

Links between computability and dynamics  
in multidimensional symbolic dynamics

## **Second step:** Sub-dynamics of multidimensional sofic and Applications to find local rules

floripadynsys : Workshop on Dynamics, Numeration and Tilings

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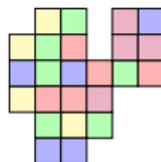
November 2013

Recall of the previous episode

# Subshifts defined by forbidden patterns



support  $U \subset \mathbb{Z}^d$  finite



pattern  $u \in \mathcal{A}^U$

**Definition:** Subshift of forbidden patterns  $\mathcal{F} \subset \mathcal{A}^*$

$$\mathbf{T}(\mathcal{A}, d, \mathcal{F}) = \{x \in \mathcal{A}^{\mathbb{Z}^d} : \text{patterns of } \mathcal{F} \text{ does not appear in } x\} \subseteq \mathcal{A}^{\mathbb{Z}^d}$$

Some classes of subshifts:

$$\mathbf{T} \text{ fullshift (FS)} \iff \mathcal{F} = \emptyset \text{ and } \mathbf{T} = \mathbf{T}(\mathcal{A}, d, \mathcal{F}) = \mathcal{A}^{\mathbb{Z}^d},$$

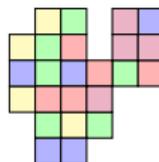
$$\mathbf{T} \text{ subshift of finite type (SFT)} \iff \exists \mathcal{F} \subset \mathcal{A}^* \text{ a finite set such that } \mathbf{T} = \mathbf{T}(\mathcal{A}, d, \mathcal{F})$$

$$\mathbf{T} \text{ subshift sofic (Sofic)} \iff \exists \mathcal{F} \subset \mathcal{A}^* \text{ a finite set and } \pi \text{ a morphism such that } \mathbf{T} = \pi(\mathbf{T}(\mathcal{A}, d, \mathcal{F}))$$

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$$\mathbf{T} \text{ subshift sofic (Sofic)} \stackrel{d=1}{\iff} \exists \mathcal{F} \subset \mathcal{A}^* \text{ a rational set such that } \mathbf{T} = \mathbf{T}(\mathcal{A}, 1, \mathcal{F}) \text{ (Weiss-73)}$$

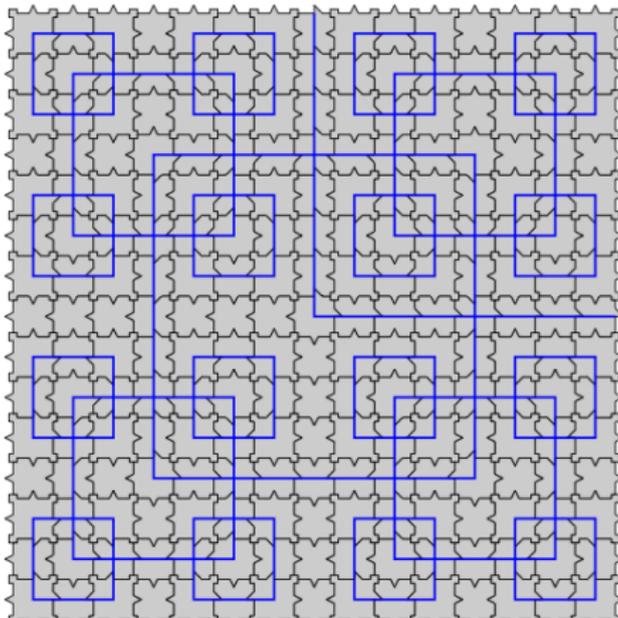
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## 1D SFT/sofic subshifts

- SFT/sofic has periodic configurations

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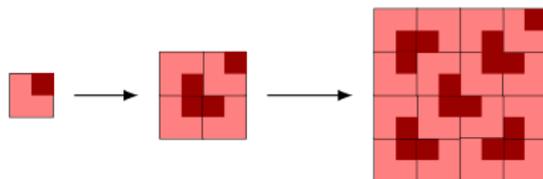
- exists aperiodic SFT/sofic



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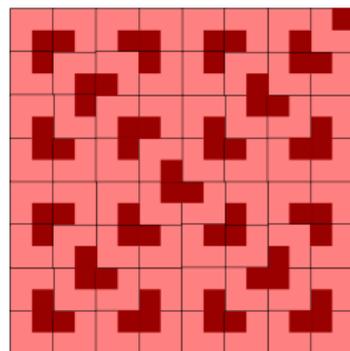
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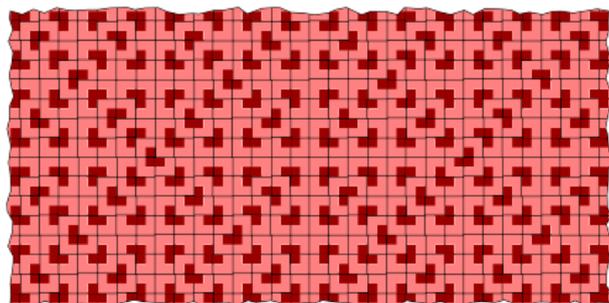
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### Theorem (*Mozes 1989*)

Given a substitution  $s$ , there exists a SFT  $\mathbf{T}(\mathcal{B}, d, \mathcal{F})$  and a factor map  $\pi : \mathcal{B} \rightarrow \mathcal{A}$  such that  $\pi(\mathbf{T}(\mathcal{B}, d, \mathcal{F})) = \mathbf{T}_s$ .

Moreover  $\pi$  is a conjugacy almost everywhere and  $\mathbf{T}(\mathcal{B}, d, \mathcal{F})$  is substitutive.

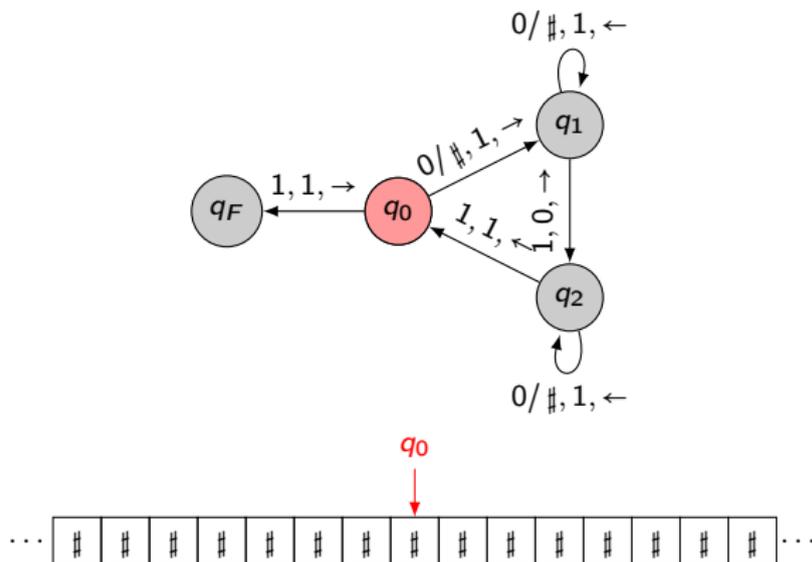
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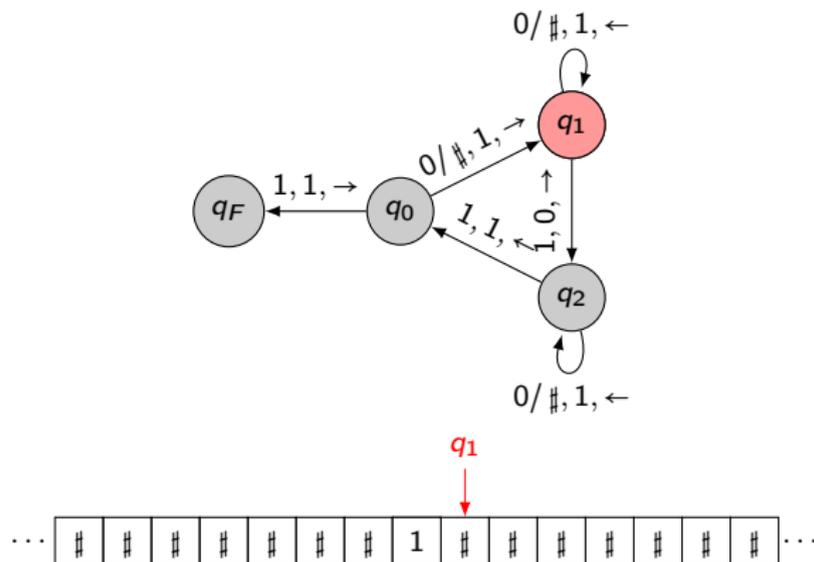
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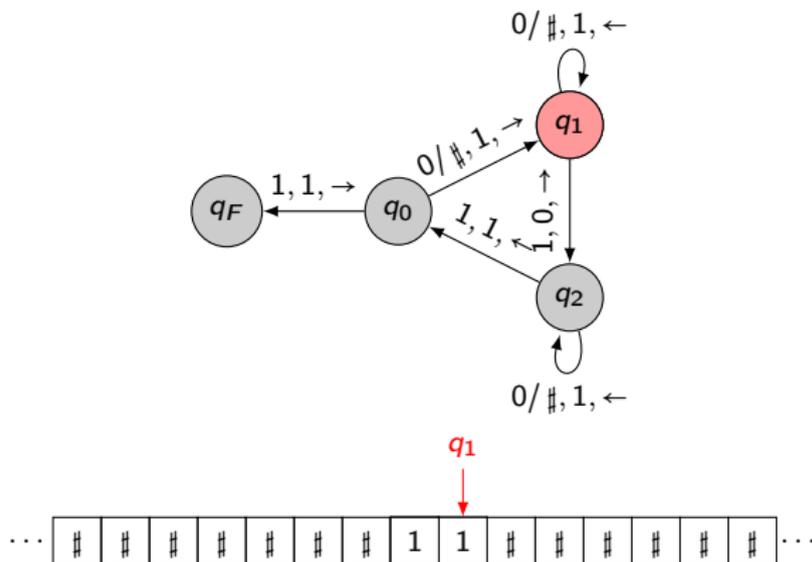
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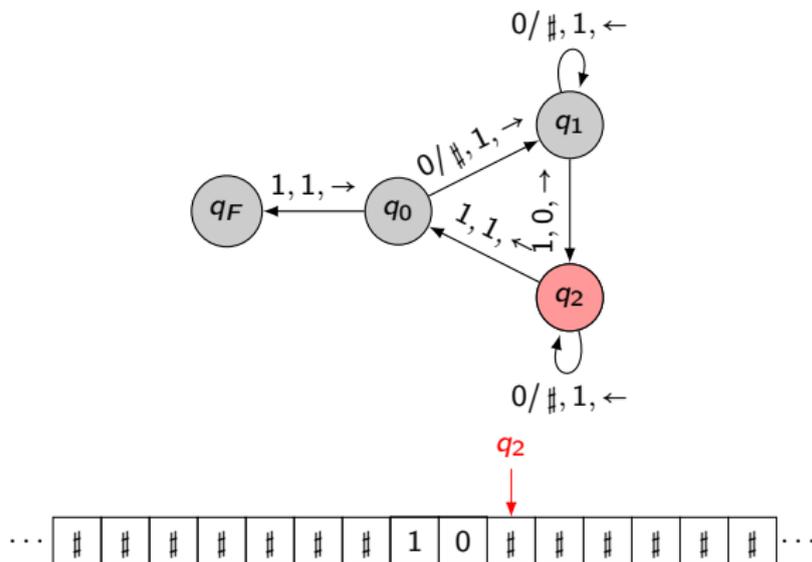
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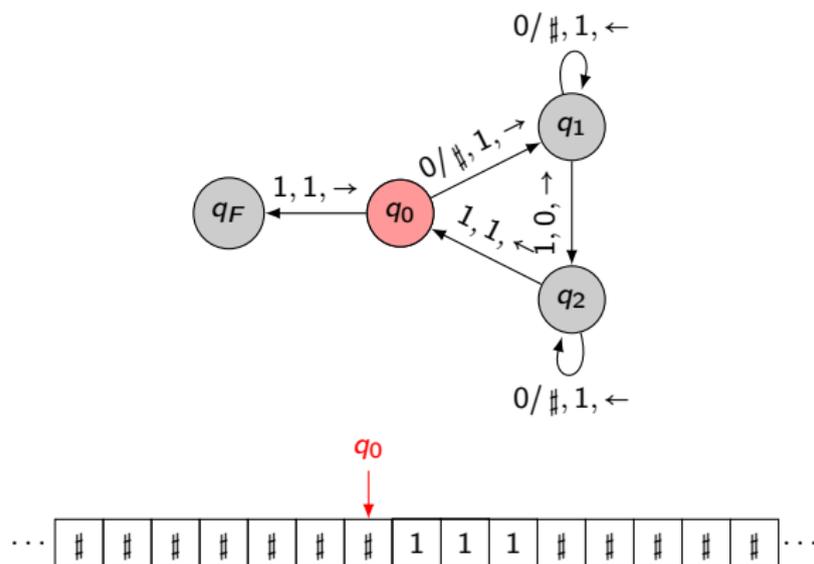
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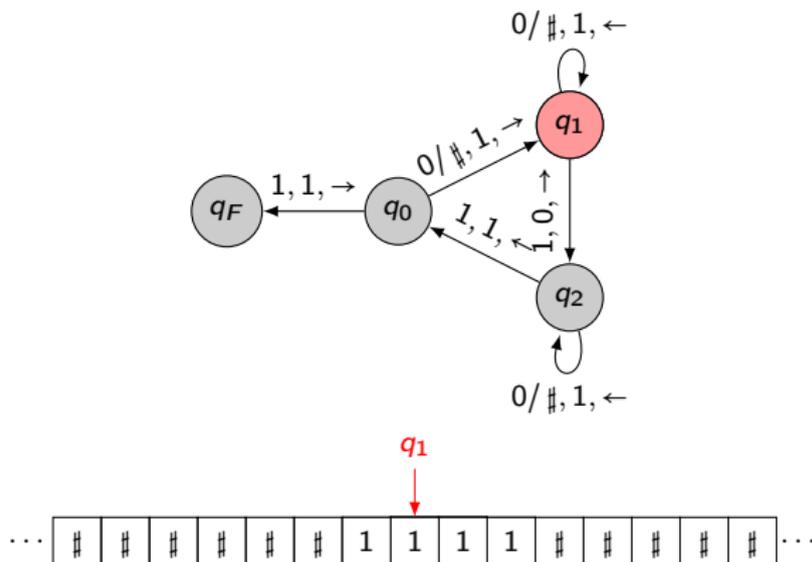
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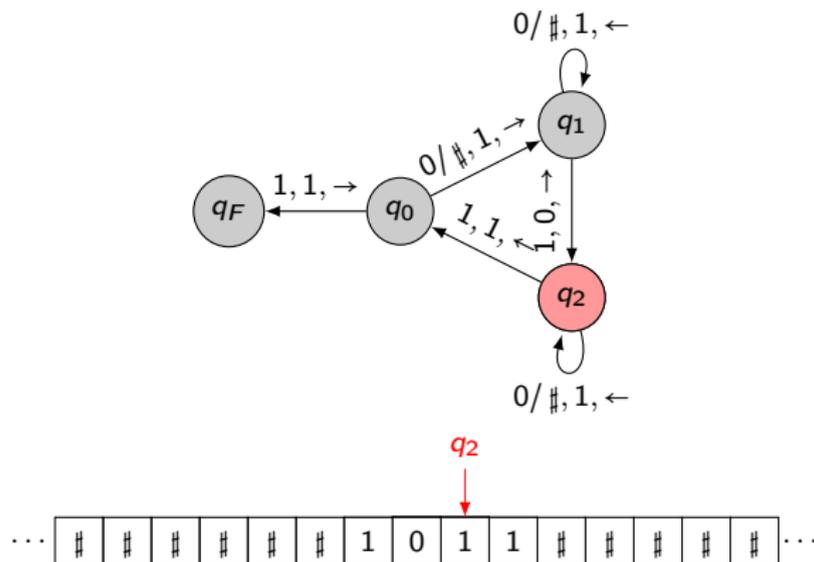
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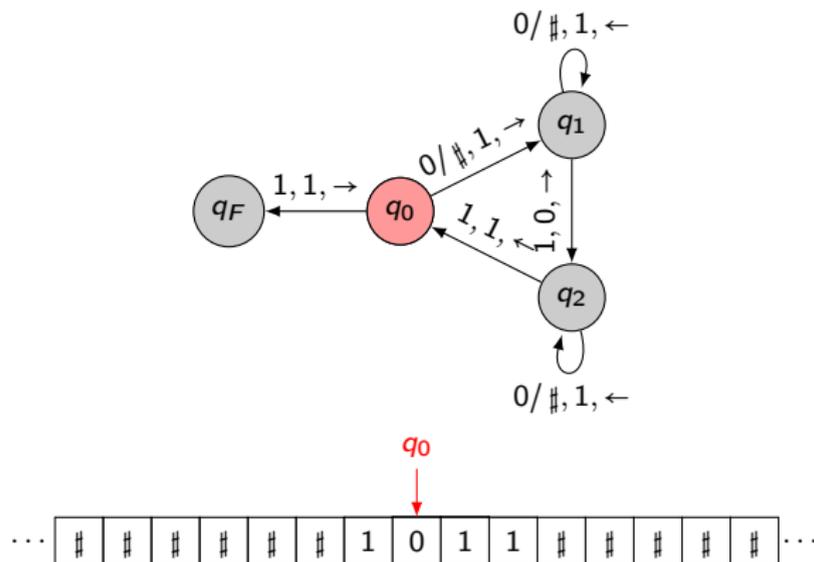
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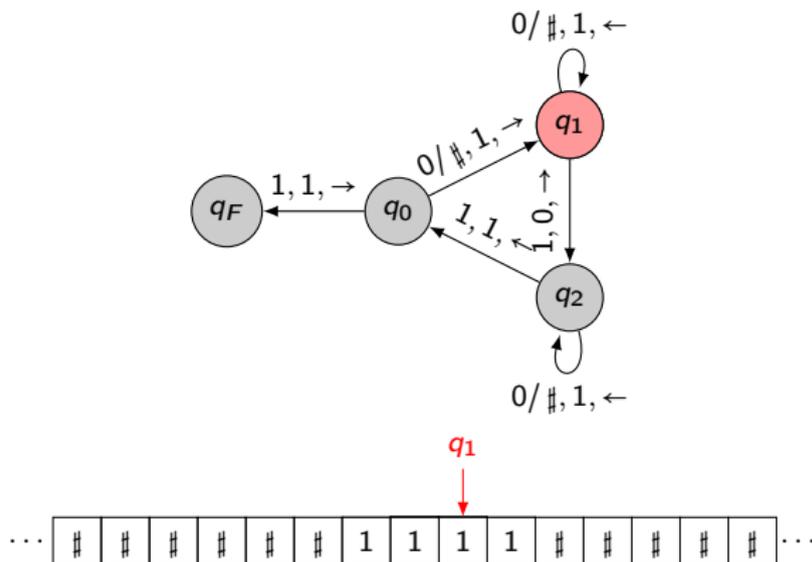
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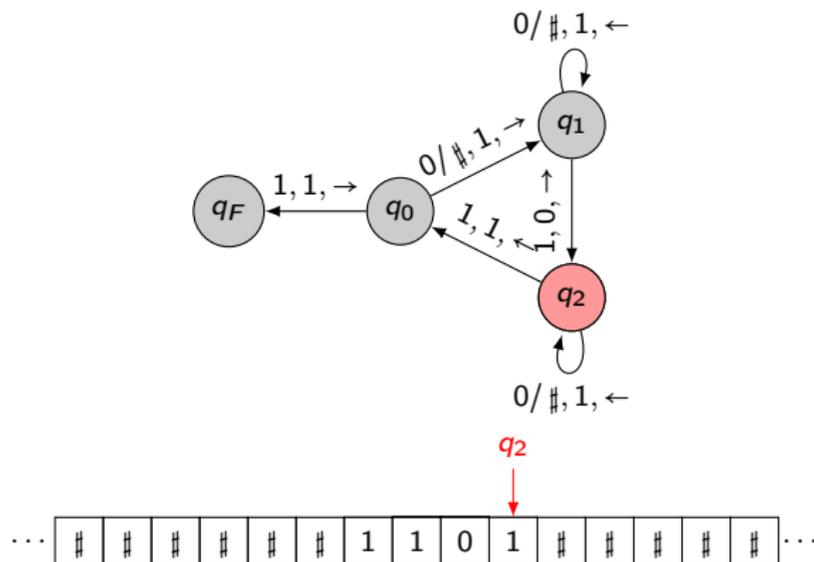
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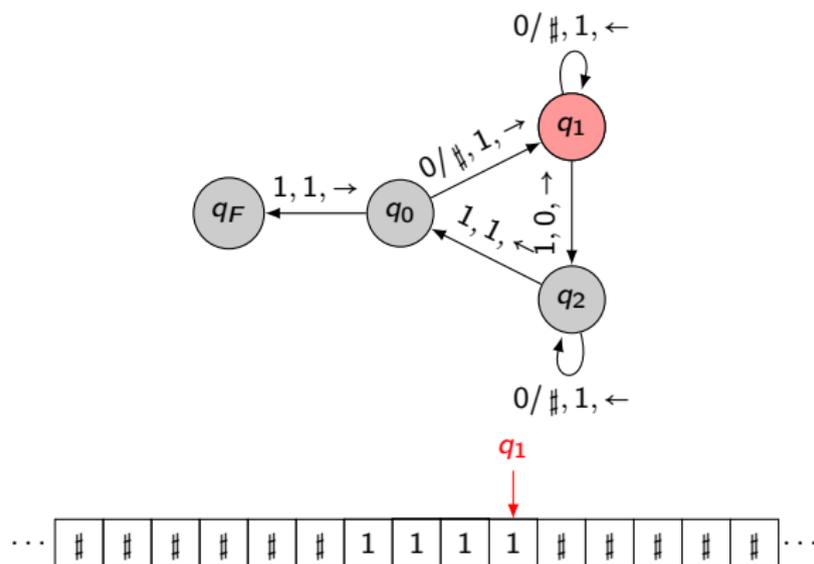
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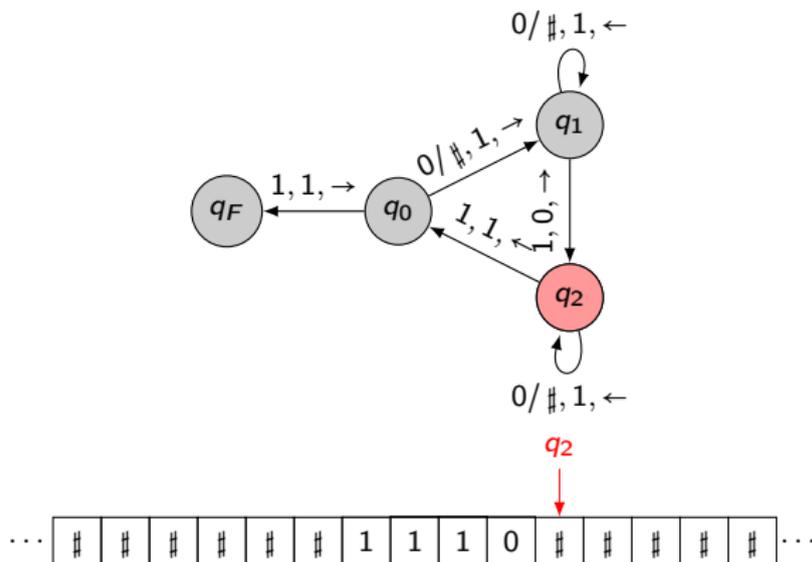
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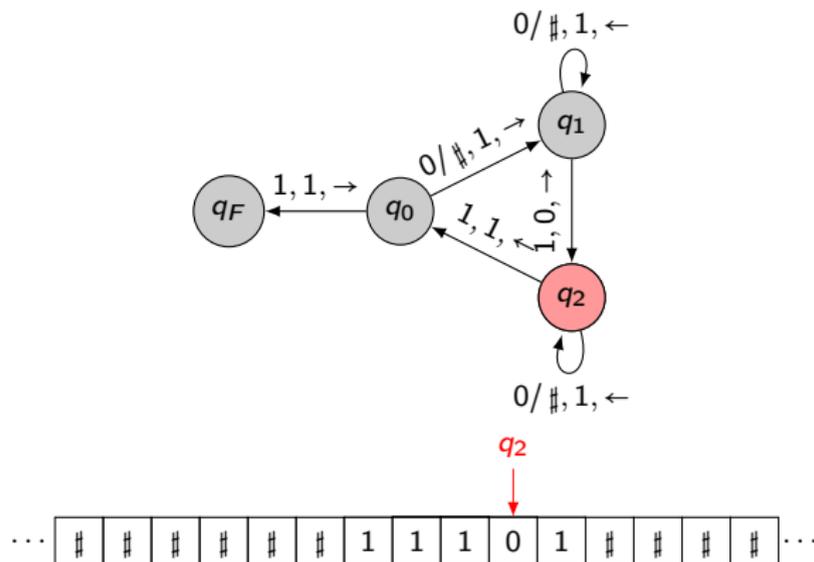
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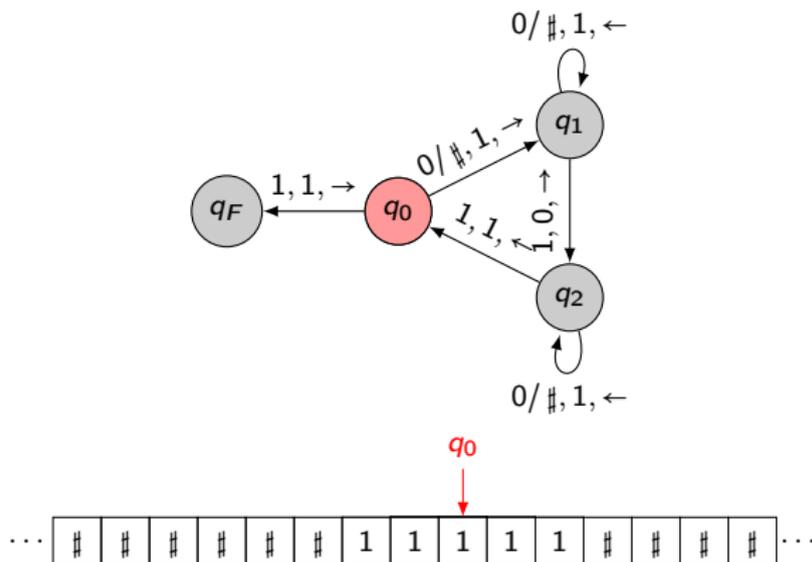
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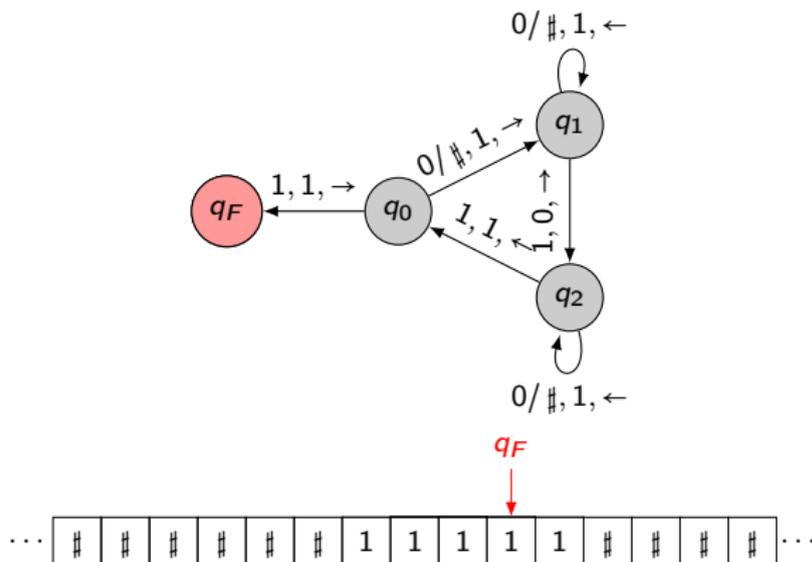
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...	#	#	#	#	#	#	1	1, $q_1$	1	1	#	#	#	#	#	...
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...	#	#	#	#	#	#	#	1	0, $q_2$	1	#	#	#	#	#	...
...	#	#	#	#	#	#	#	1	0	#, $q_2$	#	#	#	#	#	...
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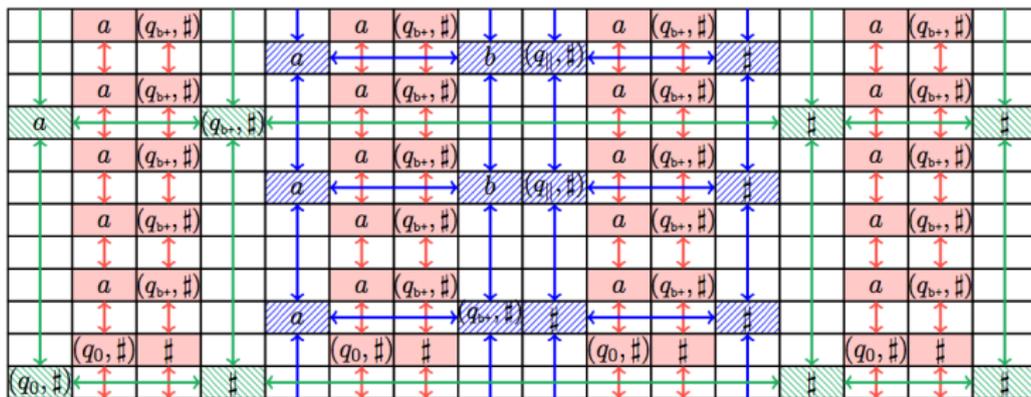
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A strip of level  $n$  allows to code space-time diagram of  $\mathcal{M}$  of size  $2^n \times 2^{2^n}$ , thus:

$$\mathcal{M} \text{ halts} \iff \mathbf{T}_{\text{Calcul}}(\mathcal{M}) = \emptyset$$

# Dynamical operations on subshifts

## Factor operation: **Fact**

### Definition

Let  $\mathbf{T} \subseteq \mathcal{A}^{\mathbb{Z}^d}$  be a subshift and  $\pi : \mathcal{A}^{\mathbb{Z}^d} \rightarrow \mathcal{B}^{\mathbb{Z}^d}$  a morphism,

**Fact** $_{\pi}(\mathbf{T}) = \pi(\mathbf{T}) \subseteq \mathcal{B}^{\mathbb{Z}^d}$  is  $\mathbf{T}$ .

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## Example :

Consider:

$$\blacktriangleright \mathcal{A} = \{\square, \blacksquare, \blacksquare\}$$

$$\blacktriangleright \Sigma = \mathbf{T}(\mathcal{A}, 1, \{\blacksquare\blacksquare, \blacksquare\blacksquare, \blacksquare\square, \square\blacksquare\}) \subset \mathcal{A}^{\mathbb{Z}}.$$

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So **Fact** $_{\pi}(\Sigma) = \{x \in \{\square, \blacksquare\}^{\mathbb{Z}} / \text{blocks of } \blacksquare \text{ have even sizes}\} = \mathbf{T}_{\{0, \blacksquare\}, \{\square \blacksquare^{2n+1} \square : n \in \mathbb{N}\}}$

Thus  $SFT \not\subseteq Cl_F(SFT)$

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## Exemple :

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By definition  $Cl_F(SFT)$  is the class of *sofic subshifts*.

## Théorème (Weiss-73)

In dimension 1, a subshift is sofic if and only if the set of forbidden patterns is rational (i.e. described by a finite automaton)

## Subshift realized by subaction of a sofic?

Let  $\Sigma = \mathbf{T}(\{a, b, \$\}, 1, \{ba, \beta a^n b^m \alpha : n \neq m, \alpha \neq a, \beta \neq b\})$ . Consider the subshift

$$\mathbf{T} = \{x \in (\{a, b, \$\})^{\mathbb{Z}^2} : \exists y \in \Sigma \text{ tel que } x_{(\cdot, j)} = y \text{ such that } j \in \mathbb{Z}\}$$

\$	a	a	b	b	\$	\$	a	a	a	a	a	b	b	b	b	b	\$	\$	\$	a	b	\$	\$
\$	a	a	b	b	\$	\$	a	a	a	a	a	b	b	b	b	b	\$	\$	\$	a	b	\$	\$
\$	a	a	b	b	\$	\$	a	a	a	a	a	b	b	b	b	b	\$	\$	\$	a	b	\$	\$
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\$	a	a	b	b	\$	\$	a	a	a	a	a	b	b	b	b	b	\$	\$	\$	a	b	\$	\$



# Subshift realized by subtraction of a sofic?

Let  $\Sigma = \mathbf{T}(\{a, b, \$\}, 1, \{ba, \beta a^n b^m \alpha : n \neq m, \alpha \neq a, \beta \neq b\})$ . Consider the subshift

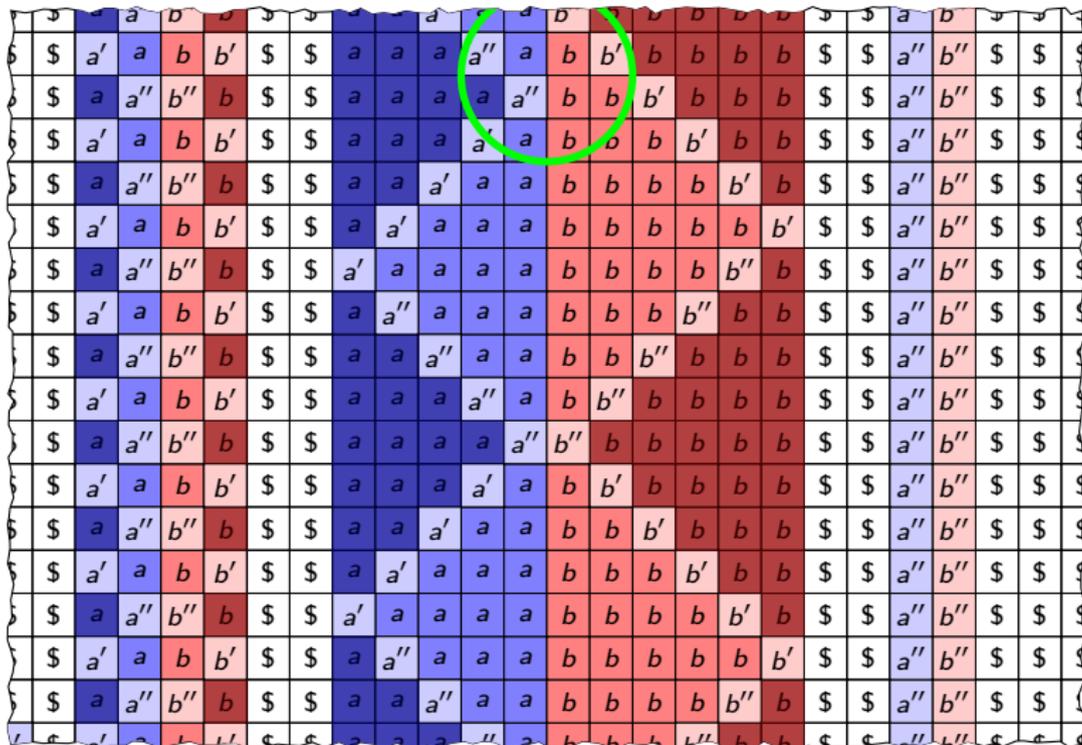
$$\mathbf{T} = \{x \in (\{a, b, \$\})^{\mathbb{Z}^2} : \exists y \in \Sigma \text{ tel que } x_{(\cdot, j)} = y \text{ such that } j \in \mathbb{Z}\}$$

\$	a'	a	b	b'	\$	\$	a	a	a	a''	a	b	b''	b	b	b	\$	\$	\$	a''	b''	\$	\$
\$	a	a''	b''	b	\$	\$	a	a	a	a	a''	b''	b	b	b	b	\$	\$	\$	a''	b''	\$	\$
\$	a'	a	b	b'	\$	\$	a	a	a	a'	a	b	b'	b	b	b	\$	\$	\$	a''	b''	\$	\$
\$	a	a''	b''	b	\$	\$	a	a	a'	a	a	b	b	b'	b	b	\$	\$	\$	a''	b''	\$	\$
\$	a'	a	b	b'	\$	\$	a	a'	a	a	a	b	b	b	b'	b	\$	\$	\$	a''	b''	\$	\$
\$	a	a''	b''	b	\$	\$	a'	a	a	a	a	b	b	b	b'	\$	\$	\$	a''	b''	\$	\$	
\$	a'	a	b	b'	\$	\$	a	a''	a	a	a	b	b	b	b''	b	\$	\$	\$	a''	b''	\$	\$
\$	a	a''	b''	b	\$	\$	a	a	a''	a	a	b	b	b''	b	b	\$	\$	\$	a''	b''	\$	\$
\$	a'	a	b	b'	\$	\$	a	a	a	a''	a	b	b''	b	b	b	\$	\$	\$	a''	b''	\$	\$
\$	a	a''	b''	b	\$	\$	a	a	a	a	a''	b''	b	b	b	\$	\$	\$	a''	b''	\$	\$	
\$	a'	a	b	b'	\$	\$	a	a	a	a'	a	b	b'	b	b	b	\$	\$	\$	a''	b''	\$	\$
\$	a	a''	b''	b	\$	\$	a	a	a'	a	a	b	b	b'	b	b	\$	\$	\$	a''	b''	\$	\$
\$	a'	a	b	b'	\$	\$	a	a''	a	a	a	b	b	b	b''	b	\$	\$	\$	a''	b''	\$	\$
\$	a	a''	b''	b	\$	\$	a	a	a''	a	a	b	b	b''	b	b	\$	\$	\$	a''	b''	\$	\$

# Subshift realized by subtraction of a sofic?

Let  $\Sigma = \mathbf{T}(\{a, b, \$\}, 1, \{ba, \beta a^n b^m \alpha : n \neq m, \alpha \neq a, \beta \neq b\})$ . Consider the subshift

$$\mathbf{T} = \{x \in (\{a, b, \$\})^{\mathbb{Z}^2} : \exists y \in \Sigma \text{ tel que } x_{(\cdot, j)} = y \text{ such that } j \in \mathbb{Z}\}$$



## Projective subaction: SA

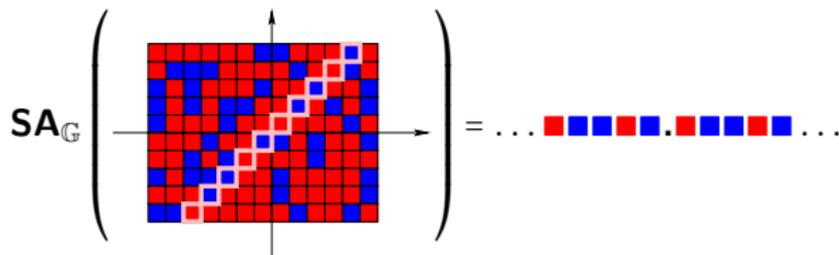
Let  $\mathbf{T} \subseteq \mathcal{A}^{\mathbb{Z}^d}$  be a subshift and  $\mathbb{G}$  be a sublattice of  $\mathbb{Z}^d$ , the  $\mathbb{G}$ -action on  $\mathbf{T}$  is not necessary a subshift. However if we restrict to a row one obtains a subshift.

### Definition

Let  $\mathbb{G}$  be a sublattice of  $\mathbb{Z}^d$  generated by  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_{d'}$  ( $d' \leq d$ ). Let  $\mathbf{T} \subseteq \mathcal{A}^{\mathbb{Z}^d}$  be a subshift :

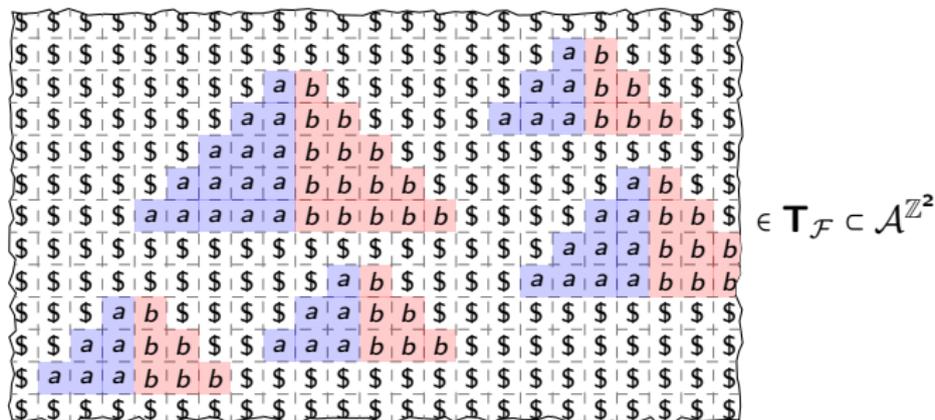
$$\mathbf{SA}_{\mathbb{G}}(\mathbf{T}) = \left\{ y \in \mathcal{A}^{\mathbb{Z}^{d'}} : \exists x \in \mathbf{T} \text{ tel que } \forall i_1, \dots, i_{d'} \in \mathbb{Z}^{d'}, \right. \\ \left. y_{i_1, \dots, i_{d'}} = x_{i_1 \mathbf{u}_1 + \dots + i_{d'} \mathbf{u}_{d'}} \right\}.$$

Let  $\mathbb{G} = \{(i, i) : i \in \mathbb{Z}\} \subset \mathbb{Z}^2$ .



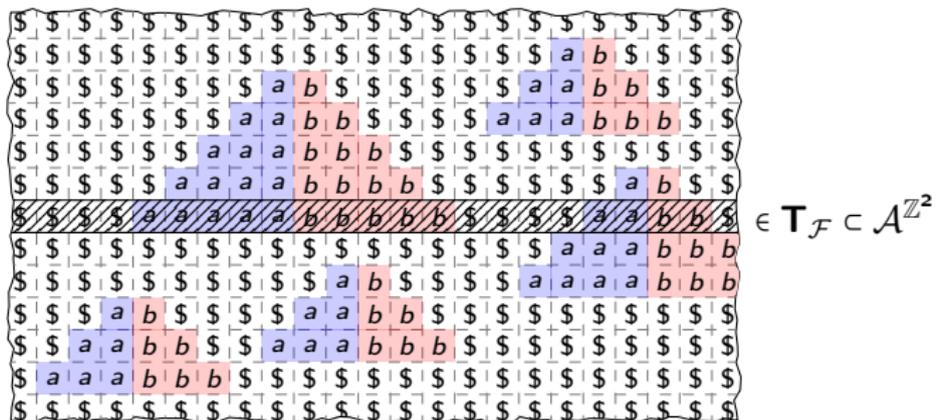
# Example of projective subaction

$$\mathcal{F} = \left\{ \begin{array}{l} \left[ \begin{array}{c} \bar{\alpha} \\ \bar{\beta} \end{array} \middle| a \right], \left[ \begin{array}{c} \bar{\beta} \\ a \end{array} \middle| \bar{\alpha} \right], \left[ a \middle| \bar{\gamma} \right], \\ \left[ \begin{array}{c} \bar{\alpha} \\ b \end{array} \middle| \bar{\gamma} \right], \left[ \begin{array}{c} \bar{\gamma} \\ b \end{array} \middle| \bar{\beta} \right], \left[ \bar{\gamma} \middle| b \right] \end{array} \right\}, \quad \text{such that} \quad \left. \begin{array}{l} \left[ \bar{\alpha} \right] \in \left\{ \left[ a \right], \left[ b \right] \right\}, \\ \left[ \bar{\beta} \right] \in \left\{ \left[ \bar{\gamma} \right], \left[ b \right] \right\}, \\ \left[ \bar{\gamma} \right] \in \left\{ \left[ \bar{\gamma} \right], \left[ a \right] \right\}. \end{array} \right\}.$$

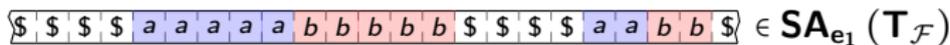


# Example of projective subaction

$$\mathcal{F} = \left\{ \begin{array}{l} \begin{array}{l} \begin{array}{l} \bar{\alpha} \\ \bar{\beta} \end{array} \begin{array}{l} a \\ a \end{array}, \begin{array}{l} \bar{\beta} \\ a \end{array} \begin{array}{l} a \\ a \end{array}, \begin{array}{l} a \\ a \end{array} \begin{array}{l} \bar{\gamma} \\ \bar{\gamma} \end{array}, \\ \begin{array}{l} \bar{\alpha} \\ b \end{array} \begin{array}{l} \bar{\gamma} \\ b \end{array}, \begin{array}{l} \bar{\gamma} \\ b \end{array} \begin{array}{l} \bar{\gamma} \\ b \end{array}, \begin{array}{l} \bar{\gamma} \\ \bar{\gamma} \end{array} \begin{array}{l} \bar{\gamma} \\ b \end{array} \end{array}, \text{ such that } \begin{array}{l} \begin{array}{l} \bar{\alpha} \\ \bar{\beta} \\ \bar{\gamma} \end{array} \in \left\{ \begin{array}{l} a \\ \bar{\gamma} \\ \bar{\gamma} \end{array} \right\}, \end{array} \right\}.$$



$\downarrow \mathbf{SA}_{e_1}$

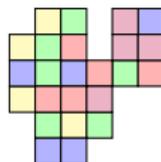


Thus  $Cl_{SA}(Sofic) \neq Sofic$ .

# Subshifts defined by forbidden patterns



support  $U \subset \mathbb{Z}^d$  finite



pattern  $u \in \mathcal{A}^U$

**Definition:** Subshift of forbidden patterns  $\mathcal{F} \subset \mathcal{A}^*$

$$\mathbf{T}(\mathcal{A}, d, \mathcal{F}) = \{x \in \mathcal{A}^{\mathbb{Z}^d} : \text{patterns of } \mathcal{F} \text{ does not appear in } x\} \subseteq \mathcal{A}^{\mathbb{Z}^d}$$

**Some classes of subshifts:**

$$\mathbf{T} \text{ fullshift } (\mathcal{FS}) \iff \mathcal{F} = \emptyset \text{ and } \mathbf{T} = \mathbf{T}(\mathcal{A}, d, \mathcal{F}) = \mathcal{A}^{\mathbb{Z}^d},$$

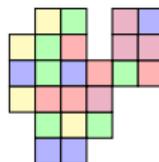
$$\mathbf{T} \text{ subshift of finite type } (\mathcal{SFT}) \iff \exists \mathcal{F} \subset \mathcal{A}^* \text{ a finite set such that } \mathbf{T} = \mathbf{T}(\mathcal{A}, d, \mathcal{F})$$

$$\mathbf{T} \text{ subshift sofic } (\mathcal{Sofic}) \iff \exists \mathcal{F} \subset \mathcal{A}^* \text{ a finite set and } \pi \text{ a morphism such that } \mathbf{T} = \pi(\mathbf{T}(\mathcal{A}, d, \mathcal{F}))$$

# Subshifts defined by forbidden patterns



support  $U \subset \mathbb{Z}^d$  finite



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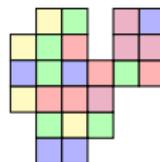
$$\mathbf{T} \text{ subshift of finite type } (\mathcal{SFT}) \iff \exists \mathcal{F} \subset \mathcal{A}^* \text{ a finite set such that } \mathbf{T} = \mathbf{T}(\mathcal{A}, d, \mathcal{F})$$

$$\mathbf{T} \text{ subshift sofic } (\mathcal{Sofic}) \stackrel{d=1}{\iff} \exists \mathcal{F} \subset \mathcal{A}^* \text{ a rational set such that } \mathbf{T} = \mathbf{T}(\mathcal{A}, 1, \mathcal{F})$$

# Subshifts defined by forbidden patterns



support  $\mathbb{U} \subset \mathbb{Z}^d$  finite



pattern  $u \in \mathcal{A}^{\mathbb{U}}$

**Definition:** Subshift of forbidden patterns  $\mathcal{F} \subset \mathcal{A}^*$

$$\mathbf{T}(\mathcal{A}, d, \mathcal{F}) = \{x \in \mathcal{A}^{\mathbb{Z}^d} : \text{patterns of } \mathcal{F} \text{ does not appear in } x\} \subseteq \mathcal{A}^{\mathbb{Z}^d}$$

## Some classes of subshifts:

$\mathbf{T}$  *fullshift* ( $\mathcal{FS}$ )  $\iff \mathcal{F} = \emptyset$  and  $\mathbf{T} = \mathbf{T}(\mathcal{A}, d, \mathcal{F}) = \mathcal{A}^{\mathbb{Z}^d}$ ,

$\mathbf{T}$  *subshift of finite type* ( $\mathcal{SFT}$ )  $\iff \exists \mathcal{F} \subset \mathcal{A}^*$  a **finite** set such that  $\mathbf{T} = \mathbf{T}(\mathcal{A}, d, \mathcal{F})$

$\mathbf{T}$  *subshift sofic* ( $\mathcal{Sofic}$ )  $\iff \exists \mathcal{F} \subset \mathcal{A}^*$  a **rational** set such that  $\mathbf{T} = \mathbf{T}(\mathcal{A}, \mathbf{1}, \mathcal{F})$   
 *$d=1$*

$\mathbf{T}$  *effective* ( $\mathcal{RE}$ )  $\iff \exists \mathcal{F} \subset \mathcal{A}^*$  a **recursively enumerable** set such that  $\mathbf{T} = \mathbf{T}(\mathcal{A}, d, \mathcal{F})$

# Computability obstruction

## Proposition

$$\mathcal{C}l_{\mathbf{SA}}(\mathcal{RE}) = \mathcal{RE}$$

$$\text{In particular } \mathcal{C}l_{\mathbf{SA}}(\text{Sofic}) = \mathcal{C}l_{\text{Fact, SA}}(\text{SFT}) \subset \mathcal{RE}$$

### Proof:

Let  $\mathbf{T} = \mathbf{T}(\mathcal{B}, 2, \mathcal{F})$  be a subshift such that  $\mathcal{F}$  is enumerated by a Turing machine and denote  $\mathcal{F}_m$  the  $m$  first patterns enumerated. Consider  $\Sigma = \mathbf{SA}_{\mathbb{G}}(\mathbf{T})$ :

$u$  is a forbidden pattern of  $\Sigma$   $\iff \exists m$  such that all patterns of support  $[-m, m]^2$  which satisfy  $\mathcal{F}_m$  does not contain  $u$  in the center.

- For  $u \in \mathcal{A}^n$ , consider a Turing machine  $\mathcal{M}_u$  which on the enter  $m$  enumerate patterns of support  $[-m, m]^2$  which contains  $u$  and satisfies  $\mathcal{F}_m$ . The machine  $\mathcal{M}_u$  halts, and forbid  $u$ , if no pattern are produced.
- The Turing machine which enumerates forbidden patterns of  $\Sigma$  is constructed using  $(\mathcal{M}_u)_{u \in \mathcal{A}^n}$  in parallel.

# An important tool: Simulation of effective subshifts by SFT

# Realisation of effective subshift by sofic

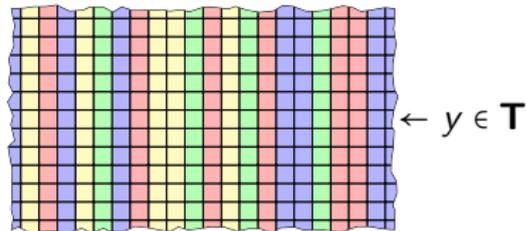
**Theorem** (*Hochman-09, Durand-Romashchenko-Shen-2010, Aubrun-Sablik-2010*)

If  $\mathbf{T} \subset \mathcal{A}^{\mathbb{Z}}$  is an effective subshift, there is a subshift of finite type  $\mathbf{T}_{\text{Final}} \subset \mathcal{B}^{\mathbb{Z}^2}$  and a factor map  $\pi : \mathcal{B} \rightarrow \mathcal{A}$  such that

$$\pi(\mathbf{T}_{\text{Final}}) = \left\{ x \in \mathcal{A}^{\mathbb{Z}^2} : \exists y \in \mathbf{T}, \forall i \in \mathbb{Z}, x_{\mathbb{Z}e_1 + ie_2} = y \right\}.$$

Moreover  $h_{\text{top}}(\mathbf{T}_{\text{Final}}) = 0$ .

$y \in \mathbf{T}$  iff a "superposition" of  $y$  in one direction is in  $\pi(\mathbf{T}_{\text{Final}})$ .



**Corollary:**

- $Cl_{\mathcal{A}}(\text{Sofic}) = \mathcal{RE}$ .
- Every  $d$ -dimensional effective subshift is conjugate to the sub-action of a subshift of finite type.

# Realisation of effective subshift by sofic

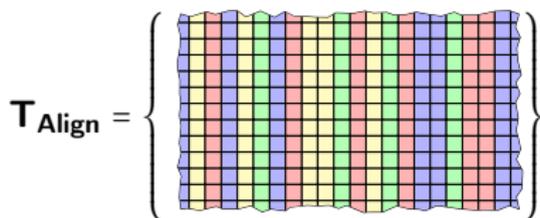
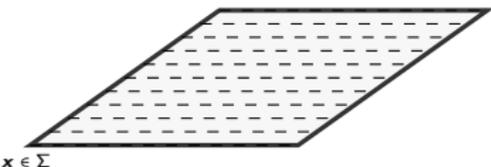
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Idea of the proof:

**Layer 1:**



**Aim:**

We want to eliminate each  $x$  which contains forbidden patterns of  $\Sigma$ .

# Realisation of effective subshift by sofic

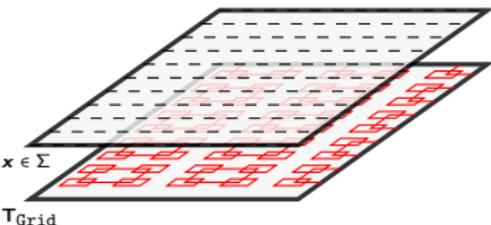
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Idea of the proof:

**Layer 2:**



[ ]

# Realisation of effective subshift by sofic

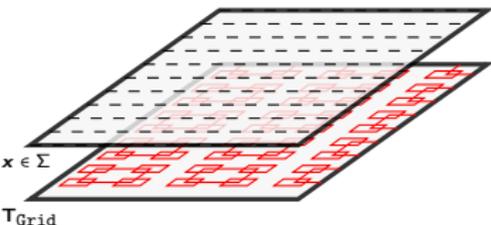
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**Layer 2:**



# Realisation of effective subshift by sofic

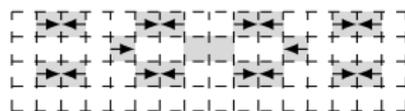
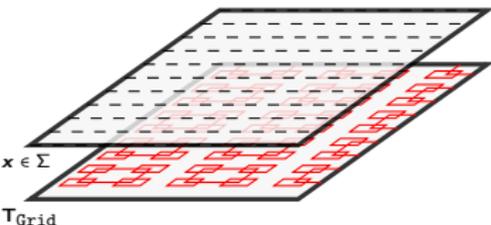
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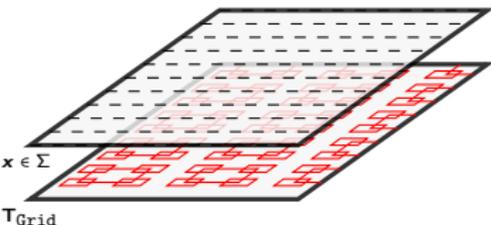
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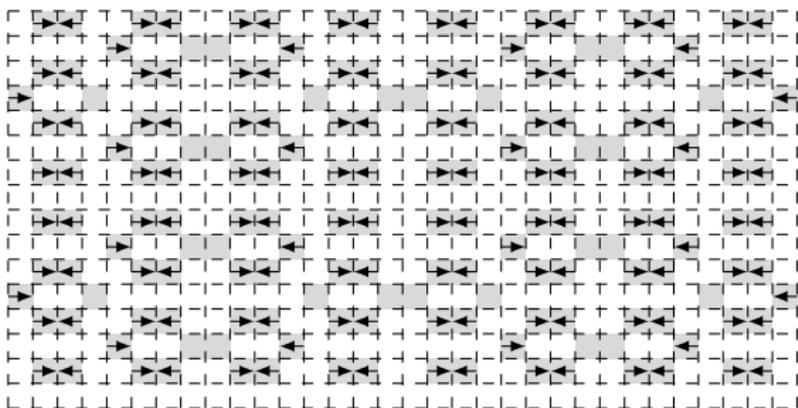
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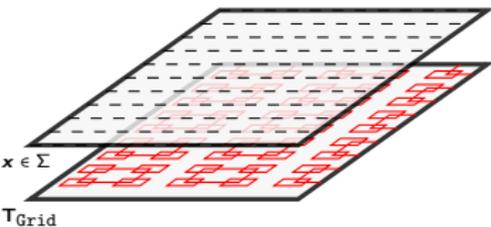
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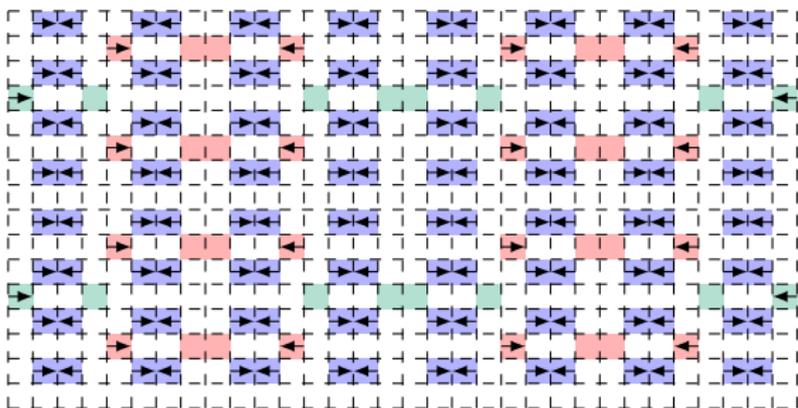
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## Layer 2:



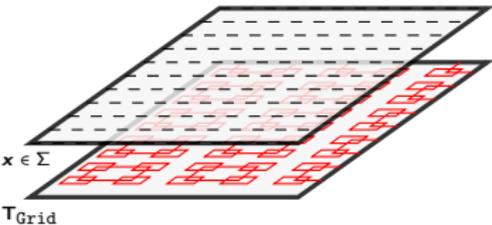
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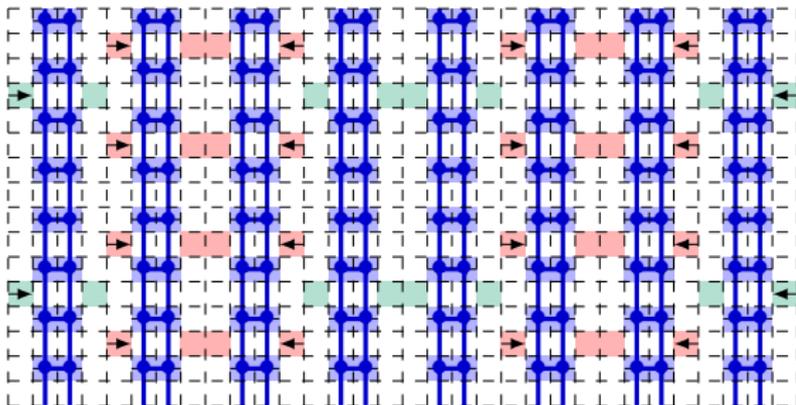
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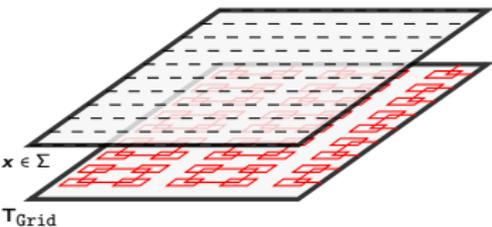
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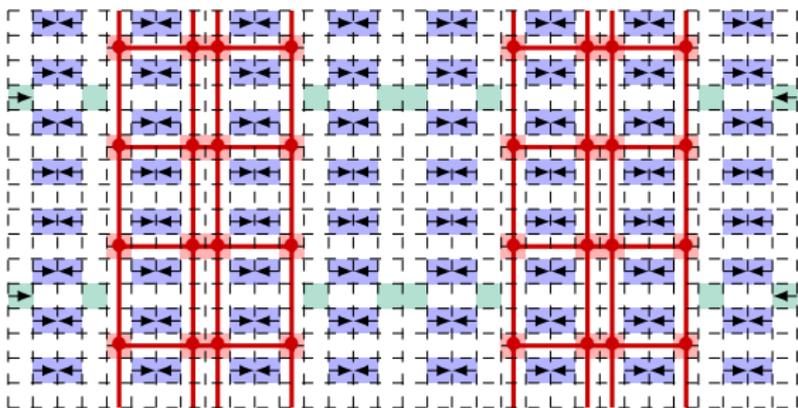
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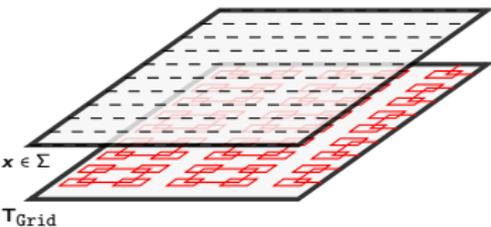
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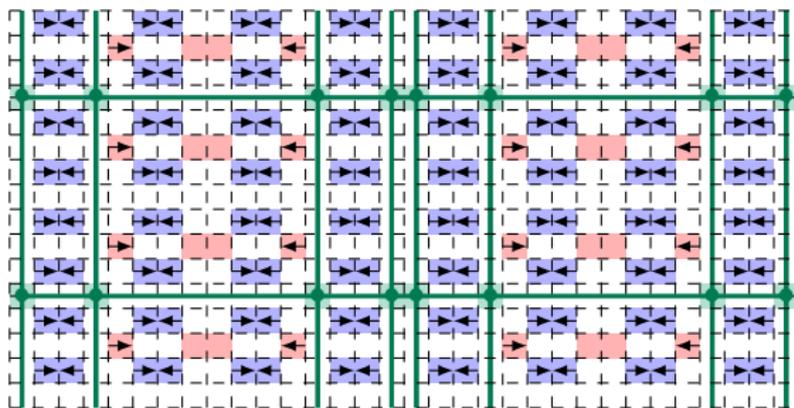
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**Layer 2:**



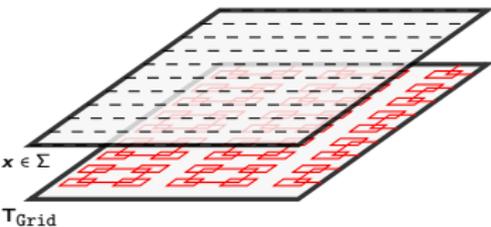
# Realisation of effective subshift by sofic

**Theorem** (Hochman-09, Durand-Romashchenko-Shen-2010, Aubrun-Sablik-2010)

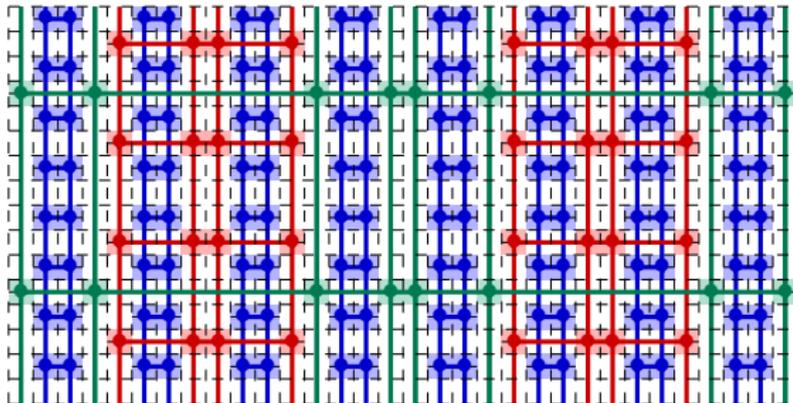
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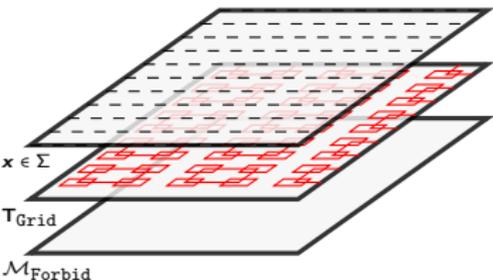
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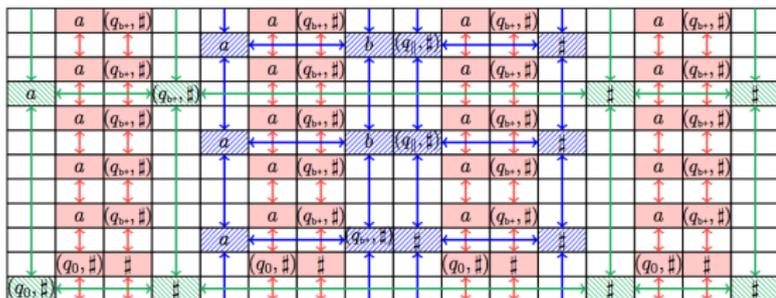
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## Idea of the proof:



## Layer 3: Enumeration of forbidden patterns



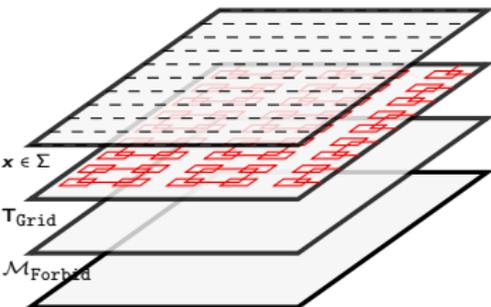
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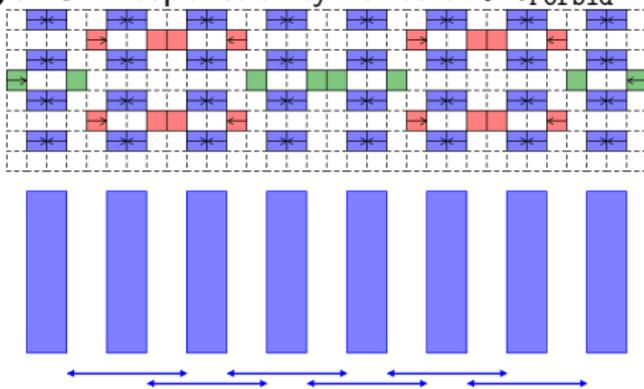
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## Idea of the proof:



## Layer 3: Responsibility zones of $\mathcal{M}_{\text{Forbid}}$



$\mathcal{M}_{\text{Forbid}}$  of a level  $n$  can ask at  $\mathcal{M}_{\text{Search}}$  of the same level or neighbor  $\mathcal{M}_{\text{Search}}$  of the same level.

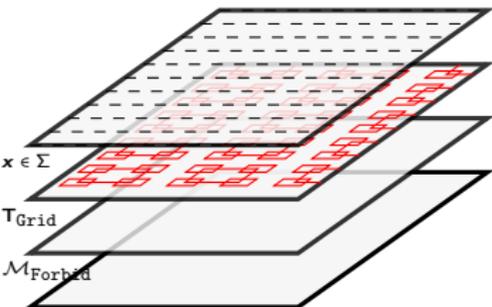
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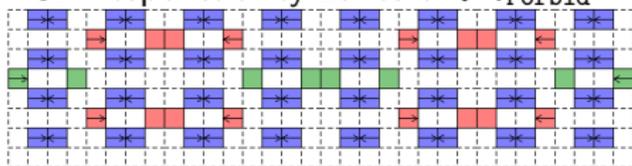
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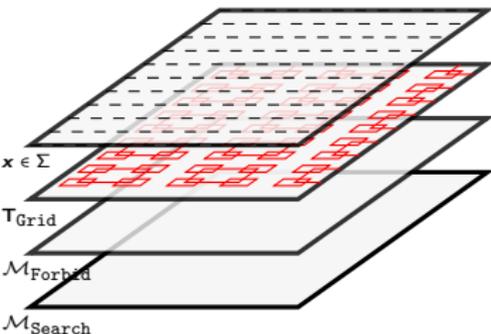
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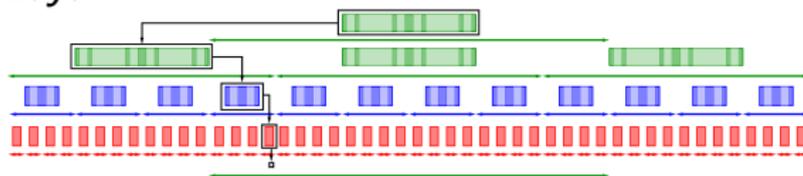
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## Idea of the proof:



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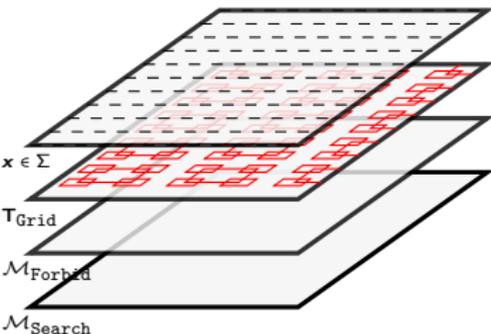
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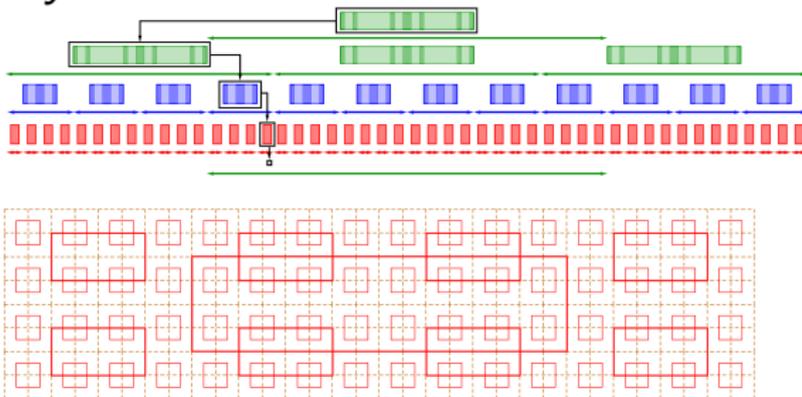
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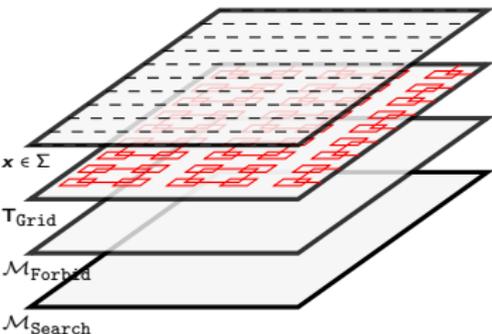
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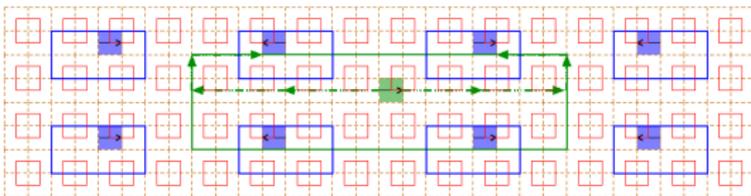
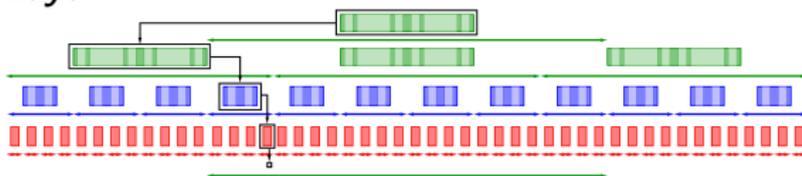
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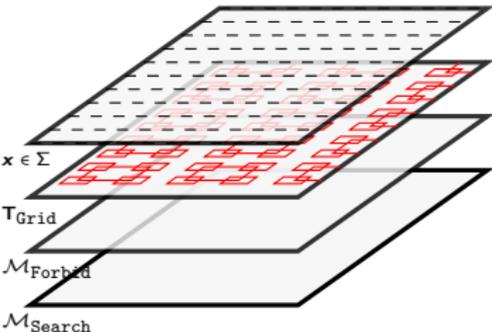
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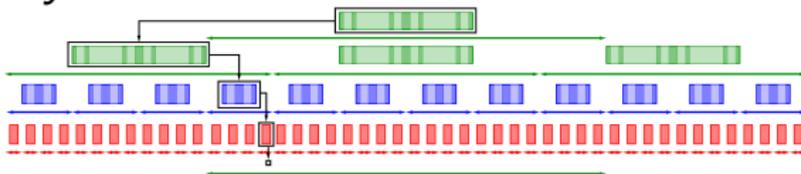
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## Idea of the proof:



## Layer 4:



$\mathcal{M}_{\text{Search}}$  holds:

- There is enough space to code address.
- The time taken to give back the information is  $t(n) \leq 2^n \times \mathcal{O}(n^2 2^n)$  which is "absorbed" by the exponential time of the clock ( $2^{2^n}$ ).

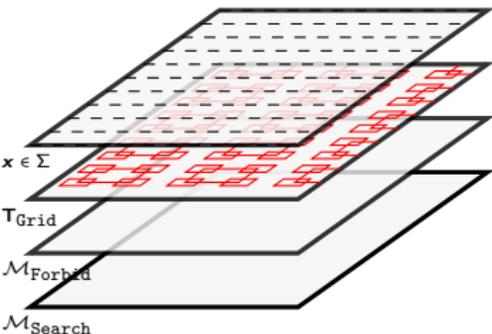
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## Layer 4:

Communication between layers:

- condition **Request** :  $\mathcal{M}_F$  ask  $\mathcal{M}_{\text{Search}}$  the value of a box in the responsibility zone and wait the answer
- condition **Forbid** : exclude configuration when forbidden pattern are encounter a

To obtain  $\Sigma$  :

- operation **Fact** to keep only letters of  $\mathcal{A}_{\Sigma}$
- operation **SA** to keep only an horizontal line

# Perspectives around sub-dynamic

## Optimality of the construction

- A so huge alphabet.
- A long range of dependance to detect forbidden patterns. [Wait course 3!](#)
- Construction very rigid: What happens if we impose some mixing properties?  
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In *Hochman-09* there is a characterization of subaction of  $d$ -dimensional sofic with  $d \geq 3$ . What happens for  $d = 2$ ?

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## Sub-dynamic

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## Projective sub-dynamic of SFT

We have  $Cl_{SA}(Sofic) = RE$ . Which information we have about  $Cl_{SA}(SFT)$ ? In this case we cannot use additional alphabet to make computation.

[Wait course 3!](#)

# Applications

# Applications to find local rules

**Theorem** (*Hochman-09, Durand-Romashchenko-Shen-2010, Aubrun-Sablik-2010*)

If  $\mathbf{T} \subset \mathcal{A}^{\mathbb{Z}}$  is an effective subshift, there is a subshift of finite type  $\mathbf{T}_{\text{Final}} \subset \mathcal{B}^{\mathbb{Z}^2}$  and a factor map  $\pi : \mathcal{B} \rightarrow \mathcal{A}$  such that

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## A framework for computability results :

- Computability Obstructions on SFTs are usually also obstructions for effective shifts.
- Prove the obstruction is the only obstruction for effective shifts.
- Use the previous theorem to go back to SFTs.

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## Applications:

- characterization of the entropy of multidimensional SFTs (*Hochman-Meyerovitch-10*),
- characterization of multidimensional  $\mathbf{S}$ -adic subshift with local rules (*Aubrun-Sablik-12*),
- characterization of tilings which approximate discrete plane (*Fernique-Sablik-12*),
- characterization of periods of multidimensional SFTs (*Jeandel-Vanier-13*),
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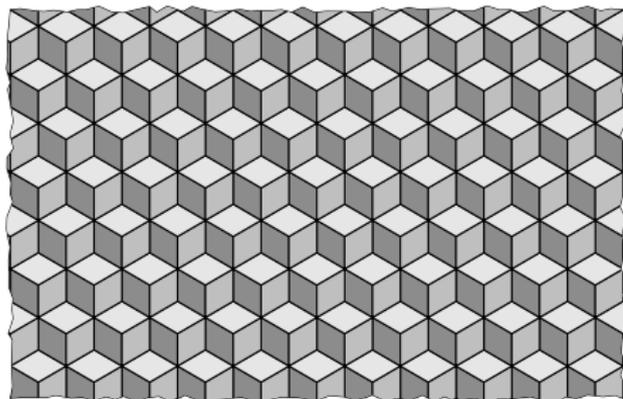
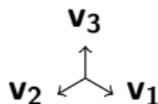
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# Approximation of discrete plane

## $n \rightarrow d$ tilings

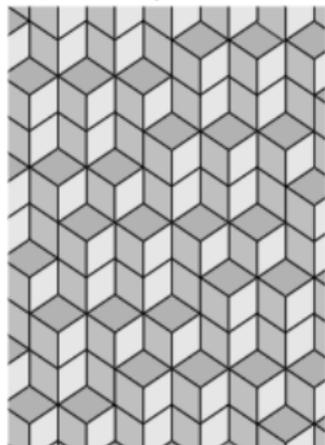
Let  $\mathbf{v}_1, \dots, \mathbf{v}_n$  be pairwise non-colinear vectors of  $\mathbb{R}^d$  with  $n > d > 0$ .



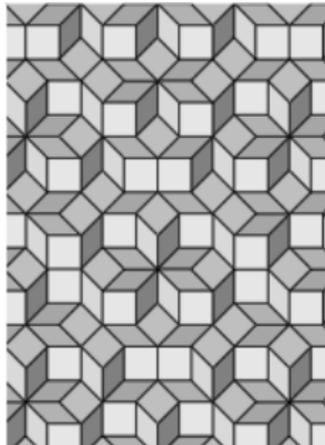
- A  $n \rightarrow d$  tile is a parallelotope generated by  $d$  of the  $\mathbf{v}_i$ 's, there are  $\binom{n}{d}$  tiles.
- A  $n \rightarrow d$  tiling is a face-to-face tiling of  $\mathbb{R}^d$  by  $n \rightarrow d$  tiles.
- The set  $\mathcal{X}_{n \rightarrow d}$  of all tilings of  $\mathbb{R}^d$  by  $n \rightarrow d$ -tiles is the *full  $n \rightarrow d$  tiling space*.

## $n \rightarrow d$ tilings

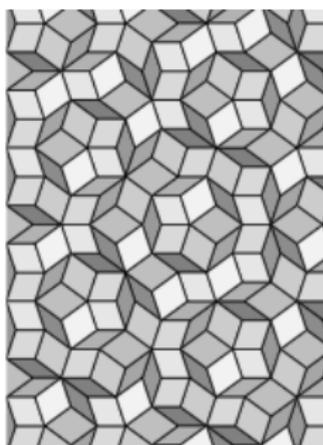
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$3 \rightarrow 2$



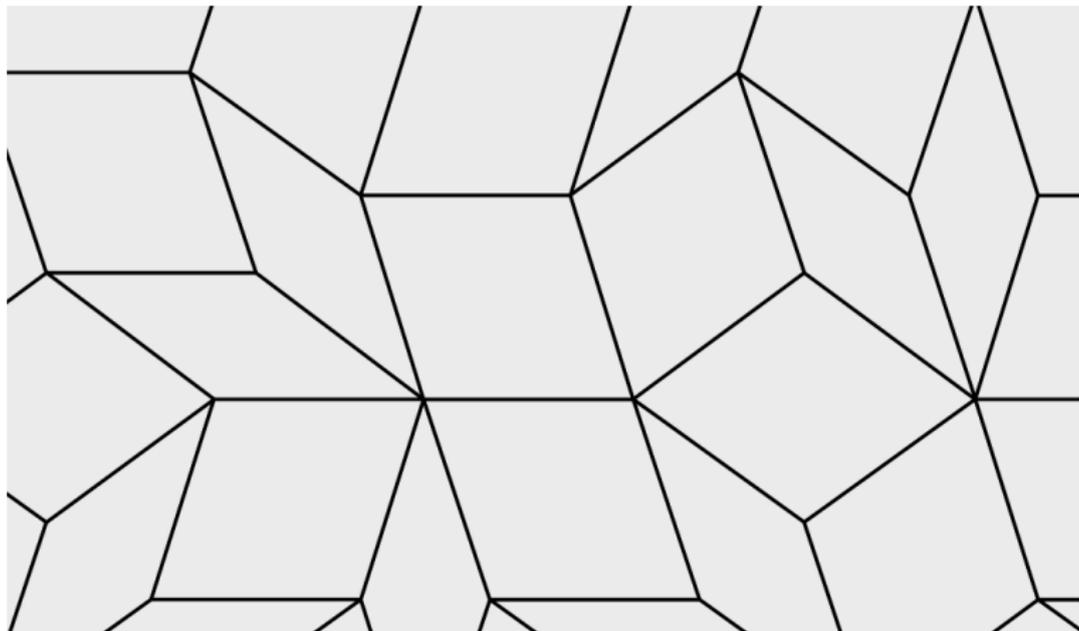
$4 \rightarrow 2$



$5 \rightarrow 2$

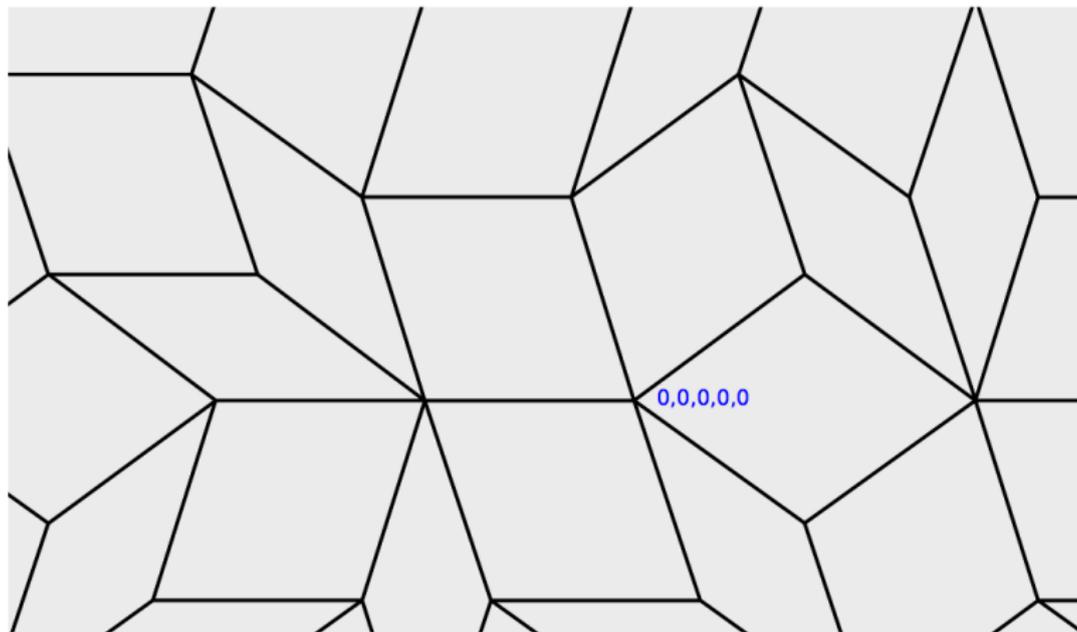
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# Lift



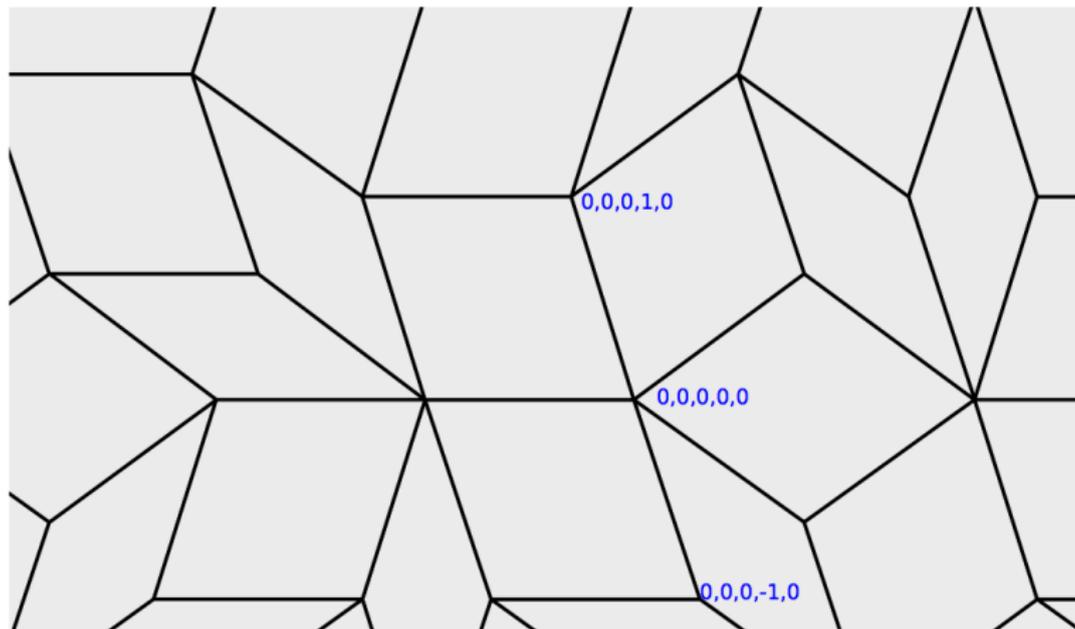
Consider a  $n \rightarrow d$  tiling.

# Lift



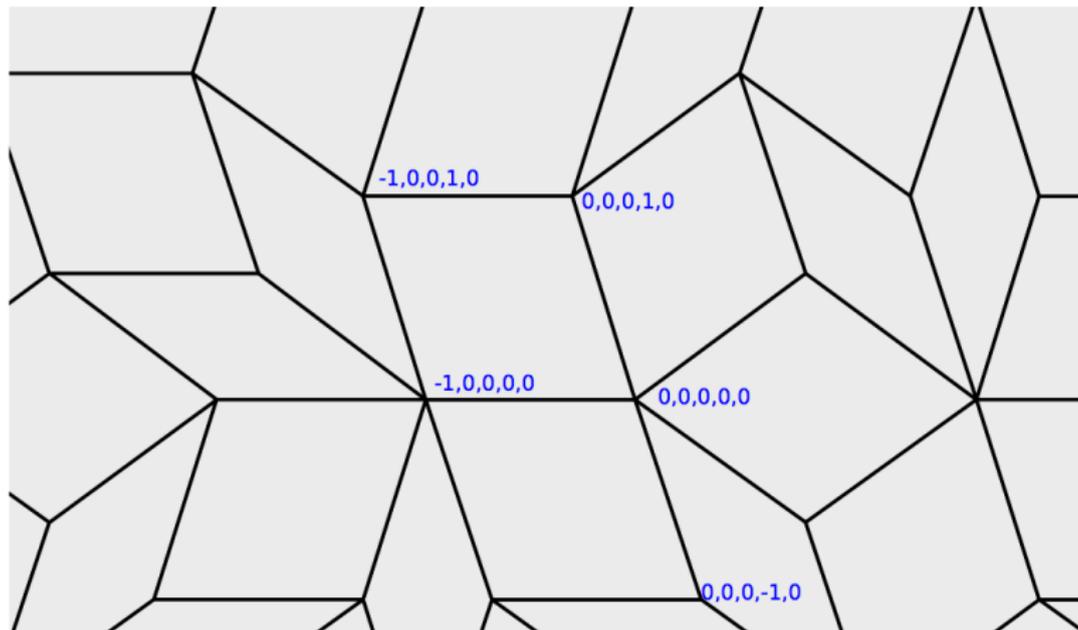
Map an arbitrary vertex onto an arbitrary vector of  $\mathbb{Z}^n$ .

# Lift



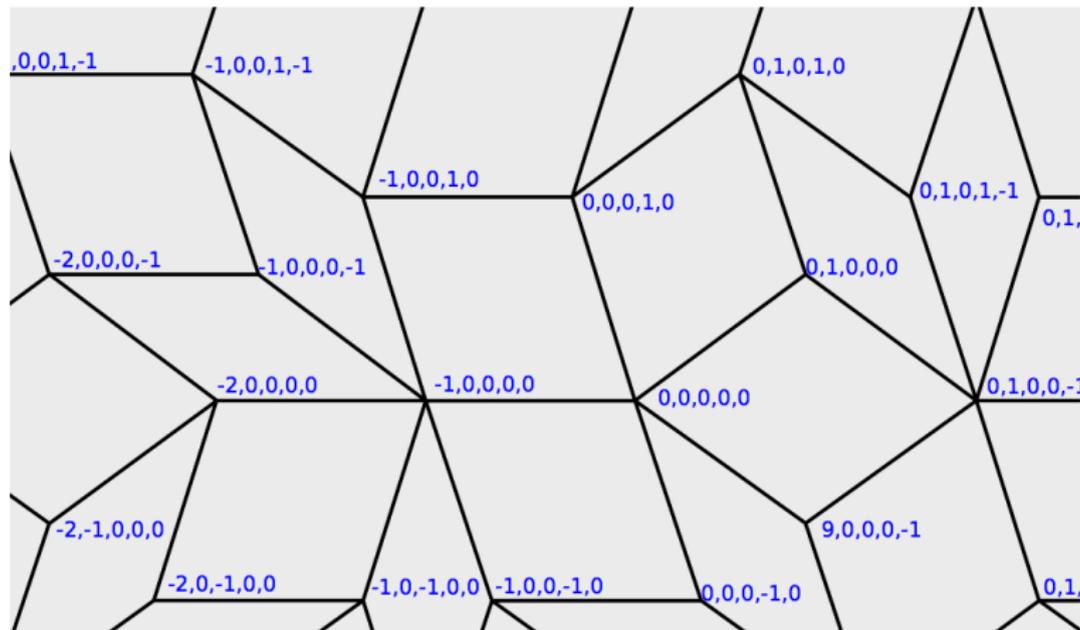
Modify the  $k^{\text{th}}$  entry when moving along the  $k^{\text{th}}$  direction.

# Lift



$n \rightarrow d$  vertices are mapped onto vertices of  $[0, 1]^n$ .

# Lift

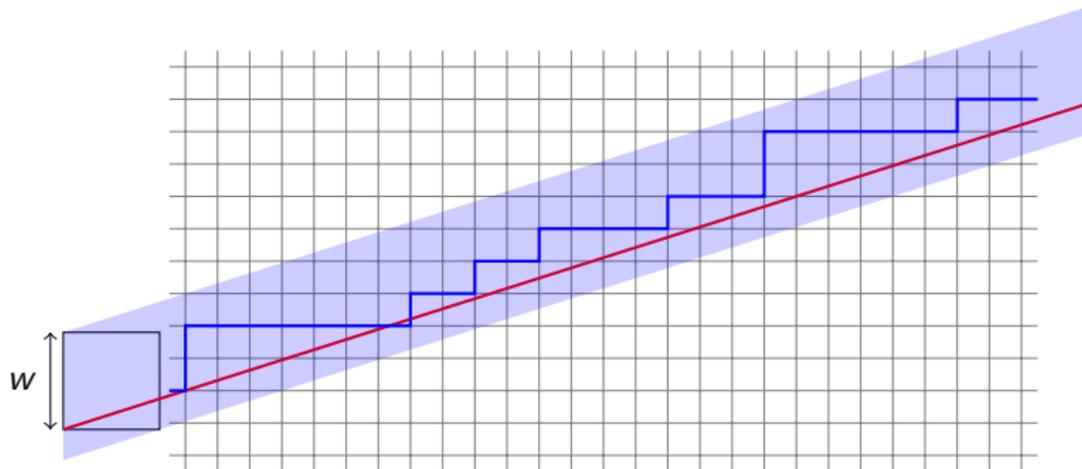


The whole tiling is mapped onto a stepped surface of  $\mathbb{R}^n$ : its *lift*.

# Planar tilings

## Definition

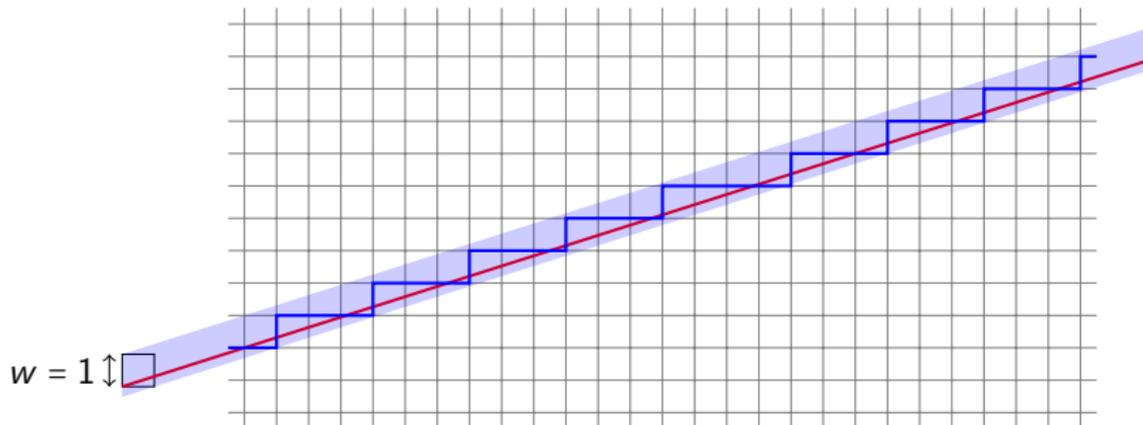
A  $n \rightarrow d$  tilings set  $\mathbf{T} \subset \mathcal{X}_{n \rightarrow d}$  is a *planar tiling space* if there are a  $d$ -dimensional vector subspace  $V \subset \mathbb{R}^n$ , the *slope* and a positive integer  $w$ , the *width*, such that all tiling  $t \in \mathbf{T}$  can be lifted into the slice  $V + [0, w)^n$ .



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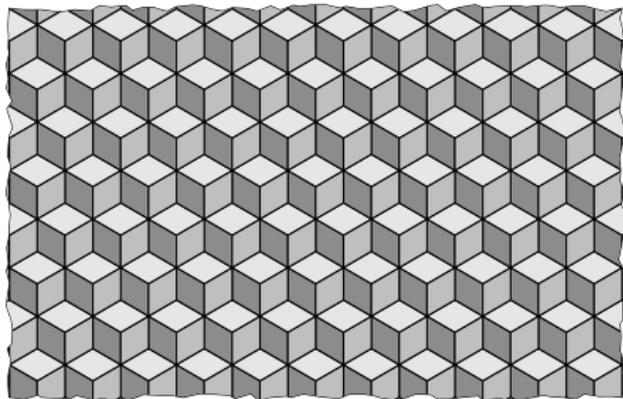


The  $w = 1$  case corresponds to *strong planar tilings*.

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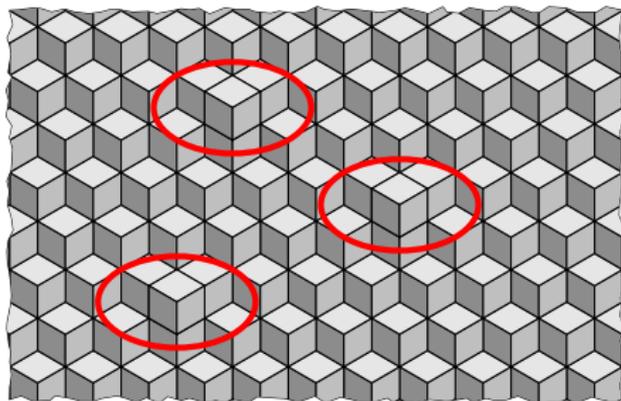


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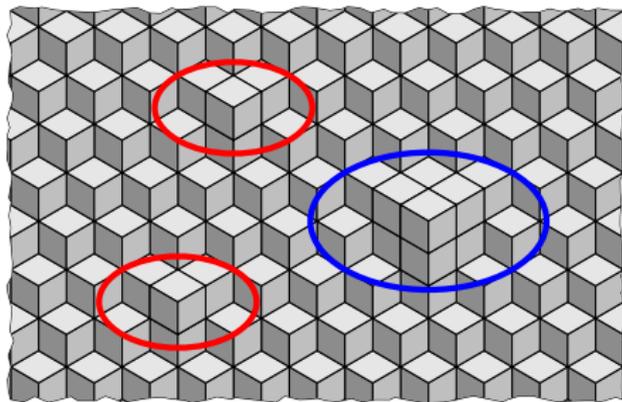


Here  $w = 2$ , if  $w \geq 2$ , this corresponds to *weak planar tilings*.

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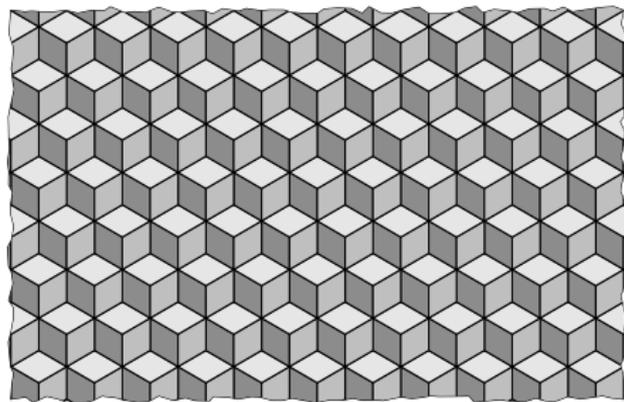
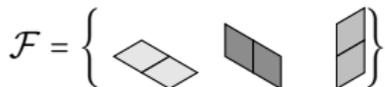
Here  $w = 3$ , if  $w \geq 2$ , this corresponds to *weak planar tilings*.

## Local rules

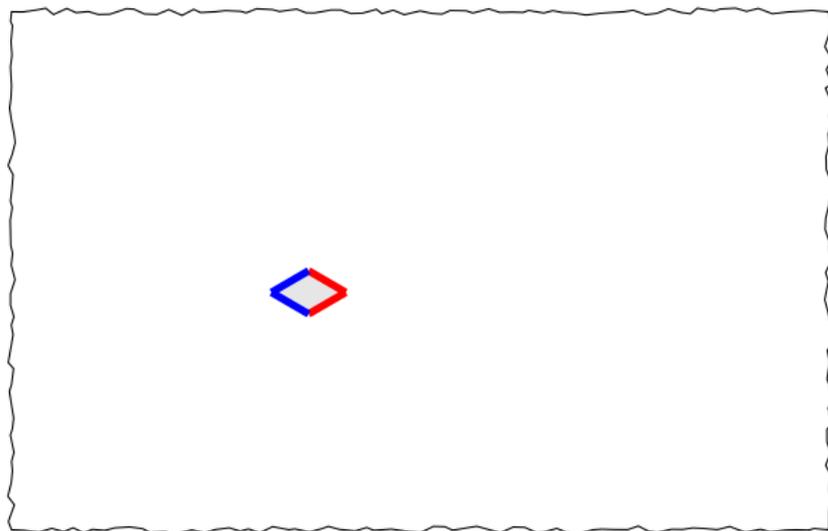
- A  $n \rightarrow d$ -*pattern of size  $r$*  of a tiling  $t \in \mathcal{X}_{n \rightarrow d}$  is a set of tiles lying inside a ball of radius  $r > 0$ . For  $\mathbf{T} \subset \mathcal{X}_{n \rightarrow d}$  denote  $\mathcal{P}_r(\mathbf{T})$  the set of  $n \rightarrow d$ -pattern of size  $r$  of each tiling of  $\mathbf{T}$ .
- The set of tilings of forbidden  $n \rightarrow d$ -patterns  $\mathcal{F}$  is

$$\mathbf{T}_{\mathcal{F}} = \{t \in \mathcal{X}_{n \rightarrow d} : \text{no patterns of } \mathcal{F} \text{ appears in } t\}$$

- $\mathbf{T}$  is a *set of tilings of finite type* if there exists  $\mathcal{F}$  finite such that  $\mathbf{T} = \mathbf{T}_{\mathcal{F}}$ .

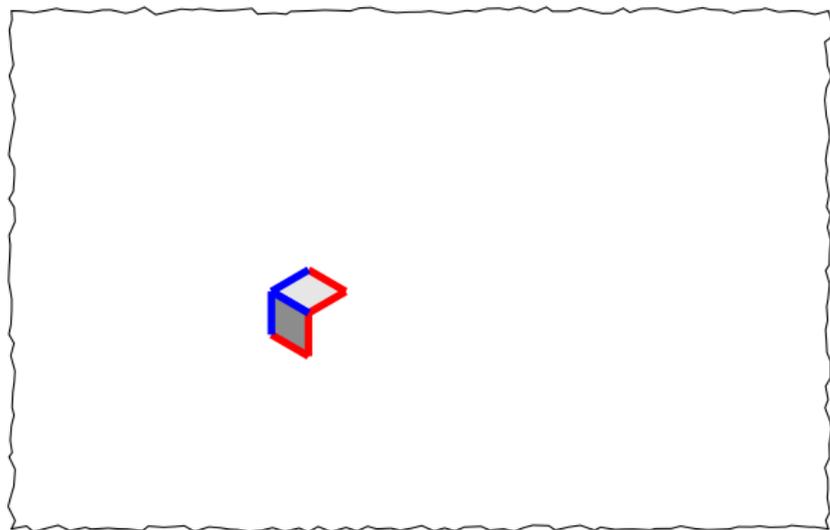


## Colored local rules



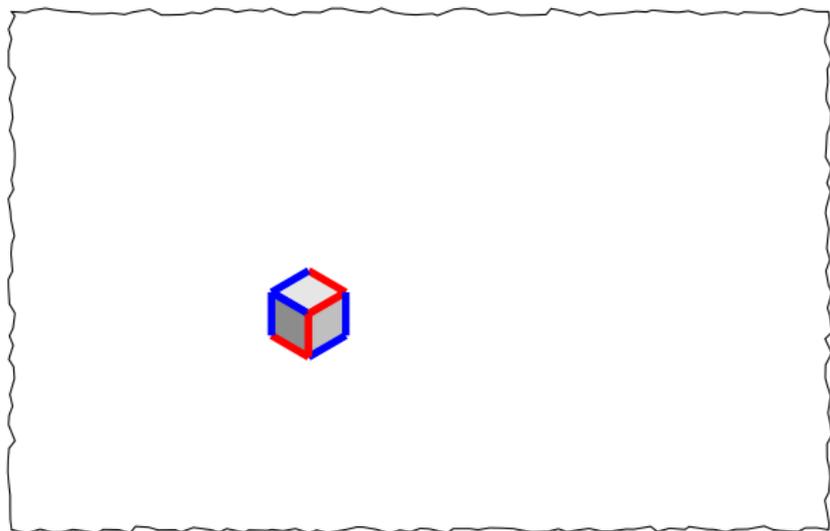
Consider these decorated  $3 \rightarrow 2$  tiles:  $\left\{ \begin{array}{c} \text{blue-left, red-right} \\ \text{blue-right, red-left} \end{array} \right\}$ , which can match only if the corresponding edges have the same color.

## Colored local rules



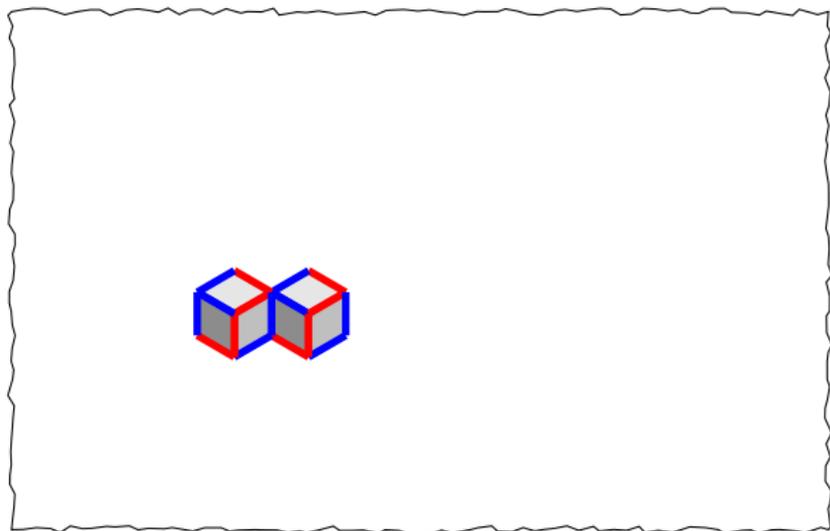
Consider these decorated  $3 \rightarrow 2$  tiles:  $\left\{ \begin{array}{c} \text{blue-left, red-right, gray-top} \\ \text{blue-left, red-right, gray-bottom} \\ \text{red-left, blue-right, gray-top} \end{array} \right\}$ , which can match only if the corresponding edges have the same color.

## Colored local rules



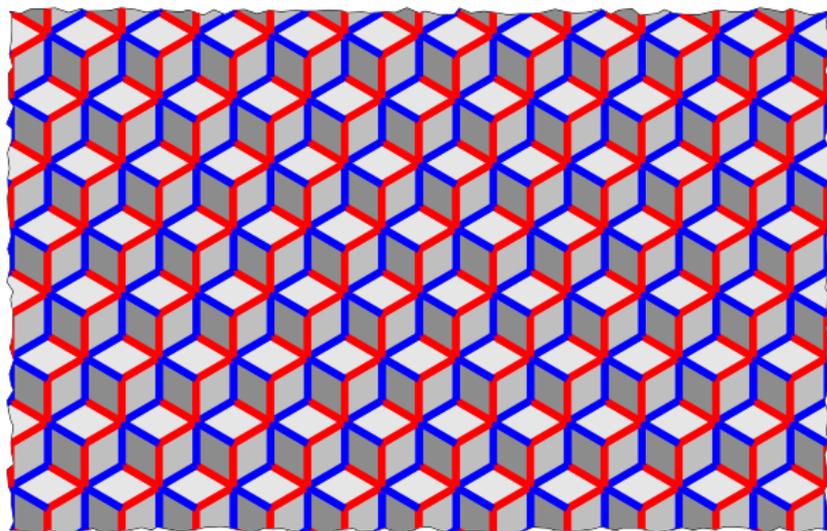
Consider these decorated  $3 \rightarrow 2$  tiles:  $\left\{ \begin{array}{c} \text{blue/red} \\ \text{red/grey} \\ \text{blue/grey} \end{array} \right\}$ , which can match only if the corresponding edges have the same color.

## Colored local rules



Consider these decorated  $3 \rightarrow 2$  tiles:  $\left\{ \begin{array}{c} \text{blue/red} \\ \text{red/blue} \end{array} \right\}$ , which can match only if the corresponding edges have the same color.

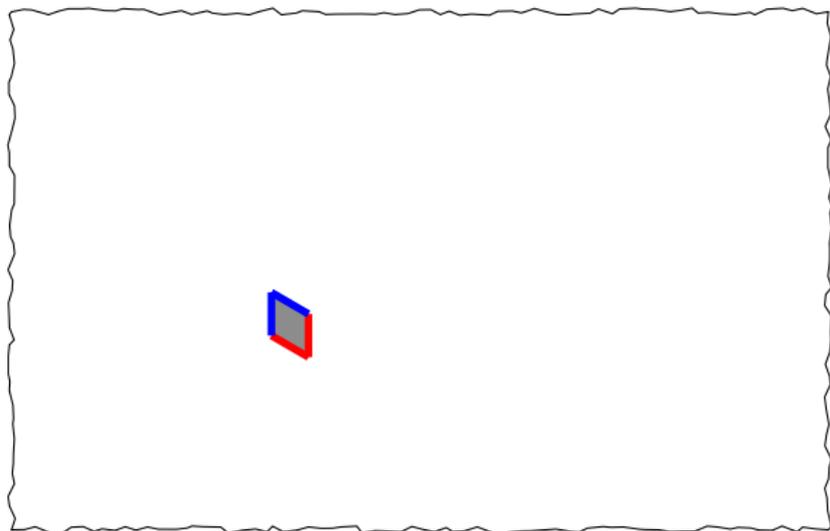
## Colored local rules



Consider these decorated  $3 \rightarrow 2$  tiles:  $\left\{ \begin{array}{c} \text{red diamond} \\ \text{blue diamond} \\ \text{red diamond} \end{array} \right\}$ , which can match only if the corresponding edges have the same color.

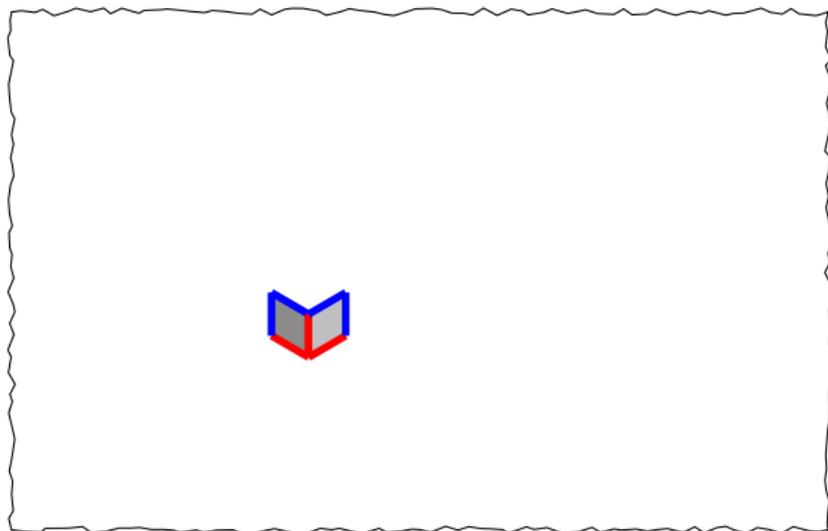
A set of tilings has *colored local rules* if it is possible to decorate tiles to obtain it.

## Colored local rules



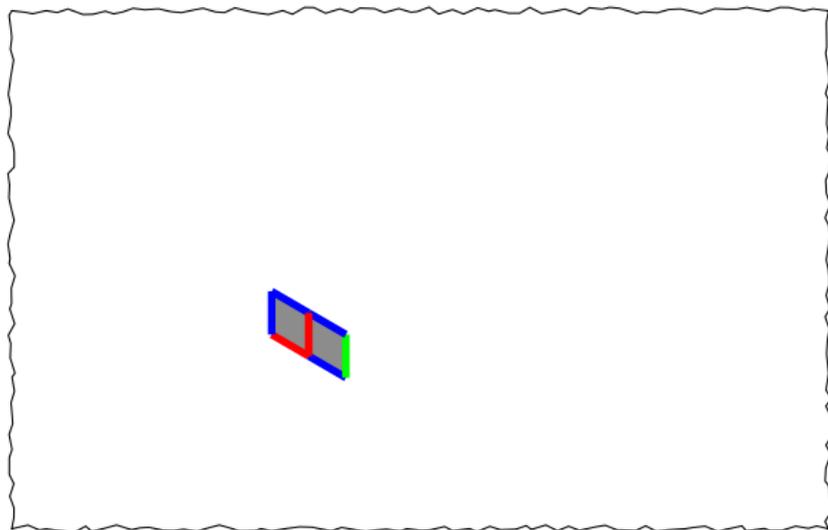
Consider these decorated  $3 \rightarrow 2$  tiles:  $\left\{ \begin{array}{c} \text{Rhombus 1} \\ \text{Rhombus 2} \\ \text{Rhombus 3} \\ \text{Rhombus 4} \\ \text{Rhombus 5} \\ \text{Rhombus 6} \end{array} \right\}$ , which can match only if the corresponding edges have the same color.

## Colored local rules



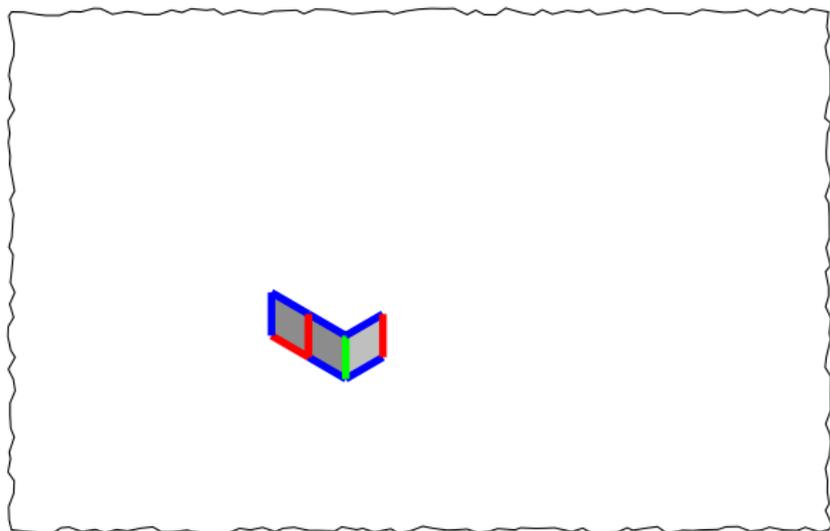
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## Colored local rules



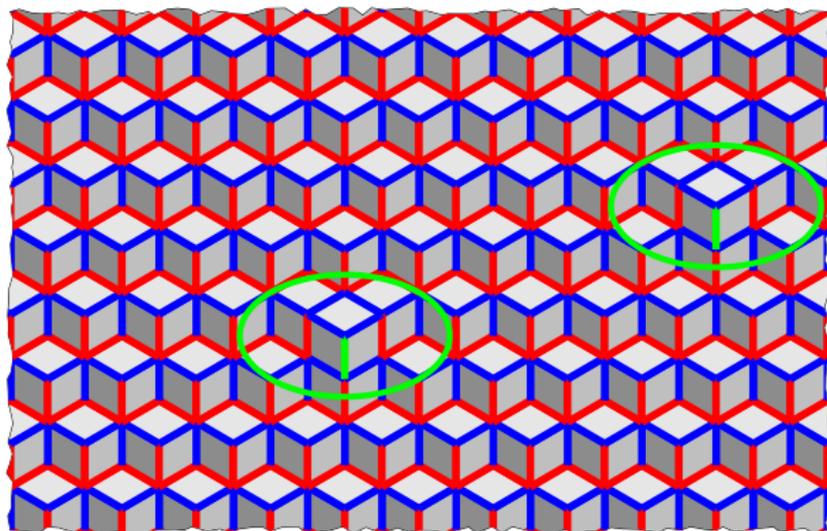
Consider these decorated  $3 \rightarrow 2$  tiles:  $\left\{ \begin{array}{c} \text{red top, blue left, blue bottom, red right} \\ \text{blue left, red top, red bottom, green right} \\ \text{blue left, green top, green bottom, red right} \\ \text{red top, blue left, green bottom, red right} \\ \text{red top, blue left, red bottom, blue right} \\ \text{blue left, blue top, blue bottom, blue right} \end{array} \right\}$ , which can match only if the corresponding edges have the same color.

## Colored local rules



Consider these decorated  $3 \rightarrow 2$  tiles:  $\left\{ \begin{array}{c} \text{red} \\ \text{blue} \end{array} \right\} \left\{ \begin{array}{c} \text{red} \\ \text{blue} \end{array} \right\} \left\{ \begin{array}{c} \text{green} \\ \text{blue} \end{array} \right\} \left\{ \begin{array}{c} \text{red} \\ \text{green} \end{array} \right\} \left\{ \begin{array}{c} \text{red} \\ \text{blue} \end{array} \right\} \left\{ \begin{array}{c} \text{blue} \\ \text{blue} \end{array} \right\} \right\}$ , which can match only if the corresponding edges have the same color.

## Colored local rules



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can match only if the corresponding edges have the same color.

This allows only small fluctuations and obtain a weak planar set of tilings

# Historic of the problem

Which vector space admits local rules or colored local rules?

*n*-fold tiling: plane tiling of slope  $\mathbb{R}(u_1, \dots, u_n) + \mathbb{R}(v_1, \dots, v_n)$ , where

$$u_k = \cos\left(\frac{2k\pi}{n}\right) \text{ and } v_k = \sin\left(\frac{2k\pi}{n}\right)$$

Slope of the Tiling	undecorated rules	decorated rules
5, 10-fold	stong	strong <sup>(1)</sup>
8-fold	none <sup>(2)</sup>	strong <sup>(3)</sup>
12-fold	none <sup>(3)</sup>	strong <sup>(4)</sup>
<i>n</i> -fold (with 4 not divide <i>n</i> )	weak <sup>(5)</sup>	strong?
quadratic slope in $\mathbb{R}^4$	weak <sup>(6)</sup>	strong <sup>(7)</sup>
non algebraic	none <sup>(8)</sup>	?

- (1): *Penrose 1974*    (2): *Burkov 1988*    (3): *Le 1992*    (4): *Socolar 1989*  
(5): *Socolar 1990*    (6): *Levitov 1988*    (7): *Le & al. 1992*    (8): *Le 1997*

# Main results

- A vector  $\mathbf{v} \in \mathbb{R}^n$  is *computable* if there exists a computable function  $f : \mathbb{N} \rightarrow \mathbb{Q}^n$  such that  $\|\mathbf{v} - f(n)\|_\infty \leq 2^{-n}$  for all  $n \in \mathbb{N}$ .
- The vector space  $V \subset \mathbb{R}^n$  of dimension  $d$  is *computable* if there exists a set of  $d$  computable vectors which generate  $V$ .

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## Theorem (Fernique & S.)

A  $d$ -dimensional vector space  $V$  admits  $n \rightarrow d$  weak colored local rules (of width 3) for  $n > d$  if and only if it is computable.

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computable	weak	weak

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quadratic slope in $\mathbb{R}^4$	weak <sup>(6)</sup>	strong <sup>(7)</sup>
non algebraic	none <sup>(8)</sup>	?
computable	weak (non natural)	weak

## Notion of natural local rules

Local rules are said *natural* if there are verified by strong planar tiling.

# Computability obstruction

## Algorithm to obtain the slope

**Input:** Local rules of the planar tilings set  $\mathbf{T} \subset \mathcal{X}_{n \rightarrow d}$ , the width  $w$  and an integer  $m$  which corresponds to the precision.

**Algorithm:**

- $r_0 := 2wm$ ,  $r := r_0$  and  $d := 1$
- While  $d \geq \frac{1}{2m}$  do
  - ▶ enumerate  $\mathcal{P}_r(\mathbf{T})$ , the set of all the diameter  $r$  patterns centered on 0 allowed by these local rules (this takes exponential but finite time in  $r$ )
  - ▶ enumerate  $\mathbb{X}_r$ , the'

$$d = \max_{W_1, W_2 \in \mathbb{X}_r} \tilde{d}(W_1, W_2)$$

- ▶  $r := r + 1$
- Output: an element of  $W \in \mathbb{X}_r$

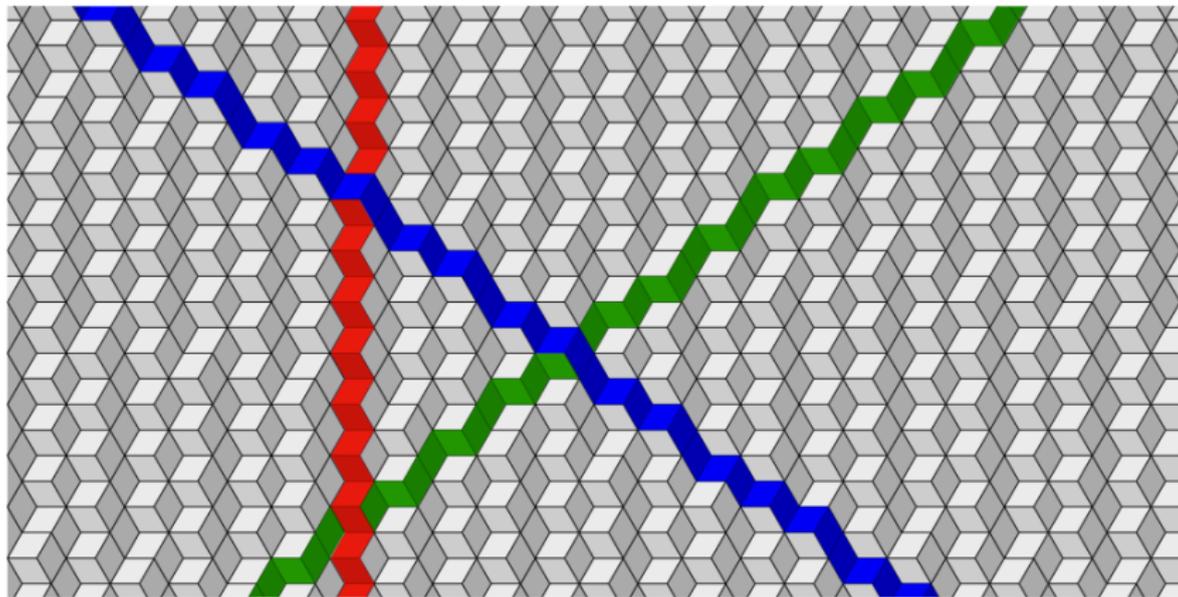
**The algorithm halts:** For sufficiently large  $r$  all vector spaces of  $\mathbb{X}_r$  are near of  $V$ , if not by compacity one obtains one other slope for the  $n \rightarrow d$  tiling.

**The algorithm holds:** There exists  $W' \in \mathbb{X}_r$  such that  $\tilde{d}(W', V) \leq \frac{w}{r_0}$ , thus

$$\tilde{d}(W, V) \leq \tilde{d}(W, W') + \tilde{d}(W', V) \leq \frac{1}{2m} + \frac{w}{r_0} \leq \frac{1}{m}$$

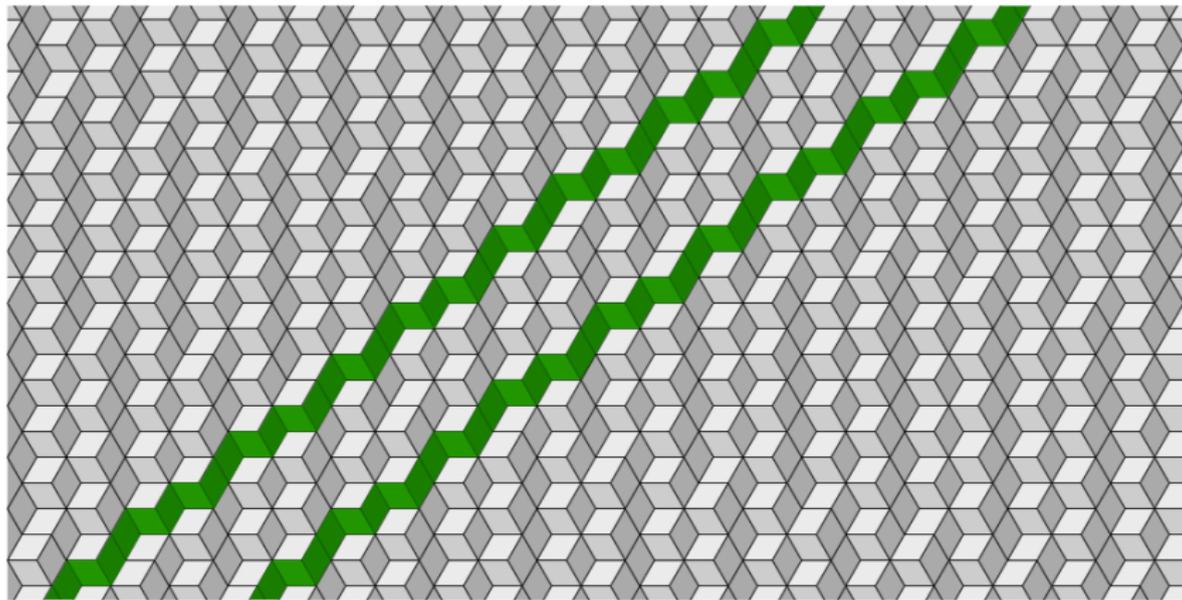
# Realization of computable $3 \rightarrow 2$ planar tilings with colored local rules

## Stripes of $3 \rightarrow 2$ strong planar tiling



For  $3 \rightarrow 2$  strong planar tiling, intertwined stripes encoding Sturmian words.

## Stripes of $3 \rightarrow 2$ strong planar tiling



Parallel stripes encode Sturmian words with the same slope.

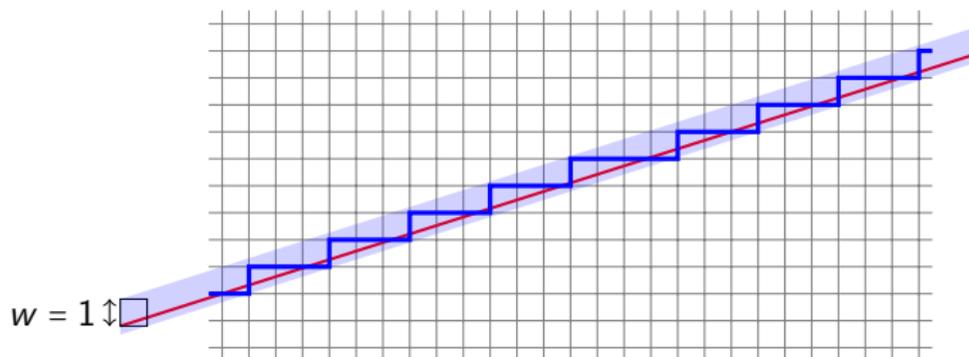
## Quasi-Sturmian words

► Define the *Sturmian word*  $s_{\rho,\alpha} \in \{0,1\}^{\mathbb{Z}}$  of slope  $\alpha \in [0,1]$  and intercept  $\rho$  by

$$s_{\rho,\alpha}(n) = 0 \Leftrightarrow (\rho + n\alpha) \bmod 1 \in [0, 1 - \alpha).$$

► For  $x, y \in \{0,1\}^{\mathbb{Z}}$  define  $d(x, y) := \sup_{p \leq q} ||x_p x_{p+1} \dots x_q|_0 - |y_p y_{p+1} \dots y_q|_0|$ .

**Fact:** *Sturmian words with equal slopes are at distance at most one.*



$s_{\rho,\alpha}$ : ...0010001000100010001000010001000100010...

# Quasi-Sturmian words

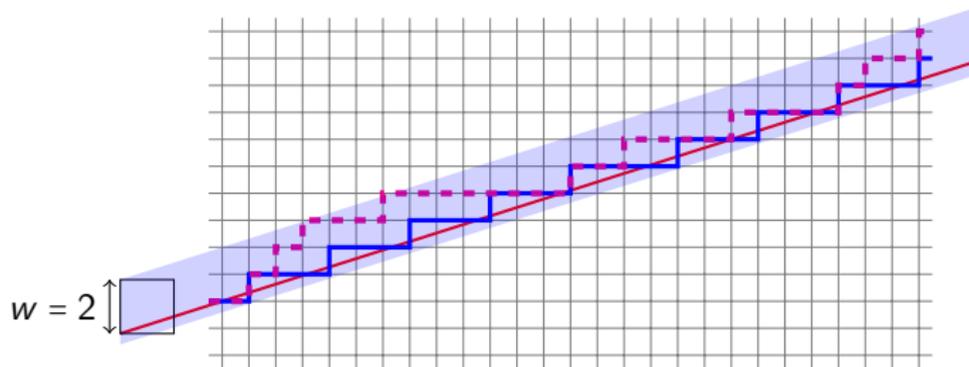
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**Fact:** *Sturmian words with equal slopes are at distance at most one.*

►  $x \in \{0,1\}^{\mathbb{Z}}$  is a *quasi-Sturmian of slope  $\alpha$*  if  $d(x, s_{\rho,\alpha}) \leq 1$ .



$s_{\rho,\alpha}$ :    ... 0010001000100010001000010001000100010 ...  
 Quasi-Sturmian: ... 0010101000100000001001000001001001010 ...

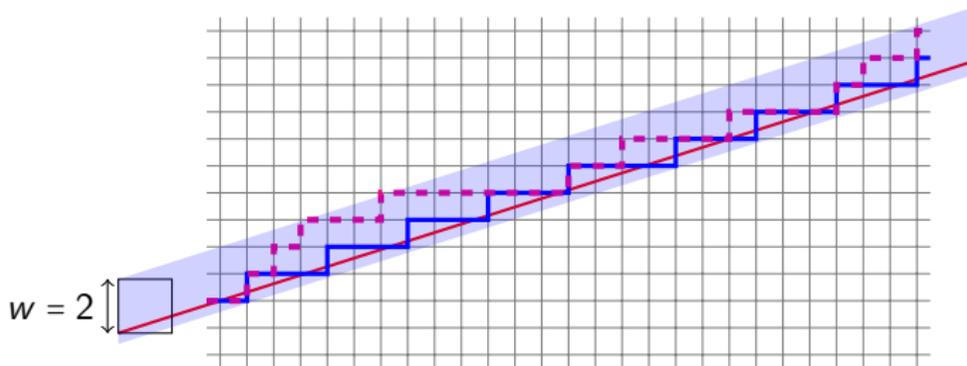
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$s_{\rho,\alpha}$ :    ... 001001000100010001000100010001000100010001000100010 ...  
 Quasi-Sturmian: ... 00101010001000000010010000100010001001010 ...  
 Changes:                   ↑                            ↑                            ↑↑    ↑↑    ↑↑↑    ↑↑↑    ...

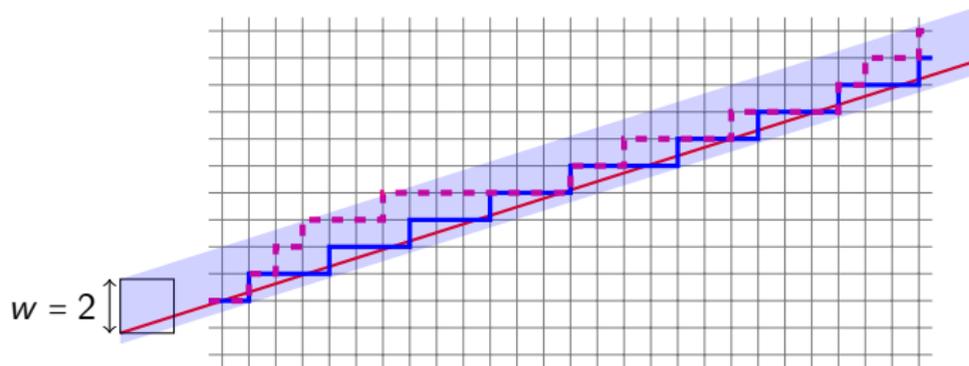
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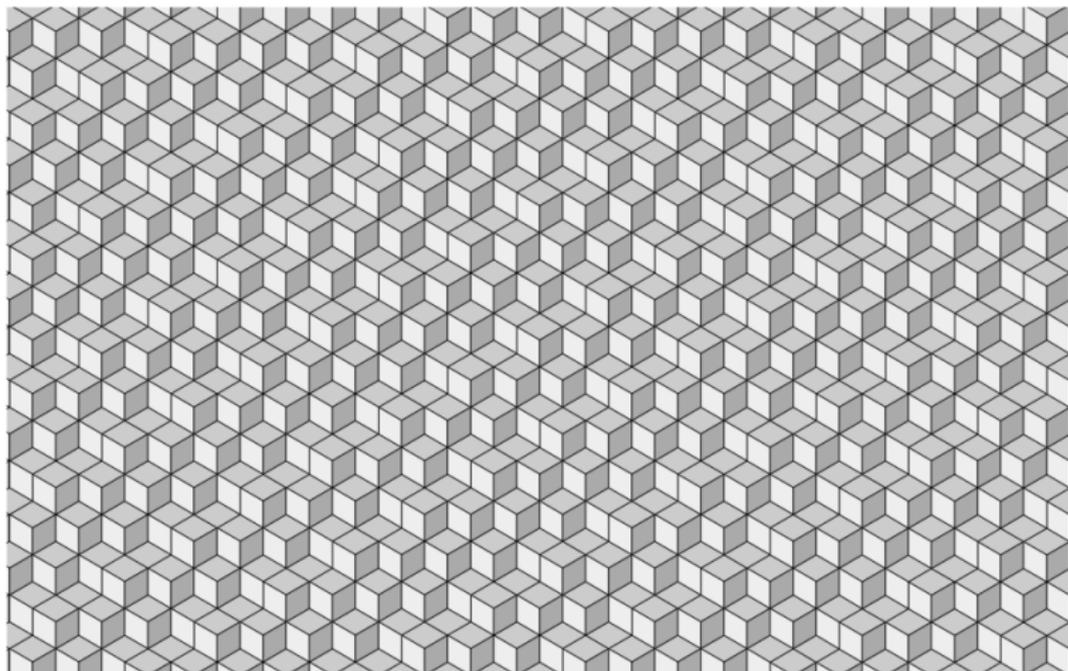
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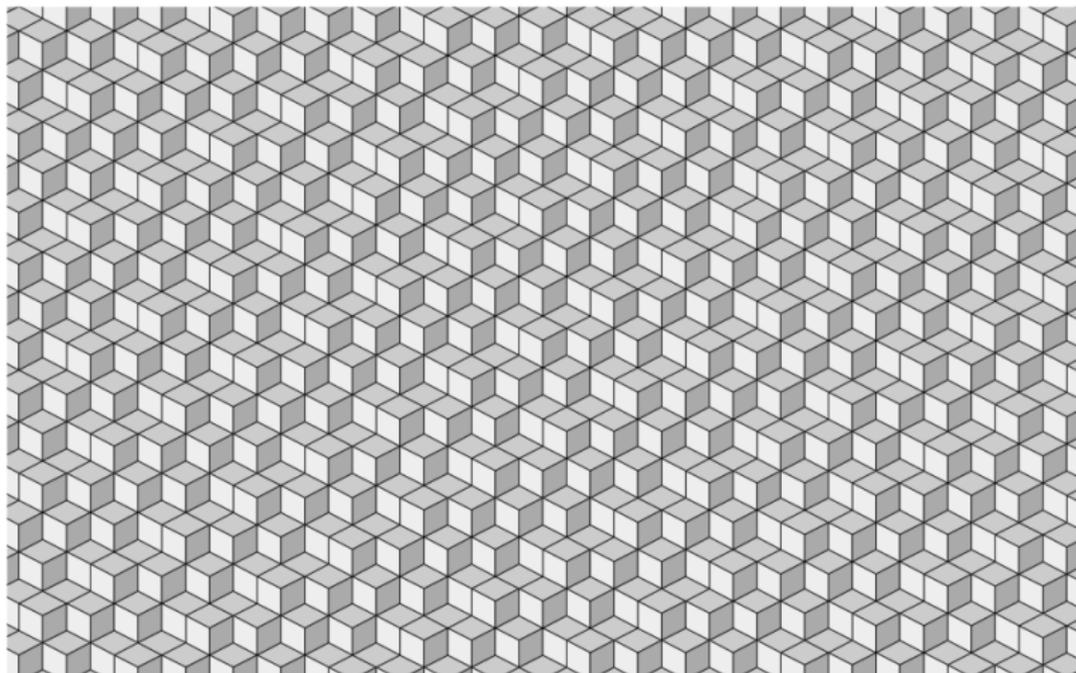
$s_{\rho,\alpha}$ :   ... 0010010001000100010001000100010001000100010 ...  
 Quasi-Sturmian: ... 00101010001000000010010000100010001001010 ...  
 Coding:   ... 0000011111111110000001100010001000100111 ...

# From strong planar 3 → 2 tilings to quasi-Sturmian subshifts



$\mathbf{T} \subset \left\{ x \in \{0, 1\}^{\mathbb{Z}^2} : \forall m \exists \rho \ x_{(\cdot, m)} = s_{\rho, \alpha} \right\} \subset \left\{ x \in \{0, 1\}^{\mathbb{Z}^2} : \exists \rho \forall m \ d(x_{(\cdot, m)}, s_{\rho, \alpha}) \leq 1 \right\}$   
Sturmian subshift Quasi-sturmian subshift

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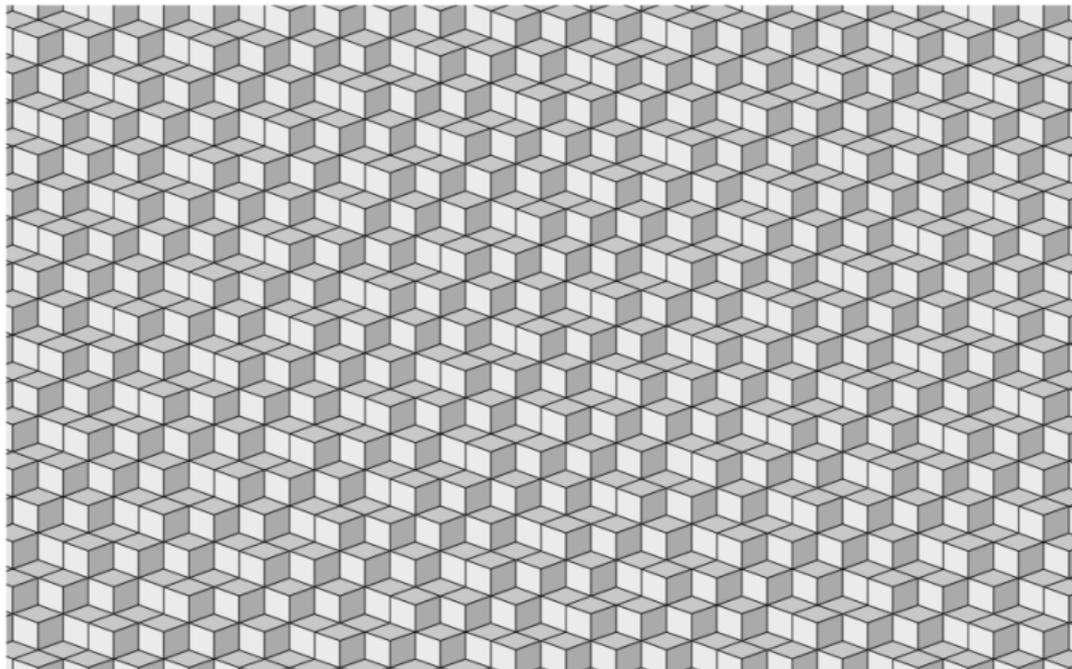


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Sturmian subshift

Quasi-sturmian subshift

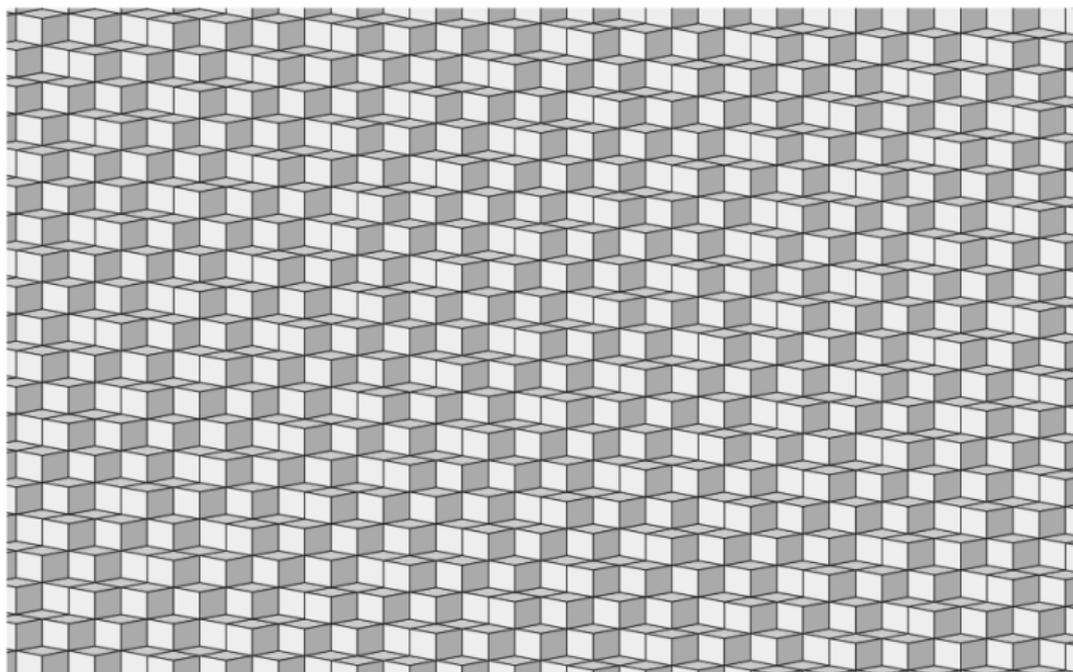
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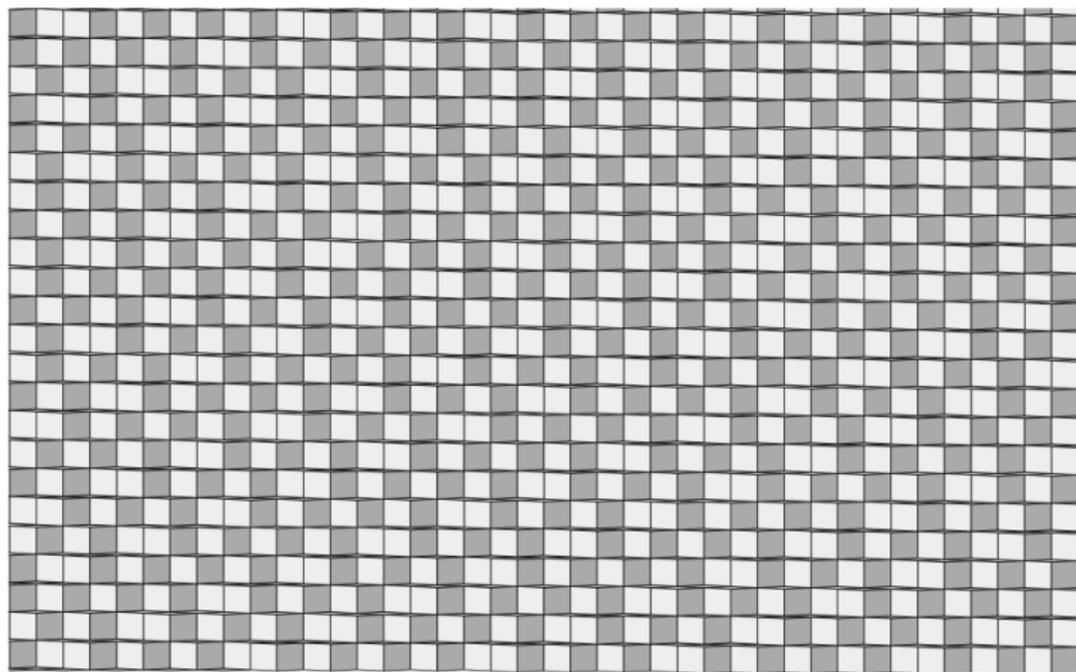
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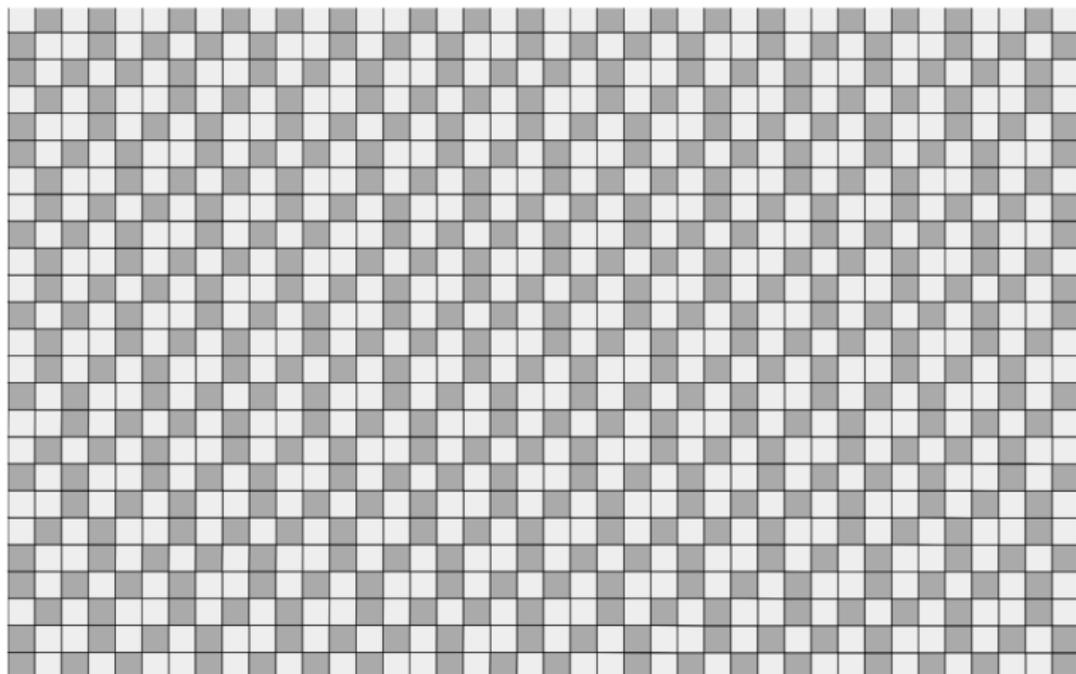
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Sturmian subshift Quasi-sturmian subshift

# Realisation of effective subshift by sofic

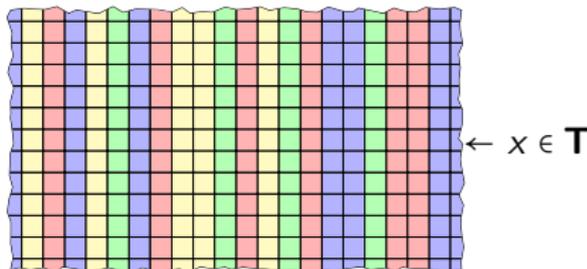
**Theorem** (*Hochman-09, Durand-Romashchenko-Shen-2010, Aubrun-Sablik-2010*)

If  $\mathbf{T} \subset \mathcal{A}^{\mathbb{Z}^2}$  is an effective subshift, there is a subshift of finite type  $\mathbf{T}_{\text{Final}} \subset \mathcal{B}^{\mathbb{Z}^2}$  and a factor map  $\pi : \mathcal{B} \rightarrow \mathcal{A}$  such that

$$\pi(\mathbf{T}_{\text{Final}}) = \left\{ x \in \mathcal{A}^{\mathbb{Z}^2} : \exists y \in \mathbf{T}, \forall i \in \mathbb{Z}, x_{\mathbb{Z}e_1 + ie_2} = y \right\}.$$

Moreover  $h_{\text{top}}(\mathbf{T}_{\text{Final}}) = 0$ .

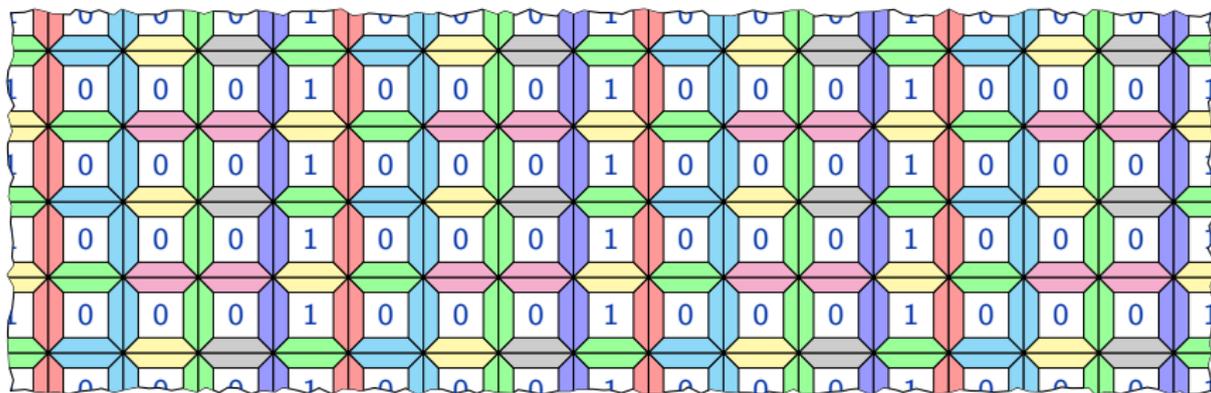
$y \in \mathbf{T}$  iff a "superposition" of  $y$  in one direction is in  $\pi(\mathbf{T}_{\text{Final}})$ .



## Independent quasi-Sturmian subshifts of slope $\alpha$ is sofic

If  $\alpha$  is computable then  $\{s_{\alpha,\rho} \in \{0,1\}^{\mathbb{Z}} : \rho \in \mathbb{R}\}$  is an effective subshift. So there exists an SFT  $\mathbf{T}_{\{0,1\} \times \mathcal{B}, \mathcal{F}} \subset (\{0,1\} \times \mathcal{B})^{\mathbb{Z}^2}$  such that:

$$\pi_1(\mathbf{T}_{\{0,1\} \times \mathcal{B}, \mathcal{F}}) = \left\{ x \in \{0,1\}^{\mathbb{Z}^2}, \exists \rho \in \mathbb{R}, \forall m \in \mathbb{Z}, w_{\mathbb{Z}e_1 + me_2} = s_{\alpha,\rho} \right\}.$$

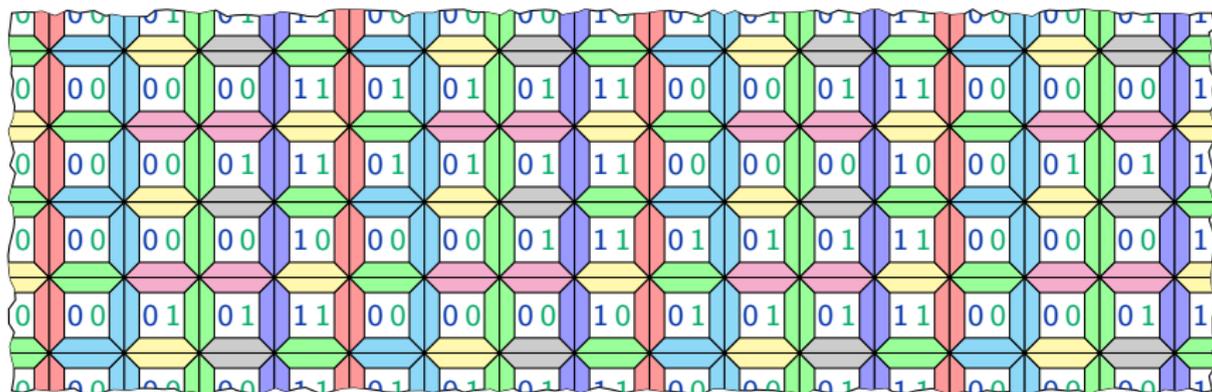


Each line is the same sturmian word  $s_{\alpha,\rho}$

# Independent quasi-Sturmian subshifts of slope $\alpha$ is sofic

Consider the SFT  $\tilde{Z}_\alpha \subset (\{0,1\} \times \mathcal{B} \times \{0,1\})^{\mathbb{Z}^2}$  such that:

$$x \in \tilde{Z}_\alpha \iff \begin{cases} \pi_{12}(x) \in \mathbf{T}_{\mathcal{B},\mathcal{F}}, \\ \pi_3(x_{m,n}) = 0 \text{ and } \pi_3(x_{m,n+1}) = 1 \Rightarrow \pi_1(x_{m,n}) = 0, \\ \pi_3(x_{m,n}) = 1 \text{ and } \pi_3(x_{m,n+1}) = 0 \Rightarrow \pi_1(x_{m,n}) = 1. \end{cases}$$

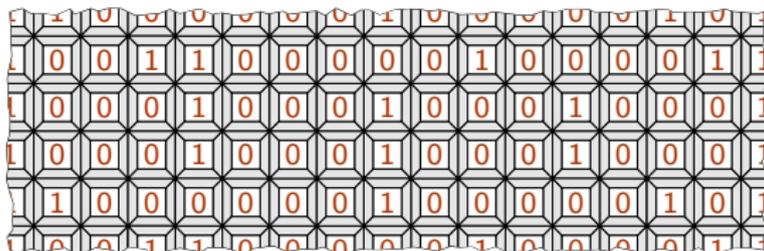
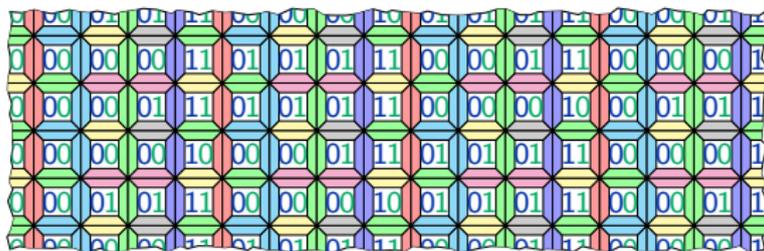


On each line we add an independent valid coding.

# Independent quasi-Sturmian subshifts of slope $\alpha$ is sofic

Define  $\pi(x)_{m,n} = \begin{cases} \pi_1(x_{m,n}) & \text{if } \pi_3(x_{m,n}) = \pi_3(x_{m,n+1}), \\ 1 - \pi_1(x_{m,n}) & \text{otherwise.} \end{cases}$

$$\pi(\tilde{Z}_\alpha) = Z_\alpha = \left\{ x \in \{0,1\}^{\mathbb{Z}^2}, \forall m \in \mathbb{Z}, d(x_{(\cdot,m)}, s_{\alpha,0}) \leq 1 \right\}$$



After the factor  $\pi$ , each line is an independent quasi-sturmian of slope  $\alpha$ .

# Transformation of tiles of $\tilde{Z}_\alpha$ in $3 \rightarrow 2$ -tiles

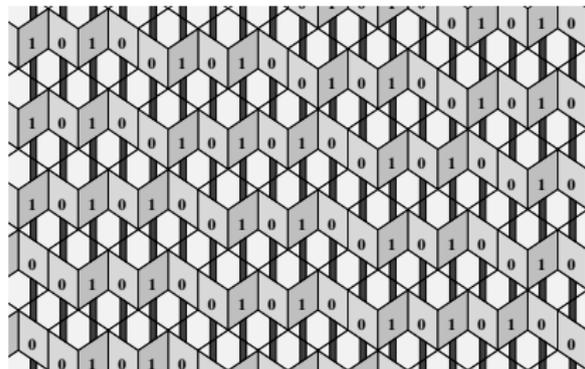
Each tiles of  $\tilde{Z}_\alpha$  can be viewed as a wang tile.

We construct a set  $\tau_\alpha^{\mathbf{v}_3}$  of  $3 \rightarrow 2$  colored tiles in the following way:



```

                                0 1 0 1 0
1 0 1 0 0 1 0 1 0 0 1 0 1 0 1 0 0 1 0 1 0
1 0 1 0 0 1 0 1 0 1 0 0 1 0 1 0 0 1 0
1 0 1 0 1 0 0 1 0 1 0 0 1 0 1 0 0 1 0
0 0 1 0 1 0 0 1 0 1 0 0 1 0 1 0 1 0 0
0 0 1 0 1 0
    
```

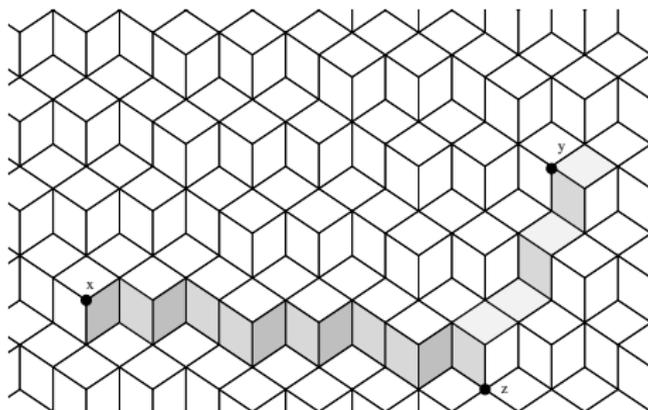


Call  $\tilde{v}_i$ -*ribbon* of a  $3 \rightarrow 2$  tiling a maximal sequence of tiles, with two consecutive tiles being adjacent along an edge  $\tilde{v}_j$ .

Then,  $\tau_\alpha^{\mathbf{v}_3}$  exactly forms the  $3 \rightarrow 2$  tilings whose  $\tilde{v}_3$ -ribbons has slope  $\alpha$ .

## Width of the planar tiling built with local rules

In the same way we construct the set of tiles  $\tau_\beta^{\mathbf{v}2}$  and  $\tau_{\alpha/\beta}^{\mathbf{v}1}$  and we consider colored  $3 \rightarrow 2$  tilings formed with  $\tau_{1,\alpha,\beta} = \tau_{\alpha/\beta}^{\mathbf{v}1} \times \tau_\beta^{\mathbf{v}2} \times \tau_\alpha^{\mathbf{v}3}$ .



These tilings are all planar tilings of slope orthogonal to  $(1, \alpha, \beta)$ . Moreover, the width of such a tiling is at most 3, since any two of its vertices can be connected by a path made of two ribbons.

### Theorem (Fernique & S.)

A  $d$ -dimensional vector space  $V$  admits  $n \rightarrow d$  weak colored local rules (of width 3) for  $n > d$  if and only if it is computable.

## How delete the colors?

Given a slope, it is possible to substitute each tile of a strong planar tiling by a "meta" tile arbitrary large. Thus decorations can be encoded by "fluctuations" at the cost of an increase of 1 in the width.

### Theorem (*Fernique & S.*)

A  $d$ -dimensional vector space  $V$  admits  $n \rightarrow d$  weak local rules (of width 4) for  $n > d$  if and only if it is computable.

## Perspectives around this application

### Decorated local rules

The computable slopes have natural decorated rules (of width 3) but it is possible to have strong decorated local rules (i.e., width 1)?

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## Natural undecorated local rules

Only algebraic slopes can have natural undecorated rules (*Le '95*). Even fewer slopes can have strong undecorated rules (*Levitov '88*). There is yet no complete characterization of these slopes.