

# On a Stationary Schrödinger Equation with Periodic Magnetic Potential

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# Outline

Starting point

Magnetic Schrödinger

## Profile decomposition (Schindler and Tintarev, 2001)

## Theorem

Let  $u_k \in H$  be a bounded sequence. Then there exists  $w^{(n)} \in H$ ,  $g_k^{(n)} \in D$ ,  $k, n \in \mathbb{N}$  such that for a renumbered subsequence

$$g_k^{(n)-1} u_k \rightharpoonup w^{(n)}, \quad (1)$$

$$g_k^{(n)-1} g_k^{(m)} \rightharpoonup 0 \text{ for } n \neq m, \quad (2)$$

$$\sum_{n \in \mathbb{N}} \|w^{(n)}\|^2 \leq \limsup \|u_k\|^2 \quad (3)$$

and

$$u_k - \sum_{n \in \mathbb{N}} g_k^{(n)} w^{(n)} \xrightarrow{cw} 0. \quad (4)$$

## Possible application

$$-\Delta u + u = \lambda f(x, u) \text{ in } \mathbb{R}^N \quad (5)$$

$$f(x + z, u) = f(x, u), \quad z \in \mathbb{Z}^N.$$

$$g_y u = u(\cdot + y), \quad y \in \mathbb{Z}^N.$$

(Lions, 1985)

## Homogeneous right hand side

$$\left(\frac{1}{i}\nabla + A(x)\right)^2 u + V(x)u = |u|^{q-1}u \text{ in } \Omega \subset \mathbb{R}^3. \quad (6)$$

$$u : \mathbb{R}^N \mapsto \mathbb{C}, \quad 2 < q < 2^* = \frac{2N}{N-2}$$

$$A : \mathbb{R}^N \mapsto \mathbb{R}^N, \quad A \in L_{loc}^2 \text{ magnetic potential}$$

$$B \stackrel{\text{def}}{=} \text{curl } A \text{ magnetic field.}$$

$$V : \mathbb{R}^N \mapsto [\delta, \infty) \text{ electric potential}$$

$$g_y u = e^{i\phi_y} u(\cdot + y)$$

$$\Delta_A \stackrel{\text{def}}{=} \left(\frac{1}{i}\nabla + A(x)\right)^2$$

$$\int |\nabla_A u|^2 \geq \int |\nabla |u||^2 \quad (7)$$

(Esteban and Lions, 1989)

(Schindler and Tintarev, 2002)

## minimax RHS

$$\left(\frac{1}{i}\nabla + A(x)\right)^2 u + V(x)u = g(x, |u|)u \text{ in } \mathbb{R}^N. \quad (8)$$

$$g(x + y, s) = g(x, s), \quad y \in \mathbb{Z}^N.$$

$$V(x + y) = V(x) \quad y \in \mathbb{Z}^N.$$

$$B(x + y) = B(x) \quad y \in \mathbb{Z}^N.$$

(Arioli and Szulkin, 2003)

$$g_{y+z} \neq g_y + g_z.$$

with P. Bégout

$$(-i\nabla + A)^2 u + V(x)u = \lambda f(x, |u(x)|) \frac{u}{|u|}, \quad \text{in } \mathbb{R}^N, \quad (9)$$

$$u \in H_{A,V}^1(\mathbb{R}^N), \quad (10)$$

$$H_{A,V}^1(\mathbb{R}^N) \stackrel{\text{def}}{=} \left\{ u \in L^2(\mathbb{R}^N); V|u|^2 \in L^1(\mathbb{R}^N) \text{ and} \right. \quad (11)$$

$$\left. (\nabla + iA)u \in L^2(\mathbb{R}^N \mapsto \mathbb{C}^N) \right\}. \quad (12)$$

Assumptions on  $A$  ( $N \geq 3$ )

$$A \in L_{\text{loc}}^N(\mathbb{R}^N \mapsto \mathbb{R}^N). \quad (13)$$

$$\alpha_A \stackrel{\text{def}}{=} \sup_{j \in \mathbb{N}} \|A\|_{L^N(Q_j)} < \infty, \quad (14)$$

$$\forall j \in \{1, \dots, N\}, \text{curl } A(x + e_j) \stackrel{\mathcal{D}^p(\mathbb{R}^N)}{=} \text{curl } A(x), \quad (15)$$



## Consequences (Leinfelder, 1983)

$$\begin{aligned} \operatorname{curl} A(x + y) &\stackrel{\mathcal{D}(\mathbb{R}^N)}{=} \operatorname{curl} A(x), \quad y \in \mathbb{Z}^N . \\ y \in \mathbb{Z}^N &\implies \exists \varphi_y \in W_{\text{loc}}^{1, N+\varepsilon}(\mathbb{R}^N; \mathbb{R}) \text{ such that} \\ x \in \mathbb{R}^N &\implies A(x + y) = A(x) + \nabla \varphi_y(x) \text{ a.e.} \end{aligned}$$

Assumption  $V$ 

$$V \in L^1_{\text{loc}}(\mathbb{R}^N \mapsto \mathbb{R}) \quad \text{and} \quad \nu \stackrel{\text{def}}{=} \operatorname{ess\,inf}_{x \in \mathbb{R}^N} V(x) > 0. \quad (16)$$

## inner product

$$\forall u, v \in H_{A,V}^1(\mathbb{R}^N),$$

$$\langle u, v \rangle_{H_{A,V}^1(\mathbb{R}^N)} = \operatorname{Re} \int_{\mathbb{R}^N} V u \bar{v} \, dx + \operatorname{Re} \int_{\mathbb{R}^N} \nabla_A u \cdot \overline{\nabla_A v} \, dx,$$

$$\|u\|_{H_{A,V}^1(\mathbb{R}^N)}^2 = \langle u, u \rangle_{H_{A,V}^1(\mathbb{R}^N)} = \int_{\mathbb{R}^N} V |u|^2 \, dx + \|\nabla_A u\|_{L^2(\mathbb{R}^N)}^2,$$

Reason:

$$\langle (\|u\|^2)', \varphi \rangle = 2 \left( \int_{\mathbb{R}^N} V u \bar{\varphi} \, dx + \int_{\mathbb{R}^N} \nabla_A u \cdot \overline{\nabla_A \varphi} \, dx \right)$$

$$H^1(\mathbb{R}^N) = H_{A,V}^1(\mathbb{R}^N)$$

$$\begin{aligned} \int_{\mathbb{R}^N} |Au|^2 dx &= \sum_{j \in \mathbb{N}} \int_{Q_j} |Au|^2 dx \\ &\leq \sum_{j \in \mathbb{N}} \|A\|_{L^N(Q_j)}^2 \|u\|_{L^{2^*}(Q_j)}^2 \\ &\leq C^2 \alpha_A^2 \sum_{j \in \mathbb{N}} \| |u| \|_{H^1(Q_j)}^2 \\ &= C^2 \alpha_A^2 \| |u| \|_{H^1(\mathbb{R}^N)}^2. \end{aligned}$$

## A periodic

$y \in \mathbb{Z}^N \implies \exists! \psi_y \in W_{\text{loc}}^{1, N+\varepsilon}(\mathbb{R}^N \mapsto \mathbb{R})$ , such that

$$\psi_y(0) = 0, \quad (17)$$

$$\forall x \in \mathbb{R}^N, \psi_y(x - y) + \psi_{-y}(x) = \psi_y(-y) = \psi_{-y}(y), \quad (18)$$

$$A(x + y) = A(x) + \nabla \psi_y(x), \quad (19)$$

## Reason

$$A(x - y) = A(x) - \nabla\psi_y(x - y) = A(x) + \nabla\psi_{-y}(x).$$

 $\implies$ 

$$\forall x \in \mathbb{R}^N, \psi_y(x - y) + \psi_{-y}(x) = c.$$

Substituting first  $x = 0$ , then  $x = y$  and using (17) we obtain (18).

## delocalisations

$$\varphi_y \stackrel{\text{def}}{=} \psi_y - \frac{1}{2}\psi_y(-y), \quad (20)$$

Then  $\varphi_y \in C(\mathbb{R}^N; \mathbb{R})$  and verifies,

$$\forall x \in \mathbb{R}^N, \varphi_y(x - y) + \varphi_{-y}(x) = 0, \quad (21)$$

$$A(x + y) = A(x) + \nabla\varphi_y(x), \quad (22)$$

a.e. and  $\varphi_0 = 0$  over  $\mathbb{R}^N$

$$g_y : u \longmapsto e^{i\varphi_y} u(\cdot + y)$$

## RHS

$$f : \mathbb{R}^N \times \mathbb{R}_+ \mapsto \mathbb{R}$$

$\forall \varepsilon > 0, \exists p_\varepsilon \in (2, 2^*)$  and  $C_\varepsilon > 0$  such that  $\forall t \geq 0$ ,

$$|f(x, t)| \leq \varepsilon(t + t^{2^*-1}) + C_\varepsilon t^{p_\varepsilon-1}, \quad (23)$$

$\implies |\{\lambda > 0 \mid \text{no solution}\}| = 0$  (Schechter and Tintarev, 1991;  
Tintarev, 1991; Jeanjean and Toland, 1998)



## Value function

$$\gamma(t) \stackrel{\text{def}}{=} \sup_{\|u\|^2=t} \int_{\mathbb{R}^N} F(x, u) dx \text{ where } F(x, t) \stackrel{\text{def}}{=} \int_0^t f(x, s) ds.$$

$\forall 1/\lambda \in \{2 \inf \gamma'(t), 2 \sup \gamma'(t)\} \exists$  critical sequence.

$$\lim_{t \rightarrow 0} \gamma(t)/t = 0$$

$$\lim_{t \rightarrow \infty} \gamma(t)/t = \infty$$



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