

# Hölder continuous solutions to Monge–Ampère equations

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## ABSTRACT

We study the regularity of solutions to the Dirichlet problem for the complex Monge–Ampère equation  $(dd^c u)^n = f dV$  on a bounded strongly pseudoconvex domain  $\Omega \subset \mathbb{C}^n$ . We show, under a mild technical assumption, that the unique solution  $u$  to this problem is Hölder continuous if the boundary data  $\phi$  is Hölder continuous and the density  $f$  belongs to  $L^p(\Omega)$  for some  $p > 1$ . This improves previous results by Bedford and Taylor and Kolodziej.

## Introduction

Let  $\Omega$  be a bounded strongly pseudoconvex open subset of  $\mathbb{C}^n$ . Given  $\phi \in C^0(\partial\Omega)$  and  $f \in L^p(\Omega)$ , we consider the Dirichlet problem

$$\text{MA}(\Omega, \phi, f) : \begin{cases} (dd^c u)^n = f \beta_n & \text{in } \Omega, \\ u = \phi & \text{on } \partial\Omega, \end{cases}$$

where  $u \in \text{PSH}(\Omega) \cap C^0(\overline{\Omega})$ . Here  $\beta_n = dV$  denotes the euclidean volume form in  $\mathbb{C}^n$ ,  $d = \partial + \overline{\partial}$ ,  $d^c = i(\overline{\partial} - \partial)$ ,  $\text{PSH}(\Omega)$  is the set of plurisubharmonic functions in  $\Omega$  (the set of locally integrable functions  $u$  such that  $dd^c u \geq 0$  in the sense of currents), and  $(dd^c \cdot)^n$  denotes the complex Monge–Ampère operator: this operator is well defined on the subset of bounded (in particular continuous) plurisubharmonic functions, as follows from the work of Bedford and Taylor [2]. We refer the reader to [10] for a recent survey on its properties.

The equation  $\text{MA}(\Omega, \phi, f)$  has been studied intensively during the last decades. Bremermann [3], Walsh [12], and Bedford and Taylor [1] have shown that  $\text{MA}(\Omega, \phi, f)$  admits a unique continuous solution  $u \in \text{PSH}(\Omega) \cap C^0(\overline{\Omega})$  when  $f \in C^0(\overline{\Omega})$  is *continuous*.

It was further shown in [1] that  $u \in \text{Lip}_\alpha(\overline{\Omega})$  is  $\alpha$ -Hölder continuous whenever  $\phi \in \text{Lip}_{2\alpha}(\partial\Omega)$  and  $f^{1/n} \in \text{Lip}_\alpha(\overline{\Omega})$ . Higher regularity results have been established by Caffarelli, Kohn, Nirenberg and Spruck [4], assuming smoothness of the data  $\phi, f$  and nondegeneracy of the density  $f > 0$ .

It has been proved by the second author [7, 8] (see also [5]) that  $\text{MA}(\Omega, \phi, f)$  still admits a unique *continuous* solution  $u \in \text{PSH}(\Omega) \cap C^0(\overline{\Omega})$  under the much milder assumption  $f \in L^p(\Omega)$ ,  $p > 1$ .

Our aim here is to show that this solution is actually Hölder continuous, when  $\phi$  is so. A significant particular case of our results can be stated as follows.

**MAIN THEOREM.** *Assume that  $\phi$  is  $C^{1,1}$  on  $\partial\Omega$  and that  $f \in L^p(\Omega)$  for some  $p \geq 1$ . Then the unique solution  $u \in \text{PSH}(\Omega) \cap C^0(\overline{\Omega})$  to  $\text{MA}(\Omega, \phi, f)$  is  $\alpha$ -Hölder continuous on  $\overline{\Omega}$ , for any exponent*

$$\alpha < \alpha_p := \frac{2}{(qn + 1)}, \quad \text{where } \frac{1}{p} + \frac{1}{q} = 1.$$

We can also prove that  $u$  is Hölder continuous on  $\bar{\Omega}$  when  $\phi \in \text{Lip}_{2\alpha}(\bar{\Omega})$  is merely Hölder continuous, but we then need to add an extra technical assumption: Theorems 3.1 and 4.1, which we refer the reader to.

Let us stress that the exponent  $\alpha_p = 2/(qn + 1)$  (as well as further exponents  $\alpha', \alpha''$  from Theorems 3.1 and 4.1) is not far from being optimal as we indicate in Examples 4.4 and 4.5.

1. *The stability estimate*

Our main tool is the following estimate which is proved in [6] in a compact setting (under growth, but no boundary, conditions, see [6, Proposition 3.3]). A similar, but weaker, estimate was established by S.Kolodziej in [9].

**THEOREM 1.1.** *Fix  $0 \leq f \in L^p(\Omega)$ ,  $p > 1$ . Let  $\varphi, \psi$  be two bounded plurisubharmonic functions in  $\Omega$  such that  $(dd^c\varphi)^n = f\beta_n$  in  $\Omega$ , and let  $\varphi \geq \psi$  on  $\partial\Omega$ . Fix  $r \geq 1$  and  $0 \leq \gamma < r/[nq + r]$ ,  $1/p + 1/q = 1$ . Then there exists a uniform constant  $C = C(\gamma, \|f\|_{L^p(\Omega)}) > 0$  such that*

$$\sup_{\Omega}(\psi - \varphi) \leq C [ \|(\psi - \varphi)_+\|_{L^r(\Omega)} ]^\gamma,$$

where  $(\psi - \varphi)_+ := \max(\psi - \varphi, 0)$ .

The proof closely follows that given in [6], but for the reader’s convenience, we will give it at the end of this section. The estimate of the theorem is a consequence of several results to follow.

To state the results needed for the proof, it is useful to consider the Monge–Ampère capacity introduced and studied by Bedford and Taylor in [2]. Recall that for a Borel subset  $K \Subset \Omega$ ,

$$\text{Cap}(K) := \sup \left\{ \int_K (dd^c v)^n / v \in \text{PSH}(\Omega) \text{ with } -1 \leq v \leq 0 \right\}.$$

**PROPOSITION 1.2.** *Fix  $f \in L^p(\Omega)$ ,  $p > 1$ , and let  $\varphi, \psi$  be bounded plurisubharmonic functions in  $\Omega$  such that  $\varphi \geq \psi$  on  $\partial\Omega$ . If  $(dd^c\varphi)^n = f\beta_n$ , then for any  $\alpha > 0$  there exists a uniform constant  $A = A(\alpha, \|f\|_{L^p(\Omega)})$  such that for all  $\varepsilon > 0$ ,*

$$\sup_{\Omega}(\psi - \varphi) \leq \varepsilon + A [\text{Cap}(\{\varphi - \psi < -\varepsilon\})]^\alpha.$$

Before proving Proposition 1.2, we first establish three lemmas.

**LEMMA 1.3.** *Fix  $\varphi, \psi \in \text{PSH}(\Omega) \cap L^\infty(\Omega)$  such that  $\underline{\lim}_{z \rightarrow \partial\Omega}(\varphi - \psi) \geq 0$ . Then for all  $t, s > 0$ ,*

$$t^n \text{Cap}(\{\varphi - \psi < -s - t\}) \leq \int_{\{\varphi - \psi < -s\}} (dd^c\varphi)^n.$$

*Proof.* Fix  $v \in \text{PSH}(\Omega)$  such that  $-1 \leq v \leq 0$ . Then for any  $s > 0$  and  $t > 0$ , we have  $\{\varphi - \psi < -s - t\} \subset \{\varphi < \psi - s + tv\} \subset \{\varphi < \psi - s\} \Subset \Omega$ . By the comparison principle [2] we get

$$t^n \int_{\{\varphi - \psi < -s - t\}} (dd^c v)^n \leq \int_{\{\varphi < \psi - s + tv\}} (dd^c(-s + \psi + tv))^n \leq \int_{\{\varphi - \psi < -s\}} (dd^c\varphi)^n.$$

Taking the supremum over all the  $v$ s yields the desired result. □

LEMMA 1.4. Assume  $0 \leq f \in L^p(\Omega)$ ,  $p > 1$ . Then for all  $\tau > 1$ , there exists  $D_\tau = D(\tau, \|f\|_{L^p(\Omega)}) > 0$  such that for any Borel subset  $K \subset \Omega$ ,

$$0 \leq \int_K f dV \leq D_\tau [\text{Cap}(K)]^\tau.$$

*Proof.* By Hölder inequality we have

$$\int_K f dV \leq \|f\|_{L^p(\Omega)} [\text{Vol}(K)]^{1/q}.$$

On the other hand, it is well known that

$$\text{Vol}(K) \lesssim \exp[-\text{Const} \cdot [\text{Cap}(K)^{-1/n}],$$

which is a much better control than what we actually need (see [13, Theorem 7.1]). The estimate of the lemma follows. □

LEMMA 1.5. Let  $g : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  be a decreasing right-continuous function. Assume that there exist  $\tau, B > 1$  such that  $g$  satisfies

$$tg(s+t) \leq B [g(s)]^\tau \quad \forall s, t > 0.$$

Then  $g(s) = 0$  for all  $s \geq s_\infty$ , where

$$s_\infty := \frac{2Bg(0)^{\tau-1}}{1 - 2^{1-\tau}}.$$

The proof, almost identical to that of [6, Lemma 2.3], is left to the reader.

*Proof of Proposition 1.2.* Combining Lemmas 1.3 and 1.4, we conclude that, given  $\varepsilon > 0$ , the function defined for  $s > 0$  by  $g(s) := \text{Cap}(\{\varphi - \psi < -s - \varepsilon\})^{1/n}$  satisfies the conditions of Lemma 1.5 for any  $\tau > 1$  with the constant  $B := D_\tau^{1/n}$ . Therefore applying this lemma we obtain that  $\text{Cap}(\{\varphi - \psi < -s_\infty - \varepsilon\}) = 0$ , which means that  $\psi - \varphi \leq \varepsilon + s_\infty$  almost everywhere on  $\Omega$ . Then if we choose  $\tau := 1 + \alpha n$ , it follows that

$$\sup_\Omega (\psi - \varphi) \leq \varepsilon + A[\text{Cap}(\{\varphi - \psi < -\varepsilon\})]^\alpha,$$

where  $A := 2B/(1 - 2^{1-\tau})$ . □

We finally give the proof of Theorem 1.1.

*Proof of Theorem 1.1.* Applying Lemma 1.3 with  $s = t = \varepsilon > 0$  and using Hölder inequality, we get

$$\begin{aligned} \text{Cap}(\{\varphi - \psi < -2\varepsilon\}) &\leq \varepsilon^{-n} \int_{\{\varphi - \psi < -\varepsilon\}} f dV \\ &\leq \varepsilon^{-n-r/q} \int_\Omega (\psi - \varphi)_+^{r/q} f dV \\ &\leq \varepsilon^{-n-r/q} \|(\psi - \varphi)_+\|_{L^r(\Omega)}^{r/q} \|f\|_{L^p(\Omega)}. \end{aligned}$$

Now fix  $\alpha$  to be chosen later and apply Proposition 1.2 to get

$$\sup_\Omega (\psi - \varphi) \leq 2\varepsilon + A\varepsilon^{-\alpha(n+r/q)} \|f\|_{L^p(\Omega)}^\alpha \|(\psi - \varphi)_+\|_{L^r(\Omega)}^{\alpha r/q}.$$

Next fix  $\gamma$  as in the theorem and set  $\varepsilon := \|(\psi - \varphi)_+\|_{L^r(\Omega)}^\gamma$  in the last estimate. Then it is easy to check that the estimate of the theorem holds if we choose

$$\alpha := \frac{\gamma q}{r - \gamma(r + nq)}. \quad \square$$

2. Hölder continuous barriers

For fixed  $\delta > 0$  we consider  $\Omega_\delta := \{z \in \Omega / \text{dist}(z, \partial\Omega) > \delta\}$  and set

$$u_\delta(z) := \sup_{\|\zeta\| \leq \delta} u(z + \zeta), \quad z \in \Omega_\delta.$$

This is a plurisubharmonic function in  $\Omega_\delta$ , when  $u$  is plurisubharmonic in  $\Omega$ , which measures the modulus of continuity of  $u$ . We would like to use Theorem 1.1 applied with  $\psi = u_\delta$ . However,  $u_\delta$  is not globally defined in  $\Omega$ , so we need to extend it with control on the boundary values. This is the content of our next result which makes heavy use of the pseudoconvexity assumption.

PROPOSITION 2.1. *Let  $u \in \text{PSH}(\Omega) \cap L^\infty(\Omega)$  be a plurisubharmonic function such that  $u|_{\partial\Omega} = \phi \in \text{Lip}_{2\alpha}(\partial\Omega)$ . Then there exists a constant  $C = C(u) > 0$  and  $\delta_0 > 0$  small enough such that for any  $0 < \delta < \delta_0$  the function defined on  $\Omega$  by*

$$\tilde{u}_\delta = \begin{cases} \max\{u_\delta, u + C\delta^\alpha\} & \text{in } \Omega_\delta, \\ u + C\delta^\alpha & \text{in } \Omega \setminus \Omega_\delta, \end{cases}$$

is a bounded plurisubharmonic function on  $\Omega$  and the family  $(\tilde{u}_\delta)$  decreases to  $u$  as  $\delta$  decreases to 0.

In particular,  $\sup_{\Omega_\delta} (u_\delta - u) \leq \sup_{\Omega} (\tilde{u}_\delta - u)$  for  $0 < \delta < \delta_0$ .

The proof relies on the construction of Hölder continuous plurisubharmonic and plurisuperharmonic barriers for the Dirichlet problem  $\text{MA}(\Omega, \phi, f)$ .

LEMMA 2.2. *Fix  $\phi \in \text{Lip}_{2\alpha}(\partial\Omega)$ ,  $f \in L^p(\Omega)$ ,  $p > 1$ , and set  $u := u(\Omega, \phi, f)$ . Then there exist  $v, w \in \text{PSH}(\Omega) \cap \text{Lip}_\alpha(\bar{\Omega})$  such that*

- (1)  $v(\zeta) = \phi(\zeta) = -w(\zeta), \forall \zeta \in \partial\Omega,$
- (2)  $v(z) \leq u(z) \leq -w(z), \forall z \in \Omega.$

*Proof.* Assume first that  $\phi \equiv 0$ . We are going to show that there exists a weak barrier  $b_f \in \text{PSH}(\Omega) \cap \text{Lip}_1(\Omega)$  for the Dirichlet problem  $\text{MA}(\Omega, 0, f)$ , that is, a plurisubharmonic function which satisfies

- (i)  $b_f(\zeta) = 0, \forall \zeta \in \partial\Omega,$
- (ii)  $b_f \leq u(\Omega, 0, f),$  in  $\Omega,$
- (iii)  $|b_f(z) - b_f(\zeta)| \leq C_1|z - \zeta|, \forall z \in \Omega, \forall \zeta \in \Omega,$

for some uniform constant  $C_1 > 0$ .

In order to construct  $b_f$ , we set  $u_0 := u(\Omega, 0, f)$  and assume first that the density  $f$  is bounded near  $\partial\Omega$ : there exists a compact subset  $K \subset \Omega$  such that  $0 \leq f \leq M$  on  $\Omega \setminus K$ . Let  $\rho$  be a  $\mathcal{C}^2$  strictly plurisubharmonic defining function for  $\Omega$ . Then for  $A > 0$  large enough the function  $b_f := A\rho$  satisfies the condition  $(dd^c b_f)^n \geq M\beta_n \geq f\beta_n$  on  $\Omega \setminus K$ . Moreover, taking  $A$  large enough we also have  $A\rho \leq m \leq u_0$  on a neighbourhood of  $K$ , where  $m := \min_\Omega u_0$ . Therefore the function  $b_f$  is a  $\mathcal{C}^2$  plurisubharmonic function on  $\Omega$  satisfying the conditions  $(dd^c b_f)^n \geq (dd^c u_0)^n$  on  $\Omega \setminus K$  and  $b_f \leq u_0$  on  $\partial(\Omega \setminus K)$ . This implies, by the comparison principle [2], that  $b_f \leq u_0$  in  $\Omega \setminus K$ , and hence in  $\Omega$ .

When  $f$  is not bounded near  $\partial\Omega$ , we can proceed as follows. Fix a large ball  $\mathbb{B} \subset \mathbb{C}^n$  so that  $\Omega \Subset \mathbb{B} \subset \mathbb{C}^n$ . Define  $\tilde{f} := f$  in  $\Omega$  and  $\tilde{f} = 0$  in  $\mathbb{B} \setminus \Omega$ . We can use our previous construction to find a barrier function  $b_{\tilde{f}} \in \text{PSH}(\mathbb{B}) \cap C^2(\mathbb{B})$  for the Dirichlet problem  $\text{MA}(\mathbb{B}, 0, \tilde{f})$  for the ball  $\mathbb{B}$ . Let  $h = u(\Omega, -b_{\tilde{f}}, 0)$  denote the Bremermann function in  $\Omega$  with boundary values  $-b_{\tilde{f}}$ , for the zero density. Since  $-b_{\tilde{f}} \in C^2(\partial\Omega)$ , the plurisubharmonic function  $h$  is Lipschitz on  $\Omega$  (see [1]); therefore  $b_f := h + b_{\tilde{f}} \in \text{PSH}(\Omega) \cap \text{Lip}_1(\Omega)$  is a barrier function for the Dirichlet problem  $\text{MA}(\Omega, 0, f)$ .

It remains to construct the functions  $v, w$  satisfying Conditions (1) and (2) above. It follows from [1] that the plurisubharmonic functions  $u(\Omega, \pm\phi, 0)$  are Hölder continuous of order  $\alpha$ . We let the reader check that the functions  $v := u(\Omega, \phi, 0) + b_f$  and  $w := u(\Omega, -\phi, 0) + b_f$  do the job. □

We are now ready for the proof of the proposition.

*Proof of Proposition 2.1.* It follows from Lemma 2.2 that

$$|u(z) - u(\zeta)| \leq C|z - \zeta|^\alpha \quad \forall \zeta \in \partial\Omega, \quad \forall z \in \Omega.$$

For  $\delta > 0$  small enough, the function  $u_\delta(z) := \sup_{\|\zeta\| \leq \delta} u(z + \zeta)$  is plurisubharmonic in  $\Omega_\delta$ . Observe that if  $z \in \partial\Omega_\delta$  and  $\zeta \in \mathbb{C}^n$  with  $\|\zeta\| \leq \delta$  then  $z + \zeta \in \partial\Omega$ , and hence  $u_\delta \leq u(z) + C\delta^\alpha$ . Thus the functions

$$\tilde{u}_\delta(z) := \begin{cases} \sup\{u_\delta(z), u(z) + C\delta^\alpha\} & \text{in } \Omega_\delta, \\ u + C\delta^\alpha & \text{in } \Omega \setminus \Omega_\delta \end{cases}$$

are plurisubharmonic and bounded in  $\Omega$  and decrease to  $u$  as  $\delta$  decreases to 0. □

Our construction of barriers allows us to control the total mass of the Laplacian of solutions to  $\text{MA}(\Omega, \phi, f)$ . This will be important in Section 4.

**PROPOSITION 2.3.** Fix  $0 \leq f \in L^p(\Omega)$  ( $p > 1$ ) and  $\phi \in C^0(\partial\Omega)$ . Then

- (1) if  $\phi \in C^{1,1}(\partial\Omega)$ , then  $\Delta u(\Omega, \phi, 0)$  has finite mass in  $\Omega$ ;
- (2)  $\Delta u(\Omega, 0, f)$  has finite mass in  $\Omega$ . Moreover, if  $\Delta u(\Omega, \phi, 0)$  has finite mass in  $\Omega$ , then  $\Delta u(\Omega, \phi, f)$  also has finite mass in  $\Omega$ .

*Proof.* Fix a strictly plurisubharmonic exhaustion  $\rho$  for  $\Omega$ .

(1) Assume first that  $\phi \in C^2(\partial\Omega)$ . Consider any smooth extension of  $\phi$  in a neighbourhood of  $\overline{\Omega}$  and correct it by adding  $A\rho$ ,  $A \gg 1$ , in order to obtain a smooth plurisubharmonic extension  $\hat{\phi}$  that is plurisubharmonic in a neighbourhood of  $\overline{\Omega}$ . Since  $\hat{\phi}$  is a subsolution to  $\text{MA}(\Omega, \phi, 0)$  whose Laplacian has finite mass in  $\Omega$ , it follows from the comparison principle that  $\Delta u(\Omega, \phi, 0)$  also has finite mass in  $\Omega$ .

Now if  $\phi \in C^{1,1}(\partial\Omega)$  then it has a  $C^{1,1}$  extension to a neighbourhood of  $\overline{\Omega}$  which we still denote by  $\phi$ . Then  $dd^c\phi$  is a positive current with bounded coefficients on a neighbourhood of  $\overline{\Omega}$ , and then for  $A > 1$  big enough, the function  $\hat{\phi} := \phi + A\rho$  is plurisubharmonic on a neighbourhood of  $\overline{\Omega}$ . We conclude as before, since by construction  $\hat{\phi}$  is a subsolution to  $\text{MA}(\Omega, \phi, 0)$ , whose Laplacian has finite mass in  $\Omega$ .

(2) Let  $\tilde{f}$  be the trivial extension of  $f$  to a large ball  $\mathbb{B}$  containing  $\Omega$ . Let  $b_{\tilde{f}} \in C^2(\mathbb{B})$  be a plurisubharmonic barrier for  $\text{MA}(\mathbb{B}, 0, \tilde{f})$  (see the proof of Lemma 2.2). Then  $b_f := u(\Omega, -b_{\tilde{f}}, 0) + b_{\tilde{f}}$  is a plurisubharmonic barrier for  $\text{MA}(\Omega, 0, f)$ . Its Laplacian has finite mass in  $\Omega$  since  $b_{\tilde{f}}$  is smooth, so it follows from the comparison principle that  $\Delta u(\Omega, 0, f)$  has finite mass in  $\Omega$ .

Now set  $v := u(\Omega, 0, f) + u(\Omega, \phi, 0)$ . This is a plurisubharmonic function in  $\Omega$  such that  $v = \phi$  on  $\partial\Omega$  and  $(dd^c v)^n \geq f dV$  in  $\Omega$ . If  $\Delta u(\Omega, \phi, 0)$  has finite mass in  $\Omega$ , then  $\Delta v$  has finite mass in  $\Omega$ , and hence  $\Delta u(\Omega, \phi, f)$  also has finite mass in  $\Omega$ . □

### 3. Gradient estimates

This section is devoted to the proof of the following result.

**THEOREM 3.1.** *Assume that  $f \in L^p(\Omega)$ , for some  $p > 1$ , and  $\phi \in \text{Lip}_{2\alpha}(\partial\Omega)$ , with  $\nabla u(\Omega, \phi, 0) \in L^2(\Omega)$ . Then*

$$u(\Omega, \phi, f) \in \text{Lip}_{\alpha'}(\overline{\Omega}), \quad \text{for all } \alpha' < \min(\alpha, 2/[qn + 2]),$$

where  $1/p + 1/q = 1$ .

The condition  $\nabla u(\Omega, \phi, 0) \in L^2(\Omega)$  is automatically satisfied if  $\phi \in \mathcal{C}^{1,1}(\partial\Omega)$ : in this case  $u(\Omega, \phi, 0) \in \text{Lip}_1(\overline{\Omega})$ , and hence  $\nabla u(\Omega, \phi, 0)$  is actually bounded in  $\Omega$  (see [1]). What really matters here is that there should exist a subsolution  $v \in \mathcal{B}(\Omega, \phi, 0)$  such that  $\nabla v \in L^2(\Omega)$ . This implies (see Lemma 3.1) that  $u(\Omega, \phi, 0)$  and  $u(\Omega, \phi, f)$  both have gradient in  $L^2(\Omega)$ .

We could not avoid the use of this additional technical hypothesis on the homogenous solution  $u(\Omega, \phi, 0)$ . Also the exponent  $\alpha'$  is probably not optimal. We can get a better exponent by assuming that  $\Delta u(\Omega, \phi, 0)$  has finite mass in  $\Omega$  (this is automatically satisfied when  $\phi \in \mathcal{C}^2(\partial\Omega)$ ).

*Proof.* Since  $f \in L^p(\Omega)$ ,  $p > 1$ , it follows from [8] that the solution  $u = u(\Omega, \phi, f) \in \text{PSH}(\Omega) \cap \mathcal{C}^0(\overline{\Omega})$  is a continuous plurisubharmonic function. Our aim is to show that  $u$  is Hölder continuous on  $\overline{\Omega}$ .

Let  $\tilde{u}_\delta$  be the functions given by Proposition 2.1. The stability estimate (Theorem 1.1) applied with  $r = 2$  yields

$$\sup_{\Omega_\delta} (u_\delta - u) \leq \sup_{\Omega} (\tilde{u}_\delta - u) \leq C_1 \delta^\alpha + C_2 \|u_\delta - u\|_{L^2(\Omega_\delta)}^\gamma,$$

for  $\gamma < 2/(nq + 2)$ . To conclude the proof of the theorem, it remains to show that  $\|u_\delta - u\|_{L^2(\Omega_\delta)} = O(\delta)$  as  $\delta \downarrow 0$ .

It will be a consequence of Lemma 3.2 below that  $\nabla u \in L^2(\Omega)$ . Assuming this for the moment, we derive the following precise uniform upper-bound:

$$\|u_\delta - u\|_{L^2(\Omega_\delta)} \leq 2^{n+1} \delta \|\nabla u\|_{L^2(\Omega)}.$$

Indeed, fix  $\delta > 0$  small enough,  $z \in \Omega_\delta$ , and  $|\zeta| \leq \delta$ . Using the mean value inequality for  $u$  on the euclidean ball of centre  $z + \zeta$  and radius  $\delta > 0$  and averaging the gradient of  $u$  on the corresponding lines, we obtain the following estimate:

$$|u(z + \zeta) - u(z)| \leq 2\delta \int_0^1 dt \int_{|\eta| \leq \delta} \|\nabla u(z + t(\zeta + \eta))\| \frac{dV(\eta)}{\tau_{2n} \delta^{2n}}.$$

Observe that the reasoning above works only if  $u$  is smooth, for example,  $C^1$  in a neighbourhood of  $\overline{\Omega}_{3\delta}$  with  $\delta > 0$  small enough. In our case by regularization we can approximate  $u$  on a neighbourhood of  $\overline{\Omega}_{3\delta}$  by a decreasing sequence  $(u_j)$  of smooth plurisubharmonic functions. Then it is well known that the sequence  $(\nabla u_j)$  of gradients converges in  $L^1_{\text{loc}}(\Omega)$  and then it has a subsequence which converges almost everywhere on  $\Omega$ . Therefore the inequality will follow from the smooth case by the Lebesgue convergence theorem.

Now a simple computation using Jensen’s convexity inequality and a change of variables yields

$$|u_\delta(z) - u(z)|^2 \leq 4\delta^2 \int_0^1 dt \int_{|\xi| \leq 2t\delta} \|\nabla u(z + \xi)\|^2 \frac{dV(\xi)}{\tau_{2n} t^{2n} \delta^{2n}}.$$

Then integrating over  $\Omega_\delta$ , we get

$$\int_{\Omega_\delta} |u_\delta(z) - u(z)|^2 dV(z) \leq 4^{n+1} \delta^2 \|\nabla u\|_{L^2(\Omega_{3\delta})}^2,$$

which proves the required estimate.

This ends the proof of the theorem up to the fact, to be established now, that  $u$  has gradient in  $L^2(\Omega)$ . □

Since  $u$  is plurisubharmonic and bounded,  $\nabla u \in L^2_{loc}(\Omega)$ . It follows from Lemma 3.2 below that  $\nabla u \in L^2(\Omega)$  as soon as  $u$  is bounded from below by a bounded plurisubharmonic function  $v$  such that  $v \leq u$  in  $\Omega$ ,  $v = u = \phi$  on  $\partial\Omega$ , and  $\nabla v \in L^2(\Omega)$ . Our extra assumption in Theorem 4.1 precisely yields such a function  $v$ . Indeed set  $v := u(\Omega, \phi, 0) + b_f$ , where  $b_f$  is the plurisubharmonic barrier constructed in the proof of Lemma 2.2: this is a plurisubharmonic function such that

- (1)  $v = \phi + 0 = u$  on  $\partial\Omega$ ;
- (2)  $(dd^c v)^n \geq (dd^c b_f)^n \geq f\beta_n$  in  $\Omega$ , and thus  $v \leq u$  in  $\Omega$ ;
- (3)  $\nabla u(\Omega, \phi, 0) \in L^2(\Omega)$  and  $\nabla b_f \in L^\infty(\Omega)$ , and hence  $\nabla v \in L^2(\Omega)$ .

It is easy to check that  $\nabla u(\Omega, \phi, 0) \in L^\infty(\Omega) \subset L^2(\Omega)$  when  $\phi \in C^2(\partial\Omega)$ . We refer the reader to [1] for a proof of the more delicate result that this still holds when  $\phi \in C^{1,1}(\partial\Omega)$ .

**LEMMA 3.2.** *Let  $u, v \in \text{PSH}(\Omega) \cap C^0(\overline{\Omega})$  such that  $v \leq u$  on  $\Omega$  and  $v = u$  on  $\partial\Omega$ . Then  $\int_\Omega du \wedge d^c v \wedge \beta^{n-1} \leq \int_\Omega dv \wedge d^c v \wedge \beta^{n-1}$ , where  $\beta := dd^c|z|^2$ .*

We thank the referee for simplifying our original argument.

*Proof.* First assume that  $u = v$  near the boundary  $\partial\Omega$ . Then integration by parts yields

$$\begin{aligned} \int_\Omega dv \wedge d^c v \wedge \beta^{n-1} - \int_\Omega du \wedge d^c u \wedge \beta^{n-1} &= \int_\Omega d(v - u) \wedge d^c(v + u) \wedge \beta^{n-1} \\ &= \int_\Omega (v - u) \wedge dd^c(v + u) \wedge \beta^{n-1} \geq 0. \end{aligned}$$

Now if we only know that  $u = v$  on  $\partial\Omega$ , then we can define for  $\varepsilon > 0$  small enough,  $u_\varepsilon := \sup\{u - \varepsilon, v\}$ . Then  $v \leq u_\varepsilon$  on  $\Omega$  and  $u_\varepsilon = v$  near the boundary of  $\Omega$ . Therefore we have

$$\int_\Omega dv \wedge d^c v \wedge \beta^{n-1} \geq \int_\Omega du_\varepsilon \wedge d^c v_\varepsilon \wedge \beta^{n-1}.$$

Now by Bedford and Taylor’s convergence theorem [1], we know that  $du_\varepsilon \wedge d^c u_\varepsilon \wedge \beta^{n-1} \rightarrow du \wedge d^c u \wedge \beta^{n-1}$  as  $\varepsilon \downarrow 0$ . Thus we have

$$\int_\Omega dv \wedge d^c v \wedge \beta^{n-1} \geq \int_\Omega du \wedge d^c u \wedge \beta^{n-1},$$

which proves the required inequality. □

#### 4. Laplacian estimates

This section is devoted to the proof of the following result.

**THEOREM 4.1.** *Assume  $f \in L^p(\Omega)$ , for some  $p > 1$ , and  $\phi \in \text{Lip}_{2\alpha}(\partial\Omega)$  is such that the positive measure  $\Delta u(\Omega, \phi, 0)$  has finite mass in  $\Omega$ . Then*

$$u(\Omega, \phi, f) \in \text{Lip}_{\alpha''}(\bar{\Omega}) \quad \text{for all } \alpha'' < \min\left(\alpha, \frac{2}{[qn + 1]}\right),$$

where  $1/p + 1/q = 1$ .

Observe that the hypothesis of the theorem is satisfied with  $\alpha = 1$  when  $\phi \in C^{1,1}(\partial\Omega)$  thanks to Proposition 2.3. In this case the theorem implies that  $u(\Omega, \phi, f) \in \text{Lip}_{\alpha''}(\bar{\Omega})$ , for all  $\alpha'' < 2/[qn + 1]$ , which implies immediately our Main Theorem stated in the introduction.

To prove the above theorem, we use the same method as above. The finiteness of the total mass of  $\Delta u(\Omega, \phi, 0)$  allows a good control (see Lemma 4.2) on the terms  $\hat{u}_\delta - u$ , where

$$\hat{u}_\delta(z) := \frac{1}{\tau_{2n}\delta^{2n}} \int_{|\zeta-z|\leq\delta} u(\zeta) dV_{2n}(\zeta), \quad z \in \Omega_\delta,$$

where  $\tau_{2n}$  denotes the volume of the unit ball in  $\mathbb{C}^n$ . We shall compare  $\hat{u}_\delta$  with  $u_\delta$  in Lemma 4.2 below.

It follows from the construction of plurisubharmonic Hölder continuous barriers that the solution  $u = u(\Omega, \phi, f)$  is Hölder continuous near the boundary, that is, for  $\delta > 0$  small enough, we have

$$u(z) - u(\zeta) \leq c_0\delta^\alpha, \tag{1}$$

for  $z, \zeta \in \bar{\Omega}$  with  $\text{dist}(z, \partial\Omega) \leq \delta, \text{dist}(\zeta, \partial\Omega) \leq \delta$ , and  $|z - \zeta| \leq \delta$ .

The link between  $u_\delta$  and  $\hat{u}_\delta$ , is made by the following lemma.

**LEMMA 4.2.** *Given  $\alpha \in ]0, 1[$ , the following two conditions are equivalent.*

(i) *There exist  $\delta_0, A > 0$  such that for any  $0 < \delta \leq \delta_0$ ,*

$$u_\delta - u \leq A\delta^\alpha \quad \text{on } \Omega_\delta.$$

(ii) *There exist  $\delta_1, B > 0$  such that for any  $0 < \delta < \delta_1$ ,*

$$\hat{u}_\delta - u \leq B\delta^\alpha \quad \text{on } \Omega_\delta.$$

*Proof.* Observe that  $\hat{u}_\delta \leq u_\delta$  in  $\Omega_\delta$ , and hence (i)  $\Rightarrow$  (ii) follows immediately.

We now prove that (ii)  $\Rightarrow$  (i). We need to show that there exist  $A, \delta_0 > 0$  such that for  $0 < \delta \leq \delta_0$ ,

$$\omega(\delta) := \sup_{z \in \Omega_\delta} [u_\delta(z) - u(z)] \leq A\delta^\alpha.$$

Fix  $\delta_\Omega > 0$  small enough so that  $\Omega_\delta \neq \emptyset$  for  $\delta \leq 3\delta_\Omega$ . Since  $u$  is uniformly continuous, for any fixed  $0 < \delta < \delta_\Omega$ ,

$$\nu(\delta) := \sup_{\delta < t \leq \delta_\Omega} \omega(t)t^{-\alpha} < +\infty.$$

We claim that there exists a  $\delta_0 > 0$  small enough so that for any  $0 < \delta \leq \delta_0$ ,

$$\omega(\delta) \leq A\delta^\alpha \quad \text{with } A = (1 + 4^\alpha)c_0 + 2^\alpha 4^n B + \nu(\delta_\Omega),$$

where  $c_0$  is the constant arising in inequality (1), while  $B$  is the constant from condition (ii). Assume that this is not the case. Then there exists a  $0 < \delta < \delta_\Omega$  such that

$$\omega(\delta) > A\delta^\alpha. \tag{2}$$



Set  $\delta := \sup\{t < \delta_\Omega / \varphi(t) > At^\alpha\}$ . Then

$$\frac{\varphi(\delta)}{\delta^\alpha} \geq A \geq \frac{\varphi(t)}{t^\alpha} \quad \text{for all } t \in [\delta, \delta_\Omega]. \tag{3}$$

Since  $u$  is continuous, we can find  $z_0 \in \overline{\Omega_\delta}$ ,  $\zeta_0 \in \overline{\Omega}$  with  $|z_0 - \zeta_0| \leq \delta$  such that

$$\omega(\delta) = \sup_{z \in \Omega_\delta} \left[ \sup_{w \in B(z, \delta)} u(w) - u(z) \right] = u(\zeta_0) - u(z_0).$$

We first derive a contradiction if  $z_0$  is close enough to the boundary of  $\Omega$ . Assume that  $\text{dist}(z_0, \partial\Omega) \leq 3\delta$ . Take  $z_1 \in \partial\Omega$  such that  $\text{dist}(z_0, \partial\Omega) = \text{dist}(z_0, z_1) \leq 4\delta$ . It follows from (1) that

$$\omega(\delta) = u(\zeta_0) - u(z_0) = [u(\zeta_0) - u(z_1)] + [u(z_1) - u(z_0)] \leq [1 + 4^\alpha]c_0\delta^\alpha.$$

This contradicts (3).

Thus we can assume that  $\text{dist}(z_0, \partial\Omega) > 3\delta$ . Fix  $b > 1$  so that  $\text{dist}(z_0, \partial\Omega) > (2b + 1)\delta$ . Thus any  $z \in \mathbb{B}(\zeta_0, b\delta)$  satisfies  $z \in \mathbb{B}(z_0, [b + 1]\delta)$ , and hence  $z \in \Omega_{b\delta}$ . By using inequality (3) with  $t = b\delta$ , we get  $u(\zeta_0) - u(z) \leq b^\alpha\varphi(\delta)$ ; hence

$$u(z) \geq u(\zeta_0) - b^\alpha\varphi(\delta) \quad \text{for all } z \in \mathbb{B}(\zeta_0, b\delta). \tag{4}$$

Observe now that  $\mathbb{B}(\zeta_0, \delta) \subset \mathbb{B}(z_0, [b + 1]\delta)$ , and hence

$$\begin{aligned} \hat{u}_{(b+1)\delta}(z_0) &= \left(\frac{b}{b+1}\right)^{2n} \hat{u}_{b\delta}(\zeta_0) + \frac{1}{\tau_n(b+1)^{2n}\delta^{2n}} \int_{\mathbb{B}(z_0, (b+1)\delta) \setminus \mathbb{B}(\zeta_0, b\delta)} u \, dV \\ &\geq \left(\frac{b}{b+1}\right)^{2n} u(\zeta_0) + \left[1 - \frac{b^{2n}}{(b+1)^{2n}}\right] [u(\zeta_0) - b^\alpha\omega(\delta)] \\ &= u(\zeta_0) - b^\alpha \left[1 - \frac{b^{2n}}{(b+1)^{2n}}\right] \varphi(\delta), \end{aligned}$$

where we have used the subharmonicity of  $u$  together with inequality (4). Since  $u(\zeta_0) = u(z_0) + \varphi(\delta)$ , we infer, letting  $b \rightarrow 1$ ,

$$\hat{u}_{2\delta}(z_0) \geq u(z_0) + 4^{-n}\varphi(\delta).$$

We now use assumption (ii), only considering small enough values of  $\delta > 0$ : since  $\hat{u}_{2\delta}(z_0) \leq u(z_0) + B2^\alpha\delta^\alpha$ , we get

$$\varphi(\delta) \leq 4^n 2^\alpha B\delta^\alpha < A\delta^\alpha.$$

This contradicts the definition of  $\delta$ , and hence we have proved that (ii)  $\Rightarrow$  (i). □

It is straightforward to check that if assumption (i) is satisfied, then  $u$  belongs to  $\text{Lip}_\alpha(\overline{\Omega})$ . Thus Theorem 4.1 will be proved if we can establish assumption (ii). It follows from Theorem 1.1 that it suffices to get control on the  $L^1$ -average of  $\hat{u}_\delta - u$ . This is the content of our next result.

LEMMA 4.3. *Assume that  $\Delta u$  has finite mass in  $\Omega$ . Then for  $\delta > 0$  small enough, we have*

$$\int_{\Omega_\delta} [\hat{u}_\delta(z) - u(z)] \, dV_{2n}(z) \leq c_n \|\Delta u\| \delta^2,$$

where  $c_n > 0$  is a uniform constant.

*Proof.* It follows from Jensen’s formula that for  $z \in \Omega_\delta$  and  $0 < r < \delta$ ,

$$\frac{1}{\sigma_{2n-1}} \int_{|\xi|=1} u(z + r\xi) dS_{2n-1} = u(z) + \int_0^r t^{1-2n} \left( \int_{|\zeta| \leq t} dd^c u \wedge \beta_{n-1} \right) dt.$$

Using polar coordinates we get, for  $z \in \Omega_\delta$ ,

$$\hat{u}_\delta(z) - u(z) = \frac{1}{\sigma_{2n-1} \delta^{2n}} \int_0^\delta r^{2n-1} dr \int_0^r t^{1-2n} \left( \int_{|\zeta-z| \leq t} dd^c u \wedge \beta_{n-1} \right) dt.$$

Finally, Fubini’s theorem yields

$$\begin{aligned} \int_{\Omega_\delta} (\hat{u}_\delta - u) dV_{2n} &\leq a_n \delta^{-2n} \int_0^\delta r^{2n-1} dr \int_0^r t^{1-2n} \left( \int_{|\zeta| \leq t} \left( \int_{\Omega} \Delta u \right) \right) dt \\ &\leq c_n \delta^2 \|\Delta u\|. \end{aligned} \quad \square$$

To complete the proof of Theorem 4.1, we use the same gluing construction as in Proposition 2.1 to construct global plurisubharmonic approximants  $(v_\delta)$  decreasing to  $u$  in  $\Omega$  as  $\delta \downarrow 0$  such that  $v_\delta = u + C\delta^\alpha$  on  $\Omega \setminus \Omega_\delta$  and  $\hat{u}_\delta - u \leq v_\delta - u \leq \hat{u}_\delta - u + C\delta^\alpha$  on  $\Omega_\delta$ . Now we can use Lemma 4.3 since by Proposition 2.3,  $\Delta u = \Delta u(\Omega, \phi, f)$  has finite mass in  $\Omega$ . Then using Theorem 1.1 (with  $\psi = v_\delta, \varphi = u, r = 1$ ) we get

$$\sup_{\Omega_\delta} (\hat{u}_\delta - u) \leq \sup_{\Omega} (v_\delta - u) + C\delta^\alpha \leq C(\delta^{2\gamma} + \delta^\alpha),$$

where  $C > 0$  is a constant, which proves our theorem due to Lemma 4.2.

We now give examples which show that the Hölder exponent in our theorems cannot be better than  $2/nq$ , where  $q = p/(p - 1)$ . The first (simple) example explains why the exponent is optimal.

**EXAMPLE 4.4.** Consider the function defined on  $\mathbb{C}^n$  by  $u(z_1, \dots, z_n) := |z_1|^\alpha \cdot |z'|^2$ , where  $z' := (z_2, \dots, z_n)$ . This is a plurisubharmonic function in  $\mathbb{C}^n$  which is Hölder-continuous of exponent  $\alpha \in ]0, 1[$ . We let the reader check that

$$(dd^c u)^n = f dV \quad \text{with } f(z) = \frac{1}{|z_1|^{2-n\alpha}} g(z_2, \dots, z_n),$$

where  $g > 0$  is a smooth density.

Given  $p > 1$ ,  $f$  belongs to  $L^p_{loc}(\mathbb{C}^n)$  whenever  $\alpha = \varepsilon + 2/nq$ , for some  $\varepsilon > 0$ .

The next example was communicated to us by Plis [11]. It shows that one cannot expect a better exponent than  $2/nq$  in the unit ball with zero boundary data.

**EXAMPLE 4.5.** Consider the function

$$\eta(t) = \begin{cases} 0 & \text{if } |t| \geq 1, \\ \exp(-1/(1 - t^2)) & \text{if } |t| < 1, \end{cases} \quad (5)$$

and let

$$f(z) := \eta \left( \frac{|z_n|}{|z'|^\alpha} \right) |z'|^\beta,$$

where  $z = (z', z_n) \in \mathbb{B}_n$ ,  $n \geq 2$ ,  $\alpha > 0$ , and  $\beta \in \mathbb{R}$ . Then by [11], if  $u$  is a continuous plurisubharmonic function on  $\mathbb{B}_n$  such that

$$\begin{aligned} (dd^c u)^n &= f\beta_n \quad \text{in } \mathbb{B}_n, \\ u &= 0 \quad \text{on } \partial\mathbb{B}_n \end{aligned} \tag{6}$$

then there exist a sequence  $\varepsilon_k \searrow 0$  and a constant  $C > 0$  such that

$$u(0, \varepsilon_k) - u(0) \geq \varepsilon_k^{(2\alpha+2(n-1)+\beta)/n\alpha}.$$

Let  $p > 1$  and  $\varepsilon > 0$ . Then if we set  $\beta := -(2(\alpha + (n-1) + \varepsilon))/p$ , we obtain a density  $f \in L^p(\mathbb{B}_n)$  and for any  $\delta > 0$ , the solution  $u$  is not  $(\delta + 2/nq)$ -Hölder continuous on  $\mathbb{B}_n$  if  $\alpha > 0$  is big enough, where  $q = p/(p-1)$ .

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