_{r_{0 K}}\left(x_{k}\right), g=e^{-2 b_{1 K}} g_{0}$, where $b_{1 K}$ (independent of $k$ ) is given in Lemma
3. We define

$$
u_{K}= \begin{cases}\log \left(\lambda_{k}+\left|x-x_{k}\right|^{2}\right)+b_{0 k}, & x \in B_{\delta_{k}}\left(x_{k}\right)  \tag{31}\\ \log \left|x-x_{k}\right|, & x \in B_{r_{2 K}}\left(x_{k}\right) \backslash B_{\delta_{1 k}}\left(x_{k}\right) \\ b_{1 K}, & x \in M \backslash \bigcup_{k=1}^{K} B_{r_{1 K}}\left(x_{k}\right)\end{cases}
$$

and in $B_{\delta_{1 k}}\left(x_{k}\right) \backslash B_{\delta_{k}}\left(x_{k}\right)$, we define $u_{K}$ as in Lemma 1, while in $B_{r_{1 K}}\left(x_{k}\right) \backslash B_{r_{2 K}}\left(x_{k}\right)$ we define $u_{K}$ as in Lemma 3. From the construction in Lemma 1 and Lemma 3, we see that $g_{K}$ is smooth and has positive scalar curvature. It follows directly from Lemma 1 and Lemma 2 that

$$
\begin{aligned}
\operatorname{vol}\left(B_{\delta_{k}}\left(x_{k}\right), g_{K}\right) & =\delta_{k}^{-\frac{1}{2} n}\left[B+O\left(\delta_{k}^{\frac{7}{3}}\right)+O\left(\delta_{k}^{\frac{n}{6}}\right)\right], \\
\int_{B_{\delta_{k}}\left(x_{k}\right)} \sigma_{1}\left(g_{K}\right) d v\left(g_{K}\right) & =\delta_{k}^{1-\frac{1}{2} n}\left[2 n B+O\left(\delta_{k}^{\frac{7}{3}}\right)+O\left(\delta_{k}^{\frac{n}{6}}\right)\right], \\
\int_{B_{\delta_{k}}\left(x_{k}\right)} \sigma_{2}\left(g_{K}\right) d v\left(g_{K}\right) & =\delta_{k}^{2-\frac{1}{2} n}\left[2 n(n-1) B+O\left(\delta_{k}^{\frac{7}{3}}\right)+O\left(\delta_{k}^{\frac{n}{6}}\right)\right], \\
\operatorname{vol}\left(B_{\delta_{1 k}}\left(x_{k}\right) \backslash B_{\delta_{k}}\left(x_{k}\right), g_{K}\right)=O\left(\delta_{k}^{\frac{-n}{3}}\right) & =\delta_{k}^{-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n}{6}}\right), \\
\int_{B_{\delta_{1 k}}\left(x_{k}\right) \backslash B_{\delta_{k}}\left(x_{k}\right)} \sigma_{1}\left(g_{K}\right) d v\left(g_{K}\right)=O\left(\delta_{k}^{\frac{2-n}{3}}\right) & =\delta_{k}^{1-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n-2}{6}}\right), \\
\int_{B_{\delta_{1 k}}\left(x_{k}\right) \backslash B_{\delta_{k}}\left(x_{k}\right)} \sigma_{2}\left(g_{K}\right) d v\left(g_{K}\right)=O\left(\delta_{k}^{\frac{4-n}{3}}\right) & =\delta_{k}^{2-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n-4}{6}}\right) .
\end{aligned}
$$

One can also choose $r_{1 K}$ and then $r_{2 K}$ sufficiently far away from $\delta_{k}$ for any $k=1, \cdots, K$ such that

$$
\begin{aligned}
v o l\left(B_{r_{2 K}}\left(x_{k}\right) \backslash B_{\delta_{1 k}}\left(x_{k}\right), g_{K}\right) & =\delta_{k}^{-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n}{6}}\right), \\
\int_{B_{r_{2 K}}\left(x_{k}\right) \backslash B_{\delta_{1 k}}\left(x_{k}\right)} \sigma_{1}\left(g_{K}\right) d v\left(g_{K}\right) & =\delta_{k}^{1-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n-2}{6}}\right), \\
\int_{B_{r_{2 K}}\left(x_{k}\right) \backslash B_{\delta_{1 k}}\left(x_{k}\right)} \sigma_{2}\left(g_{K}\right) d v\left(g_{K}\right) & =\delta_{k}^{2-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n-4}{6}}\right), \\
\operatorname{vol}\left(M \backslash \bigcup_{k=1}^{K} B_{r_{2 K}}\left(x_{k}\right), g_{K}\right) & =f_{0}\left(r_{2 K}\right)=\delta_{k}^{-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n}{6}}\right), \\
\int_{M \backslash \bigcup_{k=1}^{K} B_{r_{2 K}}\left(x_{k}\right)} \sigma_{1}\left(g_{K}\right) d v\left(g_{K}\right) & =f_{1}\left(r_{2 K}\right)=\delta_{k}^{1-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n-2}{6}}\right), \\
\int_{M \backslash \bigcup_{k=1}^{K} B_{r_{2 K}}\left(x_{k}\right)} \sigma_{2}\left(g_{K}\right) d v\left(g_{K}\right) & =f_{2}\left(r_{2 K}\right)=\delta_{k}^{2-\frac{1}{2} n} O\left(\delta_{k}^{\frac{n-4}{6}}\right) .
\end{aligned}
$$

for some functions $f_{i}, i=0,1,2$. Combining all the above estimates and using $\delta_{k}=k^{\gamma} \delta$, we obtain
(32) $\mathcal{E}\left(g_{K}\right)=\frac{\operatorname{vol}\left(g_{K}\right) \int_{M} \sigma_{2}\left(g_{K}\right) d v\left(g_{K}\right)}{\left(\int_{M} \sigma_{1}\left(g_{K}\right) d v\left(g_{K}\right)\right)^{2}}=\frac{\sum_{k=1}^{K} k^{-\frac{1}{2} n \gamma} \sum_{k=1}^{K} k^{\left(2-\frac{1}{2} n\right) \gamma}}{\left(\sum_{k=1}^{K} k^{\left(1-\frac{1}{2} n\right) \gamma}\right)^{2}}\left[\frac{n-1}{2 n}+o(1)\right]$.

Choose $\gamma$ such that $\left(1-\frac{1}{2} n\right) \gamma=-1-\beta$ with $\beta \in\left(0, \frac{2}{n-4}\right)$. Then we have

$$
-\frac{1}{2} n \gamma=\frac{n}{n-2}(-1-\beta)<-1, \quad\left(2-\frac{1}{2} n\right) \gamma=\frac{n-4}{n-2}(-1-\beta)>-1 .
$$

Therefore, $\sum_{k=1}^{\infty} k^{-\frac{1}{2} n \gamma}$ and $\sum_{k=1}^{\infty} k^{\left(1-\frac{1}{2} n\right) \gamma}$ converge, meanwhile $\sum_{k=1}^{\infty} k^{\left(2-\frac{1}{2} n\right) \gamma}$ diverges. In view of (32), we see that $\mathcal{E}\left(g_{K}\right)$ can be made to be arbitrary large when $K$ goes to infinity. Hence we finished the proof of (5).

Remark 1. Using Lemmas given above and an argument from Aubin, we can show a weaker form of (6).

$$
\begin{equation*}
Y\left(\left[g_{0}\right]\right):=\inf _{g \in[g] \cap \mathcal{C}_{1}} \mathcal{E}(g) \leq \frac{n-1}{2 n} . \tag{33}
\end{equation*}
$$

This is an Aubin type inequality. Using the same gluing argument we can show the metrics constructed in Lemma 1 and Lemma 3 are in the class $\Gamma_{k}^{+}$, provided $g_{0} \in \Gamma_{k}^{+}$and $k<n / 2$, and hence

$$
\begin{equation*}
\inf _{g \in\left[g g_{0}\right] \mathcal{C}_{k}} \mathcal{E}(g) \leq \frac{n-1}{2 n}, \tag{34}
\end{equation*}
$$

for any $k<n / 2$, provided that $\left[g_{0}\right] \cap \mathcal{C}_{k} \neq \emptyset$. We do not know if the inequality in (34) is strict, though we believe this. Similarly, one can show a slightly stronger form of (5)

$$
\begin{equation*}
\sup _{g \in\left[g_{0}\right] \cap \mathcal{C}_{k}} \mathcal{E}(g)=\infty, \tag{35}
\end{equation*}
$$

for any $k<n / 2$, provided that $\left[g_{0}\right] \cap \mathcal{C}_{k} \neq \emptyset$. Comparing with the inequality of De LellisTopping (1), i.e.,

$$
\mathcal{E}(g) \leq \frac{n-1}{2 n}, \quad \text { for any } g \text { with Ric } \geq 0
$$

it indicates that the condition Ric $\geq 0$ is "stronger" than the condition $g \in \mathcal{C}_{k}$ with $k<n / 2$. Remark that a metric $g \in \mathcal{C}_{k}$ with $k \geq n / 2$ have positive Ricci tensor [12].

## 4. A GEOMETRIC INEQUALITY FOR LARGE $k$

In this Section, we will prove Theorem 3, namely

$$
\begin{equation*}
\left(\int_{M} \sigma_{k}(g) d v(g)\right)^{2} \geq c(n, k) \int_{M} \sigma_{k-1}(g) d v(g) \int_{M} \sigma_{k+1}(g) d v(g) \tag{36}
\end{equation*}
$$

holds if $(M, g)$ is locally conformally flat and $g \in \Gamma_{k}^{+}$with $k \in[n / 2-1, n / 2)$. The constraint $k \in[n / 2-1, n / 2)$ equals to

$$
k= \begin{cases}\frac{n-1}{2}, & \text { if } n \text { is odd } \\ \frac{n}{2}-1, & \text { if } n \text { is even }\end{cases}
$$

Proof of Theorem 3. First all, we may assume that $\int \sigma_{k+1}(g) d v(g)>0$.
We first consider the case $n$ is even and $k=\frac{n}{2}-1$. In this case we use the argument of Gursky [15] as in [8] and a following Yamabe problem

$$
Y_{k}([g]):=\inf _{\tilde{g} \in \mathcal{C}_{k}([g])} \frac{\int_{M} \sigma_{k}(\tilde{g}) d v(\tilde{g})}{\left(\int_{M} \sigma_{k-1}(\tilde{g}) d v(\tilde{g})\right)^{\frac{n-2 k}{n-2(k-1)}}}
$$

where $\mathcal{C}_{k}([g]):=[g] \cap \Gamma_{k}^{+}$, which was studied in [14]. Since $(M, g)$ is locally conformally flat, it was proved in [14] that $Y_{k}$ is achieved by a conformal metric $g_{k} \in \mathcal{C}_{k}$ satisfying

$$
\begin{equation*}
\frac{\sigma_{k}\left(g_{k}\right)}{\sigma_{k-1}\left(g_{k}\right)}=a_{k} \tag{37}
\end{equation*}
$$

for some constant $a_{k}>0$, which implies that $\int_{M} \sigma_{k}\left(g_{k}\right) d v\left(g_{k}\right)=a_{k} \int_{M} \sigma_{k-1}\left(g_{k}\right) d v\left(g_{k}\right)$. Now by (10) we have

$$
\begin{aligned}
\int_{M} \sigma_{k+1}\left(g_{k}\right) d v\left(g_{k}\right) & \leq c(n, k) \int_{M} \frac{\sigma_{k}\left(g_{k}\right)^{2}}{\sigma_{k-1}\left(g_{k}\right)} d v\left(g_{k}\right) \\
& =c(n, k) a_{k} \int_{M} \sigma_{k}\left(g_{k}\right) d v\left(g_{k}\right) \\
& =c(n, k)\left(\frac{\int_{M} \sigma_{k}\left(g_{k}\right) d v\left(g_{k}\right)}{\left(\int_{M} \sigma_{k-1}\left(g_{k}\right) d v\left(g_{k}\right)\right)^{1 / 2}}\right)^{2}=c(n, k) Y_{k}\left(\left[g_{k}\right]\right)^{2}
\end{aligned}
$$

where we have used that $k=n / 2-1$. Since $k+1=n / 2$ and the manifold is locally conformally flat, we know that $\int \sigma_{k+1}(g) d v(g)$ is constant in a given conformal class [24]. Hence we have

$$
\begin{aligned}
\int_{M} \sigma_{k+1}(g) d v(g) & =\int_{M} \sigma_{k+1}\left(g_{k}\right) d v\left(g_{k}\right) \leq c(n, k) Y_{k}\left(\left[g_{k}\right]\right)^{2} \\
& \leq c(n, k)\left(\frac{\int_{M} \sigma_{k}(g) d v(g)}{\left(\int_{M} \sigma_{k-1}(g) d v(g)\right)^{1 / 2}}\right)^{2}
\end{aligned}
$$

In the last inequality we have used that $g_{k}$ achieves the minimum $Y_{k}$. From the proof it is clear that equality holds if and only if

$$
\sigma_{k+1}(g) \sigma_{k-1}(g)=c(n, k) \sigma_{k}^{2}(g)
$$

that is, $g$ is an Einstein metric.
Now we consider the case that $n$ is odd and $k=\frac{n-1}{2}$. In this case we consider the following Yamabe type problem.

Define

$$
\begin{equation*}
\mathcal{E}_{k}(g):=\frac{\int_{M} \sigma_{k-1}(g) d v(g) \int_{M} \sigma_{k+1}(g) d v(g)}{\left(\int_{M} \sigma_{k}(g) d v(g)\right)^{2}} \tag{38}
\end{equation*}
$$

and

$$
\tilde{Y}_{k}\left(\left[g_{0}\right]\right):=\sup _{g \in \mathcal{C}_{k}\left(\left[g_{0}\right]\right)} \mathcal{E}_{k}(g) .
$$

The Euler-Lagrange equation of (38) is a Yamabe type equation

$$
\begin{equation*}
\frac{\sigma_{k+1}(g)-3 r_{k}(g) \sigma_{k-1}(g)}{\sigma_{k}(g)}=-2 s_{k}(g) \tag{39}
\end{equation*}
$$

where $r_{k}(g)$ and $s_{k}(g)$ are two positive constants defined by

$$
r_{k}(g)=\frac{\int_{M} \sigma_{k+1}(g) d v(g)}{\int_{M} \sigma_{k-1}(g) d v(g)} \quad \text { and } \quad s_{k}(g)=\frac{\int_{M} \sigma_{k+1}(g) d v(g)}{\int_{M} \sigma_{k}(g) d v(g)}
$$

By the key Lemma in [6] we have: For $g_{0} \in \Gamma_{k}^{+}$Equation (39) is an elliptic and concave equation. We want to find the maximum of $\mathcal{E}_{k}, Y_{k}\left(\left[g_{0}\right]\right)$. In order to do so, we consider a Yamabe type flow

$$
\begin{equation*}
-g^{-1} \cdot \frac{d}{d t} g=\frac{\sigma_{k+1}(g)-3 r_{k}(g) \sigma_{k-1}(g)}{\sigma_{k}(g)}+2 s_{k}(g) \tag{40}
\end{equation*}
$$

Proposition 4. Flow (40) preserves $\int_{M} \sigma_{k}(g) d v(g)$, while it increases

$$
\int_{M} \sigma_{k-1}(g) d v(g) \int_{M} \sigma_{k+1}(g) d v(g) .
$$

Proof. It is clear that the flow preserves $\int_{M} \sigma_{k}(g) d v(g)$. By a direct computation we have

$$
\begin{aligned}
& \frac{d}{d t}\left(\int_{M} \sigma_{k-1}(g) d v(g) \int_{M} \sigma_{k+1}(g) d v(g)\right) \\
= & -\frac{1}{2} \int_{M} \sigma_{k-1}(g) d v(g) \int_{M}\left(\sigma_{k+1}(g)-3 r_{k}(g) \sigma_{k-1}(g)\right) g^{-1} \cdot \frac{d}{d t} g \\
= & \frac{1}{2} \int_{M} \sigma_{k-1}(g) d v(g) \int_{M} \sigma_{k}(g)\left(\frac{\sigma_{k+1}(g)-3 r_{k}(g) \sigma_{k-1}(g)}{\sigma_{k}(g)}+2 s_{k}(g)\right)^{2} \geq 0 .
\end{aligned}
$$

Proposition 5. Flow (40) is a parabolic equation.
Proof. See [6].
Since $(M, g)$ is locally conformally flat, we can use the argument in [13] to show that the flow converges to a solution of (39). This argument used a crucial argument in [28] for the ordinary Yamabe flow, to show that there is a uniform estimate for gradients. Here we will not repeat it. Hence for any $g \in\left[g_{0}\right] \cap \mathcal{C}_{k}$ by using flow (40) we find a $\tilde{g} \in\left[g_{0}\right] \cap \mathcal{C}_{k}$ satisfying (39). Since the flow increases $\mathcal{E}_{k}$ we have $\mathcal{E}_{k}(g) \leq \mathcal{E}(\tilde{g})$. Now one can show that $\tilde{g}$ is in fact a metric with constant sectional curvature.
Theorem 5. Let $n$ be odd and $k=(n-1) / 2$. If $(M, g)$ is a locally conformally flat with $g \in \Gamma_{k}^{+}$and $\int_{M} \sigma_{k+1}(g) d v(g)>0$, then there is a conformal metric $g_{1} \in[g]$ with constant sectional curvature.

Proof. The proof follows from the proof given in [6] directly. In fact the argument would imply the cone $\Gamma_{k+1}^{+}$is not empty. Then it follows from [12] $(M, g)$ has positive Ricci curvature. By Theorem of Myers, $\pi_{1}(M)$ is finite. Hence the universal cover of $M$ is compact and locally conformally flat and thus conformal to the standard $n$-sphere. The argument in [1] would also work. See also closely related results in [11] and [2].

By this Theorem 5, without loss of generality we may assume that $\left(M, g_{0}\right)$ is the standard round metric. Since $\tilde{g}$ satisfies a conformal equation (39), the classification result in [18] implies that $\tilde{g}$ is also a metric with constant sectional curvature, and hence $\mathcal{E}(\tilde{g})=c(n, k)$. Therefore we have proved

$$
\mathcal{E}_{k}(g) \leq \mathcal{E}(\tilde{g})=c(n, k) .
$$

Equality holds if and only if $\mathcal{E}_{k}(g)=c(n, k)$, which means that $g$ is a maximum of $\mathcal{E}_{k}$ and hence satisfies (39). By Theorem 5 again, $(M, g)$ is a space form. Now we complete the proof of Thereom 3.

## 5. Lovelock

In this section, let us first recall the work of Lovelock [19] on generalized Einstein tensors. See also [20], [27] and [16].

Let

$$
E_{A B}=R_{A B}-\frac{1}{2} R g_{A B}
$$

be the Einstein tensor. It is clear that $g$ is an Einstein metric if and only if

$$
\begin{equation*}
E_{A B}=\lambda g_{A B} \tag{41}
\end{equation*}
$$

The Einstein tensor is very important in theoretical physics. It is a conversed quantity, i.e.,

$$
E_{A, B}^{B}=0
$$

It would be an interesting to generalize the Einstein tensor. In [19] Lovelock studied the classification of tensors $A$ satisfying
(i) $A^{i j}=A^{j i}$, ie, $A$ is symmetric.
(ii) $A^{i j}=A^{i j}\left(g_{A B}, g_{A B, C}, g_{A B, C D}\right)$.
(iii) $A^{i j}{ }_{j}=0$, ie. $A$ is divergence-free.
(iv) $A^{i j}$ is linear in the second derivatives of $g_{A B}$.

It is clear that the Einstein tensor satisfies all conditions. Lovelock classified all 2-tensors satisfying (i)-(iii). Let us first define

$$
L_{k}=R^{(k)}:=\frac{1}{2^{k}} \delta_{j_{1} j_{2} \cdots j_{2 k-1} i_{2 k}}^{i_{1} i_{2} \cdots i_{2 k-1} i_{2 k}} R_{i_{11} i_{2}}^{j_{1} j_{2}} \cdots R_{i_{2 k-1} i_{2 k}}{ }^{j_{2 k-1} j_{2 k}} .
$$

Here the generalized Kronecker delta is denied by

$$
\delta_{i_{1} i_{2}, \ldots i_{r}}^{j_{1} j_{2} \ldots j_{r}}=\operatorname{det}\left(\begin{array}{cccc}
\delta_{i_{1}}^{j_{1}} & \delta_{i_{1}}^{j_{2}} & \cdots & \delta_{i_{1}}^{j_{r}} \\
\delta_{i_{2}}^{j_{1}} & \delta_{i_{2}}^{j_{2}} & \cdots & \delta_{i_{2}}^{j_{r}} \\
\vdots & \vdots & \vdots & \vdots \\
\delta_{i_{r}}^{j_{1}} & \delta_{i_{r}}^{j_{2}} & \cdots & \delta_{i_{r}}^{j_{r}}
\end{array}\right) .
$$

$L_{k}$ is called the lovelock curvature. When $2 k=n, R^{(k)}$ is the Euler density. We could check that $R^{(k)}=0$ if $2 k>n$. For $k<n / 2, R^{(k)}$ is called the dimensional continued Euler density in Physics. Let us define a 2 -tensor $E^{(k)}$ by

$$
E^{(k)}{ }_{i j}:=-\frac{1}{2^{k+1}} g_{\alpha i} \delta_{j j_{1} j_{2} \cdots j_{2 k-1} i_{2 k}}^{\alpha i_{1} i_{2} \cdots j_{2 k-1} i_{2 k}} R_{i_{1} i_{2}}{ }^{j_{1} j_{2}} \cdots R_{i_{2 k-1} i_{2 k}}{ }^{j_{2 k-1} j_{2 k}}
$$

locally. It is clear that

$$
\operatorname{tr} E^{(k)}=-\frac{n-2 k}{2} R^{(k)} .
$$

One can check that

$$
E^{(k)^{i}}{ }_{j, i}=0,
$$

ie, $E^{(k)}$ satisfies (i)-(iii). Lovelock proved that any 2-tensor satisfying (i)-(iii) has the form

$$
\sum_{j} \alpha_{j} E^{(j)}
$$

with certain constants $\alpha_{j}, j \geq 0$. Here we set $E^{(0)}=0$. It is clear to see that $E^{(1)}$ is the Einstein tensor and

$$
R^{(1)}=R,
$$

which is the scalar curvature.
One can also check that

$$
E_{\mu \nu}^{(2)}=2 R R_{\mu \nu}-4 R_{\mu \alpha} R^{\alpha}{ }_{\nu}-4 R_{\alpha \beta} R^{\alpha}{ }_{\mu}{ }^{\beta}{ }_{\nu}+2 R_{\mu \alpha \beta \gamma} R_{\nu}{ }^{\alpha \beta \gamma}-\frac{1}{2} g_{\mu \nu} L_{2}
$$

and

$$
L_{2}=\frac{1}{4} \delta_{j_{1} j_{2} j_{3} j_{4}}^{i_{2} i_{3} i_{4}} R^{j_{1} j_{2}}{ }_{i_{1} i_{2}} R^{j_{3} j_{4}}{ }_{i_{3} i_{4}}=R_{\mu \nu \rho \sigma} R^{\mu \nu \rho \sigma}-4 R_{\mu \nu} R^{\mu \nu}+R^{2} .
$$

$L_{2}$ is called the Gauss-Bonnet term in Physics. A direct computation gives

$$
\begin{align*}
L_{2} & =|W|^{2}-4 \frac{n-3}{n-2}|R i c|^{2}+\frac{n(n-3)}{(n-1)(n-2)} R^{2} \\
& =|W|^{2}+\frac{n-3}{n-2}\left(\frac{n}{n-1} R^{2}-4|R i c|^{2}\right)  \tag{42}\\
& =|W|^{2}+8(n-2)(n-3) \sigma_{2} .
\end{align*}
$$

When $n=4, L_{2}$ is the Euler density and its integration is the Euler characteristic. It is clear that by definition $L_{k}=c \sigma_{k}(g)$ if $(M, g)$ is locally conformally flat.

As a generalization of the Einstein metric, the solution of the following equation is called (string-inspired) Einstein-Gauss-Bonnet metric

$$
E_{\mu \nu}^{(2)}=\lambda g_{\mu \nu}
$$

$E^{(2)}$ was already given by Lanczos [17] in 1938 and is called Lanczos tensor. If $g$ is such a metric, it is clear that

$$
\lambda=\frac{1}{n} g^{\mu \nu} E_{\mu \nu}^{(2)}=\frac{4-n}{2 n} L_{2}=\frac{4-n}{2 n}\left(8(n-2)(n-3) \sigma_{2}(g)+|W|^{2}\right)
$$

Since $E^{(2)}$ is divergence free, namely

$$
E_{\alpha \beta}^{(2), \beta}=0
$$

it follows that $\lambda$ must be constant.

It is naturally to consider the generalization of Einstein metrics for all $k<n / 2$. We call a metric $g$ is $k$-Einstein if

$$
E^{(k)}=\lambda g
$$

with $\lambda$ constant. Such metrics have been studied intensively in physical literatures and also by mathematicians. See for instance [20], [27] and [16]. One can show that if a metric $g$ satisfies the property that its $k$-Einstein tensor proportional to itself pointwisely, ie.

$$
E^{(k)}=\lambda g
$$

for a function $\lambda$, then the $\lambda$ is constant, which follows from the fact that $E^{(k)}$ is divergence free. This is a generalization of the Schur Lemma.

It is interesting to see if the almost Schur Lemma of De Lellis-Topping could be generalized. Theorem 4 gives an affirmative answer.

Proof of theorem 4. Let $R^{(k)}=L_{k}$. The proof is almost the same in [5]. Let $f$ be the unique solution of

$$
\Delta f=R^{(k)}-\bar{R}^{(k)}
$$

with $\int f=0$. Since $E^{(k)}$ is divergence-free, we have

$$
d R^{(k)}=\frac{2 n}{n-2 k} \delta\left(E^{(k)}+\frac{n-2 k}{2 n} R^{(k)} g\right)
$$

Their argument shows that

$$
\int\left|R^{(k)}-\bar{R}^{(k)}\right|^{2} \leq \frac{2 n}{n-2 k}\left\|E^{(k)}+\frac{n-2 k}{2 n} R^{(k)} g\right\|_{L^{2}}\left\|\nabla^{2} f-\frac{\Delta f}{n} g\right\|_{L^{2}}
$$

A Bochner formula gives

$$
\left\|\nabla^{2} f-\frac{\Delta f}{n} g\right\|_{L^{2}}^{2}=\frac{n-1}{n} \int\left|R^{(k)}-\bar{R}^{(k)}\right|^{2}-\int \operatorname{Ric}(\nabla f, \nabla f)
$$

Thus we have

$$
\int\left|R^{(k)}-\bar{R}^{(k)}\right|^{2} \leq \frac{4 n(n-1)}{(n-2 k)^{2}} \int_{M}\left|E^{(k)}+\frac{n-2 k}{2 n} R^{(k)} g\right|^{2} d v(g)
$$

When $k=1$ the inequality is equivalent to the almost Schur Lemma, Theorem A. If $(M, g)$ is locally conformally flat, Theorems 3 and 4 are the same under slightly different conditions.

It is natural to ask the following Yamabe type problem.
Problem. Given a metric $g_{0}$ and an integer $k \in[2, n / 2)$, is there a conformal metric $g \in\left[g_{0}\right]$ with

$$
R^{(k)}=\text { const.? }
$$

Especially, when $k=2$ and $n>4$, is there a conformal metric $g \in\left[g_{0}\right]$ with

$$
R^{(2)}=8(n-2)(n-3) \sigma_{2}(g)+|W|^{2}=\text { const.? }
$$

When $\left(M, g_{0}\right)$ is locally conformally flat, $R^{(k)}=\sigma_{k}$. Thus, this problem is just the $\sigma_{k}$-Yamabe problem on a locally conformally flat manifold, which was solved already.

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