



# COMPOSITIO MATHEMATICA

## Derived categories and rationality of conic bundles

Marcello Bernardara and Michele Bolognesi

Compositio Math. **149** (2013), 1789–1817.

[doi:10.1112/S0010437X13007392](https://doi.org/10.1112/S0010437X13007392)



FOUNDATION  
COMPOSITIO  
MATHEMATICA



LONDON  
MATHEMATICAL  
SOCIETY



# Derived categories and rationality of conic bundles

Marcello Bernardara and Michele Bolognesi

## ABSTRACT

We show that a standard conic bundle over a minimal rational surface is rational and its Jacobian splits as the direct sum of Jacobians of curves if and only if its derived category admits a semiorthogonal decomposition by exceptional objects and the derived categories of those curves. Moreover, such a decomposition gives the splitting of the intermediate Jacobian also when the surface is not minimal.

## 1. Introduction

One of the main fields of research in the theory of derived categories is understanding how the geometry of a smooth projective variety  $X$  is encoded in the bounded derived category  $D^b(X)$  of coherent sheaves on it. One of the main ideas, first developed by Bondal and Orlov, is to understand to which extent this category contains interesting information about birational geometry.

The biggest problem is to understand how this information can be traced out. The most promising and, so far, prolific approach is studying semiorthogonal decompositions

$$D^b(X) = \langle \mathbf{A}_1, \dots, \mathbf{A}_k \rangle.$$

In many interesting situations, one has such a decomposition with all or almost all of the  $\mathbf{A}_i$  equivalent to the derived category of a point. If  $X$  is a projective space or a smooth quadric, all of the  $\mathbf{A}_i$  are like this. It is expected that if a non-trivial subcategory appears in such decomposition, then it has to carry information about the birational geometry of  $X$ . For example, if  $X$  is a  $V_{14}$  Fano threefold, then  $D^b(X)$  admits a semiorthogonal decomposition with only one non-trivial component, say  $\mathbf{A}_X$ . A similar decomposition holds for any smooth cubic threefold. Kuznetsov showed that if  $Y$  is the unique cubic threefold birational to  $X$  (see [IM00]),  $\mathbf{A}_X$  is equivalent to the non-trivial component  $\mathbf{A}_Y$  of  $D^b(Y)$ , and then it is a birational invariant for  $X$  [Kuz04]. Moreover it has been shown in [BMMS12], by reconstructing the Fano variety of lines on  $Y$  from  $\mathbf{A}_Y$ , that  $\mathbf{A}_Y$  determines the isomorphism class of  $Y$ . Similar correspondences between the non-trivial components of semiorthogonal decompositions of pairs of Fano threefolds are described in [Kuz09]. The derived category of a smooth cubic fourfold also admits such a decomposition, and it is conjectured that the non-trivial component determines its rationality [Kuz10].

It is a classical and still an open problem in complex algebraic geometry to study the rationality of a standard conic bundle  $\pi : X \rightarrow S$  over a smooth projective surface. A necessary condition

---

Received 20 April 2012, accepted in final form 13 May 2013, published online 7 October 2013.

*2010 Mathematics Subject Classification* 14E08, 14F05, 14H40, 14J30 (primary).

*Keywords:* conic bundles, rational threefolds, derived categories, semiorthogonal decomposition, intermediate Jacobian.

The first named author was supported by the SFB/TR 45 ‘Periods, moduli spaces, and arithmetic of algebraic varieties’.

This journal is © Foundation Compositio Mathematica 2013.

for rationality is that the intermediate Jacobian  $J(X)$  is isomorphic, as principally polarized Abelian variety, to the direct sum of Jacobians of smooth projective curves. This allowed Clemens and Griffiths to prove the non-rationality of smooth cubic threefolds [CG72]. The discriminant locus of the conic bundle is a curve  $C \subset S$ , with at most double points [Bea77b, Proposition 1.2]. The smooth points of  $C$  correspond to two intersecting lines, and the nodes to double lines. There is then a natural étale double cover (an admissible cover if  $C$  is singular [Bea77b])  $\tilde{C} \rightarrow C$  of the curve  $C$  associated to  $X$ . The intermediate Jacobian  $J(X)$  is then isomorphic to the Prym variety  $P(\tilde{C}/C)$  as principally polarized Abelian variety [Bea77b]. This has allowed Beauville to show the non-rationality of conic bundles over  $\mathbb{P}^2$  with discriminant curve of degree greater than or equal to 6 [Bea77b]. Note that if  $S$  is not rational or  $C$  disconnected, then  $X$  cannot be rational. We will then not consider these cases. Moreover, since  $X$  is standard,  $p_a(C)$  is positive (see e.g. [Isk87, § 1]).

If  $S$  is a minimal rational surface, then Shokurov [Sho84] has shown that  $X$  is rational if and only if  $J(X)$  splits as the direct sum of Jacobians of smooth projective curves and that this happens only in five cases: if  $S = \mathbb{P}^2$ , either  $C$  is a cubic, or a quartic, or  $C$  is a quintic and  $\tilde{C} \rightarrow C$  is given by an even theta-characteristic; if  $S = \mathbb{F}_n$ , either  $C$  is hyperelliptic or  $C$  is trigonal and in both cases the map to  $\mathbb{P}^1$  is induced by the ruling of  $S$ . If  $S$  is not minimal, it is conjectured that there are essentially no more cases [Isk87].

Our aim is to give a categorical approach to this problem, using semiorthogonal decompositions. Indeed, in [Kuz08] Kuznetsov considers the sheaf  $\mathcal{B}_0$  of even parts of Clifford algebras associated to the quadratic form defining the conic fibration, and  $D^b(S, \mathcal{B}_0)$  the bounded derived category of coherent  $\mathcal{B}_0$ -algebras over  $S$ . He describes a fully faithful functor  $\Phi : D^b(S, \mathcal{B}_0) \rightarrow D^b(X)$  and gives a semiorthogonal decomposition for the derived category of  $X$  as follows:

$$D^b(X) = \langle \Phi D^b(S, \mathcal{B}_0), \pi^* D^b(S) \rangle.$$

If  $S$  is a rational surface, its derived category admits a full exceptional sequence, which leads to the following semiorthogonal decomposition

$$D^b(X) = \langle \Phi D^b(S, \mathcal{B}_0), E_1, \dots, E_s \rangle, \tag{1.1}$$

where  $\{E_i\}_{i=1}^s$  are exceptional objects. The non-trivial information about the geometry of the conic bundle is contained in the category  $D^b(S, \mathcal{B}_0)$ . Note that in the case where  $X$  is the blow-up of a smooth cubic threefold  $Y$  along a line,  $D^b(S, \mathcal{B}_0)$  contains  $\mathbf{A}_Y$ , which identifies the isomorphism class of  $Y$  [BMMS12]. Note that a different approach to the same problem, via generalized homological mirror symmetry, leads to the conjectures stated in [Kat09, Kat10]. Anyway we do not establish any link with the results described here.

**THEOREM 1.1.** *Let  $\pi : X \rightarrow S$  be a standard conic bundle over a rational surface. Suppose that  $\{\Gamma_i\}_{i=1}^k$  are smooth projective curves and  $k \geq 0$ , with fully faithful functors  $\Psi_i : D^b(\Gamma_i) \rightarrow D^b(S, \mathcal{B}_0)$  for  $i = 1, \dots, k$ , such that  $D^b(S, \mathcal{B}_0)$  admits a semiorthogonal decomposition,*

$$D^b(S, \mathcal{B}_0) = \langle \Psi_1 D^b(\Gamma_1), \dots, \Psi_k D^b(\Gamma_k), E_1, \dots, E_l \rangle, \tag{1.2}$$

where  $E_i$  are exceptional objects and  $l \geq 0$ . Then  $J(X) = \bigoplus_{i=1}^k J(\Gamma_i)$  as principally polarized Abelian variety.

If  $S$  is non-rational, then so is  $X$  and Theorem 1.1 fails; its proof relies indeed strictly on the rationality of  $S$ . In § 6.3 we provide an example of a standard conic bundle over a non-rational surface with  $D^b(S, \mathcal{B}_0)$  decomposing in derived categories of smooth projective curves.

The interest of Theorem 1.1 is twofold: firstly, it is the first non-trivial example where information on the birational properties and on algebraically trivial cycles is obtained directly from a semiorthogonal decomposition. Secondly, it gives a categorical criterion of rationality for conic bundles over minimal surfaces. Indeed, Shokurov [Sho84, 10.1] proves that for such surfaces a conic bundle is rational if and only if the Jacobian splits. We can also prove the other implication by a case by case analysis.

**THEOREM 1.2.** *If  $S$  is a rational minimal surface, then  $X$  is rational and  $J(X) = \bigoplus_{i=1}^k J(\Gamma_i)$  if and only if there are fully faithful functors  $\Psi_i : D^b(\Gamma_i) \rightarrow D^b(S, \mathcal{B}_0)$  and a semiorthogonal decomposition*

$$D^b(S, \mathcal{B}_0) = \langle \Psi_1 D^b(\Gamma_1), \dots, \Psi_k D^b(\Gamma_k), E_1, \dots, E_l \rangle,$$

where  $E_i$  are exceptional objects and  $l \geq 0$ .

The key of the proof of Theorem 1.1 is the study of the maps induced by a fully faithful functor  $\Psi : D^b(\Gamma) \rightarrow D^b(X)$  on the rational Chow motives, as explained in [Orl05], where  $\Gamma$  is a smooth projective curve of positive genus. In particular, the biggest step consists in proving that such a functor induces an injective morphism  $\psi : J(\Gamma) \rightarrow J(X)$  preserving the principal polarization. The existence of the required semiorthogonal decomposition implies then the bijectivity of the sum of the  $\psi_i$ .

The paper is organized as follows: in §§ 2 and 3 we recall respectively basic facts about motives and derived categories and the construction from [Orl05], and the description of motive, derived category and intermediate Jacobian of a conic bundle. In § 4 we prove Theorem 1.1, and in §§ 5 and 6 we finish the proof of Theorem 1.2, analyzing respectively the case  $S = \mathbb{P}^2$  and  $S = \mathbb{F}_n$ .

## Notation

Except for § 2, we work over the complex field  $\mathbb{C}$ . Any triangulated category is assumed to be essentially small. Given a smooth projective variety  $X$ , we denote by  $D^b(X)$  the bounded derived category of coherent sheaves on it, by  $K_0(X)$  its Grothendieck group, by  $\mathrm{CH}^d(X)$  the Chow group of codimension  $d$  cycles and by  $A^d(X)$  the subgroup of algebraically trivial cycles in  $\mathrm{CH}^d(X)$ . The subscript  $\mathbb{Q}$  is used there whenever we consider  $\mathbb{Q}$ -coefficients, while  $h(X)$  already denotes the rational Chow motive. We will denote by  $\mathrm{Prym}(\tilde{C}/C)$  the Prym motive and by  $P(\tilde{C}/C)$  the Prym variety for an admissible double cover  $\tilde{C} \rightarrow C$ . Whenever a functor between derived categories is given, it will be denoted as underived, for example for  $f : X \rightarrow Y$ ,  $f^*$  and  $f_*$  denote respectively the derived pull-back and push-forward.

## 2. Preliminaries

In this section, we recall some basic facts about motives, derived categories, semiorthogonal decompositions and Fourier–Mukai functors. The experienced reader can easily skip §§ 2.1 and 2.2. In § 2.3, we explain how a Fourier–Mukai functor induces a motivic map, following [Orl05], and we retrace the results from [Ber07] under this point of view to give a baby example clarifying some of the arguments we will use later.

### 2.1 Motives

We give a brief introduction to rational Chow motives, following [Sch94]. The most important results we will need are the correspondence between the submotive  $h^1(C) \subset h(C)$  of a smooth projective curve and its Jacobian, and the Chow–Künneth decomposition of the motive of a smooth surface.

Let  $X$  be a smooth projective scheme over a field  $\mathbb{k}$ . For any integer  $d$ , let  $\mathcal{Z}^d(X)$  be the free Abelian group generated by irreducible subvarieties of  $X$  of codimension  $d$ . We denote by  $\mathrm{CH}^d(X) = \mathcal{Z}^d(X)/\sim_{\mathrm{rat}}$  the codimension  $d$  Chow group, and we also write  $\mathrm{CH}_{\mathbb{Q}}^d(X) := \mathrm{CH}^d(X) \otimes \mathbb{Q}$ . In this section, we are only concerned with rational coefficients. Let  $Y$  be a smooth projective scheme. If  $X$  is purely  $d$ -dimensional, we put, for any integer  $r$ ,

$$\mathrm{Corr}^r(X, Y) := \mathrm{CH}_{\mathbb{Q}}^{d+r}(X \times Y).$$

If  $X = \coprod X_i$ , where  $X_i$  is connected, we put

$$\mathrm{Corr}^r(X, Y) := \bigoplus \mathrm{Corr}^r(X_i, Y) \subset \mathrm{CH}_{\mathbb{Q}}^*(X \times Y).$$

If  $Z$  is a smooth projective scheme, the composition of correspondences is defined by a map,

$$\begin{aligned} \mathrm{Corr}^r(X, Y) \otimes \mathrm{Corr}^s(Y, Z) &\longrightarrow \mathrm{Corr}^{r+s}(X, Z) \\ f \otimes g &\longmapsto g \circ f := p_{13*}(p_{12}^* f \cdot p_{23}^* g) \end{aligned} \tag{2.1}$$

where  $p_{ij}$  are the projections from  $X \times Y \times Z$  onto products of two factors.

The category  $\mathcal{M}_{\mathbb{k}}$  of Chow motives over  $\mathbb{k}$  with rational coefficients is defined as follows: an object of  $\mathcal{M}_{\mathbb{k}}$  is a triple  $(X, p, m)$ , where  $X$  is a variety,  $m$  an integer and  $p \in \mathrm{Corr}^0(X, X)$  an idempotent, called a *projector*. Morphisms from  $(X, p, m)$  to  $(Y, q, n)$  are given by elements of  $\mathrm{Corr}^{n-m}(X, Y)$  precomposed with  $p$  and composed with  $q$ :

$$\mathrm{Hom}_{\mathcal{M}_{\mathbb{k}}}((X, p, m), (Y, q, n)) = q \mathrm{Corr}^{n-m}(X, Y) p \subset \mathrm{Corr}^{n-m}(X, Y).$$

There exists a tensor product on  $\mathcal{M}_{\mathbb{k}}$ , which is defined on objects by

$$(X, p, m) \otimes (Y, q, n) = (X \times Y, p \otimes q, m + n),$$

whereas on morphisms it is defined by

$$q_1 f_1 p_1 \otimes q_2 f_2 p_2 = (q_1 \otimes q_2)(f_1 \otimes f_2)(p_1 \otimes p_2) \in \mathrm{Corr}^{n_1+n_2-m_1-m_2}(X_1 \times X_2, Y_1 \times Y_2)$$

if  $q_i f_i p_i : (X_i, p_i, m_i) \rightarrow (Y_i, q_i, n_i)$ . Moreover, there is a natural functor  $h$  from the category of smooth projective schemes to the category of motives, defined by  $h(X) = (X, \mathrm{Id}, 0)$ , and, for any morphism  $\phi : X \rightarrow Y$ ,  $h(\phi)$  being the correspondence given by the graph of  $\phi$ . A triple  $(X, p, 0)$  is then considered as a formal direct summand of  $h(X)$  corresponding to  $p$ . We write  $\mathbb{Q} := (\mathrm{Spec} \mathbb{k}, \mathrm{Id}, 0)$  for the unit motive and  $\mathbb{Q}(-1) := (\mathrm{Spec} \mathbb{k}, \mathrm{Id}, -1)$  for the Tate (or Lefschetz) motive, and  $\mathbb{Q}(-i) := \mathbb{Q}(-1)^{\otimes i}$  for  $i > 0$ . We write  $h(X)(-i) := h(X) \otimes \mathbb{Q}(-i)$ . Given a triple  $(X, p, 0)$ , the triple  $(X, p, i)$  will then give a formal direct summand of  $h(X)(i)$ . Finally, we have  $\mathrm{Hom}(\mathbb{Q}(-d), h(X)) = \mathrm{CH}_{\mathbb{Q}}^d(X)$  for all smooth projective schemes  $X$  and all integers  $d$ .

If  $X$  is irreducible of dimension  $d$  and has a rational point, the embedding  $\alpha : pt \rightarrow X$  of the point defines a motivic map  $\mathbb{Q} \rightarrow h(X)$ . We denote by  $h^0(X)$  its image: if  $p_0 := pt \times X \subset X \times X$ , the triple  $(X, p_0, 0)$  gives  $h^0(X)$ . Denote by  $h^{\geq 1}(X)$  the quotient of  $h(X)$  via  $h^0(X)$ . Similarly, we have that  $\mathbb{Q}(-d)$  is a quotient of  $h(X)$ , and we denote it by  $h^{2d}(X)$ : if  $p_{2d} := X \times pt \subset X \times X$ , the triple  $(X, p_{2d}, 0)$  gives  $h^{2d}(X)$ . For example, if  $X = \mathbb{P}^1$ , we have that  $h^{\geq 1}(\mathbb{P}^1) = h^2(\mathbb{P}^1)$  and then  $h(\mathbb{P}^1) \simeq \mathbb{Q} \oplus \mathbb{Q}(-1)$ . In the case of smooth projective curves of positive genus another factor which corresponds to the Jacobian variety of the curve is appearing.

Let  $C$  be a smooth projective connected curve with a rational point. Then one can define a motive  $h^1(C)$  such that we have a direct sum:

$$h(C) = h^0(C) \oplus h^1(C) \oplus h^2(C).$$

The main fact is that the theory of the motives  $h^1(C)$  corresponds to that of Jacobian varieties (up to isogeny). Indeed we have

$$\mathrm{Hom}(h^1(C), h^1(C')) = \mathrm{Hom}(J(C), J(C')) \otimes \mathbb{Q}.$$

In particular, the full subcategory of  $\mathcal{M}_{\mathbb{k}}$  whose objects are direct summands of the motive  $h^1(C)$  is equivalent to the category of Abelian subvarieties of  $J(C)$  up to isogeny. Finally, for all  $d$  there is no non-trivial map  $h^1(C) \rightarrow h^1(C)$  factoring through  $\mathbb{Q}(-d)$ . Indeed, we have  $\mathrm{Hom}(h^1(C), \mathbb{Q}(-d)) = \mathrm{CH}_{\mathbb{Q}}^{1-d}(C)_{\mathrm{num}=0}$ , the numerically trivial part of the Chow group of rational codimension  $1-d$  cycles. Such a group is zero unless  $d=0$ . On the other hand,  $\mathrm{Hom}(\mathbb{Q}(-d), h^1(C)) = \mathrm{CH}_{\mathbb{Q}}^d(C)_{\mathrm{num}=0}$ , the numerically trivial part of the Chow group of rational codimension  $d$  cycles. Such group is zero unless  $d=1$ .

Let  $S$  be a surface. Murre constructed [Mur90] the motives  $h^i(S)$ , defined by projectors  $p_i$  in  $\mathrm{CH}_{\mathbb{Q}}^i(S \times S)$  for  $i=1, 2, 3$ , and described a decomposition

$$h(S) = h^0(S) \oplus h^1(S) \oplus h^2(S) \oplus h^3(S) \oplus h^4(S).$$

We have already remarked that  $h^0(S) = \mathbb{Q}$  and  $h^4(S) = \mathbb{Q}(-2)$ . Roughly speaking, the submotive  $h^1(S)$  carries the Picard variety, the submotive  $h^3(S)$  carries the Albanese variety and the submotive  $h^2(S)$  carries the Néron–Severi group, the Albanese kernel and the transcendental cycles. If  $S$  is a smooth rational surface and  $\mathbb{k} = \bar{\mathbb{k}}$ , then  $h^1(S)$  and  $h^3(S)$  are trivial, while  $h^2(S) \simeq \mathbb{Q}(-1)^\rho$ , where  $\rho$  is the rank of the Néron–Severi group. In particular, the motive of  $S$  splits in a finite direct sum of (differently twisted) Tate motives.

In general, it is expected that if  $X$  is a smooth projective  $d$ -dimensional variety, there exist projectors  $p_i$  in  $\mathrm{CH}_{\mathbb{Q}}^i(X \times X)$  defining motives  $h^i(X)$  such that  $h(X) = \bigoplus_{i=0}^{2d} h^i(X)$ . Such a decomposition is called a *Chow–Künneth decomposition*. We have seen that the motive of any smooth projective curve or surface admits a Chow–Künneth decomposition. This is true also for the motive of a smooth uniruled complex threefold [AM98].

## 2.2 Semiorthogonal decomposition, exceptional objects and mutations

We introduce here semiorthogonal decompositions, exceptional objects and mutations in a  $\mathbb{k}$ -linear triangulated category  $\mathbf{T}$ , following [BK90, BO95, Bon90], and give some examples which will be useful later on. Our only applications will be given in the case where  $\mathbf{T}$  is the bounded derived category of a smooth projective variety, but we stick to the more general context. Recall that whenever a functor between derived categories is given, it will be denoted as underived, for example for  $f : X \rightarrow Y$ ,  $f^*$  and  $f_*$  denote respectively the derived pull-back and push-forward.

A full triangulated category  $\mathbf{A}$  of  $\mathbf{T}$  is called *admissible* if the embedding functor admits a left and a right adjoint.

DEFINITION 2.1 [BK90, BO95]. A *semiorthogonal decomposition* of  $\mathbf{T}$  is a sequence of full admissible triangulated subcategories  $\mathbf{A}_1, \dots, \mathbf{A}_n$  of  $\mathbf{T}$  such that  $\mathrm{Hom}_{\mathbf{T}}(A_i, A_j) = 0$  for all  $i > j$  and for all objects  $A_i$  in  $\mathbf{A}_i$  and  $A_j$  in  $\mathbf{A}_j$ , and for every object  $T$  of  $\mathbf{T}$ , there is a chain of morphisms  $0 = T_n \rightarrow T_{n-1} \rightarrow \dots \rightarrow T_1 \rightarrow T_0 = T$  such that the cone of  $T_k \rightarrow T_{k-1}$  is an object of  $\mathbf{A}_k$  for all  $k = 1, \dots, n$ . Such a decomposition will be written

$$\mathbf{T} = \langle \mathbf{A}_1, \dots, \mathbf{A}_n \rangle.$$

DEFINITION 2.2 [Bon90]. An object  $E$  of  $\mathbf{T}$  is called *exceptional* if  $\mathrm{Hom}_{\mathbf{T}}(E, E) = \mathbb{k}$ , and  $\mathrm{Hom}_{\mathbf{T}}(E, E[i]) = 0$  for all  $i \neq 0$ . A collection  $(E_1, \dots, E_l)$  of exceptional objects is called *exceptional* if  $\mathrm{Hom}_{\mathbf{T}}(E_j, E_k[i]) = 0$  for all  $j > k$  and for all integer  $i$ . If no confusion arises, given

an exceptional sequence  $(E_1, \dots, E_l)$  in  $\mathbf{T}$ , we will denote by  $\mathbf{E} := \langle E_1, \dots, E_l \rangle$  the triangulated subcategory of  $\mathbf{T}$  generated by the sequence.

If  $E$  in  $\mathbf{T}$  is an exceptional object, the triangulated category generated by  $E$  (that is, the smallest full triangulated subcategory of  $\mathbf{T}$  containing  $E$ ) is equivalent to the derived category of a point, seen as a smooth projective variety. The equivalence  $D^b(pt) \rightarrow \langle E \rangle \subset \mathbf{T}$  is indeed given by sending  $\mathcal{O}_{pt}$  to  $E$ . Given an exceptional collection  $(E_1, \dots, E_l)$  in the derived category  $D^b(X)$  of a smooth projective variety, there is a semiorthogonal decomposition [BO95]

$$D^b(X) = \langle \mathbf{A}, \mathbf{E} \rangle,$$

where  $\mathbf{A}$  is the full triangulated subcategory whose objects are all the  $A$  satisfying  $\text{Hom}(E_i, A) = 0$  for all  $i = 1, \dots, l$ . We say that the exceptional sequence is *full* if the category  $\mathbf{A}$  is trivial.

There are many examples of smooth projective varieties admitting a full exceptional sequence. For example the sequence  $(\mathcal{O}(i), \dots, \mathcal{O}(i+n))$  is full exceptional in  $D^b(\mathbb{P}^n)$  for all  $i$  integer [Bei84]. If  $X$  is an odd-dimensional smooth quadric hypersurface in  $\mathbb{P}^n$  and  $\Sigma$  the spinor bundle, the sequence  $(\Sigma(i), \mathcal{O}(i+1), \dots, \mathcal{O}(i+n))$  is full exceptional in  $D^b(X)$  and a similar sequence (with two spinor bundles) exists for even-dimensional smooth quadric hypersurfaces [Kap88].

PROPOSITION 2.3 [Orl93]. *Let  $X$  be a smooth projective variety and  $F$  a locally free sheaf of rank- $(r+1)$  over it. Let  $p: \mathbb{P}(F) \rightarrow X$  be the associated projective bundle. The functor  $p^*: D^b(X) \rightarrow D^b(\mathbb{P}(F))$  is fully faithful and for all integer  $i$  we have the semiorthogonal decomposition*

$$D^b(\mathbb{P}(F)) = \langle p^*D^b(X) \otimes \mathcal{O}_{\mathbb{P}/X}(i), \dots, p^*D^b(X) \otimes \mathcal{O}_{\mathbb{P}/X}(i+r) \rangle,$$

where  $\mathcal{O}_{\mathbb{P}/X}(1)$  is the Grothendieck line bundle of the projectivization.

PROPOSITION 2.4 [Orl93]. *Let  $X$  be a smooth projective variety,  $Y \hookrightarrow X$  a smooth projective subvariety of codimension  $d > 1$  and  $\varepsilon: \tilde{X} \rightarrow X$  the blow-up of  $X$  along  $Y$ . Let  $D \xrightarrow{\iota} \tilde{X}$  be the exceptional divisor and  $p: D \rightarrow Y$  the restriction of  $\varepsilon$ . Then  $\varepsilon^*: D^b(X) \rightarrow D^b(\tilde{X})$  is fully faithful and, for all  $j$ , there are fully faithful functors  $\Psi^j: \varepsilon^*D^b(Y) \rightarrow D^b(\tilde{X})$  giving the following semiorthogonal decomposition:*

$$D^b(\tilde{X}) = \langle \Psi^{-d+1}D^b(Y), \dots, \Psi^{-1}D^b(Y), \varepsilon^*D^b(X) \rangle.$$

Notice that the fully faithful functors  $\Psi^j$  are explicitly given by  $\Psi^j(-) = \iota_*(p^*(-) \otimes \mathcal{O}_{D/Y}(j))$ .

We will refer to Proposition 2.4 as the *Orlov formula* for blow-ups.

We finally remark that if  $X$  has dimension at most 2 and is rational and  $\mathbb{k} = \mathbb{C}$ , the derived category  $D^b(X)$  admits a full exceptional sequence. We have already seen this for  $\mathbb{P}^1$  and  $\mathbb{P}^2$ . If  $X$  is a Hirzebruch surface, then it has a four-object full exceptional sequence by Proposition 2.3 and the decomposition of  $\mathbb{P}^1$ . We conclude this by the birational classification of smooth complex projective surfaces and the Orlov formula for blow-ups. In particular a complex rational surface with Picard number  $\rho$  has a full exceptional sequence of  $\rho + 2$  objects.

Given a semiorthogonal decomposition  $\langle \mathbf{A}_1, \dots, \mathbf{A}_n \rangle$  of  $\mathbf{T}$ , we can define an operation called *mutation* (called originally, in Russian, *perestroika*) which allows to give new semiorthogonal decompositions with equivalent components. What we need here is the following fact, gathering different results from [Bon90].

PROPOSITION 2.5. *Suppose that  $\mathbf{T}$  admits a semiorthogonal decomposition  $\langle \mathbf{A}_1, \dots, \mathbf{A}_n \rangle$ . Then for each  $1 \leq k \leq n - 1$ , there exists an endofunctor is a semiorthogonal decomposition*

$$\mathbf{T} = \langle \mathbf{A}_1, \dots, \mathbf{A}_{k-1}, L_{\mathbf{A}_k}(\mathbf{A}_{k+1}), \mathbf{A}_k, \mathbf{A}_{k+2}, \dots, \mathbf{A}_n \rangle,$$

where  $L_{\mathbf{A}_k} : \mathbf{A}_{k+1} \rightarrow L_{\mathbf{A}_k}(\mathbf{A}_{k+1})$  is an equivalence, called the left mutation through  $\mathbf{A}_k$ . Similarly, for each  $2 \leq k \leq n$ , there is a semiorthogonal decomposition

$$\mathbf{T} = \langle \mathbf{A}_1, \dots, \mathbf{A}_{k-2}, \mathbf{A}_k, R_{\mathbf{A}_k}(\mathbf{A}_{k-1}), \mathbf{A}_{k+1}, \dots, \mathbf{A}_n \rangle,$$

where  $R_{\mathbf{A}_k} : \mathbf{A}_{k-1} \rightarrow R_{\mathbf{A}_k}(\mathbf{A}_{k-1})$  is an equivalence, called the right mutation through  $\mathbf{A}_k$ .

Note in particular that the mutation of an exceptional object is an exceptional object. If  $\mathbf{T}$  is the bounded derived category of a smooth projective variety and  $n = 2$ , there is a very useful explicit formula for left and right mutations.

LEMMA 2.6 [BK90]. *Let  $X$  be a smooth projective variety and  $D^b(X) = \langle \mathbf{A}, \mathbf{B} \rangle$  a semiorthogonal decomposition. Then  $L_{\mathbf{A}}(\mathbf{B}) = \mathbf{B} \otimes \omega_X$  and  $R_{\mathbf{B}}(\mathbf{A}) = \mathbf{A} \otimes \omega_X^{-1}$ .*

### 2.3 Fourier–Mukai functors, motives and Chow groups

Fourier–Mukai functors are the main tool in studying derived categories of coherent sheaves. We recall here the main properties of a Fourier–Mukai functor and how it interacts with other theories, such as the Grothendieck group, Chow rings and motives. A more detailed treatment (except for motives, see [Orl05]) can be found in [Huy06, ch. 5].

Let  $X$  and  $Y$  be smooth projective varieties of dimension  $n$  and  $m$  respectively and  $\mathcal{E}$  an object of  $D^b(X \times Y)$ . The *Fourier–Mukai functor*  $\Phi_{\mathcal{E}} : D^b(Y) \rightarrow D^b(X)$  with kernel  $\mathcal{E}$  is given by  $\Phi_{\mathcal{E}}(A) = p_*(q^*A \otimes \mathcal{E})$ , where  $p$  and  $q$  denote the projections from  $X \times Y$  onto  $X$  and  $Y$  respectively. We will sometimes drop the subscript  $\mathcal{E}$ . If  $Z$  is a smooth projective variety,  $\Phi_{\mathcal{E}} : D^b(Y) \rightarrow D^b(X)$  and  $\Phi_{\mathcal{F}} : D^b(X) \rightarrow D^b(Z)$ , then the composition  $\Phi_{\mathcal{F}} \circ \Phi_{\mathcal{E}}$  is the Fourier–Mukai functor with kernel

$$\mathcal{G} := p_{13*}(p_{12}^*\mathcal{E} \otimes p_{23}^*\mathcal{F}), \tag{2.2}$$

where  $p_{ij}$  are the projections from  $Y \times X \times Z$  onto products of two factors. It is worth noting the similarity between (2.2) and the composition of correspondences (2.1).

A Fourier–Mukai functor  $\Phi_{\mathcal{E}}$  always admits a left and right adjoint which are the Fourier–Mukai functors with kernel  $\mathcal{E}_L$  and  $\mathcal{E}_R$  respectively, defined by

$$\mathcal{E}_L := \mathcal{E}^\vee \otimes p^*\omega_X[n] \quad \text{and} \quad \mathcal{E}_R := \mathcal{E}^\vee \otimes q^*\omega_Y[m].$$

A celebrated result from Orlov [Orl03] shows that any fully faithful exact functor  $F : D^b(Y) \rightarrow D^b(X)$  with right and left adjoint is a Fourier–Mukai functor whose kernel is uniquely determined up to isomorphism.

Given the Fourier–Mukai functor  $\Phi_{\mathcal{E}} : D^b(Y) \rightarrow D^b(X)$ , consider the element  $[\mathcal{E}]$  in  $K_0(X \times Y)$ , given by the alternate sum of the cohomologies of  $\mathcal{E}$ . Then we have a commutative diagram,

$$\begin{array}{ccc} D^b(Y) & \xrightarrow{\Phi_{\mathcal{E}}} & D^b(X) \\ \downarrow [\ ] & & \downarrow [\ ] \\ K_0(Y) & \xrightarrow{\Phi_{\mathcal{E}}^K} & K_0(X) \end{array} \tag{2.3}$$



where  $\Phi_{\mathcal{E}}^K$  is the  $K$ -theoretical Fourier–Mukai transform defined by  $\Phi_{\mathcal{E}}^K(A) = p_!(q^*A \otimes [\mathcal{E}])$ . If  $\Phi_{\mathcal{E}}$  is fully faithful, we have  $\Phi_{\mathcal{E}_R} \circ \Phi_{\mathcal{E}} = \text{Id}_{\text{D}^b(Y)}$ . This implies  $\Phi_{\mathcal{E}_R}^K \circ \Phi_{\mathcal{E}}^K = \text{Id}_{K_0(Y)}$ , and then  $K_0(Y)$  is a direct summand of  $K_0(X)$ .

LEMMA 2.7. *Let  $X$ ,  $\{Y_i\}_{i=1,\dots,k}$  be smooth projective varieties,  $\Phi_i : \text{D}^b(Y_i) \rightarrow \text{D}^b(X)$  fully faithful functors and  $\text{D}^b(X) = \langle \Phi_1 \text{D}^b(Y_1), \dots, \Phi_k \text{D}^b(Y_k) \rangle$  a semiorthogonal decomposition. Then  $K_0(X) = \bigoplus_{i=1}^k K_0(Y_i)$ , and there is an isomorphism of  $\mathbb{Q}$ -vector spaces  $\text{CH}_{\mathbb{Q}}^*(X) \cong \bigoplus_{i=1}^k \text{CH}_{\mathbb{Q}}^*(Y_i)$ .*

*Proof.* The full and faithful functors  $\Phi_i : \text{D}^b(Y_i) \rightarrow \text{D}^b(X)$  have to be of Fourier–Mukai type and then  $K_0(Y_i)$  are direct summands of  $K_0(X)$ . The generation follows from the definition of a semiorthogonal decomposition. The isomorphism between Chow rings as vector spaces is a straightforward consequence of Grothendieck–Riemann–Roch theorem.  $\square$

Consider the element  $e := \text{ch}([\mathcal{E}]) \cdot \text{Td}(X)$  in  $\text{CH}_{\mathbb{Q}}^*(X \times Y)$ . This gives a correspondence  $e : \text{CH}_{\mathbb{Q}}^*(Y) \rightarrow \text{CH}_{\mathbb{Q}}^*(X)$  and we have a commutative diagram,

$$\begin{array}{ccc} \text{D}^b(Y) & \xrightarrow{\Phi_{\mathcal{E}}} & \text{D}^b(X) \\ \downarrow & & \downarrow \\ \text{CH}_{\mathbb{Q}}^*(Y) & \xrightarrow{e} & \text{CH}_{\mathbb{Q}}^*(X) \end{array} \tag{2.4}$$

where the vertical arrows are obtained by taking the Chern character and multiplying with the Todd class. The commutativity of the diagram follows from the Grothendieck–Riemann–Roch formula. Note that here we used that the relative Todd class of the projection  $X \times Y \rightarrow X$  is  $q^* \text{Td}(Y)$ .

As for the Grothendieck groups, the Chow ring and the rational cohomology (see [Huy06, ch. 5]), one can find a functorial correspondence between derived Fourier–Mukai functors and motivic maps. This was first carried out by Orlov [Orl05]. Indeed, the cycle  $e$  is of mixed type in  $\text{CH}_{\mathbb{Q}}^*(X \times Y)$ . Its components  $e_i$  in  $\text{CH}_{\mathbb{Q}}^i(X \times Y)$  give motivic maps  $e_i : h(Y) \rightarrow h(X)(i - m)$ . Denote by  $\mathcal{F} := \mathcal{E}_R$  the kernel of the right adjoint of  $\Phi_{\mathcal{E}}$ , and by  $f = \text{ch}([\mathcal{F}]) \cdot \text{Td}(Y)$  the associated cycle in  $\text{CH}_{\mathbb{Q}}^*(X \times Y)$ . Then we get motivic maps  $f_i : h(X) \rightarrow h(Y)(i - n)$ , that is  $f_i : h(X)(n - i) \rightarrow h(Y)$ . In particular,  $f_i \cdot e_{m+n-i} : h(Y) \rightarrow h(Y)$ . If we consider the cycles  $e$  and  $f$ , the Grothendieck–Riemann–Roch formula implies that  $f \cdot e = \bigoplus_{i=0}^{m+n} f_i \cdot e_{m+n-i}$  induces the identity  $\text{Id} : h(Y) \rightarrow h(Y)$ .

Example 2.8. As an example, we describe the result in [Ber07] from the motivic point of view. This turns out to be very useful in understanding the relationship between the derived category, the motive and the Jacobian of a smooth projective curve, and contains some ideas that we will use in the proof of Theorem 1.1.

Let  $C_1$  and  $C_2$  be smooth projective curves and  $\Phi_{\mathcal{E}} : \text{D}^b(C_1) \rightarrow \text{D}^b(C_2)$  a Fourier–Mukai functor. In [Ber07] it is shown that the map  $\phi : J(C_1) \rightarrow J(C_2)$  induced by  $\Phi_{\mathcal{E}}$  preserves the principal polarization if and only if  $\Phi_{\mathcal{E}}$  is fully faithful, which is equivalent to ask that  $\Phi_{\mathcal{E}}$  is an equivalence.

We could describe such a result in the following way: consider the motivic maps  $e_i : h(C_1) \rightarrow h(C_2)(i - 1)$  where  $e$  is the cycle associated to  $\mathcal{E}$ . We define  $f$  as before via the right adjoint. If  $\Phi_{\mathcal{E}}$  is fully faithful, then we have  $f \cdot e = \bigoplus_{i=0}^2 f_i \cdot e_{2-i} = \text{Id}$ . Recall that a map  $h^1(C) \rightarrow h^1(C)$  factoring through  $\mathbb{Q}(-d)$  is trivial for any integer  $d$ . Moreover,  $h^0(C_j) = \mathbb{Q}$ , and  $h^2(C_j) = \mathbb{Q}(-1)$  for  $j = 1, 2$ . If we restrict  $f \cdot e$  to  $h^1(C_1)$ , we get that  $(f_i \cdot e_{2-i})|_{h^1(C_1)} = 0$  unless  $i = 1$ , because

for  $i \neq 1$  this map factors through some  $\mathbb{Q}(-d)$ . In particular [Ber07, 2.2] we obtain that  $(e_1 \cdot f_1)|_{h^1(C_1)} = \text{Id}_{h^1(C_1)}$  and then  $h^1(C_1)$  is a direct summand of  $h^1(C_2)$ . Applying the same argument to the adjoint of  $\Phi_{\mathcal{E}}$ , one obtains an isomorphism  $h^1(C_1) \simeq h^1(C_2)$ . This gives an isogeny  $J(C_1) \otimes \mathbb{Q} \simeq J(C_2) \otimes \mathbb{Q}$ .

Moreover, the maps  $e_1$  and  $f_1$  are given both by  $c_1([\mathcal{E}])$ , and they define a morphism  $\phi : J(C_1) \rightarrow J(C_2)$  of Abelian varieties, with finite kernel. The key point to prove the preservation of the principal polarization is the fact that the dual map  $\hat{\phi}$  of  $\phi$  is induced by the adjoint of  $\Phi_{\mathcal{E}}$  (see [Ber07]). Being  $\Phi_{\mathcal{E}}$  a Fourier–Mukai functor carries indeed a deep amount of geometrical information.

### 3. Derived categories, motives and Chow groups of conic bundles

From now on, we only consider varieties defined over  $\mathbb{C}$ . Let  $S$  be a smooth projective surface, and  $\pi : X \rightarrow S$  a smooth standard conic bundle. By this, we mean a flat projective surjective morphism whose scheme theoretic fibers are isomorphic to plane conics, such that for any irreducible curve  $D \subset S$  the surface  $\pi^{-1}(D)$  is irreducible (this second condition is also called relative minimality). The discriminant locus of the conic bundle is a curve  $C \subset S$ , which can be possibly empty, with at most double points [Bea77b, Proposition 1.2]. The fiber of  $\pi$  over a smooth point of  $C$  is the union of two lines intersecting in a single point, while the fiber over a node is a double line. Recall that any conic bundle is birationally equivalent to a standard one via elementary transformations [Sar81].

In this section, we recall known results about the geometry of  $\pi : X \rightarrow S$ . In §3.1 we deal with the decomposition of  $h(X)$  described by Nagel and Saito [NS09] and with the semiorthogonal decomposition of  $D^b(X)$  described by Kuznetsov [Kuz08]. In §3.2 we recall the description of the intermediate Jacobian and the algebraically trivial part  $A^2(X)$  of the Chow group given by [Bea77b, Bel85]. The order of the two sections reverses history, but the decompositions of  $h(X)$  and  $D^b(X)$  hold in a more general framework.

Before that, recall that to any standard conic bundle we can associate an admissible double covering  $\tilde{C} \rightarrow C$  of the curve  $C$ , that is  $\tilde{C}$  has nodes exactly over the nodes of  $C$  and the cover has degree two and ramifies exactly over the nodes of  $C$  (this is called a *pseudo-revêtement* in [Bea77b, Déf. 0.3.1]). The set of vertical lines of  $X$  (that is, the ones contained in a fiber) is then a  $\mathbb{P}^1$ -bundle over  $\tilde{C}$  [Bea77b]. In the results recalled here, if  $C$  is not smooth, then it has to be replaced by its normalization and the corresponding double covering. Anyway, with no risk of misunderstanding, we will tacitly assume this replacement when needed, and keep the notation  $\tilde{C} \rightarrow C$ .

#### 3.1 The decompositions of $h(X)$ and $D^b(X)$

Consider the rational Chow motive  $h(X)$ . Nagel and Saito [NS09] provide a relative Chow–Künneth decomposition for  $h(X)$ . First of all, for a given double covering  $\tilde{C} \rightarrow C$  of an irreducible curve with at most double points, they define the *Prym motive*  $\text{Prym}(\tilde{C}/C) := (\tilde{C}, (1 - \tau)/2, 0)$  as a submotive of  $h(\tilde{C})$ , where  $\tau$  is the involution associated to the covering. In particular  $\text{Prym}^1(\tilde{C}/C)$  is a submotive of  $h^1(\tilde{C})$ ,  $\text{Prym}(\tilde{C}/C) = \text{Prym}^1(\tilde{C}/C)$  if the double covering is not trivial and  $\text{Prym}(\tilde{C}/C) = h(C)$  otherwise. We refrain here from giving the details of the construction, for which the reader can consult [NS09]. Moreover, Nagel and Saito show how  $h(S)$  and  $h(S)(-1)$  are direct summands of  $h(X)$ . Any conic bundle (not necessarily standard) is uniruled and  $h(X) = \bigoplus_{i=0}^6 h^i(X)$  is the Chow–Künneth decomposition [AM98]. We have the

TABLE 1. The motive of a standard conic bundle  $X$  with discriminant double cover  $\tilde{C} \rightarrow C$  over a rational surface  $S$  of Picard number  $\rho$ .

$h^0(X)$	$h^1(X)$	$h^2(X)$	$h^3(X)$	$h^4(X)$	$h^5(X)$	$h^6(X)$
$\mathbb{Q}$	0	$\mathbb{Q}^{\rho+1}(-1)$	$\mathrm{Prym}^1(\tilde{C}/C)(-1)$	$\mathbb{Q}^{\rho+1}(-2)$	0	$\mathbb{Q}(-3)$

following description:

$$h^i(X) = h^i(S) \oplus h^{i-2}(S)(-1) \oplus \bigoplus_{j=1}^r \mathrm{Prym}^{i-2}(\tilde{C}_j/C_j)(-1),$$

where  $C_j$ , for  $j = 1, \dots, r$ , are the irreducible components of the discriminant curve  $C$  [NS09].

If  $\pi : X \rightarrow S$  is standard, then there is no component of  $C$  over which the double cover is trivial. It follows that  $h^i(X) = h^i(S) \oplus h^{i-2}(S)(-1)$  for  $i \neq 3$  and

$$h^3(X) = h^3(S) \oplus h^1(S)(-1) \oplus \bigoplus_{j=1}^r \mathrm{Prym}^1(\tilde{C}_j/C_j)(-1).$$

We will focus on the case where  $S$  is a rational surface and  $C$  is connected (in any other case, the conic bundle is not rational). We finally end up, recalling §2.1, with

$$\begin{aligned} h^i(X) &= h^i(S) \oplus h^{i-2}(S)(-1) \quad \text{if } i \neq 3, \\ h^3(X) &= \mathrm{Prym}^1(\tilde{C}/C)(-1), \end{aligned} \tag{3.1}$$

and in particular, for  $i \neq 3$ ,  $h^i(X)$  is either trivial or a finite sum of Tate motives.

Consider the derived category  $\mathrm{D}^b(X)$ . The fibers of  $\pi$  are plane conics and there is a locally free rank-three vector bundle  $\mathcal{E}$  on  $S$ , such that  $X \subset \mathbb{P}(\mathcal{E})$  is the zero locus of a section  $s : \mathcal{O}_S(-1) \rightarrow \mathrm{Sym}^2(\mathcal{E}^*)$  and the map  $\pi$  is the restriction of the fibration  $\mathbb{P}(\mathcal{E}) \rightarrow S$  [Bea77b, Kuz08]. Kuznetsov defines, in the more general framework of quadric fibrations over smooth projective manifolds, the sheaves of even (respectively odd) parts  $\mathcal{B}_0$  (respectively  $\mathcal{B}_1$ ) of the Clifford algebra  $\mathcal{B}$  associated to the section  $s$ . One can consider the Abelian category  $\mathbf{Coh}(S, \mathcal{B}_0)$  of coherent sheaves with a structure of  $\mathcal{B}_0$ -algebra and its bounded derived category  $\mathrm{D}^b(S, \mathcal{B}_0)$ . In the case of a conic bundle, both  $\mathcal{B}_0 = \mathcal{O}_S \oplus (\Lambda^2(\mathcal{E}) \otimes \mathcal{O}_S(-1))$  and  $\mathcal{B}_1 = \mathcal{E} \oplus \det(\mathcal{E})(-1)$  are locally free sheaves of rank four.

PROPOSITION 3.1 [Kuz08]. *Let  $\pi : X \rightarrow S$  be a conic bundle and  $\mathcal{B}_0$  the sheaf of even parts of the Clifford algebra associated to it. Then  $\pi^* : \mathrm{D}^b(S) \rightarrow \mathrm{D}^b(X)$  is fully faithful and there is a fully faithful functor  $\Phi : \mathrm{D}^b(S, \mathcal{B}_0) \rightarrow \mathrm{D}^b(X)$  such that*

$$\mathrm{D}^b(X) = \langle \Phi \mathrm{D}^b(S, \mathcal{B}_0), \pi^* \mathrm{D}^b(S) \rangle.$$

We will refer to Proposition 3.1 as the *Kuznetsov formula* for conic bundles. Note that Kuznetsov actually gives a similar semiorthogonal decomposition for any quadric fibration over any smooth projective manifold. If in particular  $S$  is a smooth complex rational surface with Picard number  $\rho$ , its derived category admits a full exceptional sequence. It follows that

$$\mathrm{D}^b(X) = \langle \Phi \mathrm{D}^b(S, \mathcal{B}_0), \mathbf{E} \rangle,$$

where  $\mathbf{E} = \langle E_1, \dots, E_{\rho+2} \rangle$ , the pull-back of the full exceptional sequence of  $\mathrm{D}^b(S)$ .

We conclude this section by showing that, if  $S$  is rational, the Clifford algebra of a standard conic bundle, and hence the derived category  $\mathrm{D}^b(S, \mathcal{B}_0)$ , are completely determined by the

discriminant double cover. This will be very useful in §§ 5 and 6, where we will complete the proof of Theorem 1.2 by giving an example of each possible discriminant double cover.

LEMMA 3.2. *Let  $S$  be a smooth rational surface and  $\pi : X \rightarrow S$ , and  $\pi' : X' \rightarrow S$  be standard conic bundles with associated sheaves of even parts of Clifford algebras  $\mathcal{B}_0$  and  $\mathcal{B}'_0$  respectively. If  $X$  and  $X'$  have the same discriminant double cover  $\tilde{C} \rightarrow C$ , then  $\mathcal{B}_0$  is isomorphic to  $\mathcal{B}'_0$ . In particular,  $D^b(S, \mathcal{B}_0)$  and  $D^b(S, \mathcal{B}'_0)$  are equivalent.*

*Proof.* Let us denote by  $K(S)$  the function field of  $S$ . Since  $S$  is rational  $K(S)$  is isomorphic to  $\mathbb{C}(x, y)$ . We will also denote by  $\text{Br}(-)$  the Brauer group. A quaternion algebra is an element of order two of  $\text{Br}(K(S))$ . More precisely all elements of order two in  $\text{Br}(K(S))$  are quaternion algebras [Mer81]. Since  $S$  is simply connected, there is an exact sequence [AM72],

$$0 \longrightarrow \text{Br}(S) \longrightarrow \text{Br}(K(S)) \xrightarrow{\alpha} \bigoplus_{D \subset S} H_{\text{et}}^1(D, \mathbb{Q}/\mathbb{Z}) \xrightarrow{\beta} \dots,$$

where, in the third term, the sum runs over curves  $D$  contained in  $S$ .

In our particular case, the Clifford algebra  $\mathcal{B}_0$  is a quaternion algebra over  $K(S)$ , uniquely determined up to a choice of an element of  $\text{Br}(S)$ . On the other hand there is a one-to-one correspondence between quaternion algebras and standard conic bundles, as outlined, for example, in [Isk87, Sar82]. Moreover the map  $\alpha$  sends the quaternion algebra associated to a conic bundle  $X \rightarrow S$  to the étale-cycle that induces the double cover of the discriminant locus  $C \subset S$ . If  $S$  is rational, then  $\text{Br}(S) = 0$ , and the map  $\alpha$  is injective. This means that, once we fix the discriminant double cover  $\tilde{C} \rightarrow C$ , the corresponding Clifford algebra is uniquely determined up to isomorphism.  $\square$

Remark 3.3. A similar argument was first developed by Panin [Pan84, pp. 450–451] in the case of conic bundles on  $\mathbb{P}^2$  with a quintic discriminant curve.

### 3.2 Algebraically trivial cycles on $X$ and Prym varieties

Given a curve  $C$  with at most double points and an admissible double covering  $\nu : \tilde{C} \rightarrow C$  one can define the *Prym variety*  $P(\tilde{C}/C)$  as the connected component containing 0 of the kernel of the norm map  $Nm : J(\tilde{C}) \rightarrow J(C)$ , sending a degree-zero divisor  $D$  on  $\tilde{C}$  to the degree-zero divisor  $\nu_* D$  on  $C$ . Note that here we are abusing notations in the case where  $C$  (and hence  $\tilde{C}$ ) are singular: in this case we have to replace them with their normalizations and the induced double cover, which we denote still by  $\tilde{C} \rightarrow C$  by abuse of notations. The Prym variety is a principally polarized Abelian subvariety of  $J(\tilde{C})$  of index 2 [Bea77a, Mum74].

Let  $\pi : X \rightarrow S$  be a standard conic bundle with associated double covering  $\tilde{C} \rightarrow C$ . If  $S = \mathbb{P}^2$ , Beauville showed that the intermediate Jacobian  $J(X)$  is isomorphic as a principally polarized Abelian variety to  $P(\tilde{C}/C)$  [Bea77b]. Moreover, he shows that the algebraically trivial part  $A^2(X)$  of  $\text{CH}^2(X)$  is isomorphic to the Prym variety  $P(\tilde{C}/C)$ . The key geometric point is that the family of vertical lines (that is, lines contained in a fiber of  $\pi$ ) in  $X$  is a  $\mathbb{P}^1$ -bundle over the curve  $\tilde{C}$ . There is then a surjective morphism  $g : J(\tilde{C}) \rightarrow A^2(X)$  extending the map associating to a point  $c$  of  $\tilde{C}$  the line  $l_c$  over it. The isomorphism  $\xi : P(\tilde{C}/C) \rightarrow A^2(X)$  is obtained by taking the quotient via  $\ker(g)$ . The inverse isomorphism  $G = \xi^{-1}$  is a regular map making  $P(\tilde{C}/C)$  the algebraic representative of  $A^2(X)$  (for more details, see [Bea77b, ch. III]). Similar techniques prove the same results for any  $S$  rational [Bel85, BF83].

DEFINITION 3.4 [Bea77b, Déf 3.4.2]. Let  $Y$  be a smooth projective variety of odd dimension  $2n + 1$  and  $A$  (an Abelian variety) the algebraic representative of  $A^{n+1}(Y)$  via the canonical

map  $G : A^{n+1}(Y) \rightarrow A$ . A polarization of  $A$  with class  $\theta_A$  in  $\text{Corr}(A, A)$  is the *incidence polarization* with respect to  $Y$  if, for all algebraic maps  $f : T \rightarrow A^{n+1}(Y)$  defined by a cycle  $z$  in  $\text{CH}^{n+1}(Y \times T)$ , we have

$$(G \circ f)^* \theta_A = (-1)^{n+1} I(z),$$

where  $I(z) = z.z$  in  $\text{Corr}(T, T)$  is the composition of the correspondences  $z \in \text{Corr}(T, Y)$  and  $z \in \text{Corr}(Y, T)$ .

**PROPOSITION 3.5.** *Let  $\pi : X \rightarrow S$  be a standard conic bundle over a smooth rational surface. The principal polarization  $\Theta_P$  of  $P(\tilde{C}/C)$  is the incidence polarization with respect to  $X$ .*

*Proof.* We prove the statement in the case where  $C$  is smooth. In the case of nodal curves, one has to go through the normalization, and this is just rewriting the proof of [Bea77b, Theorem 3.6(iii)].

If  $S = \mathbb{P}^2$ , this is [Bea77b, Proposition 3.5]. If  $S$  is not  $\mathbb{P}^2$ , consider the isomorphism  $\xi$ . The proof of [Bea77b, Proposition 3.3] can be rephrased in this setting; in particular, recalling the diagram in [BF83, p. 83], one can check that the map  $2\xi$  is described by a cycle  $y$  in  $\text{CH}^2(X \times P(\tilde{C}/C))$ . Let  $f : T \rightarrow A^2(X)$  be an algebraic map defined by a cycle  $z$  in  $\text{CH}^2(X \times T)$ . Denoting by  $u := G \circ f$  and  $u' := (\text{Id}_X, u)$ , the map  $2f$  is defined by the cycle  $(u')^* y$ . The proof is now the same as the one of [Bea77b, Proposition 3.5].  $\square$

#### 4. Reconstructing the intermediate Jacobian

The first main result of this paper is the reconstruction of  $J(X)$  as the direct sum of Jacobians of smooth projective curves, starting from a semiorthogonal decomposition of  $\text{D}^b(S, \mathcal{B}_0)$ . This section is entirely dedicated to the proof of Theorem 1.1.

**THEOREM 1.1.** *Let  $\pi : X \rightarrow S$  be a standard conic bundle over a rational surface. Suppose that  $\{\Gamma_i\}_{i=1}^k$  are smooth projective curves and  $k \geq 0$ , with fully faithful functors  $\Psi_i : \text{D}^b(\Gamma_i) \rightarrow \text{D}^b(S, \mathcal{B}_0)$  for  $i = 1, \dots, k$ , such that  $\text{D}^b(S, \mathcal{B}_0)$  admits an exceptional sequence  $(E_1, \dots, E_l)$  and a semiorthogonal decomposition,*

$$\text{D}^b(S, \mathcal{B}_0) = \langle \Psi_1 \text{D}^b(\Gamma_1), \dots, \Psi_k \text{D}^b(\Gamma_k), \mathbf{E} \rangle. \quad (4.1)$$

Then  $J(X) = \bigoplus_{i=1}^k J(\Gamma_i)$  as principally polarized Abelian variety.

If  $S$  is minimal, we obtain the ‘if’ part of Theorem 1.2 combining the reconstruction of the Jacobian of Theorem 1.1 with Shokurov’s rationality criterion [Sho84, Theorem 10.1].

**COROLLARY 4.1.** *If  $\pi : X \rightarrow S$  is a standard conic bundle over a minimal rational surface and*

$$\text{D}^b(S, \mathcal{B}_0) = \langle \Psi_1 \text{D}^b(\Gamma_1), \dots, \Psi_k \text{D}^b(\Gamma_k), \mathbf{E} \rangle,$$

where  $\Gamma_i$  are smooth projective curves,  $\Psi_i : \text{D}^b(\Gamma_i) \rightarrow \text{D}^b(S, \mathcal{B}_0)$  are full and faithful functors, and  $\mathbf{E}$  is generated by exceptional objects, then  $X$  is rational and  $J(X) = \bigoplus_{i=1}^k J(\Gamma_i)$ .

If we have the decomposition (4.1), using Proposition 3.1 and the fact that  $S$  is rational of Picard number  $\rho$ , we get

$$\text{D}^b(X) = \langle \Psi_1 \text{D}^b(\Gamma_1), \dots, \Psi_k \text{D}^b(\Gamma_k), E_1, \dots, E_r \rangle, \quad (4.2)$$

where  $E_i$  are exceptional objects,  $r = l + \rho + 2 > 0$ , and we denote by  $\Psi_i$ , by abuse of notation, the composition of the full and faithful functor  $\Psi_i$  with the full and faithful functor  $\text{D}^b(S, \mathcal{B}_0) \rightarrow \text{D}^b(X)$ . Note that we can suppose that  $\Gamma_i$  has positive genus for all  $i = 1, \dots, k$ . Indeed, the derived category of the projective line admits a semiorthogonal decomposition by two exceptional

objects. Then if there exists an  $i$  such that  $\Gamma_i \simeq \mathbb{P}^1$ , it is enough to perform some mutation to get a semiorthogonal decomposition like (4.2) with  $g(\Gamma_i) > 0$  for all  $i$  (recall we do not exclude the case  $k = 0$ ). By Lemma 2.7 we have an isomorphism of  $\mathbb{Q}$ -vector spaces,

$$\mathrm{CH}_{\mathbb{Q}}^*(X) = \bigoplus_{i=1}^k \mathrm{CH}_{\mathbb{Q}}^*(\Gamma_i) \oplus \mathbb{Q}^r, \quad (4.3)$$

where we have used the fact that the category generated by a single exceptional object is equivalent to the derived category of a point, and  $\mathrm{CH}_{\mathbb{Q}}^*(pt) = \mathbb{Q}$ . We are interested in understanding how the decomposition (4.3) projects onto the codimension-two cycle group  $\mathrm{CH}_{\mathbb{Q}}^2(X)$  and, in particular, onto the algebraically trivial part.

The proof is in two parts. In the first part, if  $\Psi : \mathrm{D}^b(\Gamma) \rightarrow \mathrm{D}^b(X)$  is fully faithful and  $\Gamma$  has positive genus, we get that  $J(\Gamma)$  is isomorphic to a principally polarized Abelian subvariety of  $J(X)$  (Proposition 4.4). This is essentially based on constructions from [Or105] and results from [Bea77b]. In the second part, starting from the semiorthogonal decomposition we deduce the required isomorphism.

**LEMMA 4.2.** *Let  $\Gamma$  be a smooth projective curve of positive genus. Suppose there is a fully faithful functor  $\Psi : \mathrm{D}^b(\Gamma) \rightarrow \mathrm{D}^b(X)$ . Then  $J(\Gamma)$  is isogenous to an Abelian subvariety of  $J(X) \simeq P(\tilde{C}/C)$ .*

*Proof.* Let  $\mathcal{E}$  be the kernel of the fully faithful functor  $\Psi : \mathrm{D}^b(\Gamma) \rightarrow \mathrm{D}^b(X)$ , and  $\mathcal{F}$  the kernel of its right adjoint. If we consider the cycles  $e$  and  $f$  described in §2.3, the Grothendieck–Riemann–Roch formula implies that  $f.e$  induces the identity  $\mathrm{Id} : h(\Gamma) \rightarrow h(\Gamma)$ . If  $e_i$  and  $f_i$  are the  $i$ th codimensional components of  $e$  respectively of  $f$  in  $\mathrm{CH}_{\mathbb{Q}}^*(X \times \Gamma)$ , then  $f.e = \bigoplus f_i.e_{4-i}$ . Note that  $e_i$  gives a map  $h(\Gamma) \rightarrow h(X)(i-1)$ . Recall that the motive  $h(X)$  is decomposed, as in Table 1, by Tate motives and the Prym motive. If we restrict to  $h^1(\Gamma)$ , recalling that a map  $h^1(\Gamma) \rightarrow h^1(\Gamma)$  factoring through a Tate motive is trivial, we get  $(f_i.e_{4-i})|_{h^1(\Gamma)} = 0$  for all  $i \neq 2$ . This implies that  $\mathrm{Id}|_{h^1(\Gamma)} = (f_2.e_2)|_{h^1(\Gamma)}$  and then that  $h^1(\Gamma)$  is a direct summand of  $h(X)(1)$ , and, in particular, that it is a direct summand of  $\mathrm{Prym}^1(\tilde{C}/C)$ , which proves the claim.  $\square$

Note that we can describe explicitly the map  $\psi_{\mathbb{Q}} : J_{\mathbb{Q}}(\Gamma) \rightarrow J_{\mathbb{Q}}(X)$  induced by  $\Psi$ , following the ideas in [Ber07]. Indeed the map  $\psi_{\mathbb{Q}}$  is given by  $e_2$ , the codimension-two component of the cycle associated to the kernel  $\mathcal{E}$ . Then  $\psi_{\mathbb{Q}}$  can be calculated just applying the Grothendieck–Riemann–Roch theorem.

Let  $p : \Gamma \times X \rightarrow X$  and  $q : \Gamma \times X \rightarrow \Gamma$  be the two projections. For  $M$  in  $J(\Gamma)$  (which we identify with  $\mathrm{Pic}^0(\Gamma)$ ) we calculate the second Chern character  $\mathrm{ch}(\Psi(M))_2$  to get an element of  $J(X)$  (which we identify with  $A^2(X)$ ). Applying Grothendieck–Riemann–Roch and using multiplicativity of Chern characters, we have

$$(\mathrm{ch}(p_*(q^*M \otimes \mathcal{E})))_2 = p_*(\mathrm{ch}(q^*M) \cdot \mathrm{ch}(e) \cdot (1 - (1/2)q^*K_{\Gamma}))_3,$$

since the relative dimension of  $p$  is 1 and the relative Todd class is  $1 - (1/2)q^*K_{\Gamma}$ . Recalling that  $\mathrm{ch}(q^*M) = 1 + q^*M$  and  $q^*M \cdot q^*K_{\Gamma} = 0$ , we get

$$(\mathrm{ch}(p_*(q^*M \otimes \mathcal{E})))_2 = p_*(q^*M \cdot \mathrm{ch}_2(\mathcal{E}) - (1/2)q^*K_{\Gamma} \cdot \mathrm{ch}_2(\mathcal{E}) + \mathrm{ch}_3(\mathcal{E})).$$

It is clear that this formula just defines an affine map  $\Psi^{\mathrm{CH}} : \mathrm{CH}_{\mathbb{Q}}^1(\Gamma) \rightarrow \mathrm{CH}_{\mathbb{Q}}^2(X)$  of  $\mathbb{Q}$ -vector spaces. In order to get the isogeny  $\psi_{\mathbb{Q}}$ , we have to linearize and restrict to  $J(\Gamma) \otimes \mathbb{Q}$ , to get,

finally,

$$\begin{aligned} \psi_{\mathbb{Q}} : J(\Gamma) \otimes \mathbb{Q} &\longrightarrow J(X) \otimes \mathbb{Q} \\ M &\mapsto p_*(q^*M.\text{ch}_2(\mathcal{E})). \end{aligned}$$

Now that we have the cycle describing the map  $\psi_{\mathbb{Q}}$ , we obtain a unique morphism  $\psi : J(\Gamma) \rightarrow J(X)$ , whose kernel can only be torsion. That is, we have an isogeny  $\psi$  between  $J(\Gamma)$  and an Abelian subvariety of  $J(X)$ .

*Remark 4.3.* Arguing as in [Ber07, § 2.3], we can show that the correspondence between  $\Psi$  and  $\psi$  is functorial. Moreover, the functor with kernel  $\mathcal{E}[n]$  induces the map  $(-1)^n\psi$ . The functor with kernel  $\mathcal{E}^{\vee}$  induces the map  $\psi$ . Given line bundles  $L$  and  $L'$  on  $\Gamma$  and  $X$  respectively, the functor with kernel  $\mathcal{E} \otimes p^*L \otimes q^*L'$  induces the map  $\psi$ . The adjoint functor of  $\Psi$  is a Fourier–Mukai functor whose kernel is  $\mathcal{E}^{\vee} \otimes q^*\omega_X[3]$ . Its composition with  $\Psi$  gives the identity of  $\text{D}^b(\Gamma)$ . The motivic map  $f_2 : \text{Prym}^1(\tilde{C}/C)(-1) \rightarrow h^1(\Gamma)$  is then given by the cycle  $-\text{ch}_2(e)$ . Then, by functoriality and (2.2), the cycle  $I(\text{ch}_2(e))$ , as defined in Definition 3.4, is  $-\text{Id}$  in  $\text{Corr}(J(\Gamma), J(\Gamma))$ .

Recall that, by [Bea77b, § 3], [Bel85, BF83] and Proposition 3.5,  $P(\tilde{C}/C)$  is the algebraic representative of  $A^2(X)$  and the principal polarization  $\Theta_P$  of  $P(\tilde{C}/C)$  is the incidence polarization with respect to  $X$ . In particular, we have an isomorphism  $\xi : P(\tilde{C}/C) \rightarrow A^2(X)$  whose inverse  $G$  makes the Prym variety the algebraic representative of  $A^2(X)$ . Moreover, if  $f : T \rightarrow A^2(X)$  is an algebraic map defined by a cycle  $z$  in  $\text{CH}_{\mathbb{Q}}^2(X \times T)$ , then, according to Definition 3.4, we have

$$(G \circ f)^*\theta_P = I(z).$$

The map  $\psi$  is defined by the cycle  $\text{ch}_2(e)$  in  $\text{CH}_{\mathbb{Q}}^2(X \times \Gamma)$ . Following Remark 4.3, the cycle  $I(\text{ch}_2(e))$  in  $\text{CH}_{\mathbb{Q}}^1(\Gamma \times \Gamma)$  gives the correspondence  $-\text{Id}$ , that is

$$(G \circ \psi)^*\theta_P = -\text{Id}.$$

Now, going through the proof of [Bea77b, Proposition 3.3], it is clear that

$$\psi^*\theta_{J(X)} = \text{Id},$$

where  $\theta_{J(X)}$  is the class of principal polarization of  $J(X)$ . Hence we get an injective morphism  $\psi : J(\Gamma) \rightarrow J(X)$  preserving the principal polarization. We can state the following result.

**PROPOSITION 4.4.** *Let  $\pi : X \rightarrow S$  be a standard conic bundle over a rational surface. Suppose that there is a smooth projective curve  $\Gamma$  of positive genus and a fully faithful functor  $\Psi : \text{D}^b(\Gamma) \rightarrow \text{D}^b(S, \mathcal{B}_0)$ . Then there is an injective morphism  $\psi : J(\Gamma) \rightarrow J(X)$  of Abelian varieties, preserving the principal polarization.*

Consider the projection  $\text{pr} : \text{CH}_{\mathbb{Q}}^*(X) \rightarrow \text{CH}_{\mathbb{Q}}^2(X)$ . The decomposition (4.3) as a  $\mathbb{Q}$ -vector space is rewritten as

$$\text{CH}_{\mathbb{Q}}^*(X) = \bigoplus_{i=0}^k \text{Pic}_{\mathbb{Q}}(\Gamma_i) \oplus \mathbb{Q}^{r+k},$$

where we used that  $\text{CH}_{\mathbb{Q}}^*(\Gamma_i) = \text{Pic}_{\mathbb{Q}}(\Gamma_i) \oplus \mathbb{Q}$ . This decomposition is induced by the Fourier–Mukai functors  $\Phi_i : \text{D}^b(\Gamma_i) \rightarrow \text{D}^b(X)$ , then the previous arguments show that  $\text{pr}$  restricted to  $\bigoplus_{i=1}^k \text{Pic}_{\mathbb{Q}}^0(\Gamma_i)$  is injective and has image in  $A_{\mathbb{Q}}^2(X)$ . This map corresponds on each direct summand to the injective map  $\psi_{i,\mathbb{Q}}$  obtained as in Lemma 4.2. Then the restriction of  $\text{pr}$  to  $\bigoplus_{i=1}^k \text{Pic}_{\mathbb{Q}}^0(\Gamma_i)$  corresponds to the sum of all those maps, and we denote it by  $\psi_{\mathbb{Q}}$ . Consider now

the diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \bigoplus_{i=0}^k \mathrm{Pic}_{\mathbb{Q}}^0(\Gamma_i) & \longrightarrow & \bigoplus_{i=0}^k \mathrm{Pic}_{\mathbb{Q}}(\Gamma) \oplus \mathbb{Q}^{k+r} & & \\
 & & \downarrow \mathrm{pr}=\psi_{\mathbb{Q}} & & \downarrow \mathrm{pr} & \searrow \bar{\mathrm{pr}} & \\
 0 & \longrightarrow & A_{\mathbb{Q}}^2(X) & \longrightarrow & \mathrm{CH}_{\mathbb{Q}}^2(X) & \longrightarrow & \mathrm{CH}_{\mathbb{Q}}^2(X)/A_{\mathbb{Q}}^2(X) \longrightarrow 0
 \end{array}$$

where  $\bar{\mathrm{pr}}$  denotes the composition of  $\mathrm{pr}$  with the projection onto the quotient.

Denote by  $J := \psi(\bigoplus \mathrm{Pic}^0(\Gamma_i))$  the image of  $\psi$  and  $J_{\mathbb{Q}} := J \otimes \mathbb{Q}$ . By the above diagram, we have that the cokernel  $A_{\mathbb{Q}}^2(X)/J_{\mathbb{Q}}$  is a finite-dimensional  $\mathbb{Q}$ -vector space, since it has to be contained in  $\bigoplus(\mathrm{Pic}_{\mathbb{Q}}(\Gamma_i)/\mathrm{Pic}_{\mathbb{Q}}^0(\Gamma_i)) \oplus \mathbb{Q}^{k+r}$ . Since  $\psi$  is a morphism of Abelian varieties, its cokernel is also an Abelian variety, and then it has to be trivial. This gives the surjectivity of  $\psi$  and proves Theorem 1.1.

*Remark 4.5.* Let  $\rho$  be the rank of the Picard group of  $S$ . The numbers  $k$  and  $l$  of curves of positive genus and exceptional object respectively in the semiorthogonal decomposition of Theorem 1.1 satisfy a linear equation: using the decomposition (4.3), we obtain  $l = 2 + \rho - 2k$ .

## 5. Rational conic bundles over the plane

Let  $\pi : X \rightarrow \mathbb{P}^2$  be a rational standard conic bundle. In particular, this implies that  $C$  has positive arithmetic genus (see e.g. [Isk87, §1]). There are only three non-trivial possibilities for the discriminant curve [Bea77b, Isk82, Sho84]. In fact,  $X$  is rational if and only if is a quintic and the double covering  $\tilde{C} \rightarrow C$  is given by an even theta characteristic, or  $C$  is a quartic or a cubic curve. As proved in Lemma 3.2, once we fix the discriminant curve and the associated double cover, we fix the Clifford algebra  $\mathcal{B}_0$ . We then construct for any such plane curve and associated double cover a model of rational standard conic bundle  $X$  for which we provide the required semiorthogonal decomposition. We analyze the three cases separately.

### 5.1 Degree-five discriminant

Suppose  $C$  is a degree-five curve and  $\tilde{C} \rightarrow C$  is given by an even theta-characteristic. Recall the description of a birational map  $\chi : X \rightarrow \mathbb{P}^3$  from [Pan84] (see also [Isk87]). There is a smooth curve  $\Gamma$  of genus five and degree seven in  $\mathbb{P}^3$  such that  $\chi : X \rightarrow \mathbb{P}^3$  is the blow-up of  $\mathbb{P}^3$  along  $\Gamma$ . In fact the conic bundle  $X \rightarrow \mathbb{P}^2$  is obtained [Isk87] by resolving the linear system of cubics in  $\mathbb{P}^3$  vanishing on  $\Gamma$ . Note that  $J(X)$  is isomorphic to  $J(\Gamma)$  as a principally polarized Abelian variety.

Let  $\pi : X \rightarrow \mathbb{P}^2$  be the conic bundle structure. We denote by  $\mathcal{O}(h)$  the pull-back of  $\mathcal{O}_{\mathbb{P}^2}(1)$  via  $\pi$ . Let us denote by  $\mathcal{O}(H)$  the pull-back of  $\mathcal{O}_{\mathbb{P}^3}(1)$  via  $\chi$ , and by  $D$  the exceptional divisor. The construction of the map  $\pi$  gives  $\mathcal{O}(h) = \mathcal{O}(3H - D)$ , and then we have  $\mathcal{O}(D) = \mathcal{O}(3H - h)$ . The canonical bundle  $\omega_X$  is given by  $\mathcal{O}(-4H + D) = \mathcal{O}(-H - h)$ .

**PROPOSITION 5.1.** *Let  $\pi : X \rightarrow \mathbb{P}^2$  be a standard conic bundle whose discriminant curve  $C$  is a degree-five curve and  $\tilde{C} \rightarrow C$  is given by an even theta-characteristic. Then there exists an exceptional object  $E$  in  $\mathrm{D}^b(\mathbb{P}^2, \mathcal{B}_0)$  such that (up to equivalences)*

$$\mathrm{D}^b(\mathbb{P}^2, \mathcal{B}_0) = \langle \mathrm{D}^b(\Gamma), E \rangle,$$

where  $\Gamma$  is a smooth projective curve such that  $J(X) \simeq J(\Gamma)$  as a principally polarized Abelian variety.



*Proof.* Consider the blow-up  $\chi : X \rightarrow \mathbb{P}^3$ . The Orlov formula (see Proposition 2.4) provides a fully faithful functor  $\Psi : D^b(\Gamma) \rightarrow D^b(X)$  and a semiorthogonal decomposition,

$$D^b(X) = \langle \Psi D^b(\Gamma), \chi^* D^b(\mathbb{P}^3) \rangle.$$

The derived category  $D^b(\mathbb{P}^3)$  has a full exceptional sequence  $\langle \mathcal{O}_{\mathbb{P}^3}(-2), \mathcal{O}_{\mathbb{P}^3}(-1), \mathcal{O}_{\mathbb{P}^3}, \mathcal{O}_{\mathbb{P}^3}(1) \rangle$ . We get then the semiorthogonal decomposition

$$D^b(X) = \langle \Psi D^b(\Gamma), \mathcal{O}(-2H), \mathcal{O}(-H), \mathcal{O}, \mathcal{O}(H) \rangle. \tag{5.1}$$

The Kuznetsov formula (see Proposition 3.1) provides the decomposition

$$D^b(X) = \langle \Phi D^b(\mathbb{P}^2, \mathcal{B}_0), \pi^* D^b(\mathbb{P}^2) \rangle.$$

The derived category  $D^b(\mathbb{P}^2)$  has a full exceptional sequence  $\langle \mathcal{O}_{\mathbb{P}^2}(-1), \mathcal{O}_{\mathbb{P}^2}, \mathcal{O}_{\mathbb{P}^2}(1) \rangle$ . We get then the semiorthogonal decomposition

$$D^b(X) = \langle \Phi D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}(-h), \mathcal{O}, \mathcal{O}(h) \rangle. \tag{5.2}$$

We perform now some mutation to compare the decompositions (5.1) and (5.2).

Consider the decomposition (5.2) and mutate  $\Phi D^b(\mathbb{P}^2, \mathcal{B}_0)$  to the right through  $\mathcal{O}(-h)$ . The functor  $\Phi' = \Phi \circ R_{\mathcal{O}(-h)}$  is full and faithful and we have the semiorthogonal decomposition

$$D^b(X) = \langle \mathcal{O}(-h), \Phi' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}, \mathcal{O}(h) \rangle.$$

Perform the left mutation of  $\mathcal{O}(h)$  through its left orthogonal, which gives

$$D^b(X) = \langle \mathcal{O}(-H), \mathcal{O}(-h), \Phi' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O} \rangle,$$

using Lemma 2.6 and  $\omega_X = \mathcal{O}(-H - h)$ .

LEMMA 5.2. *The pair  $\langle \mathcal{O}(-H), \mathcal{O}(-h) \rangle$  is completely orthogonal.*

*Proof.* Consider the semiorthogonal decomposition 5.1 and perform the left mutation of  $\mathcal{O}(H)$  through its left orthogonal. By Lemma 2.6 we get

$$D^b(X) = \langle \mathcal{O}(-h), \Psi D^b(\Gamma), \mathcal{O}(-2H), \mathcal{O}(-H), \mathcal{O} \rangle,$$

which gives us  $\text{Hom}^\bullet(\mathcal{O}(-H), \mathcal{O}(-h)) = 0$  by semiorthogonality. □

We can now exchange  $\mathcal{O}(-H)$  and  $\mathcal{O}(-h)$ , obtaining a semiorthogonal decomposition

$$D^b(X) = \langle \mathcal{O}(-h), \mathcal{O}(-H), \Phi' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O} \rangle.$$

The right mutation of  $\mathcal{O}(-h)$  through its right orthogonal gives (with Lemma 2.6) the semiorthogonal decomposition

$$D^b(X) = \langle \mathcal{O}(-H), \Phi' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}, \mathcal{O}(H) \rangle.$$

Perform the left mutation of  $\Phi' D^b(\mathbb{P}^2, \mathcal{B}_0)$  through  $\mathcal{O}(-H)$ . The functor  $\Phi'' = \Phi' \circ L_{\mathcal{O}(-H)}$  is full and faithful and we have the semiorthogonal decomposition

$$D^b(X) = \langle \Phi'' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}(-H), \mathcal{O}, \mathcal{O}(H) \rangle.$$

This shows, by comparison with (5.1), that  $\Phi'' D^b(\mathbb{P}^2, \mathcal{B}_0) = \langle \Psi D^b(\Gamma), \mathcal{O}(-2H) \rangle$ . □

### 5.2 Degree-four discriminant

Suppose  $C \subset \mathbb{P}^2$  is a degree-four curve with at most double points. We are going to describe  $X$  as a hyperplane section of a conic bundle over (a blow-up of)  $\mathbb{P}^3$ , basing upon a construction from [Bol09]. Let  $\Gamma$  be a smooth genus-two curve, and  $\text{Pic}^n(\Gamma)$  the Picard variety of  $\Gamma$  that

parametrizes degree- $n$  line bundles, up to linear equivalence. Since  $g(\Gamma) = 2$ ,  $\text{Pic}^1(\Gamma)$  contains the canonical Riemann theta divisor  $\Theta := \{L \in \text{Pic}^1(\Gamma) \mid h^0(\Gamma, L) \neq 0\}$ . It is well known that the Kummer surface  $\text{Kum}(\Gamma) := \text{Pic}^0(\Gamma) / \pm \text{Id}$  is naturally embedded in the linear system  $|2\Theta| = \mathbb{P}^3$  via the map

$$\begin{aligned} \text{Kum}(\Gamma) &\rightarrow |2\Theta|. \\ (\alpha \sim -\alpha) &\mapsto \Theta_\alpha + \Theta_{-\alpha}, \end{aligned}$$

where  $\Theta_\alpha$  is the theta divisor translated by  $\alpha$ . Hence the surface  $\text{Kum}(\Gamma)$  sits in  $\mathbb{P}^3$  as a quartic surface with 16 double points corresponding to the 2-torsion. Note that the point corresponding to the line bundle  $\mathcal{O}_\Gamma$  is a node, and we will call it *the origin* or simply  $\mathcal{O}_\Gamma$ .

Now we remark that  $\Gamma$  is tricanonically embedded in  $\mathbb{P}^4 = |\omega_\Gamma^3|^*$ , moreover we have a rational map

$$\varphi : \mathbb{P}^4 \dashrightarrow \mathbb{P}^3 := |\mathcal{I}_\Gamma(2)|^*$$

given by quadrics in the ideal of  $\Gamma$ . In [Bol09] it is shown that there exists an isomorphism  $|\mathcal{I}_\Gamma(2)|^* \cong |2\Theta|$ . Let now  $\widetilde{\text{Kum}}(\Gamma)$  be the blow-up of  $\text{Kum}(\Gamma)$  in the origin  $\mathcal{O}_\Gamma$  and  $\widetilde{\mathbb{P}^3}$  the corresponding blow-up of  $\mathbb{P}^3$ , so that we have  $\widetilde{\text{Kum}}(\Gamma) \subset \widetilde{\mathbb{P}^3}$ . Consider now the curve  $\Gamma$  in  $\mathbb{P}^4$  and any point  $p \in \Gamma$ . We denote by  $q_p$  the only effective divisor in the linear system  $|\omega_\Gamma(-p)|$  (notice that  $q_p = \tau(p)$ , where  $\tau$  denotes the hyperelliptic involution). The ruled surface

$$S := \{x \in \mathbb{P}^4 \mid \exists p \in \Gamma, x \in \overline{pq_p}\}$$

is a cone over a twisted cubic  $Y$  in  $\mathbb{P}^3$  (see [Bol09, Proposition 1.2.2]). Let  $\widetilde{\mathbb{P}^4}$  the blow-up of  $\mathbb{P}^4$  along the cubic cone, then the main result of [Bol09] can be phrased as follows.

**THEOREM 5.3.** *The rational map  $\varphi$  resolves to a morphism  $\tilde{\varphi} : \widetilde{\mathbb{P}^4} \rightarrow \widetilde{\mathbb{P}^3}$  that is a conic bundle degenerating on  $\widetilde{\text{Kum}}(\Gamma)$ . Hence we have the following commutative diagram.*

$$\begin{array}{ccc} \widetilde{\mathbb{P}^4} & \xrightarrow{\tilde{\varphi}} & \widetilde{\mathbb{P}^3} \\ \downarrow & & \downarrow \\ \mathbb{P}^4 & \xrightarrow{\varphi} & \mathbb{P}^3 \end{array}$$

*Remark 5.4.* The conic bundle described in Theorem. 5.3 is standard. This is straightforward from the description in [Bol09].

For any plane quartic curve  $C$  with at most double points, we are going to obtain a structure of a standard conic bundle on  $\mathbb{P}^2$  degenerating on  $C$  by taking the restriction of  $\tilde{\varphi}$  to suitable hyperplanes  $H \subset \mathbb{P}^3$  for suitable choices of the genus-two curve  $\Gamma$  (and hence degenerating on the quartic curve  $H \cap \text{Kum}(\Gamma)$ ). In fact every quartic curve with at most double points can be obtained via hyperplane intersection with an appropriate Jacobian Kummer surface, see [AGP05, Remark 2.2] and [Ver87].

**PROPOSITION 5.5.** *Let  $X \rightarrow \mathbb{P}^2$  be a standard conic bundle whose discriminant locus is a degree-four curve. Then there exists a smooth genus-two curve  $\Gamma$  and an embedding  $\Gamma \subset Z$  into a smooth quadric threefold, such that  $X$  is isomorphic to the blow-up of  $Z$  along  $\Gamma$ .*

*Proof.* Consider the composition  $\phi : \widetilde{\mathbb{P}^4} \rightarrow \widetilde{\mathbb{P}^3} \rightarrow \mathbb{P}^3$  of the conic bundle of Theorem. 5.3 with the blow-down map. Consider then a hyperplane  $N \subset \mathbb{P}^3$  not containing the origin  $\mathcal{O}_\Gamma$  and denote by  $X := \phi^{-1}(N)$  and by  $\pi$  the restriction of  $\phi$  to  $X$ . We will prove that by this construction of  $\pi$  we obtain all the standard conic bundles degenerating on a plane quartic.

It is known [AGP05, Ver87] that the admissible double cover of  $N \cap \text{Kum}(\Gamma)$  induced by the degree-two cover  $J(\Gamma) \rightarrow \text{Kum}(\Gamma)$  has Prym variety isomorphic to  $J(\Gamma)$  and [Ver87] that, by choosing appropriate genus-two curves  $\Gamma$  and hyperplanes  $N$  in  $\mathbb{P}^3$ , one obtains all admissible double covers of all plane quartics this way. Note that these covers coincide in fact with the double covers of the plane quartic induced by considering the irreducible components of conics belonging to the conic bundle degenerating on the Kummer variety. Furthermore, this means that the intermediate Jacobian  $J(X)$  is isomorphic to  $J(\Gamma)$  as a principally polarized Abelian variety.

To complete the proof, we show that for any admissible double cover of a plane quartic, the corresponding standard conic bundle can be obtained by blowing up a smooth quadric hypersurface in  $\mathbb{P}^4$ , inverse image of our plane in  $\mathbb{P}^3$ . It is worth remarking that we can always assume that the quadric hypersurface  $Z$  we are considering is smooth. In fact singular quadrics in the ideal of the tricanonical curve  $\Gamma \subset \mathbb{P}^4$  are in bijection with hyperplanes passing through the origin in  $\mathbb{P}^3$ . Hence, by using the invariance of  $\text{Kum}(\Gamma)$  under the action of  $(\mathbb{Z}/2\mathbb{Z})^4$  we can just avoid considering such hyperplanes passing through the origin. Indeed, up to the choice of the appropriate curve  $\Gamma$ , one can obtain any plane quartic (and its double cover) with at most double points by considering the intersection of hyperplanes in  $\mathbb{P}^3$ , that do not contain the origin, with the Kummer quartic.

Then, once we get an appropriate curve  $\Gamma$  and hyperplane  $N$ , we use Theorem 5.3 to construct explicitly a conic bundle with the required properties. Notably, the induced map  $\pi : X \rightarrow N \simeq \mathbb{P}^2$  defines a standard conic bundle that degenerates on the intersection  $N \cap \text{Kum}(\Gamma)$ . Then it is easy to see that  $X$  is isomorphic to the blow-up along  $\Gamma$  of a smooth quadric hypersurface  $Z \subset \mathbb{P}^4$  in the ideal of  $\Gamma \subset \mathbb{P}^4$ .  $\square$

Resuming, let  $\chi : X \rightarrow Z$  be the blow-up of  $Z$  along  $\Gamma$ . Let us denote by  $\mathcal{O}(H)$  both the restriction of  $\mathcal{O}_{\mathbb{P}^4}(1)$  to  $Z$  and its pull-back to  $X$  via  $\chi$ , and by  $D$  the exceptional divisor. Note that  $\omega_Z = \mathcal{O}(-3H)$ . Let  $\Sigma$  be the spinor bundle on the quadric  $Z$ . Let  $\pi : X \rightarrow \mathbb{P}^2$  be the conic bundle structure. We denote by  $\mathcal{O}(h)$  the pull-back of  $\mathcal{O}_{\mathbb{P}^2}(1)$  via  $\pi$ . The construction of the map  $\pi$  gives  $\mathcal{O}(h) = \mathcal{O}(2H - D)$ , and then we have  $\mathcal{O}(D) = \mathcal{O}(2H - h)$ . The canonical bundle  $\omega_X$  is given by  $\mathcal{O}(-3H + D) = \mathcal{O}(-H - h)$ .

PROPOSITION 5.6. *Let  $\pi : X \rightarrow \mathbb{P}^2$  be a standard conic bundle whose discriminant locus  $C$  is a degree-four curve. Then there exists an exceptional object  $E$  in  $\text{D}^b(\mathbb{P}^2, \mathcal{B}_0)$  such that (up to equivalences)*

$$\text{D}^b(\mathbb{P}^2, \mathcal{B}_0) = \langle \text{D}^b(\Gamma), E \rangle,$$

where  $\Gamma$  is a smooth projective curve such that  $J(X) \simeq J(\Gamma)$  as a principally polarized Abelian variety.

*Proof.* Consider the blow-up  $\chi : X \rightarrow Z$ . The Orlov formula (see Proposition 2.4) provides a fully faithful functor  $\Psi : \text{D}^b(\Gamma) \rightarrow \text{D}^b(X)$  and a semiorthogonal decomposition

$$\text{D}^b(X) = \langle \Psi \text{D}^b(\Gamma), \chi^* \text{D}^b(Z) \rangle.$$

By [Kap88], the derived category  $\text{D}^b(Z)$  has a full exceptional sequence  $\langle \Sigma(-2H), \mathcal{O}(-H), \mathcal{O}, \mathcal{O}(H) \rangle$ . We get then the semiorthogonal decomposition

$$\text{D}^b(X) = \langle \Psi \text{D}^b(\Gamma), \Sigma(-2H), \mathcal{O}(-H), \mathcal{O}, \mathcal{O}(H) \rangle. \tag{5.3}$$

The Kuznetsov formula (see Proposition 3.1) provides the decomposition

$$\text{D}^b(X) = \langle \Phi \text{D}^b(\mathbb{P}^2, \mathcal{B}_0), \pi^* \text{D}^b(\mathbb{P}^2) \rangle.$$

The derived category  $D^b(\mathbb{P}^2)$  has a full exceptional sequence  $\langle \mathcal{O}_{\mathbb{P}^2}(-1), \mathcal{O}_{\mathbb{P}^2}, \mathcal{O}_{\mathbb{P}^2}(1) \rangle$ . We get then the semiorthogonal decomposition

$$D^b(X) = \langle \Phi D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}(-h), \mathcal{O}, \mathcal{O}(h) \rangle. \quad (5.4)$$

We perform now some mutation to compare the decompositions (5.3) and (5.4). Surprisingly to us, we will follow the same path as in the proof of Proposition 5.1.

Consider the decomposition (5.4) and mutate  $\Phi D^b(\mathbb{P}^2, \mathcal{B}_0)$  to the right through  $\mathcal{O}(-h)$ . The functor  $\Phi' = \Phi \circ R_{\mathcal{O}(-h)}$  is full and faithful and we have the semiorthogonal decomposition

$$D^b(X) = \langle \mathcal{O}(-h), \Phi' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}, \mathcal{O}(h) \rangle.$$

Perform the left mutation of  $\mathcal{O}(h)$  through its left orthogonal, which gives

$$D^b(X) = \langle \mathcal{O}(-H), \mathcal{O}(-h), \Phi' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O} \rangle,$$

using Lemma 2.6 and  $\omega_X = \mathcal{O}(-H - h)$ . We can prove the following lemma in the same way we proved Lemma 5.2.

LEMMA 5.7. *The pair  $\langle \mathcal{O}(-H), \mathcal{O}(-h) \rangle$  is completely orthogonal.*

We can now exchange  $\mathcal{O}(-H)$  and  $\mathcal{O}(-h)$ , obtaining a semiorthogonal decomposition

$$D^b(X) = \langle \mathcal{O}(-h), \mathcal{O}(-H), \Phi' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O} \rangle.$$

The right mutation of  $\mathcal{O}(-h)$  through its right orthogonal gives (with Lemma 2.6) the semiorthogonal decomposition

$$D^b(X) = \langle \mathcal{O}(-H), \Phi' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}, \mathcal{O}(H) \rangle.$$

Perform the left mutation of  $\Phi' D^b(\mathbb{P}^2, \mathcal{B}_0)$  through  $\mathcal{O}(-H)$ . The functor  $\Phi'' = \Phi' \circ L_{\mathcal{O}(-H)}$  is full and faithful and we have the semiorthogonal decomposition

$$D^b(X) = \langle \Phi'' D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}(-H), \mathcal{O}, \mathcal{O}(H) \rangle.$$

This shows, by comparison with (5.3), that  $\Phi'' D^b(\mathbb{P}^2, \mathcal{B}_0) = \langle \Psi D^b(\Gamma), \Sigma(-2H) \rangle$ .  $\square$

### 5.3 Degree-three discriminant

Consider  $X \subset \mathbb{P}^2 \times \mathbb{P}^2$  a hypersurface of bidegree  $(1, 2)$ . The map  $\pi$  given by the restriction of the first projection  $p_1 : \mathbb{P}^2 \times \mathbb{P}^2 \rightarrow \mathbb{P}^2$  is a conic bundle degenerating on a cubic curve. More precisely, any plane cubic curve  $C$  can be written as a determinant of a  $3 \times 3$  symmetric matrix of linear forms, with rank dropping on the nodes, by choosing a 2-torsion sheaf  $L \in \text{Jac}(C)[2]$  (see for example [Bea00, § 4]) and taking its minimal resolution

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^2}(-2)^{\oplus 3} \rightarrow \mathcal{O}_{\mathbb{P}^2}(-1)^{\oplus 3} \rightarrow L \rightarrow 0.$$

The associated discriminant double cover  $\tilde{C} \rightarrow C$  is the one induced by the 2-torsion sheaf  $L$ , and hence we obtain all possible double covers. By this construction, we obtain the bidegree  $(1, 2)$  hypersurface  $X$  which is a standard conic bundle degenerating on  $C$ . The restriction of the second projection to  $X$  gives a  $\mathbb{P}^1$ -bundle structure  $p : X \rightarrow \mathbb{P}^2$ . This in turn implies that the intermediate Jacobian  $J(X)$  is trivial.

Let  $\mathcal{O}(h) := \pi^* \mathcal{O}_{\mathbb{P}^2}(1)$  and  $\mathcal{O}(H) := p^* \mathcal{O}_{\mathbb{P}^2}(1)$ ; then  $\mathcal{O}(H) = \mathcal{O}_\pi(1)$  and  $\mathcal{O}(h) = \mathcal{O}_p(1)$ . We have the canonical bundle  $\omega_X = \mathcal{O}(-2h - H)$ .

PROPOSITION 5.8. *Let  $\pi : X \rightarrow \mathbb{P}^2$  be a standard conic bundle whose discriminant locus  $C$  is a degree-three curve. Then there exist three exceptional objects  $E_1, E_2$  and  $E_3$  in  $D^b(\mathbb{P}^2, \mathcal{B}_0)$  such*

that (up to equivalences)

$$D^b(\mathbb{P}^2, \mathcal{B}_0) = \langle E_1, E_2, E_3 \rangle.$$

*Proof.* Recall that such a conic bundle can be realized as a degree- $(2, 1)$  hypersurface in  $\mathbb{P}^2 \times \mathbb{P}^2$ . Consider then the  $\mathbb{P}^1$ -bundle structure  $p: X \rightarrow \mathbb{P}^2$  given by the second projection. Then by Proposition 2.3 we have

$$D^b(X) = \langle p^*D^b(\mathbb{P}^2), p^*D^b(\mathbb{P}^2) \otimes \mathcal{O}_p(1) \rangle,$$

which gives, recalling that  $\mathcal{O}(h) = \mathcal{O}_p(1)$ ,

$$D^b(X) = \langle \mathcal{O}(-2H), \mathcal{O}(-H), \mathcal{O}, \mathcal{O}(h-H), \mathcal{O}(h), \mathcal{O}(h+H) \rangle, \tag{5.5}$$

where we used the decompositions  $\langle \mathcal{O}_{\mathbb{P}^2}(-2), \mathcal{O}_{\mathbb{P}^2}(-1), \mathcal{O}_{\mathbb{P}^2} \rangle$  and  $\langle \mathcal{O}_{\mathbb{P}^2}(-1), \mathcal{O}_{\mathbb{P}^2}, \mathcal{O}_{\mathbb{P}^2}(1) \rangle$  in the first and in the second occurrence of  $p^*D^b(\mathbb{P}^2)$  respectively.

The Kuznetsov formula (see Proposition 3.1) provides the decomposition

$$D^b(X) = \langle \Phi D^b(\mathbb{P}^2, \mathcal{B}_0), \pi^*D^b(\mathbb{P}^2) \rangle,$$

which, choosing the decomposition  $D^b(\mathbb{P}^2) = \langle \mathcal{O}_{\mathbb{P}^2}(-1), \mathcal{O}_{\mathbb{P}^2}, \mathcal{O}_{\mathbb{P}^2}(1) \rangle$ , gives

$$D^b(X) = \langle \Phi D^b(\mathbb{P}^2, \mathcal{B}_0), \mathcal{O}(-h), \mathcal{O}, \mathcal{O}(h) \rangle. \tag{5.6}$$

We perform now some mutation to compare the decomposition (5.5) and (5.6).

Consider the decomposition (5.5) and mutate  $\mathcal{O}(h-H)$  to the left through  $\mathcal{O}$ . This gives

$$D^b(X) = \langle \mathcal{O}(-2H), \mathcal{O}(-H), E, \mathcal{O}, \mathcal{O}(h), \mathcal{O}(h+H) \rangle,$$

where  $E := L_{\mathcal{O}}\mathcal{O}(h-H)$  is an exceptional object. Perform the left mutation of  $\mathcal{O}(H+h)$  through its left orthogonal, which gives

$$D^b(X) = \langle \mathcal{O}(-h), \mathcal{O}(-2H), \mathcal{O}(-H), E, \mathcal{O}, \mathcal{O}(h) \rangle,$$

using Lemma 2.6 and  $\omega_X = \mathcal{O}(-2h-H)$ . Finally, mutate the exceptional sequence  $(\mathcal{O}(-2H), \mathcal{O}(-H), E)$  to the left through  $\mathcal{O}(-h)$ . This gives

$$D^b(X) = \langle E_1, E_2, E_3, \mathcal{O}(-h), \mathcal{O}, \mathcal{O}(h) \rangle,$$

where  $(E_1, E_2, E_3) := L_{\mathcal{O}(-h)}((\mathcal{O}(-2H), \mathcal{O}(-H), E))$  is an exceptional sequence. This shows, by comparison with (5.6), that  $\Phi D^b(\mathbb{P}^2, \mathcal{B}_0) = \langle E_1, E_2, E_3 \rangle$ .  $\square$

*Remark 5.9.* Note that, as pointed out to us by Kuznetsov, the same result can be obtained using [Kuz08, Theorem 5.5]: since the total space  $X$  is smooth, the complete intersection of the net of conics is empty. Recall from [Kuz08] that we can define the sheaves  $\mathcal{B}_{2i} = \mathcal{B}_0 \otimes \mathcal{O}(i)$  and  $\mathcal{B}_{2i+1} = \mathcal{B}_1 \otimes \mathcal{O}(i)$ , and  $\{\mathcal{B}_i\}_{i=-3}^{-1}$  give a full exceptional collection for  $D^b(\mathbb{P}^2, \mathcal{B}_0)$ . This follows indeed from [Kuz08, Theorem 5.5].

## 6. Rational conic bundles over Hirzebruch surfaces

Let us consider now the case  $S = \mathbb{F}_n$ . In this case, following [Isk87, Sho84], we have only two non-trivial possibilities for a standard conic bundle  $\pi: X \rightarrow S$  to be rational: there must exist a base point free pencil  $L_0$  of rational curves such that either  $L_0 \cdot C = 3$  or  $L_0 \cdot C = 2$ . In the first case  $C$  is trigonal, and in the second one  $C$  is hyperelliptic. In both instances, the only such pencil is the natural ruling of  $S$ . Hence, if we let  $q: S \rightarrow \mathbb{P}^1$  be the ruling map, the trigonal or hyperelliptic structure is induced by the fibers of  $q$ . As proved in Lemma 3.2, once we fix the discriminant curve and the associated double cover, we fix the Clifford algebra  $\mathcal{B}_0$ . We then

construct for any such curve and associated double cover a model of rational standard conic bundle  $X$ , for which we provide the required semiorthogonal decomposition.

We will consider relative realizations of the following classical construction: let  $x_1, \dots, x_4$  be four points in general position in a projective plane  $\mathbb{P}^2$ , and consider the pencil of all the plane conics passing through  $x_1, \dots, x_4$ . Blowing up  $\mathbb{P}^2$  along the four points, we get a conic bundle  $Y \rightarrow \mathbb{P}^1$  with degree-three discriminant. It is not difficult to see that a relative version of the preceding construction involves a  $\mathbb{P}^2$ -bundle over  $\mathbb{P}^1$  containing a tetragonal curve  $\Gamma \rightarrow \mathbb{P}^1$ , and the absolute construction performed fiberwise will give, after blow-up of  $\Gamma$ , a conic bundle  $Y \rightarrow S$ , for  $S$  a Hirzebruch surface. In fact, we will show that, if  $\Gamma$  is connected, then  $Y$  is standard and has trigonal discriminant, which gives the first case. If  $\Gamma$  is the disjoint union of two hyperelliptic curves, the conic bundle  $Y \rightarrow S$  is not standard and has as discriminant the disjoint union of a hyperelliptic curve and a line. We will then perform a birational transformation to obtain a standard conic bundle  $\pi : X \rightarrow S$  with hyperelliptic discriminant. More precisely, having fixed the discriminant curve and the double cover  $\tilde{C} \rightarrow C$ , we describe a structure of conic bundle  $\pi : X \rightarrow S$  following Casnati [Cas98] as the blow-up of a  $\mathbb{P}^2$ -bundle over  $\mathbb{P}^1$  along a certain tetragonal curve given by Recillas' construction ([Rec74] for the trigonal case) or one of its degenerations (for the hyperelliptic case). These constructions can be performed for all the trigonal or hyperelliptic discriminant curves with at most nodes as singularities. We describe the cases of trigonal and hyperelliptic discriminant separately, following the same path anyway.

The trigonal construction had already been used in the framework of conic bundles, in a slightly different context, in [vGee05].

### 6.1 Trigonal discriminant

In the case where  $C$  is a trigonal curve on  $S$ , we can give an explicit description of the conic bundle  $\pi : X \rightarrow S$  degenerating along  $C$ , exploiting Recillas' trigonal construction [Rec74]. We will develop the trigonal construction in the more general framework presented by Casnati in [Cas98], which emphasizes the conic bundle structure. For a detailed account in the curve case, with emphasis on the beautiful consequences on the structure of the Prym map, see also [Don92].

Before going through details let us recall from [CE96] that any Gorenstein degree-three cover  $t' : C \rightarrow \mathbb{P}^1$  can be obtained inside a suitable  $\mathbb{P}^1$ -bundle  $S := \mathbb{P}(\mathcal{F})$  over  $\mathbb{P}^1$  as the zeros of a relative cubic form in two variables. On the other hand each Gorenstein degree-four cover  $t : \Gamma \rightarrow \mathbb{P}^1$  is obtained [CE96] as the base locus of a relative pencil of conics over  $\mathbb{P}^1$  contained in a  $\mathbb{P}^2$ -bundle  $Z := \mathbb{P}(\mathcal{G})$  over  $\mathbb{P}^1$ . Moreover, the restriction, both to  $C$  and  $\Gamma$ , of the natural projection of each projective bundle gives the respective finite cover map to  $\mathbb{P}^1$ . For instance, the  $\mathbb{P}^2$ -fiber  $Z_x$  contains the four points of  $\Gamma$  over  $x \in \mathbb{P}^1$ . The first result of this section proves that any standard conic bundle with trigonal discriminant over a Hirzebruch surface is realized naturally via this construction.

**PROPOSITION 6.1.** *Let  $\pi : X \rightarrow S$  be a standard conic bundle with trigonal discriminant curve  $C$ , where the trigonal structure  $C \rightarrow \mathbb{P}^1$  is induced by the  $\mathbb{P}^1$ -bundle structure  $S \rightarrow \mathbb{P}^1$ . Then there exists a  $\mathbb{P}^2$ -bundle  $Z \rightarrow \mathbb{P}^1$  containing a tetragonal curve  $\Gamma \rightarrow \mathbb{P}^1$  whose tetragonal structure is induced by  $Z \rightarrow \mathbb{P}^1$  and such that  $X$  is isomorphic to the blow-up of  $Z$  along  $\Gamma$ .*

*Proof.* First of all, given any admissible cover of a trigonal curve we describe a conic bundle structure over a Hirzebruch surface as the blow-up of the  $\mathbb{P}^2$ -bundle containing the tetragonal curve.

Consider the trigonal curve  $C \rightarrow \mathbb{P}^1$  sitting in the  $\mathbb{P}^1$ -fibration  $\mathbb{P}(\mathcal{F}) \rightarrow \mathbb{P}^1$  and the tetragonal curve  $\Gamma$  inside the  $\mathbb{P}^2$ -fibration  $Z \rightarrow \mathbb{P}^1$ , associated to  $C$  by the Casnati–Recillas construction.

Fix a point  $x$  of  $\mathbb{P}^1$  and the corresponding fiber  $Z_x$ . In this plane, consider the pencil of conics through the four points  $Z_x \cap \Gamma$ . For each point of  $\mathbb{P}^1$ , we obtain a pencil of conics with three degenerate conics. We then have a pencil of such conic pencils (parameterized by the ruled surface  $S = \mathbb{P}(\mathcal{F})$ ), which can be described as the two-dimensional family of vertical conics in  $Z$  intersecting  $\Gamma$  in all the four points of  $\Gamma \cap Z_x$ . The standard conic bundle over  $S$  is then given by resolving the linear system  $|\mathcal{O}_{Z/\mathbb{P}^1}(2) - \Gamma|$ . That is, the blow-up of  $Z$  along  $\Gamma$  is a standard conic bundle  $\pi : X \rightarrow S$  with the required degeneration.

Thanks to [Cas98, Theorem 6.5] (see also [Don92, Theorem 2.9]), to any trigonal Gorenstein curve  $C$  with an admissible double cover, we can associate a smooth tetragonal curve  $\Gamma$  such that  $C$  is the discriminant locus of the conic bundle that defines  $\Gamma$ . More precisely, let  $X$  be the relative pencil of conics in the projective bundle  $Z \rightarrow \mathbb{P}^1$  defined by  $\Gamma$ . We have a  $\mathbb{P}^1$ -bundle  $S$  over  $\mathbb{P}^1$ , a conic bundle structure  $\pi : X \rightarrow S$  with discriminant curve  $C$  in its natural embedding as a relative cubic form.

The proof is completed by showing that any conic bundle with trigonal discriminant can be realized in this way, but [Cas98, Theorem 6.5] ensures that all trigonal curves with at most double points that sit in some ruled surface  $S$  are discriminant divisors of a conic bundle (for details see [Cas98, §§ 5 and 6]).  $\square$

Notice that this tight connection between trigonal and tetragonal curves is reflected also when considering the corresponding Prym and Jacobian varieties. The Prym variety of the admissible cover of  $C$  induced by the conic bundle is in fact isomorphic to the Jacobian of  $\Gamma$  (see [Rec74]) and to the intermediate Jacobian of the conic bundle  $X$ .

Summarizing, we end up with the following commutative diagram,

$$\begin{array}{ccccc}
 & & X & \xleftarrow{\quad} & D \\
 & \swarrow \pi & & \searrow \chi & \searrow \chi \\
 & S & & Z & \xleftarrow{\quad} & \Gamma \\
 & \searrow q & & \swarrow p & & \\
 & & & & & \mathbb{P}^1
 \end{array}$$

where  $p : Z \rightarrow \mathbb{P}^1$  is a  $\mathbb{P}^2$ -bundle,  $\Gamma \subset Z$  the tetragonal curve, and  $\chi : X \rightarrow Z$  the blow-up of  $Z$  with exceptional divisor  $D$ . The surface  $q : S \rightarrow \mathbb{P}^1$  is ruled and  $\pi : X \rightarrow S$  is the conic bundle structure degenerating along the trigonal curve  $C$ .

We denote by  $\mathcal{O}(H) := \mathcal{O}_{Z/\mathbb{P}^1}(1)$  the relative ample line bundle on  $Z$  and by  $\mathcal{O}(h) := \mathcal{O}_{S/\mathbb{P}^1}(1)$  the relative ample line bundle on  $S$ . By abuse of notation, we still denote by  $\mathcal{O}(H)$  and  $\mathcal{O}(h)$  the pull-back of  $\mathcal{O}(H)$  and  $\mathcal{O}(h)$  via  $\chi$  and  $\pi$  respectively. The construction of the map  $\pi$  gives  $\mathcal{O}(h) = \mathcal{O}(2H - D)$ , from which we deduce that  $\mathcal{O}(D) = \mathcal{O}(2H - h)$ . The canonical bundle  $\omega_X$  is given by  $\omega_X = \chi^* \omega_Z + \mathcal{O}(D)$ . Since we have  $\omega_Z = \omega_{Z/\mathbb{P}^1} + p^* \omega_{\mathbb{P}^1} = \mathcal{O}(-3H) + p^* \det(\mathcal{E}^*) + p^* \omega_{\mathbb{P}^1}$ , we finally get  $\omega_X = \mathcal{O}(-H - h) + \chi^* p^* \omega_{\mathbb{P}^1}(\det(\mathcal{E}^*))$ .

**PROPOSITION 6.2.** *Let  $\pi : X \rightarrow S$  be a conic bundle whose discriminant locus  $C$  is a trigonal curve whose trigonal structure is given by the intersection of  $C$  with the ruling  $S \rightarrow \mathbb{P}^1$ . Then there exist two exceptional objects  $E_1, E_2$  in  $D^b(S, \mathcal{B}_0)$  such that (up to equivalences)*

$$D^b(S, \mathcal{B}_0) = \langle D^b(\Gamma), E_1, E_2 \rangle,$$

where  $\Gamma$  is a smooth projective curve such that  $J(X) \simeq J(\Gamma)$  as a principally polarized Abelian variety.

*Proof.* Consider the blow-up  $\chi : X \rightarrow Z$ . The Orlov formula (see Proposition 2.4) provides a fully faithful functor  $\Psi : D^b(\Gamma) \rightarrow D^b(X)$  and a semiorthogonal decomposition,

$$D^b(X) = \langle \Psi D^b(\Gamma), \chi^* D^b(Z) \rangle.$$

By Proposition 2.3 we can choose the semiorthogonal decomposition  $\langle p^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(-H), p^* D^b(\mathbb{P}^1), p^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(H) \rangle$  of  $D^b(Z)$ . We then get

$$D^b(X) = \langle \Psi D^b(\Gamma), \chi^* p^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(-H), \chi^* p^* D^b(\mathbb{P}^1), \chi^* p^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(H) \rangle. \quad (6.1)$$

The Kuznetsov formula (see Proposition 3.1) provides the decomposition,

$$D^b(X) = \langle \Phi D^b(S, \mathcal{B}_0), \pi^* D^b(S) \rangle.$$

By Proposition 2.3 we can choose the semiorthogonal decomposition  $\langle q^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h), q^* D^b(\mathbb{P}^1) \rangle$  of  $D^b(S)$ . We then get

$$D^b(X) = \langle \Phi D^b(S, \mathcal{B}_0), \pi^* q^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h), \pi^* q^* D^b(\mathbb{P}^1) \rangle. \quad (6.2)$$

We perform now some mutation to compare the decompositions (6.1) and (6.2). First of all, since  $\pi^* q^* = \chi^* p^*$ , we have  $\pi^* q^* D^b(\mathbb{P}^1) = \chi^* p^* D^b(\mathbb{P}^1)$  and we will denote this category simply by  $D^b(\mathbb{P}^1)$ .

Consider the decomposition (6.2) and mutate  $\Phi D^b(S, \mathcal{B}_0)$  to the right with respect to  $D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h)$ . The functor  $\Phi' := \Phi \circ R_{D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h)}$  is full and faithful and we have the semiorthogonal decomposition

$$D^b(X) = \langle D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h), \Phi' D^b(S, \mathcal{B}_0), D^b(\mathbb{P}^1) \rangle.$$

Perform the right mutation of  $D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h)$  through its right orthogonal. We have

$$R_{(D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h))^\perp} (D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h)) = D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h) \otimes \omega_X^* \cong D^b(\mathbb{P}^1) \otimes \mathcal{O}(H).$$

Indeed  $\omega_X = \mathcal{O}(-H - h) + \chi^* p^* \omega_{\mathbb{P}^1}(\det(\mathcal{E}^*))$  and the tensorization with  $\chi^* p^* \omega_{\mathbb{P}^1}(\det(\mathcal{E}^*))$  gives an autoequivalence of  $D^b(\mathbb{P}^1)$ . We then have the decomposition

$$D^b(X) = \langle \Phi' D^b(S, \mathcal{B}_0), D^b(\mathbb{P}^1), D^b(\mathbb{P}^1) \otimes \mathcal{O}(H) \rangle.$$

Comparing this last decomposition with (6.1) we get

$$\Phi' D^b(S, \mathcal{B}_0) = \langle \Psi(\Gamma), D^b(\mathbb{P}^1) \otimes \mathcal{O}(-H) \rangle,$$

and the proof now follows by recalling that  $D^b(\mathbb{P}^1)$  has a two-objects full exceptional sequence.  $\square$

## 6.2 Hyperelliptic discriminant

Also in the case where  $C$  is a hyperelliptic curve on  $S$ , we can give an explicit description of the conic bundle  $\pi : X \rightarrow S$  degenerating along  $C$ , exploiting Mumford's construction [Mum74]: indeed, giving a double cover  $\tilde{C} \rightarrow C$  is equivalent to splitting the set  $R$  of ramification points of  $C$  into two sets  $R_0$  and  $R_1$  with an even number of elements. Taking the hyperelliptic curves  $\Gamma_0$  and  $\Gamma_1$  ramified respectively on  $R_0$  and  $R_1$ , we have  $\tilde{C} = \Gamma_0 \times_{\mathbb{P}^1} \Gamma_1$  and  $P(\tilde{C}/C) \simeq J(\Gamma_0) \oplus J(\Gamma_1)$ . The key remark here is that  $X$  can be obtained via a birational transformation starting from a degenerate case of the Casnati–Recillas construction. Indeed, consider  $\Gamma := \Gamma_0 \amalg \Gamma_1$ . We have  $J(\Gamma) = J(\Gamma_0) \oplus J(\Gamma_1)$  and a natural tetragonal structure on  $\Gamma \rightarrow \mathbb{P}^1$ . As in the previous section, the results from [CE96] provide us a  $\mathbb{P}^2$ -bundle  $Z \rightarrow \mathbb{P}^1$  and a Hirzebruch surface  $S$  containing a trigonal curve  $C'$ .

LEMMA 6.3. *The curve  $C'$  is the disjoint union of a line  $L$  and a hyperelliptic curve  $C \rightarrow \mathbb{P}^1$  with ramification  $R = R_0 \cup R_1$ . The double cover  $\tilde{C}' \rightarrow C'$  is trivial along  $L$ . The blow-up of  $Z$*



along  $\Gamma$  gives a non-standard conic bundle structure  $\pi' : Y \rightarrow S$  whose discriminant double cover is  $\tilde{C}' \rightarrow C'$ .

*Proof.* To construct the conic bundle  $\pi' : Y \rightarrow S$  we proceed in the same way as in Proposition 6.1. As Donagi pointed out [Don92, Ex. 2.10], in the Casnati–Recillas construction the tetragonal curve  $\Gamma = \Gamma_0 \amalg \Gamma_1$  corresponds to the trigonal curve  $C'$ .

On the other hand the double cover  $\tilde{C}'$  of  $C'$  splits as  $\tilde{C} \amalg \mathbb{P}^1 \amalg \mathbb{P}^1$ , where  $\tilde{C}$  is a double cover of  $C$ . The  $\mathbb{P}^1 \amalg \mathbb{P}^1$  part is the trivial disconnected double cover of  $L$ . This implies that the conic bundle  $\pi' : Y \rightarrow S$  obtained as the blow-up of  $Z$  along  $\Gamma$  is not standard. Indeed, being the double cover of  $L$  trivial implies that the preimage  $G$  of  $L$  inside  $Y$  is the union of two Hirzebruch surfaces intersecting along a line.  $\square$

Notice that, since the double cover of  $C'$  is trivial along  $L$ , we have an isomorphism of principally polarized Abelian varieties  $P(\tilde{C}'/C') \cong P(\tilde{C}/C)$ . The standard conic bundle  $\pi : X \rightarrow S$  degenerating along the hyperelliptic curve  $C$  should then be described as a birational transformation of  $\pi' : Y \rightarrow S$ , smoothing the preimage  $G$  of  $L$ . Such a birational transformation is a slight generalization of the elementary transformation described in [Sar81, § 2.1] and, roughly, it consists in contracting one of the two components of  $G$  onto the intersection of the two. After that,  $L$  is no longer contained in the discriminant locus, and hence the discriminant locus is the hyperelliptic curve. This transformation corresponds to a birational transformation of the projective bundle  $Z$ .

In order to do that, we need to make a choice between the two disjoint components of  $\Gamma = \Gamma_0 \amalg \Gamma_1$ . At the end of the section, we will see that this choice does not affect the required description of the derived category, up to autoequivalences (see Remark 6.7). Let  $\tilde{Z} \rightarrow Z$  be the blow-up of  $Z$  along  $\Gamma_0$  and  $\tilde{D}$  the exceptional divisor. Let  $T \subset \tilde{Z}$  be the strict transform of the ruled surface obtained by taking the closure of the locus of lines spanned by each couple of points of  $\Gamma_0$  associated by the hyperelliptic involution. Let us denote by  $Q$  the 3-fold obtained from  $\tilde{Z}$  by blowing down  $T$  to a line along the ruling. Note that, since  $\Gamma_1$  is disjoint from  $\Gamma_0$ , then  $\Gamma_1$  is embedded in  $Q$ .

LEMMA 6.4. (i) *There is a quadric bundle structure  $\tau : Q \rightarrow \mathbb{P}^1$  of relative dimension 2, with simple degeneration along the ramification set  $R_0$  of  $\Gamma_0$ . There is a natural embedding  $\Gamma_1 \subset Q$ .*

(ii) *There exists a full and faithful functor  $\bar{\Psi}_0 : D^b(\Gamma_0) \rightarrow D^b(Q)$  and a semiorthogonal decomposition*

$$D^b(Q) = \langle \bar{\Psi}_0 D^b(\Gamma_0), \tau^* D^b(\mathbb{P}^1), \tau^* D^b(\mathbb{P}^1) \otimes \mathcal{O}_{Q/\mathbb{P}^1}(1) \rangle.$$

*Proof.* (i) Consider a point  $x$  in  $\mathbb{P}^1$  and the fiber  $Z_x$ , which is a projective plane. Let  $a_i, b_i$  be the points where  $\Gamma_i$  intersects  $Z_x$ . Then if we blow-up  $a_0$  and  $b_0$  and we contract the line through them, we get a birational map  $Z_x \dashrightarrow Q_x$ , where  $Q_x$  is a quadric surface, which is smooth if and only if  $a_0 \neq b_0$  (see [Har92, p. 85]) and has simple degeneration otherwise, and in fact  $\mathbb{F}_2$  is isomorphic to the blow-up of a quadric cone in its node.

(ii) By [Kuz08], if we denote by  $\mathcal{C}_0$  the sheaf of even parts of the Clifford algebra associated to  $\tau$ , there is a fully faithful functor  $\bar{\Psi}_0 : D^b(\mathbb{P}^1, \mathcal{C}_0) \rightarrow D^b(Q)$  and a semiorthogonal decomposition

$$D^b(Q) = \langle \bar{\Psi}_0 D^b(\mathbb{P}^1, \mathcal{C}_0), \tau^* D^b(\mathbb{P}^1), \tau^* D^b(\mathbb{P}^1) \otimes \mathcal{O}_{Q/\mathbb{P}^1}(1) \rangle.$$

Now apply [Kuz08, Corollary 3.14] to get the equivalence  $D^b(\mathbb{P}^1, \mathcal{C}_0) \cong D^b(\Gamma_0)$ .  $\square$

PROPOSITION 6.5. *Let  $\pi : X \rightarrow S$  be a standard conic bundle over a Hirzebruch surface with hyperelliptic discriminant. Let  $R \subset \mathbb{P}^1$  be the ramification locus of  $C$ , and  $\Gamma_0$  and  $\Gamma_1$  two*

hyperelliptic curves, with ramification loci  $R_0$  and  $R_1$  respectively, such that  $R = R_0 \cup R_1$  and  $J(X) \simeq P(\tilde{C}/C) = J(\Gamma_0) \oplus J(\Gamma_1)$ . Then there is a quadric surface bundle  $Q \rightarrow \mathbb{P}^1$  degenerating along  $R_0$ , such that  $\Gamma_1 \subset Q$  and  $X$  is isomorphic to the blow-up of  $Q$  along  $\Gamma_1$ .

*Proof.* Given  $\Gamma = \Gamma_0 \amalg \Gamma_1$ , consider the trigonal curve  $C' = C \amalg \mathbb{P}^1$  and the conic bundle  $\pi' : Y \rightarrow S$  from Lemma 6.3, the blow-up of  $Z$  along  $\Gamma$ . As proved in Lemma 6.4, the  $\mathbb{P}^2$ -bundle  $Z$  has been transformed into the quadric bundle  $Q$  containing the curve  $\Gamma_1$ . For any point  $x$  of  $\mathbb{P}^1$ , the pencil of conics in  $Z_x$  passing through  $a_0, b_0, a_1, b_1$  has been transformed into a pencil of hyperplane sections of  $Q_x$  passing through  $a_1$  and  $b_1$ . Hence each line of the ruling of  $S$  corresponds to a pencil of quadratic hyperplane sections. Moreover, the conics over the rational curve  $L \subset S$  have a simple degeneration in  $Y$  and are smooth in  $X$ . On the rest of the ruled surface  $S$  the degeneration type of the conics is preserved.

This implies that  $\pi : X \rightarrow S$  is a standard conic bundle degenerating along the hyperelliptic  $C$ . It is given by resolving the relative linear system  $|\mathcal{O}_{Q/\mathbb{P}^1}(1) - \Gamma_1|$ .

Moreover, to any conic bundle with hyperelliptic discriminant and double cover  $\tilde{C} \rightarrow C$ , one can associate the tetragonal curve  $\Gamma$  and perform this construction.  $\square$

Summarizing, we end up with the following diagram,

$$\begin{array}{ccccc}
 & & X & \xleftarrow{\chi} & D \\
 & \swarrow \pi & & \searrow \chi & \searrow \chi \\
 & S & & Q & \xleftarrow{\chi} & \Gamma_1 \\
 & \searrow q & & \swarrow \tau & & \\
 & & \mathbb{P}^1 & & & 
 \end{array}$$

where  $\tau : Q \rightarrow \mathbb{P}^1$  is a quadric bundle degenerating exactly in the ramification locus of  $\Gamma_0 \rightarrow \mathbb{P}^1$  and contains the hyperelliptic curve  $\Gamma_1$ . The map  $\chi$  is the blow-up of  $Q$  along  $\Gamma_1$  with exceptional divisor  $D$ . The surface  $q : S \rightarrow \mathbb{P}^1$  is ruled and  $\pi : X \rightarrow S$  is the conic bundle structure degenerating along the hyperelliptic curve  $C$ . Note that  $J(X)$  is isomorphic to  $J(\Gamma_0) \oplus J(\Gamma_1)$  as principally polarized Abelian variety. Since  $J(X) \cong P(\tilde{C}/C)$ , if  $C$  is smooth it can be shown that  $R_1 \cup R_0 = R$  and the configuration of Pryms and Jacobians is the one described by Mumford in [Mum74].

We denote by  $\mathcal{O}(H) := \mathcal{O}_{Q/\mathbb{P}^1}(1)$  the relative ample line bundle on  $Q$ . We have  $\omega_{Q/\mathbb{P}^1} = \mathcal{O}(-2H)$ . Denote by  $\mathcal{O}(h) := \mathcal{O}_{S/\mathbb{P}^1}(1)$  the relative ample line bundle on  $S$ . By abuse of notation, we still denote by  $\mathcal{O}(H)$  and  $\mathcal{O}(h)$  the pull-backs of  $\mathcal{O}(H)$  and  $\mathcal{O}(h)$  via  $\chi$  and  $\pi$  respectively. The construction of the map  $\pi$  gives  $\mathcal{O}(h) = \mathcal{O}(H - D)$ , from which we deduce that  $\mathcal{O}(D) = \mathcal{O}(H - h)$ .

The canonical bundle  $\omega_X$  is given by  $\omega_X = \chi^*\omega_Q + D$ . Since we have  $\omega_Q = \omega_{Q/\mathbb{P}^1} + \tau^*\omega_{\mathbb{P}^1}$ , we finally get  $\omega_X = \mathcal{O}(-H - h) + \chi^*\tau^*\omega_{\mathbb{P}^1}$ .

**PROPOSITION 6.6.** *Let  $\pi : X \rightarrow S$  be a conic bundle whose discriminant locus  $C$  is a hyperelliptic curve whose hyperelliptic structure is given by the intersection of  $C$  with the ruling  $S \rightarrow \mathbb{P}^1$ . Then (up to equivalences)*

$$D^b(S, \mathcal{B}_0) = \langle D^b(\Gamma_1), D^b(\Gamma_0) \rangle,$$

where  $\Gamma_0$  and  $\Gamma_1$  are smooth projective curves such that  $J(X) \simeq J(\Gamma_0) \oplus J(\Gamma_1)$  as a principally polarized Abelian variety.

*Proof.* Consider the blow-up  $\chi : X \rightarrow Q$ . The Orlov formula (see Proposition 2.4) provides a fully faithful functor  $\Psi_1 : D^b(\Gamma_1) \rightarrow D^b(X)$  and a semiorthogonal decomposition,

$$D^b(X) = \langle \Psi_1 D^b(\Gamma_1), \chi^* D^b(Q) \rangle.$$

Lemma 6.4 gives us

$$D^b(X) = \langle \Psi_1 D^b(\Gamma_1), \Psi_0 D^b(\Gamma_0), \chi^* \tau^* D^b(\mathbb{P}^1), \chi^* \tau^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(H) \rangle, \quad (6.3)$$

where  $\Psi_0 = \bar{\Psi}_0 \circ \chi^*$  is fully faithful.

The Kuznetsov formula (see Proposition 3.1) provides the decomposition,

$$D^b(X) = \langle \Phi D^b(S, \mathcal{B}_0), \pi^* D^b(S) \rangle.$$

By Proposition 2.3 we can choose the semiorthogonal decomposition  $\langle q^* D^b(\mathbb{P}^1), q^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h) \rangle$  of  $D^b(S)$ . We then get

$$D^b(X) = \langle \Phi D^b(S, \mathcal{B}_0), \pi^* q^* D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h), \pi^* q^* D^b(\mathbb{P}^1) \rangle. \quad (6.4)$$

We perform now some mutation to compare the decompositions (6.3) and (6.4). First of all, since  $\pi^* q^* = \chi^* \tau^*$ , we have  $\pi^* q^* D^b(\mathbb{P}^1) = \chi^* \tau^* D^b(\mathbb{P}^1)$  and we will denote this category simply by  $D^b(\mathbb{P}^1)$ .

Consider the decomposition (6.4) and mutate  $\Phi D^b(S, \mathcal{B}_0)$  to the right through  $D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h)$ . The functor  $\Phi' := \Phi \circ R_{D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h)}$  is full and faithful and we have the semiorthogonal decomposition

$$D^b(X) = \langle D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h), \Phi' D^b(S, \mathcal{B}_0), D^b(\mathbb{P}^1) \rangle.$$

Perform the right mutation of  $D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h)$  through its right orthogonal. We have

$$R_{(D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h))^\perp} (D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h)) = D^b(\mathbb{P}^1) \otimes \mathcal{O}(-h) \otimes \omega_X^* \cong D^b(\mathbb{P}^1) \otimes (H).$$

Indeed  $\omega_X = \mathcal{O}(-H - h) + \chi^* \tau^* \omega_{\mathbb{P}^1}$  and the tensorization with  $\chi^* \tau^* \omega_{\mathbb{P}^1}$  gives an autoequivalence of  $D^b(\mathbb{P}^1)$ . We then have the decomposition

$$D^b(X) = \langle \Phi' D^b(S, \mathcal{B}_0), D^b(\mathbb{P}^1), D^b(\mathbb{P}^1) \otimes \mathcal{O}(H) \rangle.$$

Comparing this last decomposition with (6.4) we get

$$\Phi' D^b(S, \mathcal{B}_0) = \langle \Psi_1 D^b(\Gamma_1), \Psi_0 D^b(\Gamma_0) \rangle. \quad \square$$

*Remark 6.7.* The choice of blowing up first  $\Gamma_0$  and then  $\Gamma_1$  has no influence (up to equivalence) on the statement of Proposition 6.6.

### 6.3 A non-rational example

Theorem 1.1 states that if  $\pi : X \rightarrow S$  is standard and  $S$  rational, then a semiorthogonal decomposition of  $D^b(S, \mathcal{B}_0)$  (and then of  $D^b(X)$ ) via derived categories of curves and exceptional objects allows us to reconstruct the intermediate Jacobian  $J(X)$  as the direct sum of the Jacobians of the curves. It is clear, by the technique used, that  $S$  being rational is crucial. Using the construction by Casnati [Cas98], we provide here examples of standard conic bundles  $\pi : X \rightarrow S$  over a non-rational surface such that both  $D^b(X)$  and  $D^b(S, \mathcal{B}_0)$  admit a decomposition via derived categories of smooth projective curves. In these cases,  $X$  is clearly non-rational, and  $J(X)$  is only isogenous to  $P(\tilde{C}/C) \oplus A^2(S) \oplus A^1(S)$  [Bel85].

Let  $G$  be a smooth projective curve of positive genus. Note that  $D^b(G)$  contains no exceptional object, because of Serre duality. Consider a smooth degree-four cover  $\Gamma \rightarrow G$ , and its embedding in a  $\mathbb{P}^2$ -bundle  $Z \rightarrow G$ . By [Cas98], there is a unique degree-three cover  $C \rightarrow G$  embedded in a

ruled surface  $S \rightarrow G$ , and we suppose that  $C$  has at most double points. As in §6.1, we end up with a commutative diagram,

$$\begin{array}{ccccc}
 & & X & \longleftarrow & D \\
 & \swarrow \pi & \searrow \chi & & \searrow \chi \\
 S & & & & Z \\
 & \searrow q & & & \longleftarrow \Gamma \\
 & & G & \swarrow p & 
 \end{array}$$

where  $X$  is the blow-up of  $Z$  along  $\Gamma$ ,  $D$  the exceptional divisor and  $\pi: X \rightarrow S$  a standard conic bundle degenerating along  $C$ , induced by the relative linear system  $|\mathcal{O}_{Z/G}(2) - \Gamma|$ . The Orlov formula for blow-ups (see Proposition 2.4) and Proposition 2.3 give a semiorthogonal decomposition

$$D^b(X) = \langle \Psi D^b(\Gamma), D^b(G) \otimes (-H), D^b(G), D^b(G) \otimes (H) \rangle,$$

where we keep the notation of §6.1 and we write  $D^b(G) := \chi^* p^* D^b(G) = \pi^* q^* D^b(G)$ . Then  $D^b(X)$  is decomposed by derived categories of smooth projective curves. Going through the proof of Proposition 6.2, it is clear that replacing  $\mathbb{P}^1$  with  $G$  does not affect any calculation, except the fact that  $D^b(G)$  contains no exceptional object. Keeping the same notation, we end up with the semiorthogonal decomposition

$$\Phi' D^b(S, \mathcal{B}_0) = \langle \Psi D^b(\Gamma), D^b(G) \otimes (-H) \rangle.$$

#### ACKNOWLEDGEMENTS

This work has been developed during visits of the authors at the Humboldt University of Berlin, the University of Duisburg–Essen, the Roma III University, and the University of Rennes, which are warmly acknowledged. The first-named author is grateful to H. Esnault for pointing out [Orl05] to him, and to her and A. Chatzistamatiou for useful discussions. We thank A. Beauville for pointing out a missing case in an early version. Moreover, it is a pleasure to thank the people that shared their views with us and encouraged us during the writing of this paper. In alphabetical order, they are: A. Beauville, G. Casnati, I. Dolgachev, V. Kanev, L. Katzarkov, A. Kuznetsov, M. Mella, A. Verra.

#### REFERENCES

- AGP05 J. d’Almeida, L. Gruson and N. Perrin, *Courbes de genre 5 munies d’une involution sans point fixe*, J. Lond. Math. Soc. (2) **72** (2005), 545–570.
- AM98 P. L. del Angel and S. Müller-Stach, *Motives of uniruled 3-folds*, Compositio Math. **112** (1998), 1–16.
- AM72 M. Artin and D. Mumford, *Some elementary examples of unirational varieties which are not rational*, Proc. Lond. Math. Soc. (3) **25** (1972), 75–95.
- Bea77a A. Beauville, *Prym varieties and Schottky problem*, Invent. Math. **41** (1977), 149–196.
- Bea77b A. Beauville, *Variétés de Prym et jacobiniennes intermédiaires*, Ann. Sci. Éc. Norm. Supér. (4) **10** (1977), 309–391.
- Bea00 A. Beauville, *Determinantal hypersurfaces*, Michigan Math. J. **48** (2000), 39–64.
- Bei84 A. A. Beilinson, *The derived category of coherent sheaves on  $\mathbb{P}^n$* , Selecta Math. Sov. **34** (1984), 233–237.

- BF83 M. Beltrametti and P. Francia, *Conic bundles on non-rational surfaces*, in *Algebraic geometry—open problems*, Ravello 1982, Lecture Notes in Mathematics, vol. 997 (Springer, 1983), 34–89.
- Bel85 M. Beltrametti, *On the Chow group and the intermediate Jacobian of a conic bundle*, *Ann. Mat. Pura Appl.* (4) **41** (1985), 331–351.
- Ber07 M. Bernardara, *Fourier–Mukai transforms of curves and principal polarizations*, *C. R. Math. Acad. Sci. Paris* **345** (2007), 203–208.
- BMMS12 M. Bernardara, E. Macrì, S. Mehrotra and P. Stellari, *A categorical invariant for cubic threefolds*, *Adv. Math.* **229** (2012), 770–803.
- Bol09 M. Bolognesi, *A conic bundle degenerating on the Kummer surface*, *Math. Z.* **261** (2009), 149–168.
- Bon90 A. Bondal, *Representations of associative algebras and coherent sheaves*, *Math. USSR, Izv.* **34** (1990), 23–42.
- BK90 A. Bondal and M. Kapranov, *Representable functors, Serre functors, and reconstructions*, *Math. USSR, Izv.* **35** (1990), 519–541.
- BO95 A. Bondal and D. Orlov, *Semiorthogonal decomposition for algebraic varieties*, Preprint (1995), arXiv:alg-geom/9506012.
- Cas98 G. Casnati, *Covers of algebraic varieties III: the discriminant of a cover of degree 4 and the trigonal construction*, *Trans. Amer. Math. Soc.* **350** (1998), 1359–1378.
- CE96 G. Casnati and T. Ekedahl, *Covers of algebraic varieties. I. A general structure theorem, covers of degree 3, 4 and Enriques surfaces*, *J. Algebraic Geom.* **5** (1996), 439–460.
- CG72 C. H. Clemens and P. A. Griffiths, *The intermediate Jacobian of the cubic threefold*, *Ann. of Math.* (2) **95** (1972), 281–356.
- Don92 R. Donagi, *The fibers of the Prym map*, in *Curves, Jacobians, and Abelian varieties*, *Proc. AMS-IMS-SIAM Jt. Summer Res. Conf. Schottky Probl.*, *Contemp. Math.* **136** (1992), 55–125.
- vGee05 B. van Geemen, *Some remarks on Brauer groups of K3 surfaces*, *Adv. Math.* **197** (2005), 222–247.
- Har92 J. Harris, *Algebraic geometry. A first course*, *Graduate Texts in Mathematics*, vol. 133 (Springer, Berlin, 1992).
- Huy06 D. Huybrechts, *Fourier–Mukai transforms in algebraic geometry*, *Oxford Mathematical Monographs* (The Clarendon Press, Oxford University Press, Oxford, 2006).
- IM00 A. Iliev and D. Markushevich, *The Abel–Jacobi map for cubic threefold and periods of Fano threefolds of degree 14*, *Doc. Math.* **5** (2000), 23–47.
- Isk82 V. A. Iskovskikh, *Congruences of conics in  $P^3$  (Russian–English summary)*, *Vestnik Moskov. Univ. Ser. I Mat. Mekh.* **121** (1982), 57–62 (English translation in *Moscow Univ. Math. Bull.* **37** (1982), 67–73).
- Isk87 V. A. Iskovskikh, *On the rationality problem for conic bundles*, *Duke Math. J.* **54** (1987), 271–294.
- Kap88 M. M. Kapranov, *On the derived categories of coherent sheaves on some homogeneous spaces*, *Invent. Math.* **92** (1988), 479–508.
- Kat09 L. Katzarkov, *Generalized homological mirror symmetry, superschemes and nonrationality*, in *Special metrics and supersymmetry. Lectures given in the workshop on geometry and physics: special metrics and supersymmetry, Bilbao, 29–31 May 2008*, *AIP Conference Proceedings*, vol. 1093 (American Institute of Physics, Melville, NY, 2009), 92–131.
- Kat10 L. Katzarkov, *Generalized homological mirror symmetry and rationality questions*, in *Cohomological and geometric approaches to rationality problems*, *Progress in Mathematics*, vol. 282 (Birkhäuser, Boston, MA, 2010), 163–208.

- Kuz04 A. Kuznetsov, *Derived categories of cubic and  $V_{14}$  threefolds*, Proc. Steklov Inst. Math. **246** (2004), 171–194 (English translation of Tr. Mat. Inst. Steklova **246** (2004), 183–207).
- Kuz08 A. Kuznetsov, *Derived categories of quadric fibrations and intersections of quadrics*, Adv. Math. **218** (2008), 1340–1369.
- Kuz09 A. Kuznetsov, *Derived categories of Fano threefolds*, Proc. Steklov Inst. Math. **264** (2009), 110–122.
- Kuz10 A. Kuznetsov, *Derived categories of cubic fourfolds*, in *Cohomological and geometric approaches to rationality problems*, Progress in Mathematics, vol. 282 (Birkhäuser, Boston, MA, 2010), 163–208.
- Mer81 A. S. Merkur'ev, *On the norm residue symbol of degree 2*, Dokl. Akad. Nauk SSSR **261** (1981), 542–547 (English translation in Soviet Math. Doklady **24** (1981), 546–551).
- Mum74 D. Mumford, *Prym varieties I*, in *Contribution to analysis* (Academic Press, New York, NY, 1974).
- Mur90 J. P. Murre, *On the motive of an algebraic surface*, J. Reine Angew. Math. **409** (1990), 190–204.
- NS09 J. Nagel and M. Saito, *Relative Chow–Künneth decomposition for conic bundles and Prym varieties*, Int. Math. Res. Not. IMRN (2009), 2978–3001.
- Orl93 D. O. Orlov, *Projective bundles, monoidal transformations and derived categories of coherent sheaves*, Izv. Math. **41** (1993), 133–141.
- Orl03 D. O. Orlov, *Derived categories of coherent sheaves and equivalences between them*, Russian Math. Surveys **58** (2003), 511–591.
- Orl05 D. O. Orlov, *Derived categories of coherent sheaves and motives*, Russian Math. Surveys **60** (2005), 1242–1244.
- Pan84 I. A. Panin, *Rationality of bundles of conics with degenerate curve of degree five and even theta-characteristic*, J. Sov. Math. **24** (1984), 449–452 (Russian original in Zap. Nauchn. Semin. Leningr. Otd. Mat. Inst. Steklova **103** (1980), 100–106).
- Rec74 S. Recillas, *Jacobians of curves with  $g_4^1$ 's are the Pryms of trigonal curves*, Bol. Soc. Mat. Mexicana (2) **19** (1974), 9–13.
- Sar81 V. G. Sarkisov, *Birational automorphisms of conic bundles*, Math. USSR Izv. **17** (1981), 177–202.
- Sar82 V. G. Sarkisov, *On conic bundle structures*, Math. USSR Izv. **20** (1982), 355–390.
- Sch94 A. J. Scholl, *Classical motives*, in *Motives, Seattle, WA, 20 July–2 August 1991*, Proceedings of Symposia in Pure Mathematics, vol. 55.1 (Part 1) (American Mathematical Society, Providence, RI, 1994), 163–187.
- Sho84 V. V. Shokurov, *Prym varieties: theory and applications*, Math. USSR Izv. **23** (1984), 83–147.
- Ver87 A. Verra, *The fibre of the Prym map in genus three*, Math. Ann. **276** (1987), 433–448.

Marcello Bernardara [mbernard@math.univ-toulouse.fr](mailto:mbernard@math.univ-toulouse.fr)

Universität Duisburg–Essen, Fakultät für Mathematik, Universitätstr. 2, 45117 Essen, Germany  
and

Institut de Mathématiques de Toulouse (IMT), 118 route de Narbonne,  
Université Paul Sabatier, F-31062 Toulouse Cedex 9, France

Michele Bolognesi [michele.bolognesi@univ-rennes1.fr](mailto:michele.bolognesi@univ-rennes1.fr)

IRMAR, Université de Rennes 1, 263 Av. Général Leclerc, Bat. 22,  
35042 Rennes Cedex, France