

# Non-Kähler Mirror Symmetry of the Iwasawa Manifold

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## (I) Standard point of view (Kähler case)

### Calabi-Yau (C-Y) threefolds:

compact Kähler manifolds  $X$  with  $\dim_{\mathbb{C}} X = 3$  such that  $K_X$  is *trivial*

**Frequent extra assumption** (justified by the Bogomolov Decomposition Theorem for C-Y manifolds):

$$h^{1,0}(X) = h^{2,0}(X) = 0$$

This assumption implies that  $X$  is **projective**.

(1) **Complex-structure side of the mirror**

**Bogomolov-Tian-Todorov Theorem:** in this case, the local universal family  $(X_t)_{t \in \Delta}$  of deformations of  $X$  (= the Kuranishi family of  $X$ ) is **unobstructed**, i.e.

$\Delta$  is **smooth**, so can be viewed as an **open ball**

$$\Delta := \text{Def}(X) \subset H^{0,1}(X, T^{1,0}X).$$

Recall the isomorphisms

$$T_0^{1,0}\Delta \xrightarrow[\simeq]{\rho} H^{0,1}(X, T^{1,0}X) \xrightarrow[\simeq]{T_\Omega} H^{2,1}(X, \mathbb{C}),$$

$$[\theta]_{\bar{\partial}} \longmapsto [\theta \lrcorner \Omega]_{\bar{\partial}}$$

where  $\rho$  is the **Kodaira-Spencer map** and  $T$  is the **Calabi-Yau isomorphism** defined by a fixed holomorphic non-vanishing 3-form

$$\Omega \in H^0(X, K_X) \simeq H^{3,0}(X, \mathbb{C}).$$

$\Omega$  is unique up to a multiplicative constant and represents the triviality of  $K_X$  (the C-Y structure)

## (2) Metric (Kähler) side of the mirror

The **Kähler cone** of  $X$ :

$$\mathcal{K}_X := \left\{ [\omega] \in H^{1,1}(X, \mathbb{R}) \mid \omega \text{ Kähler metric on } X \right\}$$

the set of all Kähler classes on  $X$

$\mathcal{K}_X \subset H^{1,1}(X, \mathbb{R})$  is an *open convex cone*

The Kähler cone is complexified to

$$\tilde{\mathcal{K}}_X \subset H^{1,1}(X, \mathbb{C})$$

(the *Kähler moduli space* of  $X$ )

to match the *complex moduli space*  $\Delta \subset H^{2,1}(X, \mathbb{C})$ .

**Recall:**  $H^{1,1}(X, \mathbb{C}) = H_{DR}^2(X, \mathbb{C})$  if we assume  $h^{2,0}(X) = 0$ , so  $\mathcal{K}_X$  is an open convex cone in  $H_{DR}^2(X, \mathbb{R})$ . Its *complexification* is defined as

$$\tilde{\mathcal{K}}_X = \mathcal{K}_X \oplus H^2(X, \mathbb{R})/2\pi i H^2(X, \mathbb{Z})$$

## (1) + (2) **The Mirror Conjecture**

The Kähler C-Y threefolds ought to come in pairs  $(X, \tilde{X})$  such that there are local biholomorphisms (= *the mirror maps*)

$$\text{Def}(X) \simeq \tilde{\mathcal{K}}_{\tilde{X}} \quad \text{and} \quad \text{Def}(\tilde{X}) \simeq \tilde{\mathcal{K}}_X.$$

### **Obvious necessary condition**

$$T_0^{1,0}\text{Def}(X) \simeq T_{[\tilde{\omega}_0]}^{1,0}\tilde{\mathcal{K}}_{\tilde{X}} \quad \text{and} \quad T_0^{1,0}\text{Def}(\tilde{X}) \simeq T_{[\omega_0]}^{1,0}\tilde{\mathcal{K}}_X,$$

which means

$$H^{2,1}(X) \simeq H^{1,1}(\tilde{X}) \quad \text{and} \quad H^{2,1}(\tilde{X}) \simeq H^{1,1}(X),$$

hence

$$h^{2,1}(X) = h^{1,1}(\tilde{X}) \quad \text{and} \quad h^{2,1}(\tilde{X}) = h^{1,1}(X).$$

## Obstruction to an all-Kähler mirror symmetry

There exist Kähler C-Y threefolds  $X$  such that

$$h^{2,1}(X) = 0 \quad (\text{i.e. } X \text{ is } \textit{rigid}, \text{ it does not deform}).$$

Hence, if  $X$  admits a mirror dual  $\tilde{X}$ , the mirror dual cannot be Kähler since  $h^{1,1}(\tilde{X}) = 0$  in this case.

**Conclusion:** the mirror symmetry cannot hold entirely within the Kähler realm.

**Another classical feature of the Kähler (projective) mirror symmetry:**

use of *Gromov-Witten invariants* attached to pseudo-holomorphic curves and counting of *rational curves*

But what if there are no curves at all?

(II) Our new point of view  
(possibly non-Kähler case)

$X$  a compact complex possibly non-Kähler manifold such that  $K_X$  is trivial (still called a **Calabi-Yau manifold**),  $\dim_{\mathbb{C}} X = n$

Recall: a **Hermitian metric** on  $X$  is any  $C^\infty$  positive definite  $(1, 1)$ -form  $\omega > 0$  on  $X$ .

- $\omega$  is a **Gauduchon metric** if

$$\partial\bar{\partial}\omega^{n-1} = 0$$

Gauduchon metrics always exist (Gauduchon 1977).

- $\omega$  is a **strongly Gauduchon (sG) metric** (P. 2013) if

$$\partial\omega^{n-1} \text{ is } \bar{\partial}\text{-exact.}$$

sG metrics need not exist although they do on many manifolds.

Recall:  $X$  is an **sGG manifold** (P.-Ugarte 2014) if

*every Gauduchon metric on  $X$  is strongly Gauduchon.*

- every  $\partial\bar{\partial}$ -manifold is sGG;
- the **Iwasawa manifold** and all its small deformations are sGG but are not  $\partial\bar{\partial}$ -manifolds.

Recall:

- if  $X$  is a  $\partial\bar{\partial}$ -manifold, the Hodge decomposition and symmetry hold on  $X$ ;
- if  $X$  is a  $\partial\bar{\partial}$ -manifold and if  $K_X$  is trivial, the Bogomolov-Tian-Todorov theorem still holds on  $X$  (P.2013), i.e.

the Kuranishi family of  $X$  is unobstructed.

- Recall the **Bott-Chern cohomology**

$$H_{BC}^{p,q}(X, \mathbb{C}) = \frac{\ker \partial \cap \ker \bar{\partial}}{\text{Im } \partial \bar{\partial}}$$

and the **Aeppli cohomology**

$$H_A^{p,q}(X, \mathbb{C}) = \frac{\ker \partial \bar{\partial}}{\text{Im } \partial + \text{Im } \bar{\partial}}$$

There is a canonical non-degenerate **duality**

$$H_{BC}^{1,1}(X, \mathbb{C}) \times H_A^{n-1,n-1}(X, \mathbb{C}) \rightarrow \mathbb{C},$$

$$([\alpha]_{BC}, [\beta]_A) \mapsto \int_X \alpha \wedge \beta.$$

## One of our main tools

The **Gauduchon cone** (P. 2013) of  $X$ :

$$\mathcal{G}_X := \left\{ \begin{array}{l} [\omega^{n-1}]_A \in H_A^{n-1, n-1}(X, \mathbb{R}) \\ | \omega \text{ is a Gauduchon metric on } X \end{array} \right\}$$

$$\mathcal{G}_X \subset H_A^{n-1, n-1}(X, \mathbb{R})$$

is an open convex cone in  $H_A^{n-1, n-1}(X, \mathbb{R})$ .

- $\mathcal{G}_X$  replaces the Kähler cone  $\mathcal{K}_X$  when  $X$  is non-Kähler
- $\mathcal{G}_X$  provides a transcendental substitute for cohomology classes of (currents of integration on) curves.

## Our testing ground

The **Iwasawa manifold** is the quotient  $X = G/\Gamma$ , where

$$G := \left\{ \begin{pmatrix} 1 & z_1 & z_3 \\ 0 & 1 & z_2 \\ 0 & 0 & 1 \end{pmatrix} ; z_1, z_2, z_3 \in \mathbb{C} \right\} \subset GL_3(\mathbb{C})$$

is the **Heisenberg group** and  $\Gamma \subset G$  is the subgroup of matrices with entries  $z_1, z_2, z_3 \in \mathbb{Z}[i]$ .

- The map

$$(z_1, z_2, z_3) \mapsto (z_1, z_2)$$

factors through the action of  $\Gamma$  to a (holomorphically locally trivial) [proper holomorphic submersion](#)

$$\pi : X \rightarrow B,$$

where the base  $B = \mathbb{C}^2/\mathbb{Z}[i] \oplus \mathbb{Z}[i] = \mathbb{C}/\mathbb{Z}[i] \times \mathbb{C}/\mathbb{Z}[i]$  is a [two-dimensional Abelian variety](#) (the product of two elliptic curves) and where all the fibres are isomorphic to the [Gauss elliptic curve](#)  $\mathbb{C}/\mathbb{Z}[i]$ .

## Consequence 1

*There exist no curves normalised by smooth rational curves on  $X$ .*

**Reason:** any map from such a curve to any factor  $\mathbb{C}/\mathbb{Z}[i]$  would be constant.

(Indeed, thanks to the Riemann-Hurwitz formula, any non-constant map between two smooth curves is genus-decreasing.)

## Consequence 2

*There exist three holomorphic 1-forms  $\alpha, \beta, \gamma \in C_{1,0}^\infty(X, \mathbb{C})$  on  $X$  such that*

$$d\alpha = d\beta = 0 \quad \text{and} \quad d\gamma = \partial\gamma = -\alpha \wedge \beta \neq 0.$$

*The forms  $\alpha, \beta, \gamma$  explicitly determine the whole cohomology of  $X$ .*

**Reason:** the  $\mathbb{C}^3$ -valued holomorphic 1-form on  $G$

$$G \ni M = \begin{pmatrix} 1 & z_1 & z_3 \\ 0 & 1 & z_2 \\ 0 & 0 & 1 \end{pmatrix} \mapsto M^{-1} dM = \begin{pmatrix} 0 & dz_1 & dz_3 - z_1 dz_2 \\ 0 & 0 & dz_2 \\ 0 & 0 & 0 \end{pmatrix}$$

is invariant under the action of  $\Gamma$ .

Hence it descends to a holomorphic 1-form on  $X$  giving rise to the  $(1, 0)$ -forms  $\alpha, \beta, \gamma$  on  $X$  induced respectively by the forms

$$dz_1, dz_2, dz_3 - z_1 dz_2$$

of  $\mathbb{C}^3$ . Thus,

$$\alpha, \beta, \gamma \in C_{1,0}^\infty(X, \mathbb{C})$$

and

$$\bar{\partial}\alpha = \bar{\partial}\beta = \bar{\partial}\gamma = 0.$$

Note that  $dz_1, dz_2$  are closed and  $d(dz_3 - z_1 dz_2) = -dz_1 \wedge dz_2$ .

## Vertical and horizontal forms

From the exact sequence

$$0 \rightarrow \pi^*\Omega_B^1 \rightarrow \Omega_X^1 \rightarrow \Omega_{X/B}^1 \rightarrow 0,$$

as the map  $H^1(\pi^*\Omega_B^1) = H^1(\mathcal{O}_X) \otimes H^0(\pi^*\Omega_B^1) \rightarrow H^1(\mathcal{O}_X) \otimes H^0(\Omega_X^1) = H^1(\Omega_X^1)$  is injective due to the triviality of  $\Omega_B^1$  and  $\Omega_X^1$ ,

we get the simple presentation

$$0 \rightarrow H^0(\pi^*\Omega_B^1) \rightarrow H^0(\Omega_X^1) \rightarrow H^0(\Omega_{X/B}^1) \rightarrow 0.$$

Thus, the form  $\gamma$  is a representative of  $H^0(\Omega_{X/B}^1)$  in  $H^0(\Omega_X^1)$ . In other words, the forms  $\alpha$  and  $\beta$  are *horizontal* (i.e. coming from  $B$ ), while  $\gamma$  is *vertical* (i.e. lives on the fibres).

## Examples of cohomology groups

- De Rham cohomology

$$H^1(X, \mathbb{C}) = \left\langle \{\alpha\}_{DR}, \{\beta\}_{DR}, \{\bar{\alpha}\}_{DR}, \{\bar{\beta}\}_{DR} \right\rangle = \pi^* H^1(B, \mathbb{C}),$$

$$\begin{aligned} \pi^* H^2(B, \mathbb{C}) &= \left\langle \{\alpha \wedge \bar{\alpha}\}_{DR}, \{\alpha \wedge \bar{\beta}\}_{DR}, \{\beta \wedge \bar{\alpha}\}_{DR}, \{\beta \wedge \bar{\beta}\}_{DR} \right\rangle \simeq H_{BC}^{1,1}(X, \mathbb{C}) \\ &\simeq \pi^* H^{1,1}(B, \mathbb{C}) \end{aligned}$$

$$H^2(X, \mathbb{C}) = \pi^* H^2(B, \mathbb{C}) \oplus \left\langle \{\gamma \wedge \alpha\}_{DR}, \{\gamma \wedge \beta\}_{DR} \right\rangle \oplus \left\langle \{\bar{\gamma} \wedge \bar{\alpha}\}_{DR}, \{\bar{\gamma} \wedge \bar{\beta}\}_{DR} \right\rangle,$$

$$\pi^* H^3(B, \mathbb{C}) = 0,$$

$$\begin{aligned} H^3(X, \mathbb{C}) &= \left\langle \{\alpha \wedge \beta \wedge \gamma\}_{DR} \right\rangle \oplus \{\gamma \wedge \pi^* H^{1,1}(B, \mathbb{C})\}_{DR} \oplus \{\bar{\gamma} \wedge \pi^* H^{1,1}(B, \mathbb{C})\}_{DR} \\ &\oplus \left\langle \{\bar{\alpha} \wedge \bar{\beta} \wedge \bar{\gamma}\}_{DR} \right\rangle. \end{aligned}$$

- **Other cohomologies**

$$H_{\bar{\partial}}^{1,0}(X, \mathbb{C}) = \left\langle [\alpha]_{\bar{\partial}}, [\beta]_{\bar{\partial}}, [\gamma]_{\bar{\partial}} \right\rangle, \quad H_{\bar{\partial}}^{0,1}(X, \mathbb{C}) = \left\langle [\bar{\alpha}]_{\bar{\partial}}, [\bar{\beta}]_{\bar{\partial}} \right\rangle = \pi^* H_{\bar{\partial}}^{0,1}(B, \mathbb{C}),$$

$$H_{\bar{\partial}}^{3,0}(X, \mathbb{C}) = \left\langle [\alpha \wedge \beta \wedge \gamma]_{\bar{\partial}} \right\rangle, \quad H_{\bar{\partial}}^{0,3}(X, \mathbb{C}) = \left\langle [\bar{\alpha} \wedge \bar{\beta} \wedge \bar{\gamma}]_{\bar{\partial}} \right\rangle,$$

$$\begin{aligned} H_{\bar{\partial}}^{2,1}(X, \mathbb{C}) &= \left\langle [\alpha \wedge \gamma \wedge \bar{\alpha}]_{\bar{\partial}}, [\alpha \wedge \gamma \wedge \bar{\beta}]_{\bar{\partial}}, [\beta \wedge \gamma \wedge \bar{\alpha}]_{\bar{\partial}}, [\beta \wedge \gamma \wedge \bar{\beta}]_{\bar{\partial}} \right\rangle \\ &\oplus \left\langle [\alpha \wedge \beta \wedge \bar{\alpha}]_{\bar{\partial}}, [\alpha \wedge \beta \wedge \bar{\beta}]_{\bar{\partial}} \right\rangle \\ &= \left\langle [\alpha \wedge \gamma \wedge \bar{\alpha}]_{\bar{\partial}}, [\alpha \wedge \gamma \wedge \bar{\beta}]_{\bar{\partial}}, [\beta \wedge \gamma \wedge \bar{\alpha}]_{\bar{\partial}}, [\beta \wedge \gamma \wedge \bar{\beta}]_{\bar{\partial}} \right\rangle \oplus \pi^* H_{\bar{\partial}}^{2,1}(B, \mathbb{C}), \end{aligned}$$

$$\begin{aligned} H_{\bar{\partial}}^{1,2}(X, \mathbb{C}) &= \left\langle [\alpha \wedge \bar{\alpha} \wedge \bar{\gamma}]_{\bar{\partial}}, [\beta \wedge \bar{\alpha} \wedge \bar{\gamma}]_{\bar{\partial}}, [\alpha \wedge \bar{\beta} \wedge \bar{\gamma}]_{\bar{\partial}}, [\beta \wedge \bar{\beta} \wedge \bar{\gamma}]_{\bar{\partial}} \right\rangle \\ &\oplus \left\langle [\gamma \wedge \bar{\alpha} \wedge \bar{\gamma}]_{\bar{\partial}}, [\gamma \wedge \bar{\beta} \wedge \bar{\gamma}]_{\bar{\partial}} \right\rangle. \end{aligned}$$

## Known facts about the Iwasawa manifold $X$ :

- $X$  is a compact complex manifold,  $\dim_{\mathbb{C}} X = 3$ ;
- $X$  is a *nilmanifold* since

$G$  is a connected, simply connected, *nilpotent* complex Lie group;

- $E_1(X) \neq E_{\infty}(X)$  in the Frölicher spectral sequence. In particular,  $X$  is not a  $\partial\bar{\partial}$ -manifold and very far from being Kähler.

However,  $E_2(X) = E_{\infty}(X)$  in the Frölicher spectral sequence.

- $X$  is *complex parallelisable* (i.e.  $T^{1,0}X$  is trivial).

In particular,  $K_X$  is trivial, so  $X$  is C-Y.

- Nakamura (1975): the Kuranishi family  $(X_t)_{t \in \Delta}$  of  $X = X_0$  is **unobstructed**,

so  $\Delta$  is **smooth**, so can be viewed as an **open ball**

$$\Delta := \text{Def}(X) \subset H^{0,1}(X, T^{1,0}X) \simeq H^{2,1}(X, \mathbb{C}).$$

Moreover,  $\dim_{\mathbb{C}} \Delta = 6$  and

$$\Delta = \{t = t_{i\lambda} \in \mathbb{C}^6 \mid |t| < \varepsilon; i = 1, 2, 3; \lambda = 1, 2\}.$$

- the  $X_t$ 's with  $t_{11} = t_{12} = t_{21} = t_{22} = 0$  are *complex parallelisable*;
- the  $X_t$ 's with  $t_{31} = t_{32} = 0$  are *not complex parallelisable*.

- there is no Hodge decomposition of weight 3 on  $X$  since  $H_{\bar{\partial}}^{2,1}(X, \mathbb{C})$  does not inject canonically into  $H_{DR}^3(X, \mathbb{C})$ .

In fact:  $b_3 = 10$

while  $h^{3,0} = h^{0,3} = 1$  and  $h^{2,1} = h^{1,2} = 6$ .

Thus  $10 = b_3 < h^{3,0} + h^{2,1} + h^{1,2} + h^{0,3} = 14$ .

So, in a sense, the vector space  $H_{\bar{\partial}}^{2,1}(X, \mathbb{C})$  is “too large” to fit into  $H_{DR}^3(X, \mathbb{C})$ .

## Main Theorem

*The Iwasawa manifold is its own mirror dual in the sense that its Kuranishi family “corresponds” to its Gauduchon cone.*

Specifically, we define a **mirror map**

$$\widetilde{\mathcal{M}} : \Delta_{[\gamma]} \rightarrow \widetilde{\mathcal{G}}_X$$

that we prove to be a **local biholomorphism** and to define an **isomorphism of variations of Hodge structures (VHS)** parametrised respectively by  $\Delta_{[\gamma]}$  and by  $\widetilde{\mathcal{G}}_X$ .

## Terminology:

(i)  $(X_t)_{t \in \Delta_{[\gamma]}}$  is the **local universal family of essential deformations** of the Iwasawa manifold  $X = X_0$ ;

(ii) The **complexified Gauduchon cone** is defined as

$$\tilde{\mathcal{G}}_X := \mathcal{G}_X \oplus H_A^{2,2}(X_0, \mathbb{R}) / 2\pi i H_A^{2,2}(X_0, \mathbb{Z}),$$

where  $H_A^{2,2}(X_0, \mathbb{Z})$  is defined beforehand as a **lattice** in  $H_A^{2,2}(X_0, \mathbb{R})$ .

## Piece of initial evidence for this mirror symmetry

*There exists a canonical isomorphism*

$$T_0^{1,0} \Delta_{[\gamma]} = H_{[\gamma]}^{2,1}(X, \mathbb{C}) \simeq H_A^{2,2}(X, \mathbb{C}) = T_{[\omega_0^2]}^{1,0} \tilde{\mathcal{G}}_X.$$

### Notation

$$\cdot \omega_0 := i\alpha \wedge \bar{\alpha} + i\beta \wedge \bar{\beta} + i\gamma \wedge \bar{\gamma} > 0$$

is a Hermitian metric on  $X = X_0$  that we show to be **Gauduchon**.

## Reason

There are explicit descriptions

$$H_{[\gamma]}^{2,1}(X, \mathbb{C}) = \left\langle [\alpha \wedge \gamma \wedge \bar{\alpha}]_{\bar{\partial}}, [\alpha \wedge \gamma \wedge \bar{\beta}]_{\bar{\partial}}, [\beta \wedge \gamma \wedge \bar{\alpha}]_{\bar{\partial}}, [\beta \wedge \gamma \wedge \bar{\beta}]_{\bar{\partial}} \right\rangle$$

and

$$H_A^{2,2}(X, \mathbb{C}) = \left\langle [\alpha \wedge \gamma \wedge \bar{\alpha} \wedge \bar{\gamma}]_A, [\alpha \wedge \gamma \wedge \bar{\beta} \wedge \bar{\gamma}]_A, [\beta \wedge \gamma \wedge \bar{\alpha} \wedge \bar{\gamma}]_A, [\beta \wedge \gamma \wedge \bar{\beta} \wedge \bar{\gamma}]_A \right\rangle$$

that imply the isomorphism  $H_{[\gamma]}^{2,1}(X, \mathbb{C}) \simeq H_A^{2,2}(X, \mathbb{C})$ .

## Some steps in the construction of the mirror map

### (1) Complex-structure side of the mirror

- The essential deformations of  $X$

$H_{\bar{\partial}}^{2,1}(X, \mathbb{C})$  is “pared down” to a 4-dimensional vector subspace

$$\gamma \wedge \pi^* H^{1,1}(B, \mathbb{C}) = H_{[\gamma]}^{2,1}(X, \mathbb{C}) \subset H_{\bar{\partial}}^{2,1}(X, \mathbb{C})$$

that injects canonically into  $H_{DR}^3(X, \mathbb{C})$  and parametrises what we call the *essential deformations* of  $X$ .

Specifically,  $T_0^{1,0}\Delta \xrightarrow[\simeq]{\rho} H^{0,1}(X, T^{1,0}X)$  (Kodaira-Spencer map) and

(i) the map

$$H^{0,1}(X, T^{1,0}X) \xrightarrow{\cdot \lrcorner [\gamma]_{\bar{\partial}}} H_{\bar{\partial}}^{0,1}(X, \mathbb{C}), \quad [\theta] \mapsto [\theta \lrcorner \gamma]_{\bar{\partial}},$$

is well defined. Its kernel

$$\begin{aligned} H_{[\gamma]}^{0,1}(X, T^{1,0}X) &= \left\{ [\theta] \in H^{0,1}(X, T^{1,0}X) \mid [\theta \lrcorner \gamma] = 0 \in H_{\bar{\partial}}^{0,1}(X, \mathbb{C}) \right\} \\ &= \left\langle [\bar{\alpha} \otimes \xi_{\alpha}], [\bar{\alpha} \otimes \xi_{\beta}], [\bar{\beta} \otimes \xi_{\alpha}], [\bar{\beta} \otimes \xi_{\beta}] \right\rangle \end{aligned}$$

is the subspace of

$$H^{0,1}(X, T^{1,0}X) = \left\langle [\bar{\alpha} \otimes \xi_\alpha], [\bar{\alpha} \otimes \xi_\beta], [\bar{\alpha} \otimes \xi_\gamma], [\bar{\beta} \otimes \xi_\alpha], [\bar{\beta} \otimes \xi_\beta], [\bar{\beta} \otimes \xi_\gamma] \right\rangle$$

corresponding to the 1<sup>st</sup>-order deformations of the base torus  $B$  (= the *horizontal 1<sup>st</sup>-order deformations* of  $X$ ).

We define

$$H_{[\gamma]}^{2,1}(X, \mathbb{C}) = \left\langle [\alpha \wedge \gamma \wedge \bar{\alpha}]_{\bar{\partial}}, [\alpha \wedge \gamma \wedge \bar{\beta}]_{\bar{\partial}}, [\beta \wedge \gamma \wedge \bar{\alpha}]_{\bar{\partial}}, [\beta \wedge \gamma \wedge \bar{\beta}]_{\bar{\partial}} \right\rangle$$

as the image of  $H_{[\gamma]}^{0,1}(X, T^{1,0}X)$  under the C-Y isomorphism

$$T_\Omega : H^{0,1}(X, T^{1,0}X) \rightarrow H_{\bar{\partial}}^{2,1}(X, \mathbb{C}).$$

(ii) Bearing in mind that  $\Delta \subset H^{0,1}(X, T^{1,0}X)$  is an open subset, we put

$$\text{EssDef}(X) = \Delta_{[\gamma]} := \Delta \cap H_{[\gamma]}^{0,1}(X, T^{1,0}X).$$

We may say that the family of deformations  $(X_t)_{t \in \Delta_{[\gamma]}}$  is “polarised” by the  $(1, 0)$ -class  $[\gamma]_{\bar{\partial}} \in H_{\bar{\partial}}^{1,0}(X, \mathbb{C})$  by analogy with the standard case of a Kähler class  $[\omega]$  on  $X_0$ . Recall

*the fibres  $X_t$  polarised by  $[\omega]$ , i.e. the fibres  $X_t$  for which  $[\omega]$  remains of  $J_t$ -type  $(1, 1)$ , are precisely those corresponding to  $[\theta] \in H^{0,1}(X_0, T^{1,0}X_0)$  satisfying the condition  $[\theta \lrcorner \omega] = 0$  in  $H^{0,2}(X_0, \mathbb{C})$*

(iii) This operation is equivalent to removing from the Kuranishi family  $(X_t)_{t \in \Delta}$  the two dimensions corresponding to complex parallelisable deformations  $X_t$  of  $X$  (that have a similar geometry to that of  $X$ , so no geometric information is lost) and we are left with a family

$$(X_t)_{t \in \Delta_{[\gamma]}}$$

of non-complex parallelisable deformations that we call *essential*.

- **Result**

Doing the analogous construction on every *essential deformation*  $X_t$  with  $t \in \Delta_{[\gamma]}$ , we get a Hodge decomposition of weight 3 for every  $t \in \Delta_{[\gamma]}$  in the following form:

*There exist canonical isomorphisms*

$$H_{DR}^3(X, \mathbb{C}) \simeq H_{\bar{\partial}}^{3,0}(X_t, \mathbb{C}) \oplus H_{[\gamma]}^{2,1}(X_t, \mathbb{C}) \oplus H_{[\gamma]}^{1,2}(X_t, \mathbb{C}) \oplus H_{\bar{\partial}}^{0,3}(X_t, \mathbb{C}),$$

and

$$H_{\bar{\partial}}^{3,0}(X_t, \mathbb{C}) \simeq \overline{H_{\bar{\partial}}^{0,3}(X_t, \mathbb{C})} \quad \text{and} \quad H_{[\gamma]}^{2,1}(X, \mathbb{C}) \simeq \overline{H_{[\gamma]}^{1,2}(X, \mathbb{C})}.$$

Moreover,  $\Delta_{[\gamma]} \ni t \mapsto H_{[\gamma]}^{2,1}(X_t, \mathbb{C})$

is a  $C^\infty$  vector bundle of rank 4. The vector subbundles of the constant bundle  $\mathcal{H}^3 = (\Delta_{[\gamma]} \ni t \mapsto H_{DR}^3(X_t, \mathbb{C}) = H^3(X, \mathbb{C}))$

$$F^2\mathcal{H}^3 := \mathcal{H}^{3,0} \oplus \mathcal{H}_{[\gamma]}^{2,1} \supset F^3\mathcal{H}^3 := \mathcal{H}^{3,0}$$

are *holomorphic* and there is a *flat connection*

$$\nabla : \mathcal{H}^3 \longrightarrow \mathcal{H}^3 \otimes \Omega_{\Delta_{[\gamma]}}$$

(the *Gauss-Manin connection*) that satisfies the *Griffiths transversality condition*

$$\nabla F^3\mathcal{H}^3 \subset F^2\mathcal{H}^3 \otimes \Omega_{\Delta_{[\gamma]}}.$$

- **Link with the Frölicher spectral sequence**

*There exists a canonical isomorphism*

$$H_{[\gamma]}^{2,1}(X, \mathbb{C}) \simeq E_2^{2,1}(X, \mathbb{C})$$

*and analogous canonical isomorphisms*

$$H_{[\gamma]}^{2,1}(X_t, \mathbb{C}) \simeq E_2^{2,1}(X_t, \mathbb{C}), \quad t \in \Delta_{[\gamma]}.$$

**Recall (known fact):**

$$E_2(X_t) = E_\infty(X_t), \quad t \in \Delta_{[\gamma]},$$

in the Frölicher spectral sequence.

So our Hodge decomposition of weight 3 for the *essential deformations* of the Iwasawa manifold reflects precisely this  $E_2$  degeneration since there exist isomorphisms

$$H_{DR}^3(X, \mathbb{C}) \simeq E_2^{3,0}(X_t, \mathbb{C}) \oplus E_2^{2,1}(X_t, \mathbb{C}) \oplus E_2^{1,2}(X_t, \mathbb{C}) \oplus E_2^{0,3}(X_t, \mathbb{C}).$$

for all  $t \in \Delta_{[\gamma]}$ .

## (2) Metric side of the mirror

- **Construction of two families of Gauduchon metrics**

- $(\omega_t)_{t \in \Delta_{[\gamma]}}$  a  $C^\infty$  family of Gauduchon metrics on the fibres  $(X_t)_{t \in \Delta_{[\gamma]}}$ ;

- $(\omega_t^{1,1})_{t \in \Delta}$  a  $C^\infty$  family of Gauduchon metrics on the central fibre  $X = X_0$  (the Iwasawa manifold);

$\omega_t^{1,1}$  is the  $(1, 1)$ -component of  $\omega_t$  w.r.t. the complex structure  $J_0$  of  $X_0$ .

- We prove that the Aeppli cohomology groups

$$\Delta_{[\gamma]} \ni t \mapsto H_A^{2,2}(X_t, \mathbb{C})$$

define a  $C^\infty$  *vector bundle*  $\mathcal{H}_A^{2,2}$  of rank 4 that *injects* as a  $C^\infty$  vector subbundle of the constant bundle  $\mathcal{H}^4 \rightarrow \Delta_{[\gamma]}$  whose fibres are the De Rham cohomology groups  $H_{DR}^4(X_t, \mathbb{C}) = H^4(X, \mathbb{C})$ .

This injection is proved by using in a crucial way the  
**sGG property**

(cf. P-Ugarte 2014) of all the small deformations  $X_t$  of the Iwasawa manifold  $X = X_0$ .

We also use the family  $(\omega_t)_{t \in \Delta_{[\gamma]}}$  of *Gauduchon metrics* thereon.

Denoting by  $\widetilde{H_{\omega_t}^{2,2}}$  the image of  $H_A^{2,2}(X_t, \mathbb{C})$  into  $H^4(X, \mathbb{C})$  under this  $\omega_t$ -induced injection:

$$H_A^{2,2}(X_t, \mathbb{C}) \xrightarrow{Q_{\omega_t}} Q_{\omega_t} \left( H_A^{2,2}(X_t, \mathbb{C}) \right) \subset H^4(X, \mathbb{C}),$$

we get a  $C^\infty$  vector bundle of rank 4

$$\mathcal{G}_{X_0} \ni \left[ (\omega_t^{1,1})^2 \right]_A \mapsto \widetilde{H_{\omega_t}^{2,2}} \subset H^4(X, \mathbb{C})$$

over the subset of the Gauduchon cone

$$\left\{ \left[ (\omega_t^{1,1})^2 \right]_A \mid t \in \Delta_{[\gamma]} \right\} \subset \mathcal{G}_X.$$

This is to be compared with the VHS induced by the holomorphic family  $(B_t)_{t \in \Delta_{[\gamma]}}$  of two-dimensional base tori of the family  $(X_t)_{t \in \Delta_{[\gamma]}}$

$$H^2(B, \mathbb{C}) \simeq H^{2,0}(B_t, \mathbb{C}) \oplus H^{1,1}(B_t, \mathbb{C}) \oplus H^{0,2}(B_t, \mathbb{C}), \quad t \in \Delta_{[\gamma]},$$

thanks to isomorphisms

$$\begin{aligned} H^{1,1}(B_t, \mathbb{C}) &\simeq H_A^{2,2}(X_t, \mathbb{C}) \\ &\simeq Q_{\omega_t}(H_A^{2,2}(X_t, \mathbb{C})) := \widetilde{H_{\omega_t}^{2,2}} \subset H_{DR}^4(X, \mathbb{C}). \end{aligned}$$

- **The positive mirror map**

We put

$$\mathcal{M} : \Delta_{[\gamma]} \rightarrow \mathcal{G}_X, \quad t \mapsto \left[ (\omega_t^{1,1})^2 \right]_A.$$

This is a kind of “absolute value” of the complex mirror map that we define.