

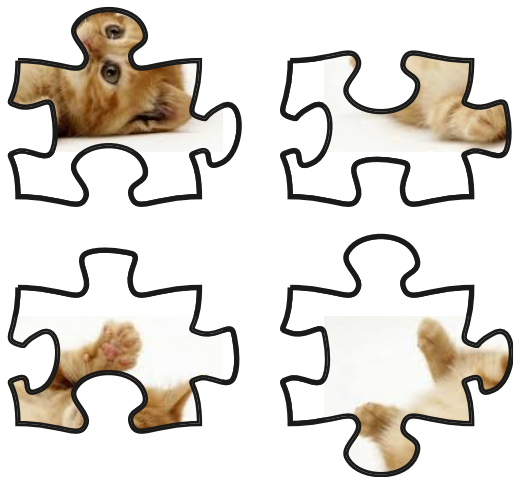
Higman type theorems for subshifts

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Puzzle

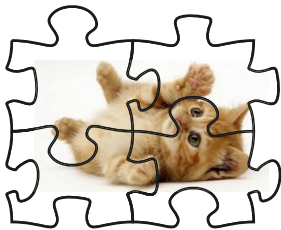
What could this be ?



Given an infinite number of puzzle pieces can we tile infinitely in all directions with them ?

Answer

This was a very hard puzzle...



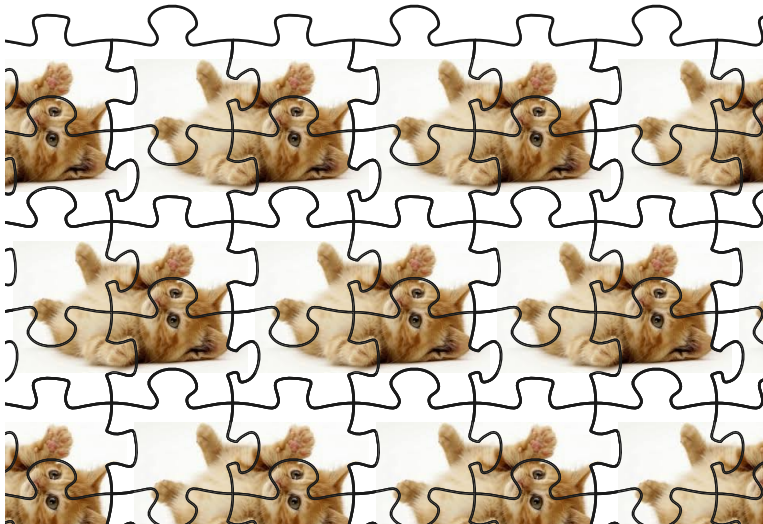
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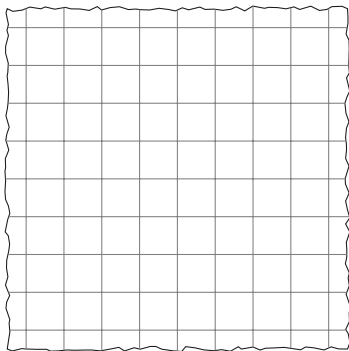
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Subshifts and subshifts of finite type

A **finite** alphabet:

$$\Sigma = \{\color{red}\blacksquare, \color{blue}\blacksquare\}$$

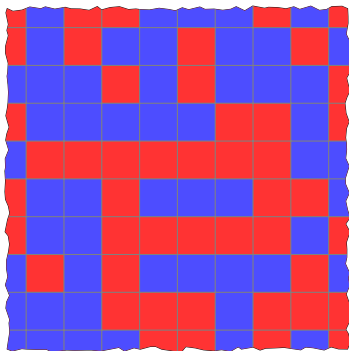


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A **tiling** or **configuration** is a coloring of \mathbb{Z}^d :



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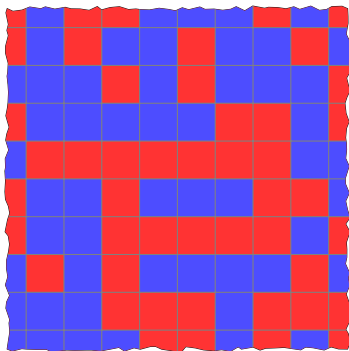
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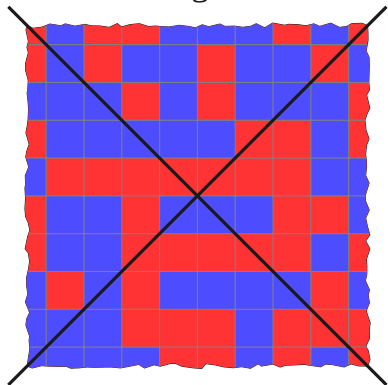
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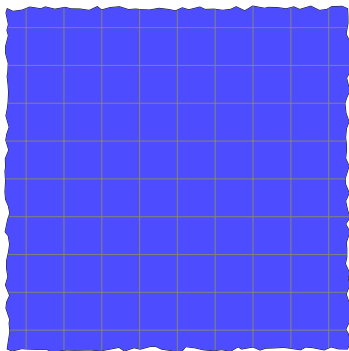
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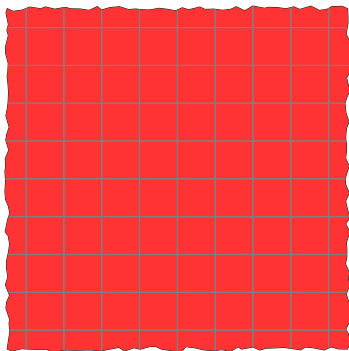
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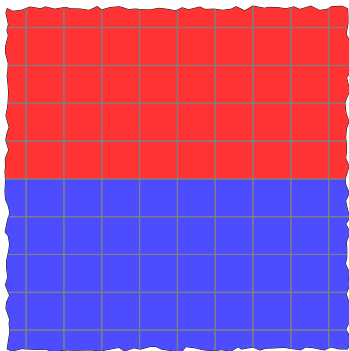
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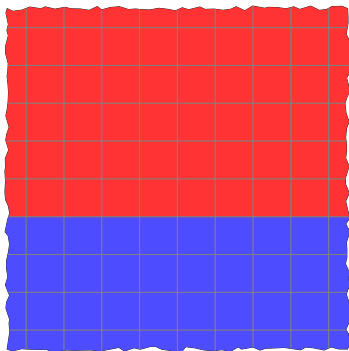
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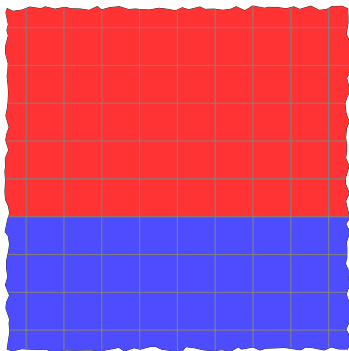
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Subshift of finite type (SFT):
set of configurations avoiding \mathcal{F} . We note $\mathcal{X}_{\mathcal{F}}$:

$$\mathcal{X}_{\mathcal{F}} = \left\{ \begin{array}{|c|c|c|c|} \hline \blacksquare & \blacksquare & \blacksquare & \blacksquare \\ \hline \blacksquare & \blacksquare & \blacksquare & \blacksquare \\ \hline \end{array}, \begin{array}{|c|c|c|c|} \hline \blacksquare & \blacksquare & \blacksquare & \blacksquare \\ \hline \blacksquare & \blacksquare & \blacksquare & \blacksquare \\ \hline \end{array}, \begin{array}{|c|} \hline \blacksquare \\ \hline \blacksquare \\ \hline \end{array}, \begin{array}{|c|} \hline \blacksquare \\ \hline \blacksquare \\ \hline \end{array}, \dots \right\}$$

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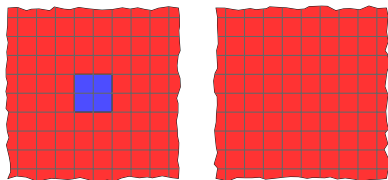
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The **family** may also be **infinite** we then talk about **subshifts**.

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Another example

Let $\mathcal{F} = \{ab, ba\}$ and $\Sigma = \{a, b\}$:

$X_{\mathcal{F}} =$

$\dots aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa \dots$

$\dots bbbbbbbbbbbbbbbbbbbbbbbbbbbbbbb \dots$

Finitely generated groups

Finitely generated group: S finite set of generators and R a set of relations, $\langle S \mid R \rangle$ is the largest group generated by S in which all of R holds.

Example: $G = \langle a, b \mid aba^{-1}b^{-1} \rangle$

- G is the largest group in which $ab = ba$, i.e. $aba^{-1}b^{-1} = 1$
- $G \simeq \mathbb{Z}^2$

Examples of groups and subshifts

Example 1: groups

- $G = \langle a, b \mid \rangle$: the free group with two generators.
- $G = \langle a, b \mid ab, ba \rangle$
Reduced words of the form: a^n, b^n

Example 2: subshifts

- $\Sigma = \{a, b\}$ and $\mathcal{F} = \emptyset$: the full shift over two symbols.
- $\Sigma = \{a, b\}$ and $\mathcal{F} = \{ab, ba\}$
Configurations: ${}^\omega b^\omega, {}^\omega a^\omega$

Notations

Alphabet and

- *Set of relations* defines a group.
- *Set of forbidden patterns* defines a subshift.

Group:

$$\langle S \mid R \rangle$$

Subshift of dimension d :

$$\langle \Sigma \mid \mathcal{F} \rangle^d$$

Language/Word problem

More generally:

Word Problem:

$$WP(G) = \{w \mid w = 1_G\}$$

$WP(G)$ is recursively enumerable from the set of relations.

$$G = \langle S_G \mid WP(G) \rangle$$

Complement of the language:

$$\mathcal{L}(X)^c = \{m \mid \forall x \in X, m \not\sqsubseteq x\}$$

$\mathcal{L}(X)^c$ is recursively enumerable from the set of forbidden patterns.

$$X = \langle \Sigma_X \mid \mathcal{L}(X)^c \rangle$$

Similar definitions

Finitely many relations/forbidden patterns

- Subshifts of finite type
- Finitely presented groups

Recursively enumerable set of relations/forbidden patterns

- Effective subshifts
- Recursively presented groups

$WP(G)$ and $\mathcal{L}(X)^c$ are recursively enumerable in both cases.

- 1. Analogies**
- 2. Higman embedding theorem**
- 3. Relative Higman embedding theorem**
- 4. Boone-Higman-Thompson theorem**
- 5. Conclusion**

Adding relations

Let $X = \langle A \mid R \rangle$ and $Y = \langle A \mid R \cup Q \rangle$:

Groups:

Y is a quotient subgroup of X by some normal subgroup.

Subshifts:

Y is a subshift of X .

Adding relations

$T = \langle A \mid R \rangle$ becomes trivial if we add any relation/pattern to R .

Groups:

T is simple.

Subshifts:

T is minimal.

Restricting

Let $T = \langle A \mid R \rangle$ and $S = \langle B \mid R \rangle$ with $B \subsetneq A$.

Groups:

S is a subgroup of T

Not all subgroups are of this form.

Subshifts:

$$\mathcal{L}(S) = \mathcal{L}(T) \cap B^{*d}$$

Restricting: example

$$X = \left\langle a, b \mid (a \ a), (b \ b), \begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} b \\ a \end{pmatrix} \right\rangle^2$$

... ..
... a b a b a b ...
... a b a b a b ...
... a b a b a b ...
... a b a b a b ...
... a b a b a b ...
... ..

S s.t. $\mathcal{L}(S) = \mathcal{L}(X) \cap \{a, b\}^*$:

...abababababababababab...

S' s.t. $\mathcal{L}(S') = \mathcal{L}(X) \cap \{a\}^*$:

\emptyset

Free product

Definition The **free product** of $F = \langle A \mid R \rangle$ and $G = \langle B \mid Q \rangle$ is:

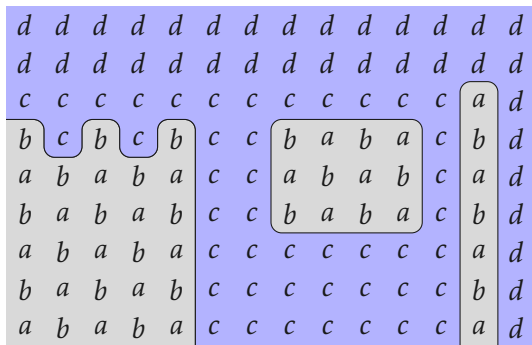
$$F * G = \langle A \cup B \mid R \cup Q \rangle$$

Remark Adding symbols corresponds to the **free product by a free group/full shift**.

Free product: example

$$X = \left\langle a, b \mid (a \ a), (b \ b), \begin{pmatrix} a \\ a \end{pmatrix}, \begin{pmatrix} b \\ b \end{pmatrix} \right\rangle^2$$

$$Y = \left\langle c, d \mid (c \ d), (d, c), \begin{pmatrix} c \\ d \end{pmatrix} \right\rangle^2$$



$\in X * Y$

The rosetta stone

Group G	Subshift X
Group with n generators	Subshift on n symbols
Free group with n generators	Full shift on n symbols
Word problem $WP(G)$	co-language $\mathcal{L}(X)^c$
Finitely presented group	SFT
Recursively presented group	Effectively closed subshift
Simple group	Minimal subshift
G_1 is a quotient of G_2	$X_1 \subseteq X_2$
$G_1 \subseteq G_2$ by restricting the generators	$\mathcal{L}(X_1) = \mathcal{L}(X_2) \cap \Sigma^{*d}$

Some decidability theorems

Theorem It is **undecidable** whether a **f.p. group is trivial**.

Theorem **f.p. simple groups** have **computable word problem**.

Theorem It is **undecidable** whether an **SFT is empty**.

Theorem A **minimal SFT** has **computable language**

Restrictions: powerfullness

Take X an effective subshift on some alphabet Σ .

There exists a **computable family of forbidden patterns** \mathcal{F} generating it.

$X' = \langle \Sigma \cup \{\#\} \mid \mathcal{F} \rangle$ has a computable language:

Any pattern on Σ containing no pattern of \mathcal{F} may appear between $\#$.

The restriction of X' to Σ is X which may not have a computable language.

1. Analogies
2. Higman embedding theorem
3. Relative Higman embedding theorem
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Higman embedding theorem for groups

Theorem [Higman 1961] G is **recursively presented** iff it is a **subgroup** of some **finitely presented group** H .

The proof is stronger actually: G is obtained by restriction of H .

Statement reminiscent of :

Theorem [Hochman 2009, Aubrun Sablik 2013, Durand Romashchenko Shen 2011]

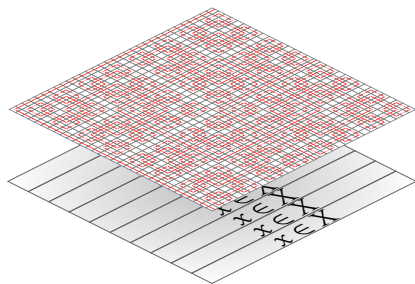
A **subshift** of dimension d is **effective** iff it is a **projective subaction** of some **SFT** of dimension $d + 1$.

Higman embedding theorem for subshifts

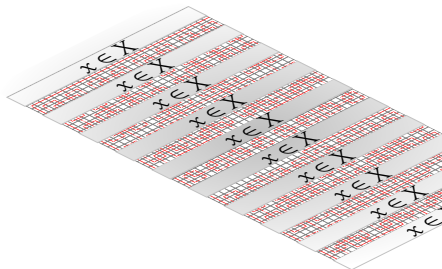
Theorem X is an effective subshift iff it can be obtained by restriction of some SFT Y .

Proof.

AS 2013, DRS 2011 proof:



Small modification:



X is then obtained by restricting Y to X 's alphabet.

□

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Relative embedding theorem for groups

Definition G is **finitely presented** over H if it can be obtained by **adding finitely many generators and relations** to H .

Definition $A \leq_e B$ iff there exists $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{P}_{finite}(\mathbb{N})$ computable s.t.:

$$x \in A \Leftrightarrow \exists n \in \mathbb{N}, f(n, x) \subseteq B$$

A is uniformly enumerable from any enumeration of B .

Theorem [Higman Scott 1988] K is a subgroup of a finitely presented group over G iff $WP(K) \leq_e WP(G)$.

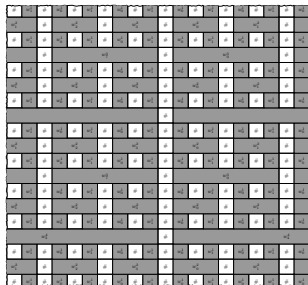
Relative embedding theorem for subshifts

Definition X is an **SFT over Y** if it can be obtained by **adding finitely many symbols and forbidden patterns to Y** .

Theorem X is a restriction of an SFT over Y iff $\mathcal{L}(X)^c \leq_e \mathcal{L}(Y)^c$.

Proof idea

Make a subshift containing $\mathcal{L}(Y)$ in at least one configuration:



Insert lines of X inbetween the lines: if a pattern m is not allowed, then $\exists n, f(n, m) \notin \mathcal{L}(Y)$, forbid that.

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Boone-Higman-Thompson theorem

For groups:

Theorem [Boone-Higman 1974, Thompson 1980]

$WP(G)$ is computable iff G is a **subgroup of a simple finitely presented group**.

For subshifts:

Theorem **$\mathcal{L}(X)$ is computable** iff X is a **restriction of a minimal effective subshift**.

Proof

Clear:

X minimal effectively closed $\Rightarrow \mathcal{L}(X) \cap \Sigma^*$ computable.

Not so clear:

$\mathcal{L}(X)$ computable \Rightarrow there exists X' minimal effectively closed
such that $\mathcal{L}(X) = \mathcal{L}(X') \cap \Sigma^*$.

Proof: Two steps

Theorem A subshift X has a computable language iff it is the restriction of some minimal effective subshift Y .

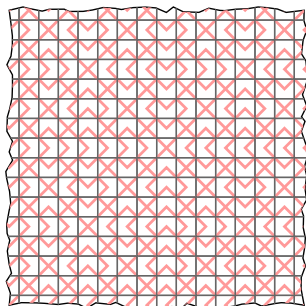
Theorem [Durand Romashchenko 2018] An minimal effective subshift can be realized as a subaction of some minimal SFT.

Minimality

Definition A subshift X is **minimal** iff there is **no subshift** Y s.t. $Y \subsetneq X$.

For each pattern there is a *window* in which it always appears.

Example :

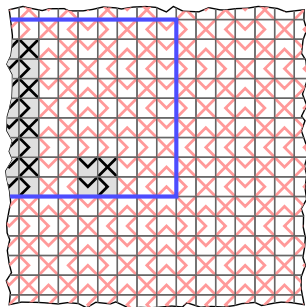


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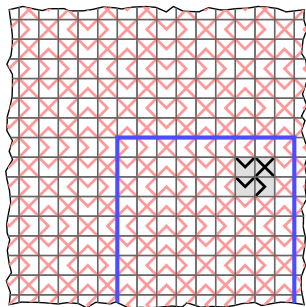


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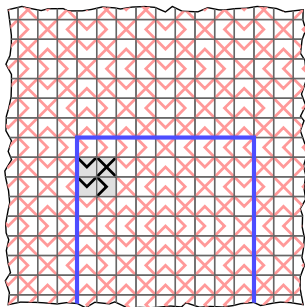


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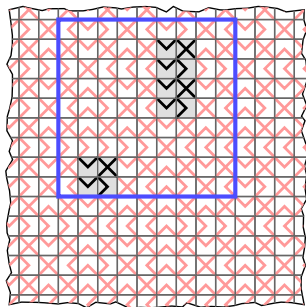


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Example :



Proof: minimal effective construction

#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_1^2	#	w_2^2				#	w_3^2				#	w_0^2				#	w_1^2				#
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
#	#	w_7^3								#	w_0^3								#		
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_1^2	#	w_2^2				#	w_3^2				#	w_0^2				#	w_1^2				#
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
										#											
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_1^2	#	w_2^2				#	w_3^2				#	w_0^2				#	w_1^2				#
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
#	#	w_7^3								#	w_0^3								#		
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_1^2	#	w_2^2				#	w_3^2				#	w_0^2				#	w_1^2				#
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_3^4										#	w_4^4										
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		

Proof: minimal effective construction

	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1
→	w_1^2	#	w_2^2			#	w_3^2			#	w_0^2			#	w_1^2			#		
	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1
		#	w_7^3							#	w_0^3							#		
	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1
→	w_1^2	#	w_2^2			#	w_3^2			#	w_0^2			#	w_1^2			#		
	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1
									#											
	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1
→	w_1^2	#	w_2^2			#	w_3^2			#	w_0^2			#	w_1^2			#		
	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1
		#	w_7^3							#	w_0^3							#		
	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1
→	w_1^2	#	w_2^2			#	w_3^2			#	w_0^2			#	w_1^2			#		
	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1
		w_3^4							#	w_4^4										
4. Boone-Higman-Thompson theorem	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1

Proof: minimal effective construction

#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_1^2	#	w_2^2				#	w_3^2				#	w_0^2				#	w_1^2				#
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
#	#	w_7^3								#	w_0^3								#		
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_1^2	#	w_2^2				#	w_3^2				#	w_0^2				#	w_1^2				#
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
→											#										
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_1^2	#	w_2^2				#	w_3^2				#	w_0^2				#	w_1^2				#
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
#	#	w_7^3								#	w_0^3								#		
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
w_1^2	#	w_2^2				#	w_3^2				#	w_0^2				#	w_1^2				#
#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1		
→	w_3^4										#	w_4^4									
4. Boone-Higman-Thompson theorem	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	#	w_1^1	#	w_0^1	

Proof: minimal effective construction

Let's make a computable configuration:

- Every row has a level l and contains all pairs of words of $\mathcal{L}(X)$ of length p_l separated by # periodically.
- A row of level l appears every 2^l lines and contains words of length p_l the period of the previous level.
- The row of level 0 appears at most once and contains no #.

The subshift thus generated is minimal and effective.

- 1. Analogies**
- 2. Higman embedding theorem**
- 3. Relative Higman embedding theorem**
- 4. Boone-Higman-Thompson theorem**
- 5. Conclusion**

Conclusion

- Dictionnary between subshifts/groups: not perfect, room for improvement.
- Intuition for proving theorems on subshifts
- What about theorems on groups ?

