

SAMPLING SETS FOR THE NEVANLINNA CLASS

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ABSTRACT. We propose a definition of sampling set for the Nevanlinna and Smirnov classes in the disk and show its equivalence with the notion of determination set for the same classes. We also show the relationship with determination sets for related classes of functions and deduce a characterization of Smirnov sampling sets. For Nevanlinna sampling we give general conditions (necessary or sufficient), from which we obtain precise geometric descriptions in several regular cases.

CONTENTS

1. Introduction	2
2. Determination sets and necessary conditions	3
2.1. Sampling and determination sets	3
2.2. Sampling in the Smirnov class	6
2.3. Determination sets for harmonic functions and a necessary condition for Nevanlinna sampling	6
3. General conditions	8
4. Regular sampling sequences	13
4.1. Fine nets	13
4.2. Discretized rings	18
4.3. Uniformly dense disks	21
5. Uniformly dense disks for subharmonic functions	24
6. Appendix.	27
References	27

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1. INTRODUCTION

Let Λ be a subset in the unit disk \mathbb{D} . In general Λ is called sampling for a space of holomorphic functions X when any function $f \in X$ is determined by its restriction $f|_\Lambda$, with control of norms. For Banach spaces X it is usually clear what that control of norms means, but for the spaces we have in mind the situation is not so obvious. Consider the *Nevanlinna class*

$$\mathcal{N} = \left\{ f \in \text{Hol}(\mathbb{D}) : \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta < \infty \right\},$$

which is not a Banach space, but enjoys the structure of complete metric space with the distance $d(f, g) = N(f - g)$ induced by

$$N(f) = \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} \log(1 + |f(re^{i\theta})|) d\theta.$$

The subharmonicity of $\log(1 + |f|)$ yields the pointwise estimate

$$(1 - |z|) \log(1 + |f(z)|) \leq 2N(f),$$

which shows that convergence in the distance d implies uniform convergence on compact sets [SS, Proposition 1.1].

The Nevanlinna class \mathcal{N} coincides with the set of functions $f \in \text{Hol}(\mathbb{D})$ such that $\log(1 + |f|)$ admits a harmonic majorant (see [Gar81, p.69] or (2) later on). The value $N(f)$ can then be rewritten in terms of an extremal problem for harmonic majorants. Let $\text{Har}_+(\mathbb{D})$ denote the space of non-negative harmonic functions in the disk; then

$$N(f) = \inf \{ h(0) : h \in \text{Har}_+(\mathbb{D}) \text{ with } \log(1 + |f|) \leq h \}.$$

This expression makes sense for any f measurable in \mathbb{D} , in particular for a restriction $f|_\Lambda$, and suggests the following definition.

Definition. A set Λ is *sampling* for \mathcal{N} if there exists $C > 0$ such that

$$N(f) \leq N(f|_\Lambda) + C \quad \forall f \in \mathcal{N}.$$

In Section 2 we study first the relationship between Nevanlinna sampling sets and determination sets for the same class and for the space \mathcal{H}^∞ of bounded holomorphic functions. We prove that sampling and determination sets for \mathcal{N} are the same. Also, from the characterization of \mathcal{H}^∞ determination sets given by Brown, Shields and Zeller [BrShZe] we deduce a complete description of sampling sets for the *Smirnov class*

$$\mathcal{N}^+ = \left\{ f \in \mathcal{N} : \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta = \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f^*(e^{i\theta})| d\theta < \infty \right\}.$$

Here $f^*(e^{i\theta})$ denotes the non-tangential limit of f at the boundary point $e^{i\theta}$.

Next we study the relationship between sampling sets for \mathcal{N} and determination sets for the class $\text{Har}_\pm(\mathbb{D})$ of harmonic functions which can be written as the difference of two positive harmonic functions. This is relevant because the Riesz-Smirnov factorization implies that for any $f \in \mathcal{N}$ there exist a Blaschke product B and $h \in \text{Har}_\pm(\mathbb{D})$ such that $\log |f| = \log |B| + h$. From the characterization of determination sets for $\text{Har}_\pm(\mathbb{D})$ given by Hayman and Lyons [HaLy]

we deduce a necessary geometric condition for sampling in \mathcal{N} . Some examples show that this condition is far from being sufficient.

In Section 3 we give general conditions for Nevanlinna sampling (Theorem 3.2), which in Section 4 are used to obtain a precise geometric description for three different types of regular sampling sets: fine nets of points, regular sequences on circles tending to the unit circle, and uniformly dense unions of hyperbolic disks, as considered by Ortega-Cerdà and Seip in [OrSe].

A final remark about notation. The expression $A \preceq B$ means that there exists a constant $C > 0$, independent of whatever arguments are involved, such that $A \leq CB$. If both $A \preceq B$ and $B \preceq A$ then we write $A \simeq B$.

2. DETERMINATION SETS AND NECESSARY CONDITIONS

In this section we describe the relationship between our definition of sampling and other related notions studied previously.

2.1. Sampling and determination sets. We begin with an easy observation: in the definition of sampling given in the introduction $N(f)$ can be equivalently replaced by

$$N_+(f) = \lim_{r \rightarrow 1} \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta = \inf \{h(0) : h \in \text{Har}_+(\mathbb{D}) \text{ with } \log^+ |f| \leq h\}.$$

This is an immediate consequence of the inequalities

$$\log^+ |f| \leq \log(1 + |f|) \leq \log 2 + \log^+ |f|.$$

Also, the constant C can be assumed to be 0, as the following Lemma shows.

Lemma 2.1. *A set Λ is sampling for \mathcal{N} if and only if $N_+(f) = N_+(f|_\Lambda)$ for all $f \in \mathcal{N}$.*

Proof. Of course, we only need to see that the equality is necessary. Since \mathcal{N} is an algebra, the sampling inequality $N_+(f) \leq N_+(f|_\Lambda) + C$ yields automatically

$$N_+(f^n) \leq N_+(f^n|_\Lambda) + C \quad \forall f \in \mathcal{N} \quad \forall n \in \mathbb{N}.$$

By definition $N_+(f^n) = nN_+(f)$, so

$$N_+(f) \leq N_+(f|_\Lambda) + \frac{C}{n} \quad \forall f \in \mathcal{N} \quad \forall n \in \mathbb{N},$$

and the result follows letting n tend to ∞ . ■

Let us consider also two related notions for a set Λ in \mathbb{D} . A priori, one seems weaker and the other stronger than the sampling property.

Definition. A set Λ is a *determination set* for \mathcal{N} if $\mathcal{N} \cap L^\infty(\Lambda) \subset \mathcal{H}^\infty$, i.e. if any $f \in \mathcal{N}$ with $\sup_\Lambda |f| < \infty$ must be bounded on the whole unit disk.

A set Λ is *strongly sampling* for \mathcal{N} if whenever $f \in \mathcal{N}$ and $h \in \text{Har}_+(\mathbb{D})$ are such that $\log^+ |f(\lambda)| \leq h(\lambda)$ for all $\lambda \in \Lambda$, then necessarily $\log^+ |f| \leq h$.

When Λ is strongly sampling the distance $d(f, g)$ between two functions $f, g \in \mathcal{N}$ coincides with the distance between their restrictions $f|_\Lambda$ and $g|_\Lambda$.

Remark 1. A set Λ is a *determination set* for \mathcal{H}^∞ when $\|f\|_\infty = \sup_\Lambda |f|$ for all $f \in \mathcal{H}^\infty$. It is easy to see that determination sets for \mathcal{N} are also determination sets for \mathcal{H}^∞ , which therefore satisfy $\|f\|_\infty = \sup_\Lambda |f|$ for all $f \in \mathcal{N}$.

Indeed, assume that there exists $f \in \mathcal{H}^\infty$ such that $\|f\|_\infty = 1$ and $\sup_\Lambda |f| = s < 1$. Take $\{z_k\}_k \subset \mathbb{D}$ such that $\lim_k |f(z_k)| = 1$ and consider any accumulation point $\zeta \in \mathbb{T}$ of $\{f(z_k)\}_k$. Then the function $g = 1/(\zeta - f)$ belongs to \mathcal{N} , is not bounded, but $\sup_\Lambda |g| \leq 1/(1 - s)$.

Brown, Shields and Zeller showed that Λ is a determination set for \mathcal{H}^∞ if and only if the set $NT(\Lambda)$ consisting of the $\zeta \in \mathbb{T}$ which are a non-tangential limit of points in Λ has full measure, i.e. $|NT(\Lambda)| = 2\pi$ [BrShZe]. It was shown in [Th] that the same condition also characterizes sampling sets for the Hardy spaces \mathcal{H}^p ($0 < p < \infty$), if appropriately defined. This condition is therefore necessary for Λ to be a determination set for \mathcal{N} .

Our first result shows that the previous notions are all equivalent.

Theorem 2.2. *Let Λ be a subset of \mathbb{D} . The following properties are equivalent:*

- (a) Λ is a sampling set for \mathcal{N} .
- (b) Λ is a determination set for \mathcal{N} .
- (c) Λ is a strongly sampling set for \mathcal{N} .

It is clear from (c) that the sampling property is invariant under automorphisms of the disk: if Λ is sampling for \mathcal{N} and $\phi(z) = e^{i\theta} \frac{z-a}{1-\bar{a}z}$, $a \in \mathbb{D}$, is an automorphism of \mathbb{D} , then $\phi(\Lambda)$ is also sampling for \mathcal{N} .

Before the proof we need to recall some well-known facts about the structure of the Nevanlinna class (general references are e.g. [Gar81], [Nik02] or [RosRov]).

For a set $Z \subset \mathbb{D}$ with multiplicities, the *Blaschke product* with zeros on Z is

$$B_Z(z) := \prod_{a \in Z} \frac{\bar{a}}{|a|} \frac{a - z}{1 - \bar{a}z},$$

where the points are repeated according to multiplicities. This is convergent, not identically equal to 0, if and only if $\sum_{a \in Z} (1 - |a|) < \infty$. When this is the case, we say that Z is a *Blaschke sequence*, or verifies the Blaschke condition.

A function f is called *outer* if it can be written in the form

$$f(z) = C \exp \left\{ \int_0^{2\pi} \frac{e^{i\theta} + z}{e^{i\theta} - z} \log v(e^{i\theta}) \frac{d\theta}{2\pi} \right\},$$

where $|C| = 1$, $v > 0$ a.e. on \mathbb{T} and $\log v \in L^1(\mathbb{T})$. Such a function is the quotient $f = f_1/f_2$ of two bounded outer functions $f_1, f_2 \in \mathcal{H}^\infty$ with $\|f_i\|_\infty \leq 1$, $i = 1, 2$. In particular, the weight v is given by the boundary values of $|f_1/f_2|$. Setting $w = \log v$, we have

$$\log |f(z)| = P[w](z) := \int_0^{2\pi} P_z(e^{i\theta}) w(e^{i\theta}) \frac{d\theta}{2\pi},$$

where

$$P_z(e^{i\theta}) := \frac{1 - |z|^2}{|e^{i\theta} - z|^2}$$

denotes the *Poisson kernel* at $z \in \mathbb{D}$.

In general, for any finite measure μ on \mathbb{T} , the *Poisson integral* of μ is the harmonic function given by

$$P[\mu](z) := \int_0^{2\pi} P_z(e^{i\theta}) d\mu(\theta).$$

Another important family in this context are *inner functions*: $I \in \mathcal{H}^\infty$ such that $|I| = 1$ almost everywhere on \mathbb{T} . Any inner function I can be factorized into a Blaschke product B carrying the zeros of I , and a singular inner function S defined by

$$S(z) = \exp \left\{ - \int_0^{2\pi} \frac{e^{i\theta} + z}{e^{i\theta} - z} d\mu(e^{i\theta}) \right\},$$

for some positive Borel measure μ singular with respect to Lebesgue measure.

According to the Riesz-Smirnov factorization, any function $f \in \mathcal{N}$ is represented as

$$(1) \quad f = \alpha \frac{BS_1 f_1}{S_2 f_2},$$

with f_i outer, $\|f_i\|_\infty \leq 1$, S_i singular inner, B a Blaschke product and $|\alpha| = 1$.

Remark 2. Let $\text{Har}_\pm(\mathbb{D})$ denote the set of harmonic functions h that can be written $h = h_1 - h_2$, with $h_1, h_2 \in \text{Har}_+(\mathbb{D})$. The factorization above shows that for $f \in \mathcal{N}$ there exist always $h \in \text{Har}_\pm(\mathbb{D})$ and a Blaschke product B such that

$$(2) \quad \log |f| = \log |B| + h,$$

and reciprocally, for any $h \in \text{Har}_\pm(\mathbb{D})$ and any Blaschke product B there exists $f \in \mathcal{N}$ satisfying (2).

Proof of Theorem 2.2. (c) \Rightarrow (a) is immediate from the definition.

(a) \Rightarrow (b). Let $f \in \mathcal{N}$ with $s_\Lambda =: \sup_\Lambda |f| < \infty$ and consider $g = f/s_\Lambda \in \mathcal{N}$. Since Λ is sampling and $\log^+ |g(\lambda)| = 0$ for all $\lambda \in \Lambda$ we have, according to Lemma 2.1, $N_+(g) = N_+(g|\Lambda) = 0$. Thus $\int_0^{2\pi} \log^+ |g(re^{i\theta})| d\theta = 0$ for all $r < 1$, hence $\|g\|_\infty \leq 1$.

(b) \Rightarrow (c). Let $f \in \mathcal{N}$ and $h \in \text{Har}_+(\mathbb{D})$ be such that $\log |f(\lambda)| \leq h(\lambda)$ for all $\lambda \in \Lambda$. By Remark 2, there exists a function $g \in \mathcal{N}$ such that $\log |g| = \log |f| - h$. We have then $\log |g(\lambda)| \leq 0$ for all $\lambda \in \Lambda$, and as pointed out in Remark 1, this implies $\|g\|_\infty \leq 1$, i.e. $\log |g| = \log |f| - h \leq 0$. ■

2.2. Sampling in the Smirnov class. All the definitions and proofs above can be similarly given for the Smirnov class \mathcal{N}^+ defined in the introduction. The Smirnov class consists of those $f \in \mathcal{N}$ for which the harmonic majorant of $\log^+ |f|$ is quasi-bounded (the Poisson integral of some $w \in L^1(\mathbb{T})$). Equivalently, it consists of those $f \in \mathcal{N}$ with no singular factor S_2 in the factorization (1).

The geometric description of sampling sequences for \mathcal{N}^+ is a straightforward consequence of the results in [BrShZe] and Remark 1. Recall that $NT(\Lambda)$ denotes the non-tangential accumulation set of Λ in \mathbb{T} .

Theorem 2.3. *Let Λ be a subset of \mathbb{D} . The following properties are equivalent:*

- (a) Λ is a sampling set for \mathcal{N}^+ .
- (b) Λ is a determination set for \mathcal{N}^+ .
- (c) Λ is a strongly sampling set for \mathcal{N}^+ .
- (d) $|NT(\Lambda)| = 2\pi$.

Proof. The equivalence between (a), (b) and (c) is seen as in Theorem 2.2.

The necessity of (d) is pointed out in Remark 1. The sufficiency is immediate: for almost every $\theta \in [0, 2\pi)$ there exists a sequence $\{\lambda_k\}_k \subset \Lambda$ tending non-tangentially to $e^{i\theta}$, and therefore $f^*(e^{i\theta}) = \lim_{k \rightarrow \infty} f(\lambda_k)$ [Gar81, Theorem 5.3]. Then, if $f \in \mathcal{N}^+$ and $h \in \text{Har}_+(\mathbb{D})$ are such that $\log^+ |f(\lambda)| \leq h(\lambda)$ for all $\lambda \in \Lambda$ we have $\log^+ |f^*(e^{i\theta})| \leq h(e^{i\theta})$ a.e $\theta \in \mathbb{T}$. This yields $N_+(f) \leq N_+(f|_\Lambda)$. ■

2.3. Determination sets for harmonic functions and a necessary condition for Nevanlinna sampling. From previous results on determination sets for harmonic functions and the equivalences of Theorem 2.2 we deduce a first necessary condition for Nevanlinna sampling (Corollary 2.4). This can be obtained directly, as shown in the Appendix.

Given $z, w \in \mathbb{D}$ let

$$\rho(z, w) := \left| \frac{z - w}{1 - z\bar{w}} \right|$$

stand for the its *pseudohyperbolic distance*. For $r \in (0, 1)$ and $z \in \mathbb{D}$ let $D(z, r) = \{w \in \mathbb{D} : \rho(z, w) < r\}$.

A sequence $\Lambda = \{\lambda_k\}_k$ is called *separated* when

$$\inf_{j \neq k} \rho(\lambda_j, \lambda_k) > 0.$$

For any set $\Lambda \subset \mathbb{D}$ and $\delta \in (0, 1)$, consider the pseudohyperbolic dilation

$$\Lambda^\delta = \bigcup_{\lambda \in \Lambda} D(\lambda, \delta),$$

and given $\zeta \in \mathbb{T}$ denote

$$I(\Lambda, \zeta, \delta) := \int_{\Lambda^\delta} \frac{1}{|\zeta - z|^2} dm(z),$$

where dm stands for the usual area measure.

We note that for any fixed $\zeta \in \mathbb{T}$, the values $I(\Lambda, \zeta, \delta)$ are finite simultaneously for all values of $\delta \in (0, 1)$, and that this is equivalent to the fact that for any maximal separated subsequence $\Lambda' \subset \Lambda$, we have

$$\sum_{\lambda \in \Lambda'} \left(\frac{1 - |\lambda|^2}{|\zeta - \lambda|} \right)^2 = \sum_{\lambda \in \Lambda'} (1 - |\lambda|^2) P_\lambda(\zeta) < \infty.$$

We recall the following characterization of determination sets for the class $\text{Har}_\pm(\mathbb{D})$ given by Hayman and Lyons [HaLy]. This is elaborated upon in [Ga].

Theorem A. *Let $\Lambda \subset \mathbb{D}$. The following properties are equivalent.*

- (a) $\sup_\Lambda h = \sup_{\mathbb{D}} h$ for all $h \in \text{Har}_\pm(\mathbb{D})$.
- (b) *There exists $\delta \in (0, 1)$ such that $I(\Lambda, \zeta, \delta) = \infty$ for all $\zeta \in \mathbb{T}$.*

We shall call the sets satisfying these condition *Hayman-Lyons sets*.

Condition (b) is more restrictive than Brown, Shields and Zeller's condition $|NT(\Lambda)| = 2\pi$. Actually, $|NT(\Lambda)| = 2\pi$ is equivalent to $I(\Lambda, \zeta, \delta) = \infty$ a.e. $\zeta \in \mathbb{T}$ [Ga, Corollary 2]. On the other hand, it is clear that if $NT(\Lambda) = \mathbb{T}$ then (b) is satisfied, since the Poisson kernel $P(z, \zeta)$ is bounded below in any Stolz angle with vertex at ζ .

Corollary 2.4. *A Nevanlinna sampling set is a Hayman-Lyons set.*

Proof. By Theorem 2.2, Λ is a determination set for \mathcal{N} , and therefore $\sup_\Lambda \log |f| = \sup_{\mathbb{D}} \log |f|$ for all $f \in \mathcal{N}$. By Remark 2, this implies (a) in Theorem A. \blacksquare

Notice also that when Λ is a determination set for \mathcal{N} and $f, g \in \mathcal{N}$ are such that $|f(\lambda)| \leq |g(\lambda)|$ for all $\lambda \in \Lambda$, then $|Bf| \leq |g|$, where B indicates the Blaschke product associated to the zeros of g . To see this factorize $g = Bg_0$, with g_0 non-vanishing. Then $|f(\lambda)|/|g_0(\lambda)| \leq |B(\lambda)| \leq 1$ and by hypothesis $|f| \leq |g_0|$, as desired.

The Hayman-Lyons condition is not sufficient for sampling in \mathcal{N} , as shown in the following example.

Example 1. Take a dyadic partition of the disk: for any (n, k) in the set of indices $\mathcal{I} = \{(n, k) : n \in \mathbb{N}, 0 \leq k \leq 2^n - 1\}$ consider the interval

$$(3) \quad I_{n,k} := \{e^{i\theta} : \theta \in [2\pi k 2^{-n}, 2\pi(k+1)2^{-n}]\},$$

and the associated Whitney partition in “dyadic squares”:

$$(4) \quad Q_{n,k} := \{re^{i\theta} : e^{i\theta} \in I_{n,k}, 1 - 2^{-n} \leq r < 1 - 2^{-n-1}\}.$$

Observe that the pseudohyperbolic diameter of each Whitney square $Q_{n,k}$ is bounded between two absolute constants.

Let Λ be the sequence consisting of the centers $c_{n,k}$ of $Q_{n,k}$. An immediate computation shows that for every $\zeta \in \mathbb{T}$

$$\sum_{n=1}^{\infty} \sum_{k=0}^{2^n-1} (1 - |c_{n,k}|^2) P_{c_{n,k}}(\zeta) = \sum_{n=1}^{\infty} \sum_{k=0}^{2^n-1} \left(\frac{1 - |c_{n,k}|^2}{|\zeta - c_{n,k}|} \right)^2 \simeq \sum_{n=1}^{\infty} \sum_{k=0}^{2^n-1} \left(\frac{2^{-n}}{2^{-n} + k 2^{-n}} \right)^2 = \infty,$$

and therefore Λ is a Hayman-Lyons set.

In order to see that Λ is not a determination set for \mathcal{N} fix $\zeta = 1 \in \mathbb{T}$ and consider a *horocycle* $\{z : P_z(1) = c\}$, the boundary of the euclidian disk $B(\frac{c}{1+c}, \frac{1}{1+c})$ (see Figure 1). Then $Z = \Lambda \cap B(\frac{c}{1+c}, \frac{1}{1+c})$ is a Blaschke sequence:

$$\sum_{a \in Z} 1 - |a| \simeq \sum_{n=1}^{\infty} \sum_{0 \leq k < \sqrt{2^n}} (1 - |c_{n,k}|) \simeq \sum_{n=1}^{\infty} 2^{-n/2} < \infty.$$

PSfrag replacements

$$\begin{array}{c} Q_{n,k} \\ c_{n,k} \\ P_z(1) = c \\ \zeta \end{array}$$

Figure 1. Upper half-plane representation of Λ and the horocycle $P_z(1) = c$.

Therefore, there exists $f \in \mathcal{N}$ such that

$$\log |f(z)| = \log |B_Z(z)| + P_z(1) \quad z \in \mathbb{D}.$$

Clearly $\log |f(\lambda)| \leq c$ for all $\lambda \in \Lambda$. The fact that f is not bounded rests on the following more general lemma.

Lemma 2.5. [SS, Lemma 2.3] *For any Blaschke product B and any $\zeta \in \mathbb{T}$,*

$$\limsup_{r \rightarrow 1} (1 - r) \log |B(r\zeta)| = 0.$$

This implies in particular that $\limsup_{r \rightarrow 1} (1 - r) \log |f(r)| = \limsup_{r \rightarrow 1} (1 - r) P_r(1) = 2$, so f cannot be bounded on $(0, 1)$.

3. GENERAL CONDITIONS

In order to see what extra conditions are required on a Hayman-Lyons set Λ to be a determination set for \mathcal{N} , assume that $f \in \mathcal{N}$ is such that $\sup_{\Lambda} |f| \leq 1$. According to Remark 2, there exist a Blaschke product B (with zero-sequence Z) and $F \in \text{Har}_{\pm}(\mathbb{D})$ such that $\log |f| = \log |B| + F$.

It will enough to see that F is quasi-bounded, that is, that f belongs to the Smirnov class. This is so because the Hayman-Lyons condition implies $|NT(\Lambda)| = 2\pi$, and we deduce then from Theorem 2.3 that $\sup_{\Lambda} |f| = \sup_{\mathbb{D}} |f|$ (see Remark 1).

The hypothesis is, in these terms,

$$F(\lambda) \leq \log \frac{1}{|B(\lambda)|} \quad \lambda \in \Lambda ,$$

and we would like to impose certain conditions on Λ so that this estimate implies that F has a quasi-bounded harmonic majorant.

A first observation is that the zeros of B far from a given λ are no obstruction to such majorization. The following is a restatement of [HMNT, Proposition 4.1, pp. 13–14], and of part of its proof.

Lemma 3.1. *Let B be the Blaschke product associated to a Blaschke sequence Z . For any $\delta \in (0, 1)$, there exists a positive quasi-bounded harmonic function $H_B = P[w]$, $w \in L^1(\mathbb{T})$, such that $-\log |B(z)| \leq H_B(z) - \sum_{a \in Z \cap D(z, \delta)} \log \rho(z, a)$, for any $z \in \mathbb{D}$. Furthermore*

$$w(\zeta) = c_0 \sum_{a \in Z} \chi_{I_a}(\zeta),$$

where c_0 is an appropriate positive constant, and $I_a = \{\zeta \in \mathbb{T} : |\zeta - \frac{a}{|a|}| \leq 1 - |a|\}$ is the “Privalov shadow” of a on \mathbb{T} .

Given $\delta \in (0, 1)$, there exists thus H_B harmonic, quasi-bounded and positive such that,

$$(5) \quad F(\lambda) \leq H_B(\lambda) + \sum_{a \in Z \cap D(\lambda, \delta)} \log \frac{1}{\rho(\lambda, a)} \quad \lambda \in \Lambda .$$

It is clear that we cannot expect to bound the local sum in the right hand side of this inequality by a quasi-bounded harmonic function for all $\lambda \in \Lambda$ (it could happen, for example, that $Z \cap \Lambda \neq \emptyset$). Rather, we would like to find conditions on Λ that ensure such a bound for a subset $\Lambda' \subset \Lambda$ big enough so that the estimate of F by a quasi-bounded harmonic function on Λ' implies the same estimate everywhere (in the spirit of the Hayman-Lyons condition for functions in $\text{Har}_{\pm}(\mathbb{D})$).

For that purpose we need a measure of the “vulnerability” of Λ to the presence of zeros of a Blaschke product.

Consider the dyadic squares $\{Q_{n,k}\}_{(n,k) \in \mathcal{I}}$ defined in (4) and denote by $\tilde{Q}_{n,k}$ the union of all $Q_{m,j}$ such that $\overline{Q_{n,k}} \cap \overline{Q_{m,j}} \neq \emptyset$. There exists $\delta_0 > 0$ such that $\overline{Q_{n,k}}^{\delta_0} \subset \tilde{Q}_{n,k}$.

To measure the vulnerabilty of Λ at each $Q_{n,k}$, for $N \in \mathbb{N}$ and $\delta \in (0, 1)$ consider

$$w_{n,k}(\Lambda, N) = \sup \left\{ \left(\inf_{\lambda \in \Lambda \cap \overline{Q_{n,k}}} \sum_{j=1}^N \log \frac{1}{\rho(\lambda, a_j)} \right), a_1, \dots, a_N \in \overline{Q_{n,k}}^{\delta} \right\}.$$

We take an empty sum to be 0, so that $w_{n,k}(\Lambda, 0) = 0$ for any set Λ . Clearly, $w_{n,k}(\Lambda, N)$ is an increasing function of N , and there exists $C(\delta) > 0$ such that $w_{n,k}(\Lambda, N) \geq NC(\delta)$.

Given a Blaschke sequence Z let $N_{n,k} = \#(Z \cap \tilde{Q}_{n,k})$. The Blaschke condition is thus equivalent to $\sum_n 2^{-n} \sum_{k=0}^{2^n-1} N_{n,k} < \infty$. Any sequence of integers $\{N_{n,k}\}_{(n,k) \in \mathcal{I}}$ satisfying this condition will be called a *Blaschke distribution*.

Theorem 3.2. *Let $\Lambda \subset \mathbb{D}$. Each of the following properties implies the next one.*

(a) *For any Blaschke distribution $\{N_{n,k}\}_{(n,k) \in \mathcal{I}}$, there exists $\mathcal{Q} \subset \mathcal{I}$ such that*

$$(6) \quad \Lambda \cap Q_{n,k} \neq \emptyset \quad \text{for any } (n, k) \in \mathcal{Q},$$

$$(7) \quad \sum_{(n,k) \in \mathcal{Q}} 2^{-n} P_{c_{n,k}}(\zeta) = \infty$$

for all $\zeta \in \mathbb{T}$, and

$$(8) \quad \sum_{(n,k) \in \mathcal{Q}} 2^{-n} w_{n,k}(\Lambda, N_{n,k}) < \infty.$$

(b) *For any Blaschke distribution $\{N_{n,k}\}_{(n,k) \in \mathcal{I}}$ and any positive finite measure ν on \mathbb{T} , singular with respect to the Lebesgue measure, there exists $\mathcal{Q} \subset \mathcal{I}$ satisfying (6), (8) and condition (7) almost everywhere with respect to ν .*

(c) *Λ is a determination set for the Nevanlinna class.*

(d) *For any Blaschke distribution $\{N_{n,k}\}_{(n,k) \in \mathcal{I}}$ and any positive finite measure ν on \mathbb{T} , singular with respect to the Lebesgue measure, there exists $\mathcal{Q} \subset \mathcal{I}$ satisfying (6), (8) and*

$$(9) \quad \int_{\mathbb{T}} \sum_{(n,k) \in \mathcal{Q}} 2^{-n} P_{c_{n,k}}(\zeta) d\nu(\zeta) = \infty.$$

(e) *For any Blaschke distribution $\{N_{n,k}\}_{(n,k) \in \mathcal{I}}$ and any $\zeta \in \mathbb{T}$, there exists $\mathcal{Q} \subset \mathcal{I}$ satisfying (6), (7) and (8).*

As pointed out before the statement of Theorem A, condition (7) is precisely the Hayman-Lyons condition for the set $\Lambda \cap \{Q_{n,k}\}_{(n,k) \in \mathcal{Q}}$.

We will see in the next Section how this somewhat cumbersome conditions can be used to provide precise geometric conditions, at least when then set Λ has some regularity.

Open question: are there examples of sets Λ to show that the first and last of those properties are actually different ?

Proof. (a) \Rightarrow (b). Obvious.

(b) \Rightarrow (c). Start, as in the general scheme, with $f \in \mathcal{N}$ such that $\sup_{\Lambda} |f| \leq 1$ and consider the decomposition $\log |f| = \log |B| + F$. By the Riesz-Smirnov factorization (1), the function F can be written as

$$F = h_1 - h_2 + H_1 - H_2,$$

where $h_i, H_i \in \text{Har}_+(\mathbb{D})$, H_i are quasi-bounded and $h_i = P[\nu_i]$, with ν_i positive finite measure in \mathbb{T} , singular with respect to the Lebesgue measure.

In order to see that $f \in \mathcal{N}^+$ it will be enough to prove that h_1 has a quasi-bounded majorant. To do that we use (b) with the singular measure ν_1 and the Blaschke distribution determined by B . Let $\mathcal{Q} \subset \mathcal{I}$ be the set of indices for which (b) holds.

Let $g \in \mathcal{N}$ be such that $\log |g| = \log |f| - H_1 = \log |B| + h_1 - h_2 - H_2$ (explicitly $g = f e^{-(H_1 + i\tilde{H}_1)}$, where \tilde{H}_1 denotes the harmonic conjugate of H_1). Then, the corresponding estimate (5) for g becomes

$$h_1(\lambda) - h_2(\lambda) - H_2(\lambda) \leq H_B(\lambda) + \sum_{a \in Z \cap D(\lambda, \delta)} \log \frac{1}{\rho(\lambda, a)} \quad \lambda \in \Lambda,$$

for some positive quasi-bounded harmonic function H_B .

For each $(n, k) \in \mathcal{Q}$ there is a particular $j = j(n, k)$ such that $\lambda_{j(n, k)} \in \Lambda \cap \overline{Q_{n, k}}$ and

$$\sum_{a \in Z \cap Q_{n, k}^\delta} \log \frac{1}{\rho(\lambda_{j(n, k)}, a)} = \inf_{\lambda_j \in \Lambda \cap \overline{Q_{n, k}}} \sum_{a \in Z \cap Q_{n, k}^\delta} \log \frac{1}{\rho(\lambda_j, a)} \leq w_{n, k}(\Lambda, N_{n, k}).$$

Let $I_{n, k}$ be the dyadic arcs defined in (3) and let $C > 0$. According to (8) the function $H_L = P[W]$, with

$$W := C \sum_{(n, k) \in \mathcal{Q}} w_{n, k}(\Lambda, N_{n, k}) \chi_{I_{n, k}},$$

is a positive quasi-bounded harmonic function. The usual estimate of the Poisson kernel by a “square” kernel (or a direct computation) shows that $P[\chi_{I_z}](z) \geq c > 0$, with c independent of z , hence for C well chosen,

$$w_{n, k}(\Lambda, N_{n, k}) \leq H_L(\lambda_{j(n, k)}), \quad (n, k) \in \mathcal{Q}.$$

Then, defining $\Lambda' = \{\lambda_{j(n, k)}\}_{(n, k) \in \mathcal{Q}}$ we have $h_1 - h_2 - H_2 \leq H_B + H_L$ on Λ' . Condition (7) being satisfied ν_1 -a.e. and [Ga, Theorem 2] show then that

$$1 \leq \inf_{\Lambda'} \frac{h_2 + H_2 + H_B + H_L}{h_1} = \inf_{\mathbb{D}} \frac{h_2 + H_2 + H_B + H_L}{h_1}.$$

Thus $h_1 - h_2 - H_2 \leq H_B + H_L$ everywhere, and $\log |f| \leq \log |B| + H_1 + H_B + H_L$, as desired.

(c) \Rightarrow (d). Following the original ideas of Beurling, and similarly to the proof of Lemma 1 in [HaLy], for a given set of indices $\mathcal{Q} \subset \mathcal{I}$ satisfying (6) and for $(n, k) \in \mathcal{Q}$, let $\lambda_{n, k} \in \Lambda \cap Q_{n, k}$, and define the (possibly divergent) series

$$H_\nu(z) := \sum_{(n, k) \in \mathcal{Q}} 2^{-n} P[\nu](\lambda_{n, k}) P_z(\lambda_{n, k}^*),$$

where $\lambda_{n, k}^* = \lambda_{n, k} / |\lambda_{n, k}|$.

The terms of this series are positive harmonic functions so by Harnack’s theorem H_ν is either identically $+\infty$ or it defines a positive harmonic function.

Suppose that \mathcal{Q} is a set for which (9) fails. Then the series defining $H_\nu(0)$ converges, and therefore H_ν is a positive harmonic function. Notice also that $\lim_{|z| \rightarrow 1} (1 - |z|) H_\nu(z) = 0$, since each term of the sum has this property, and we can apply dominated convergence.

By retaining only the (n, k) term of the sum, we see that

$$H_\nu(\lambda_{n,k}) \succeq 2^{-n} P[\nu](\lambda_{n,k}) \frac{1}{1 - |\lambda_{n,k}|} \succeq P[\nu](\lambda_{n,k}).$$

Thus, using Harnack's inequality and choosing an appropriate constant $C_0 > 0$, we obtain a function

$$h_\nu := P[\nu] - C_0 H_\nu$$

which is non-positive on $\bigcup_{(n,k) \in \mathcal{Q}} Q_{n,k}$, and tends to infinity as z tends radially to the boundary a.e. with respect to ν .

Now suppose that (d) doesn't hold. This means that we are given a Blaschke distribution $\{N_{n,k}\}_{(n,k) \in \mathcal{I}}$ and a singular measure ν such that for any \mathcal{Q} such that (8) holds, then (9) fails.

Claim. There exist a constant $\gamma > 0$ and a subset $\Lambda_0 \subset \Lambda$ such that

- (i) $\sum_{(n,k): (\Lambda \setminus \Lambda_0) \cap Q_{n,k} \neq \emptyset} 2^{-n} P[\nu](\lambda_{n,k}) \simeq \sum_{(n,k): (\Lambda \setminus \Lambda_0) \cap Q_{n,k} \neq \emptyset} 2^{-n} \int_{\mathbb{T}} P_{c_{n,k}}(\zeta) d\nu(\zeta) < \infty,$
- (ii) $\gamma P[\nu](\lambda_{n,k}) \leq w_{n,k}(\Lambda, N_{n,k})$ for any (n, k) with $\Lambda_0 \cap Q_{n,k} \neq \emptyset$.

To see this, define

$$\mathcal{L}_j := \{(n, k) \in \mathcal{I} : \Lambda \cap Q_{n,k} \neq \emptyset \text{ and } w_{n,k}(\Lambda, N_{n,k}) \leq 2^{-j} P[\nu](\lambda_{n,k})\}.$$

If there exists some j_0 such that

$$\sum_{(n,k) \in \mathcal{L}_{j_0}} 2^{-n} P[\nu](\lambda_{n,k}) < \infty,$$

then define $\Lambda \setminus \Lambda_0 := \Lambda \cap \bigcup_{(n,k) \in \mathcal{L}_{j_0}} Q_{n,k}$, and we have the result with $\gamma = 2^{-j_0}$.

Otherwise, set $j_1 = 1$ and define recursively $j_{m+1} > j_m$ and subsets $\mathcal{A}_m \subset \mathcal{L}_{j_m}$ such that

- $\mathcal{A}_{m+1} \cap \mathcal{A}_l = \emptyset$, $1 \leq l \leq m$, and
- $1 \leq \sum_{(n,k) \in \mathcal{A}_m} 2^{-n} P[\nu](\lambda_{n,k}) \leq M$.

This is possible because the terms to be summed belong to a divergent series, and are all bounded by a constant. Now, taking $\mathcal{Q} := \bigcup \mathcal{A}_m$, we have

$$\sum_{(n,k) \in \mathcal{Q}} 2^{-n} w_{n,k}(\Lambda, N_{n,k}) \leq \sum_m 2^{-j_m} \left(\sum_{(n,k) \in \mathcal{A}_m} 2^{-n} P[\nu](\lambda_{n,k}) \right) \leq M \sum_m 2^{-j_m} < \infty,$$

while $\sum_{(n,k) \in \mathcal{Q}} 2^{-n} P[\nu](\lambda_{n,k}) = \infty$, which contradicts the hypothesis. The claim is proved.

We now proceed to prove that the set Λ is not of determination for the Nevalinna class. Let h_ν be the function constructed above, using the set $\Lambda \setminus \Lambda_0$ as the set which doesn't satisfy (9). Let B be a Blaschke product with $N_{n,k}$ zeros $b_1, \dots, b_{N_{n,k}}$ located in $Q_{n,k}^\delta$ chosen as the solution to the extremal problem in the definition of $w_{n,k}$:

$$\inf_{\lambda \in \Lambda \cap \overline{Q}_{n,k}} \sum_{j=1}^{N_{n,k}} \log \frac{1}{\rho(\lambda, b_j)} = \sup_{a_1, \dots, a_{N_{n,k}} \in Q_{n,k}^\delta} \inf_{\lambda \in \Lambda \cap \overline{Q}_{n,k}} \sum_{j=1}^{N_{n,k}} \log \frac{1}{\rho(\lambda, a_j)} = w_{n,k}(\Lambda, N_{n,k}).$$

Choose an integer m such that $m\gamma \geq 1$ and pick a function $f \in \mathcal{N}$ with

$$\log |f| = m \log |B| + h_\nu.$$

By construction $h_\nu \leq 0$ on $\Lambda \setminus \Lambda_0$ and $h_\nu \leq \mathcal{P}[\nu]$ on Λ . Also, (ii) implies that $\log |f| \leq 0$ on Λ_0 , so altogether $\log |f| \leq 0$ on the whole of Λ . On the other hand the fact that $\limsup_{|z| \rightarrow 1} (1 - |z|)[\log |B(z)| + H_\nu(z)] = 0$ shows that f cannot be bounded on the disk.

(d) \Rightarrow (e). Condition (e) is the special case of (d) where ν is a point mass. ■

4. REGULAR SAMPLING SEQUENCES

In this section we give precise conditions for three types of regular sets to be sampling for \mathcal{N} .

4.1. Fine nets. Let $g : (0, 1] \rightarrow (0, 1]$ be a non-decreasing continuous function with $g(0) = 0$. A sequence Λ is called a *g-net* if and only if

- (i) The disks $D(\lambda, g(1 - |\lambda|))$, $\lambda \in \Lambda$, are mutually disjoint,
- (ii) There exists $C > 0$ such that $\bigcup_{\lambda \in \Lambda} D(\lambda, Cg(1 - |\lambda|)) = \mathbb{D}$.

We characterize sampling *g*-nets in terms of the growth of g (Theorem 4.1 below). The growth condition is equivalent to a condition in terms of approach regions. Let

$$\mathcal{F} = \left\{ \psi : [0, 1) \rightarrow \mathbb{R}_+ \text{ non-decreasing, continuous, with } \psi(0) = 0 \text{ and } \int_0^1 \psi(x)/x^2 dx < \infty \right\}.$$

Given $\zeta \in \mathbb{T}$ define the approach region $\Gamma_\psi(\zeta) = \{z \in \mathbb{D} : \psi(|z - \zeta|) \leq 1 - |z|\}$.

Theorem 4.1. *Let Λ be a g-net. The following properties are equivalent:*

- (a) Λ is a sampling sequence for \mathcal{N}
- (b) $\sum_{\lambda \in \Lambda \cap \Gamma_\psi(\zeta)} 1 - |\lambda| = \infty$ for all $\zeta \in \mathbb{T}$ and all $\psi \in \mathcal{F}$.
- (c) $\int_0^1 \frac{dt}{t^{1/2}g(t)} = \infty$.

Remark 3. (i) The conditions above can be reformulated in terms of the number $M_{n,k}$ of points of Λ in a dyadic square $Q_{n,k}$. In this case $M_{n,k}$ is essentially independent of k , in the sense that there exist M_n and a constant $C > 0$ such that $C^{-1}M_n \leq M_{n,k} \leq CM_n$ for all $0 \leq k < 2^n$. Then the conditions in the theorem above are equivalent to $\sum_n (M_n 2^{-n})^{1/2} = \infty$ (see Lemma 4.3).

(ii) As we will see soon, condition (b) is always necessary. However, the results of Section 4.3 show that it is not always sufficient.

We will begin by proving that condition (b) is necessary in general. We first give a reformulation of Example 6.4 in [HaLy], where we look at when the outside of an approach region $\Gamma_\psi(\zeta)$ is, or is not, Hayman-Lyons. All computations should be done in the disc, depending only on what happens in a neighbourhood of a point ζ on the boundary. Passing to the upper half-plane U with the standard conformal mapping, we may perform the corresponding computations in a disc of fixed radius centered on any point of the real axis.

Lemma 4.2. *Let $\psi : (0, \infty) \longrightarrow (0, 1]$ be a non-decreasing continuous function with $\psi(0) = 0$. The set $\mathcal{D}_\psi := \{x + iy \in \mathbb{C} : 0 < y < \psi(|x|) \text{ or } y \geq 1\}$ is a Hayman-Lyons set if and only if*

$$\int_0^\infty \frac{\psi(x)}{x^2} dx = \infty.$$

Furthermore, if \mathcal{D}_ψ is not a Hayman-Lyons set, there exists a harmonic function $h \in \text{Har}_\pm(U)$, non-positive on \mathcal{D}_ψ , and with $\liminf_{y \rightarrow 0} yh(iy) > 0$.

Proof. For any point $\zeta \in \mathbb{R}$ except the origin (but including the point at infinity), \mathcal{D}_ψ contains a half-disc centered at ζ , so that the integral $I(\mathcal{D}_\psi^\delta, \zeta, \delta) \geq I(\mathcal{D}_\psi, \zeta, \delta) = \infty$. There remains the case $\zeta = 0$.

A direct computation shows that

$$I(\mathcal{D}_\psi, 0, 0) = \int_{\mathcal{D}_\psi} \frac{dx dy}{x^2 + y^2} \simeq \int_0^1 \int_0^{\psi(x)} \frac{1}{x^2 + y^2} = \int_0^1 \frac{\arctan(\psi(x)/x)}{x} dx,$$

which is finite if and only if $\int_0^\infty \psi(x)/x^2 dx < \infty$. Hence $I(\mathcal{D}_\psi, 0, 0) = \infty$ when $\int_0^\infty \psi(x)/x^2 dx = \infty$.

This same estimate shows that in order to prove that $I(\mathcal{D}_\psi^\delta, 0, 0) < \infty$ when $\int_0^\infty \psi(x)/x^2 dx < \infty$ it is enough to see that for any $\delta > 0$, there exists a non-decreasing function $\psi_\delta \geq 0$ with $\psi_\delta(0) = 0$ and such that

- (i) $\mathcal{D}_\psi^\delta \subset \mathcal{D}_{\psi_\delta}$
- (ii) $\int_0^\infty \psi_\delta(x)/x^2 dx \simeq \int_0^\infty \psi(x)/x^2 dx$.

In the construction of ψ_δ only the behavior near zero is relevant, hence we restrict our attention to $x \in [0, 1/2]$. Recall that the pseudohyperbolic distance between two points $z, \zeta \in U$ is given by $\rho(z, \zeta) = |z - \zeta|/|z - \bar{\zeta}|$.

Let $\eta > 0$ (to be chosen later) and consider the function

$$\psi_\eta(x) = \sum_{n=0}^\infty \frac{1+\eta}{1-\eta} \psi(2^{-n}) \chi_{[2^{-(n+2)}, 2^{-(n+1)}]}(x).$$

This corresponds to “raising” the value of ψ at $x = 2^{-n}$ by η (in the pseudohyperbolic metric) and assign it to the whole interval $[2^{-(n+2)}, 2^{-(n+1)}]$ (see Figure 2).

It is clear that ψ_η satisfies (ii) for any $\eta > 0$:

$$\int_0^\infty \frac{\psi_\eta(x)}{x^2} dx = \frac{1+\eta}{1-\eta} \sum_{n=0}^\infty \psi(2^{-n}) \int_{2^{-(n+2)}}^{2^{-(n+1)}} \frac{dx}{x^2} \simeq \sum_{n=0}^\infty \frac{\psi(2^{-n})}{2^{-n}} \simeq \int_0^\infty \frac{\psi(x)}{x^2} dx.$$

Let us see that the pseudohyperbolic distance between the graph of ψ_η and the graph of ψ is bigger than δ if η is big enough, and therefore (i) holds as well. In the vertical direction it is clear that we only need to take $\eta \geq \delta$, by construction of ψ_η . For the horizontal direction we have, for

any $x \in [2^{-(n+1)}, 2^{-n})$,

$$\begin{aligned} \rho\left((2^{-(n+2)}, \psi_\eta(2^{-(n+2)}-)), (x, \psi(x))\right) &= \frac{\left|x - 2^{-(n+2)} + i(\psi(x) - \frac{1+\eta}{1-\eta}\psi(2^{-(n+1)}))\right|}{\left|x - 2^{-(n+2)} + i(\psi(x) + \frac{1+\eta}{1-\eta}\psi(2^{-(n+1)}))\right|} \\ &\geq \frac{1}{\left|1 + i \frac{1+\eta}{1-\eta} \frac{\psi(x) + \psi(2^{-(n+1)})}{x - 2^{-(n+2)}}\right|} \end{aligned}$$

This is clearly bounded below, since the integrability condition on ψ gives in particular

$$\lim_{n \rightarrow \infty} \frac{\psi(x) + \psi(2^{-(n+1)})}{x - 2^{-(n+2)}} \leq \lim_{n \rightarrow \infty} \frac{2\psi(2^{-n})}{2^{-(n+1)} - 2^{-(n+2)}} = \lim_{n \rightarrow \infty} \frac{8\psi(2^{-n})}{2^{-n}} = 0.$$

PSfrag replacements

0
 2^{-n}
 $2^{-(n+1)}$
 $2^{-(n+2)}$
 $2^{-(n+3)}$
 $\psi(|x|)$
 \mathcal{D}_ψ
 η
 $\psi_\eta(|x|)$
 \mathbb{R}

Figure 2.

In the case where the integral is convergent, denoting temporarily $P_{x+iy}(t) := \frac{1}{\pi} \frac{y}{(x-t)^2 + y^2}$, let

$$h(x + iy) := P_{x+iy}(0) - Ch_\psi(x + iy),$$

where $C > 0$ and h_ψ is the Poisson integral of the integrable function $\psi(t)/t^2$ restricted to the interval $[-1, 1]$.

It is well known that the growth of the Poisson integral of an integrable function is such that $\lim_{y \rightarrow 0} y h_\psi(x + iy) = 0$ (see for instance [SS, Corollary 2]), thus it will be enough to prove that for $C > 0$ sufficiently big $h \leq 0$ on \mathcal{D}_ψ .

This will be done as soon as we see that $h \leq 0$ for points $z = x_0 + iy_0 \in \partial\mathcal{D}_\psi$. Since $\lim_{x \rightarrow 0} \psi(x)/x = 0$, we have then

$$P_z(0) = \frac{\psi(x_0)}{x_0^2 + \psi^2(x_0)} \simeq \frac{\psi(x_0)}{x_0^2}.$$

On the other hand

$$\begin{aligned} h_\psi(x_0 + iy_0) &\geq \int_{|x-x_0| < \psi(x_0)} \frac{1}{\psi(x_0)} \frac{\psi(x)}{x^2} dx \geq \frac{1}{\psi(x_0)} \int_{x_0}^{x_0+\psi(x_0)} \frac{\psi(x)}{x^2} dx \\ &\geq \left(\frac{1}{x_0} - \frac{1}{x_0 + \psi(x_0)} \right) = \frac{\psi(x_0)}{x_0^2} \frac{1}{1 + \psi(x_0)/x_0} \simeq \frac{\psi(x_0)}{x_0^2}, \end{aligned}$$

hence with C big enough we get the desired estimate. ■

Proof of Theorem 4.1. (a) \Rightarrow (b). Assume that there exist a function $\psi \in \mathcal{F}$ and $\zeta \in \mathbb{T}$ such that $\sum_{\lambda \in \Lambda \cap \Gamma_\psi(\zeta)} 1 - |\lambda| < \infty$. Similarly to Example 1, consider the function $f \in \mathcal{N}$ such that

$$\log |f| = \log |B_Z| + h_\psi,$$

where B_Z is the Blaschke product associated to $Z = \Lambda \cap \Gamma_\psi(\zeta)$ and h_ψ is the harmonic function obtained by transporting to the disk the function given by the previous lemma.

It is clear then that f is bounded on Λ . But by the properties of h_ψ and by Lemma 2.5 we see that f cannot be bounded in the whole disk. Thus Λ is not a determination set for \mathcal{N} .

(b) \Rightarrow (c). It will be enough to prove the following lemma.

Lemma 4.3. *Assume that Λ satisfies condition (b) in Theorem 4.1. Let $M_{n,k} = \#\Lambda \cap Q_{n,k}$ and assume that there exist $C > 0$ and positive integers M_n such that $C^{-1}M_n \leq M_{n,k} \leq CM_n$. Then $\sum_n (M_n 2^{-n})^{1/2} = \infty$.*

A volume estimate shows that $M_n \simeq (g(2^{-n}))^{-2}$, and therefore

$$\int_0 \frac{dt}{t^{1/2}g(t)} \simeq \sum_n 2^{-n/2} \frac{1}{g(2^{-n})} \simeq \sum_n (M_n 2^{-n})^{1/2} = \infty.$$

Proof. We want to prove that if $\sum_n (M_n 2^{-n})^{1/2} < \infty$ there exists $\psi \in \mathcal{F}$ such that $\sum_{\lambda \in \Lambda \cap \Gamma_\psi(\zeta)} 1 - |\lambda| < \infty$, thus contradicting (b).

It will be expedient to write the computation in the upper half-plane U after a conformal mapping. We call the resulting sets Λ and $\Gamma_\psi(\zeta)$ again. Thus, we need to prove that there exists $\psi \in \mathcal{F}$

$$(10) \quad \sum_{\lambda \in \Lambda \cap \Gamma_\psi(\zeta)} 1 - |\lambda| \simeq \sum_{n \geq 0} 2^{-n} \# \left(\Lambda \cap \Gamma_\psi(\zeta) \cap \{2^{-n-1} < y \leq 2^{-n}\} \right) < \infty.$$

Since ψ is an increasing function, the set $\Gamma_\psi(\zeta) \cap \{2^{-n-1} < y \leq 2^{-n}\}$ is contained in the rectangle $\{|x| \leq \psi^{-1}(2^{-n}), 2^{-n-1} < y \leq 2^{-n}\}$. Therefore, splitting the sum for the different $Q_{n,k}$ and using that $M_n \simeq g^{-2}(2^{-n})$ we have

$$\sum_{\lambda \in \Lambda \cap \Gamma_\psi(\zeta)} 1 - |\lambda| \leq \sum_{n \geq 0} 2^{-n} \sum_{k: k2^{-n} \leq \psi^{-1}(2^{-n})} M_{n,k} \leq \sum_{n \geq 0} M_n \psi^{-1}(2^{-n}) \simeq \sum_{n \geq 0} \frac{\psi^{-1}(2^{-n})}{g^2(2^{-n})}.$$

It will be enough to see that ψ defined by $\psi^{-1}(t) = \sqrt{t}g(t)$ is in \mathcal{F} , since then

$$\sum_{\lambda \in \Gamma_\eta(\zeta)} 1 - |\lambda| \preceq \sum_{n \geq 0} \frac{2^{-n/2}}{g(2^{-n})} \simeq \int_0^1 \frac{dt}{\sqrt{t}g(t)} < \infty.$$

By definition ψ is non-decreasing, continuous and $\psi(0) = 0$. Also

$$\begin{aligned} \int_0^1 \frac{dt}{\sqrt{t}g(t)} &= \int_0^1 \frac{dt}{\psi^{-1}(t)} = \int_1^\infty |\{t : 1/\psi^{-1}(t) \geq \alpha\}| d\alpha = \int_0^1 |\{t : \psi^{-1}(t) \leq s\}| \frac{ds}{s^2} \\ &= \int_0^1 |\{t : t \leq \psi(s)\}| \frac{ds}{s^2} = \int_0^1 \frac{\psi(s)}{s^2} ds < \infty, \end{aligned}$$

as desired. ■

(c) \Rightarrow (a). Given a Blaschke sequence Z we want to choose a family of indices $\mathcal{Q} \subset \mathcal{I}$ satisfying the conditions of Theorem 3.2(a). First we need a control of the vulnerability on the squares where $N_{n,k} = \#(Z \cap \tilde{Q}_{n,k})$ is small.

Lemma 4.4. *If Λ is a g -net, there exist $\varepsilon > 0$, $n_0 \in \mathbb{N}$ and $C > 0$ such that whenever $n \geq n_0$ and $N \leq \varepsilon \#(\Lambda \cap Q_{n,k})$, then $w_{n,k}(\Lambda, N) \leq CN$.*

Proof. Denote $Q = Q_{n,k}$, $\tilde{Q} = \tilde{Q}_{n,k}$ and $M = \#(\Lambda \cap Q)$. Then $M \simeq g(2^{-n})^{-2}$ and there exist constants $c < C$ such that the disks $D(\lambda, cg(2^{-n}))$, $\lambda \in Q$, are mutually disjoint and the disks $D(\lambda, Cg(2^{-n}))$ cover the whole set Q . Let $Z = \{a_1, \dots, a_N\} \subset \tilde{Q}^\delta$ and consider

$$\Lambda'_Q := \{\lambda \in \Lambda \cap Q : \rho(\lambda, a) \geq Cg(2^{-n}), \text{ for all } a \in Z \cap \tilde{Q}\}.$$

For ε small enough, and n (and therefore M) large enough, $\#\Lambda'_Q \geq M/2$.

Choose $r \in (0, 1)$ large enough so that $\tilde{Q} \subset D(a, r)$ for any $a \in \tilde{Q}$. For $\lambda' \in \Lambda'_Q$ and $z \in D(\lambda', cg(2^{-n}))$ we have $\rho(z, a_j) \preceq \rho(\lambda', a_j)$ for all $a_j \in \tilde{Q}$, and therefore

$$(11) \quad \log \frac{1}{\rho(\lambda', a_j)} \preceq \frac{1}{m(D(\lambda', cg(2^{-n})))} \int_{D(\lambda', cg(2^{-n}))} \log \frac{1}{\rho(z, a_j)} dm(z).$$

Then, for any $a_1, \dots, a_N \in \tilde{Q}$,

$$\sum_{\lambda' \in \Lambda'_Q} \sum_{j=1}^N \log \frac{1}{\rho(\lambda', a_j)} = \sum_{j=1}^N \sum_{\lambda' \in \Lambda'_Q} \log \frac{1}{\rho(\lambda', a_j)} \preceq \sum_{j=1}^N \frac{1}{(g(2^{-n})2^{-n})^2} \int_{D(a_j, r)} \log \frac{1}{\rho(z, a_j)} dm(z),$$

Applying an automorphism of the disk sending a_j to the origin, we see that there is a radius $r' \in (r, 1)$ with

$$\frac{1}{(2^{-n})^2} \int_{D(a_j, r)} \log \frac{1}{\rho(z, a_j)} dm(z) \preceq \int_{D(0, r')} \log \frac{1}{|z|} dm(z) \leq C.$$

Finally,

$$\sum_{\lambda' \in \Lambda'_Q} \left(\sum_{j=1}^N \log \frac{1}{\rho(\lambda', a_j)} \right) \preceq \frac{1}{g^2(2^{-n})} N \simeq MN,$$

and since $\#\Lambda'_Q \geq M/2$, the average value of the summands in the first sum is bounded by a constant multiple of N . \blacksquare

For $\varepsilon \in (0, 1)$ small enough, define

$$(12) \quad \mathcal{Q} = \{(n, k) : N_{n,k} \leq \varepsilon \#(\Lambda \cap Q_{n,k})\}.$$

Lemma 4.4 together with the Blaschke condition give (8) in Theorem 3.2.

In order to prove (7) in Theorem 3.2, for each (n, k) pick $c_{n,k} \in Q_{n,k}$, for instance its center. By rotation invariance of the properties of being a g -net or a Blaschke sequence, it will be enough to see that,

$$(13) \quad \sum_{(n,k) \in \mathcal{Q}} \left(\frac{1 - |c_{n,k}|^2}{|1 - c_{n,k}|} \right)^2 = \infty.$$

Let

$$(14) \quad L_n := \#\{k : (n, k) \notin \mathcal{Q}\},$$

Observe that $\frac{1-|c|^2}{|1-c|}$ is bigger when the argument of c in $(-\pi, \pi]$ is closer to 0. So we must have, for any fixed n ,

$$(15) \quad \sum_{k:(n,k) \in \mathcal{Q}} \left(\frac{1 - |c_{n,k}|^2}{|1 - c_{n,k}|} \right)^2 \succeq \sum_{k \geq L_n} \left(\frac{2^{-n}}{k 2^{-n}} \right)^2 \simeq \frac{1}{L_n}.$$

On the other hand

$$\sum_{k:(n,k) \notin \mathcal{Q}} N_{n,k} \geq \varepsilon \sum_{k:(n,k) \notin \mathcal{Q}} \#(\Lambda \cap Q_{n,k}) \geq \varepsilon C \frac{L_n}{(g(2^{-n}))^2},$$

and the Blaschke condition implies

$$\sum_n \frac{2^{-n} L_n}{g(2^{-n})^2} < \infty.$$

If (13) doesn't hold we have $\sum_n 1/L_n < \infty$, and

$$\int_0 \frac{dt}{t^{1/2} g(t)} \simeq \sum_{n \geq 0} \frac{2^{-n/2}}{g(2^{-n})} = \sum_{n \geq 0} \left(\frac{1}{L_n} \frac{2^{-n} L_n}{g(2^{-n})^2} \right)^{1/2} \leq \left(\sum_{n \geq 0} \frac{1}{L_n} \right)^{1/2} \left(\sum_{n \geq 0} \frac{2^{-n} L_n}{g(2^{-n})^2} \right)^{1/2} < \infty,$$

which contradicts the hypothesis. \blacksquare

4.2. Discretized rings. Let $r_n \in (0, 1)$ be an increasing sequence of radii with $\lim_n r_n = 1$ and $0 < \inf_n \frac{1-r_{n+1}}{1-r_n} < \sup_n \frac{1-r_{n+1}}{1-r_n} < 1$. Let ϵ_n be a decreasing sequence of hyperbolic distances such that $\lim_n \epsilon_n = 0$. The *discretized rings associated to* $\{r_n\}_n$ and $\{\epsilon_n\}_n$ is the sequence $\Lambda = \{\lambda_{n,j}\}_{n,j}$, where

$$\lambda_{n,j} = r_n \exp \left(j \frac{2\pi i}{(1-r_n)\epsilon_n} \right) \quad n \in \mathbb{N}, \quad 0 \leq j < \left\lceil \frac{1}{(1-r_n)\epsilon_n} \right\rceil.$$

Theorem 4.5. *Let Λ be discretized rings. The following properties are equivalent:*

- (a) Λ is a sampling sequence for \mathcal{N}
- (b) $\sum_{\lambda \in \Lambda \cap \Gamma_\eta(\zeta)} 1 - |\lambda| = \infty$ for all $\zeta \in \mathbb{T}$ and all $\psi \in \mathcal{F}$.
- (c) $\sum_{n=0}^{\infty} \left(\frac{1-r_n}{\epsilon_n} \right)^{1/2} = \infty$.

The proof follows the same scheme as the proof of Theorem 4.1.

Proof. (a) \Rightarrow (b). As in Theorem 4.1.

(b) \Rightarrow (c). Assume that $\sum_n \left(\frac{1-r_n}{\epsilon_n} \right)^{1/2} < \infty$. Consider the sequence $\eta_n = [(1-r_n)\epsilon_n]^{1/2}$ decreasing to zero and the function ψ which in each interval $[\eta_{n+1}, \eta_n]$ is defined as the segment joining the points $(\eta_{n+1}, 1-r_{n+1})$ and $(\eta_n, 1-r_n)$; i.e.

$$\psi(x) = \sum_{n \geq 0} \left[(1-r_n) + \frac{r_{n+1}-r_n}{\eta_n - \eta_{n+1}} (x - \eta_n) \right] \chi_{[\eta_{n+1}, \eta_n)}(x).$$

It is clear that ψ is continuous, non-decreasing and with $\psi(0) = 0$. Also, by the hypotheses on $\{r_n\}_n$

$$\begin{aligned} \int_0^{\eta_n} \frac{\psi(x)}{x^2} dx &= \sum_{n \geq 0} \int_{\eta_{n+1}}^{\eta_n} \frac{\psi(x)}{x^2} dx \leq \sum_{n \geq 0} (1-r_n) \int_{\eta_{n+1}}^{\eta_n} \frac{dx}{x^2} \leq \sum_{n \geq 0} (1-r_n) \left(\frac{1}{\eta_{n+1}} - \frac{1}{\eta_n} \right) \\ &\leq \sum_{n \geq 0} \frac{1-r_{n+1}}{\eta_{n+1}} = \sum_n \left(\frac{1-r_n}{\epsilon_n} \right)^{1/2} < \infty, \end{aligned}$$

hence $\psi \in \mathcal{F}$.

On the other hand, a length estimate shows that the number of j such that $\lambda_{n,j} \in \Gamma_\psi(\zeta)$ is approximately $\frac{\psi^{-1}(1-r_n)}{(1-r_n)\epsilon_n}$. Since $\psi^{-1}(1-r_n) = \eta_n$ we have

$$\sum_{\lambda \in \Lambda \cap \Gamma_\psi(\zeta)} 1 - |\lambda| \simeq \sum_{n \geq 0} (1-r_n) \frac{\psi^{-1}(1-r_n)}{(1-r_n)\epsilon_n} = \sum_{n \geq 0} \frac{\eta_n}{\epsilon_n} = \sum_{n \geq 0} \left(\frac{1-r_n}{\epsilon_n} \right)^{1/2} < \infty,$$

which contradicts (b).

(c) \Rightarrow (a). Given a Blaschke sequence Z , we want to choose a family of indices \mathcal{Q} satisfying the conditions of Theorem 3.2(a).

We begin by showing that Lemma 4.4 still holds for discretized rings.

Proof of Lemma 4.4 for discretized rings. Let $Q = Q_{n,k}$, $\tilde{Q} = \tilde{Q}_{n,k}$ and $M = \#(\Lambda \cap Q)$.

Since $0 < \inf_n \frac{1-r_{n+1}}{1-r_n} < \sup_n \frac{1-r_{n+1}}{1-r_n} < 1$, there is at most a finite number of r_m with $2^{-(n+1)} < 1-r_m \leq 2^{-n}$. There is no loss of generality in assuming that there is just one such r_m , and therefore $M \simeq 1/\epsilon_m$. In particular, there exist $c < C$ such that $D(\lambda, c\epsilon_m)$, $\lambda \in Q$, are mutually disjoint and $D(\lambda, C\epsilon_m)$ cover the segment $\{z : |z| = r_m\} \cap Q$.

Given $Z = \{a_1, \dots, a_N\} \subset \overline{Q}^\delta$ consider now

$$\Lambda'_Q := \left\{ \lambda \in \Lambda \cap Q : \rho(\lambda, a) \geq C\epsilon_m, \text{ for all } a \in Z \cap \tilde{Q} \right\}.$$

Again, for suitable C , m and $r \in (0, 1)$, we have $\#\Lambda'_Q \geq M/2$ and $\overline{Q} \subset D(a, r)$ for any $a \in \tilde{Q}$. We proceed as before, but replacing the area averages in (11) by the line averages

$$\begin{aligned} \log \frac{1}{\rho(\lambda', a_j)} &\preceq \frac{1}{|J(\lambda', c\epsilon_m)|} \int_{J(\lambda', c\epsilon_m)} \log \frac{1}{\rho(z, a_j)} |dz| \\ &\simeq \frac{1}{(1-r_m)\epsilon_m} \int_{J(\lambda', c\epsilon_m)} \log \frac{1}{\rho(z, a_j)} |dz|, \end{aligned}$$

where $J(\lambda', c\epsilon_m) = \{z : |z| = r_m\} \cap D(\lambda', c\epsilon_m)$. Then, for any $a_1, \dots, a_N \in \tilde{Q}$,

$$\sum_{\lambda' \in \Lambda'_Q} \sum_{j=1}^N \log \frac{1}{\rho(\lambda', a_j)} = \sum_{j=1}^N \sum_{\lambda' \in \Lambda'_Q} \log \frac{1}{\rho(\lambda', a_j)} \preceq \sum_{j=1}^N \frac{1}{(1-r_m)\epsilon_m} \int_{Q \cap \{|z|=r_m\}} \log \frac{1}{\rho(z, a_j)} |dz|.$$

Since the hyperbolic length of $Q \cap \{|z| = r_m\}$ is approximately $2^{-n} \simeq 1 - r_m$, we have, for some $r' < 1$,

$$\frac{1}{1-r_m} \int_{Q \cap \{|z|=r_m\}} \log \frac{1}{\rho(z, a_j)} |dz| \preceq \int_{-r'}^{r'} \log \frac{1}{|x|} dx \simeq 1.$$

Hence

$$\sum_{j=1}^N \sum_{\lambda' \in \Lambda'_Q} \log \frac{1}{\rho(\lambda', a_j)} \preceq N/\epsilon_m,$$

and using that $\#\Lambda'_Q \geq 1/(2\epsilon_m)$ we get the desired result. ■

From here we proceed as in the proof of Theorem 4.1. As pointed out before, there is no loss of generality in assuming that there is just one r_m with $2^{n+1} < 1 - r_m \leq 2^{-n}$. To simplify the notation we re-index r_m and call it r_n .

Given a Blaschke sequence Z and ε small enough, define \mathcal{Q} as in (12). The previous lemma ensures (8) in Theorem 3.2. In order to see that (7) also holds it is enough to show (13). Let L_n as in (14). Since $\#(\Lambda \cap Q_{n,k}) \simeq 1/\epsilon_n$ we have now

$$\sum_{k:(n,k) \in \mathcal{Q}} N_{n,k} \geq \varepsilon \sum_{k:(n,k) \in \mathcal{Q}} \#(\Lambda \cap Q_{n,k}) \geq \varepsilon C L_n / \epsilon_n,$$

hence the Blaschke condition implies $\sum_n (1 - r_n) L_n / \epsilon_n < \infty$. If (13) does not hold we have $\sum_n 1/L_n < \infty$, and

$$\sum_n \left(\frac{1 - r_n}{\epsilon_n} \right)^{1/2} \leq \left(\sum_n \frac{1}{L_n} \right)^{1/2} \left(\sum_n \frac{1 - r_n}{\epsilon_n} L_n \right)^{1/2} < \infty,$$

which contradicts the hypothesis. ■

4.3. Uniformly dense disks. In this section we consider a different kind of sampling sets. We begin with the sequences considered by Ortega-Cerdà and Seip in [OrSe].

Definition. A sequence $\Lambda \subset \mathbb{D}$ is *uniformly dense* if

- (i) Λ is separated, i.e. $\inf_{\lambda \neq \lambda'} \rho(\lambda, \lambda') > 0$.
- (ii) There exists $r < 1$ such that $\mathbb{D} = \bigcup_{\lambda \in \Lambda} D(\lambda, r)$.

Notice that, in the terminology of Section 4.1, uniformly dense sequences correspond to 1-nets.

Let φ be a non-decreasing continuous function, bounded by some constant less than 1. Given Λ uniformly dense define $r_\lambda = \varphi(1 - |\lambda|)$, $D_\lambda^\varphi = D(\lambda, r_\lambda)$ and the unions of disks

$$\Lambda(\varphi) := \bigcup_{\lambda \in \Lambda} D_\lambda^\varphi.$$

Theorem 4.6. *The set $\Lambda(\varphi)$ is sampling for \mathcal{N} if and only if*

$$(16) \quad \int_0^1 \frac{dt}{t \log(1/\varphi(t))} = \infty.$$

In Section 5 we will see that this condition actually characterizes determination sets for the space of subharmonic functions in the disk having the characteristic growth of the Nevanlinna class.

Remark 4. Condition (16) is equivalent to the fact that the harmonic measure of $\partial\mathbb{D}$ in $\mathbb{D} \setminus \overline{\Lambda(\varphi)}$ is zero, see [OrSe, Theorem 1]. Notice also that for any fixed $K > 1$ condition (16) is equivalent to

$$\sum_n \frac{1}{\log(1/\varphi(K^{-n}))} = \infty.$$

Remark 5. The above family of examples allows us to see that there is no general relationship between $A^{-\alpha}$ -sampling sets and Nevanlinna sampling sets.

A set $\Lambda \subset \mathbb{D}$ is *sampling* for the space

$$A^{-\alpha} = \{f \in \text{Hol}(\mathbb{D}) : \|f\|_\alpha := \sup_{z \in \mathbb{D}} (1 - |z|)^\alpha |f(z)| < \infty\} \quad \alpha > 0,$$

when there exists $C > 0$ such that $\|f\|_\alpha \leq C \sup_{\lambda \in \Lambda} (1 - |\lambda|)^\alpha |f(\lambda)|$ for all $f \in A^{-\alpha}$.

A well-known result of K. Seip [Se, Theorem 1.1] characterizes $A^{-\alpha}$ -sampling sets as those Λ for which there exists a separated subsequence $\Lambda' \subset \Lambda$ such that

$$D_-(\Lambda') := \liminf_{r \rightarrow 1^-} \inf_{z \in \mathbb{D}} \frac{\sum_{\lambda: 1/2 < \rho(\lambda, z) < r} \log \frac{1}{\rho(\lambda, z)}}{\log \frac{1}{1-r}} > \alpha.$$

Let Λ_g be a fine net associated to a function g with $\int_0 \frac{dt}{t^{1/2}g(t)} < \infty$, for instance $g(t) = t^{1/4}$. According to Theorem 4.1, Λ_g is not a Nevanlinna sampling set. On the other hand, for any given

$\alpha > 0$, we can extract a maximal separated sequence Λ' with the separation small enough so that $D_-(\Lambda') > \alpha$, hence Λ_g is $A^{-\alpha}$ -sampling for all $\alpha > 0$.

Also, given $\alpha > 0$, consider a uniformly dense sequence Λ with $D_-(\Lambda) < \alpha$ and take φ satisfying $\lim_{t \rightarrow 0} \varphi(t) = 0$ and (16). Then $\Lambda(\varphi)$ is Nevanlinna sampling but it is not $A^{-\alpha}$ -sampling, since $D_-(\Lambda') < \alpha$ for any separated $\Lambda' \subset \Lambda(\varphi)$.

Better yet, take a set Λ as in Section 4.2, sampling for the Nevanlinna class, with $\lim_{n \rightarrow \infty} \frac{1-r_n}{1-r_{n+1}} = \infty$. Then $D_-(\Lambda) = 0$, so it cannot be $A^{-\alpha}$ -sampling for any $\alpha > 0$.

Proof. Assume that (16) does not hold. We will exhibit a function $f \in \mathcal{N}$ such that $\log |f(z)| = \log |B(z)| + \delta P_z(1)$ is bounded on $\Lambda(\varphi)$, for an appropriate choice of the Blaschke product B and the constant $\delta > 0$. Since, according to Lemma 2.5, $f \notin \mathcal{H}^\infty$, this will contradict the fact that $\Lambda(\varphi)$ is sampling.

Let Z be the set of $\lambda \in \Lambda$ such that $m_\lambda := \left\lceil \frac{P_\lambda(1)}{\log 1/\varphi(1-|\lambda|)} \right\rceil \geq 1$, where each point λ is taken with multiplicity m_λ .

In order to see that the Blaschke sum of Z (with multiplicities) is finite, split it into the different dyadic squares $Q_{n,k}$. Notice that for $\lambda \in Q_{n,k}$

$$P_\lambda(1) = \frac{1-|\lambda|^2}{|1-\lambda|^2} \simeq \frac{2^{-n}}{(2^{-n} + k2^{-n})^2} = \frac{2^n}{(1+k)^2}.$$

Also, the uniform density condition implies $\# \Lambda \cap Q_{n,k} \leq 1$. Therefore

$$\begin{aligned} \sum_{a \in Z} (1-|a|) &\simeq \sum_{n \geq 0} \sum_{k=0}^{2^n-1} \sum_{\lambda \in Z \cap Q_{n,k}} m_\lambda (1-|\lambda|) \simeq \sum_{n \geq 0} 2^{-n} \sum_{k=0}^{2^n-1} \sum_{\lambda \in Z \cap Q_{n,k}} \frac{P_\lambda(1)}{\log(1/\varphi(1-|\lambda|))} \\ &\preceq \sum_{n \geq 0} \frac{1}{\log(1/\varphi(2^{-n}))} \left(\sum_{k=0}^{2^n-1} \frac{1}{1+k^2} \right) \simeq \int_0^1 \frac{dt}{t \log(1/\varphi(t))} < \infty. \end{aligned}$$

On the other hand, if $z \in D_\lambda^\varphi$ we have

$$\log |B(z)| \leq \log [\rho(z, \lambda)]^{m_\lambda} \preceq \frac{P_\lambda(1)}{\log 1/\varphi(1-|\lambda|)} \log \varphi(1-|\lambda|) = -P_\lambda(1).$$

Therefore $\log |f|$ is bounded on $\bigcup_{\lambda \in \Lambda} D_\lambda^\varphi$ if δ is chosen small enough.

Assume now that (16) holds. By the uniform density condition, there exists $K > 1$ such that for some $C > 0$

$$1 \leq \#\{\lambda : D_\lambda^\varphi \cap D(z, 1-1/K) \neq \emptyset\} \leq C \quad \text{for all } z \in \mathbb{D}.$$

There is no restriction in assuming that $K = 2$, and equivalently, that

$$1 \leq \#\{\lambda : D_\lambda^\varphi \cap Q_{n,k} \neq \emptyset\} \leq C \quad \text{for all } n \in \mathbb{N} \text{ and } k = 0, \dots, 2^n - 1.$$

In order to check the conditions of Theorem 3.2(a), and given a Blaschke sequence Z , let us see first that

$$w_{n,k}(\Lambda(\varphi), N_{n,k}) \simeq N_{n,k} \log \frac{1}{\varphi(2^{-n})}.$$

Let λ be such that $D_\lambda^\varphi \cap Q_{n,k} \neq \emptyset$. Take $a_1, \dots, a_N \in D_\lambda^\varphi$; then $\rho(a_j, \lambda) \leq \varphi(2^{-n})$ and therefore, if there is only one such disk overlapping with $Q_{n,k}$,

$$\sum_{j=1}^{N_{n,k}} \log \frac{1}{\rho(z, a_j)} \succeq N_{n,k} \log \frac{1}{\varphi(2^{-n})} .$$

If there is a finite number C of such disks, put $N_{n,k}/C$ points in each disk, and the same result will hold.

On the other hand

$$\begin{aligned} \frac{1}{|D_\lambda^\varphi|} \int_{D_\lambda^\varphi} \sum_{j=1}^{N_{n,k}} \log \frac{1}{\rho(z, a_j)} dm(z) &\simeq \sum_{j=1}^{N_{n,k}} \frac{1}{(2^{-n}\varphi(2^{-n}))^2} \int_{D_\lambda^\varphi} \log \frac{1}{\rho(z, a_j)} dm(z) \\ &\preceq \sum_{j=1}^{N_{n,k}} \frac{1}{(\varphi(2^{-n}))^2} \int_{D(0, \varphi(2^{-n}))} \log \frac{1}{|z|} dm(z) \\ &= \sum_{j=1}^{N_{n,k}} \log \frac{1}{\varphi(2^{-n})} = N_{n,k} \log \frac{1}{\varphi(2^{-n})} , \end{aligned}$$

which proves the reverse estimate.

Let $N_n = \sum_{k=0}^{2^n-1} N_{n,k}$, $\gamma_n \in (0, 1)$ to be determined later, and $L_n = [(1 - \gamma_n)N_n]$. Let \mathcal{Q}_n^c be the set of indices (n, k) corresponding to the L_n dyadic squares $Q_{n,k}$ with the largest values of $N_{n,k}$. By definition

$$\sum_{(n,k) \in \mathcal{Q}_n^c} N_{n,k} \geq L_n .$$

Call \mathcal{Q}_n the remaining indices (n, k) and define $\mathcal{Q} = \cup_n \mathcal{Q}_n$. Then

$$\sum_{(n,k) \in \mathcal{Q}} 2^{-n} w_{n,k}(\Lambda(\varphi), N_{n,k}) \simeq \sum_n 2^{-n} \left(\log \frac{1}{\varphi(2^{-n})} \right) \left(\sum_{k: (n,k) \in \mathcal{Q}} N_{n,k} \right) .$$

Since

$$\sum_{k: (n,k) \in \mathcal{Q}} N_{n,k} = N_n - \sum_{k: (n,k) \in \mathcal{Q}_n^c} N_{n,k} \leq N_n - L_n \leq N_n \gamma_n ,$$

condition (8) is now equivalent to

$$\sum_n \gamma_n \left(\log \frac{1}{\varphi(2^{-n})} \right) 2^{-n} N_n < \infty .$$

The hypothesis, as stated in Remark 4, implies

$$\liminf_{n \rightarrow \infty} \left(\log \frac{1}{\varphi(2^{-n})} \right) 2^{-n} N_n = 0 ,$$

since otherwise $2^{-n} N_n \succeq (\log 1/\varphi(2^{-n}))^{-1}$ and the Blaschke condition would be violated. In particular, there exists a subsequence such that

$$\sum_j \left(\log \frac{1}{\varphi(2^{-n_j})} \right) 2^{-n_j} N_{n_j} < \infty .$$

Define

$$\gamma_n = \begin{cases} 1 - 1/N_n & \text{if } n = n_j \\ (\log 1/\varphi(2^{-n}))^{-1} & \text{if } n \neq n_j. \end{cases}$$

Then (8) holds:

$$\sum_n \gamma_n \left(\log \frac{1}{\varphi(2^{-n})} \right) 2^{-n} N_n \leq \sum_j \left(\log \frac{1}{\varphi(2^{-n_j})} \right) 2^{-n_j} N_{n_j} + \sum_n 2^{-n} N_n < \infty.$$

To prove (7) in Theorem 3.2 we use an argument as in (15). Here

$$\sum_{n=0}^{\infty} \sum_{k:(n,k) \in \mathcal{Q}_n^c} \left(\frac{2^{-n}}{2^{-n} + k2^{-n}} \right)^2 \geq \sum_n \frac{1}{L_n} \geq \sum_n \frac{1}{(1 - \gamma_n)N_n} \geq \sum_j 1 = \infty,$$

as desired. ■

5. UNIFORMLY DENSE DISKS FOR SUBHARMONIC FUNCTIONS

In this section we show that Theorem 4.6, with a different proof, can be extended to the class

$$\mathcal{SN} = \left\{ u : \mathbb{D} \longrightarrow \mathbb{R} \text{ subharmonic with } \sup_{r < 1} \int_0^{2\pi} u^+(re^{i\theta}) d\theta < \infty \right\}.$$

A set $\Lambda \subset \mathbb{D}$ is called a *determination set* for \mathcal{SN} if $\sup_{\Lambda} u = \sup_{\mathbb{D}} u$ for all $u \in \mathcal{SN}$.

Theorem 5.1. *A uniformly dense family of disks $\Lambda(\varphi)$ is a determination set for \mathcal{SN} if and only if (16) holds.*

Proof. The necessity of (16) is contained in Theorem 4.6, since $\log |f| \in \mathcal{SN}$ whenever $f \in \mathcal{N}$.

Assume now that (16) holds. Let $u \in \mathcal{SN}$ be such that $\sup_{\Lambda(\varphi)} u \leq 0$. We want to prove that $u(p) \leq 0$ for all $p \notin \Lambda(\varphi)$.

Let $R_n = 1 - K^{-n}$, where $K > 1$ will be chosen later on, and consider the domains

$$\Omega_n(p, \Lambda, \varphi) = D(p, R_n) \setminus \bigcup_{\lambda: D_{\lambda}^{\varphi} \subset D(p, R_n)} \overline{D_{\lambda}^{\varphi}}.$$

Let $\omega(A; p, \Omega)$ denote the harmonic measure at p of a set $A \subset \partial\Omega$, and let ϕ_p denote the automorphism of \mathbb{D} exchanging p and 0. The subharmonicity of u^+ gives then

$$\begin{aligned} u^+(p) &\leq \int_{\partial\Omega_n(p, \Lambda, \varphi)} u^+(\zeta) d\omega(\zeta; p, \Omega_n(p, \Lambda, \varphi)) = \int_{\partial D(p, R_n)} u^+(\zeta) d\omega(\zeta; p, \Omega_n(p, \Lambda, \varphi)) \\ &= \int_{|\zeta|=R_n} (u^+ \circ \phi_p)(\zeta) d\omega(\zeta; 0, \phi_p(\Omega_n(p, \Lambda, \varphi))). \end{aligned}$$

First observe that the harmonic measure in $\phi_p(\Omega_n(p, \Lambda, \varphi))$ can be estimated by the harmonic measure of a domain $\Omega_n(0, \tilde{\Lambda}, \psi)$, where $\tilde{\Lambda}$ is uniformly dense and ψ is a non-decreasing, continuous function bounded by some constant less than 1 satisfying (16). To see this let $\tilde{\Lambda} = \phi_p(\Lambda)$,

consider the hyperbolic rings

$$A_n = \{z \in \mathbb{D} : R_{n-1} \leq \rho(z, p) < R_n\}$$

and take ψ non-decreasing, continuous, and such that $\psi(R_n) = \min_{A_n} \varphi$. Then $D_{\phi_p(\lambda)}^\psi \subset D_{\phi_p(\lambda)}^\varphi$ and therefore $\omega(A; 0, \phi_p(\Omega_n(p, \Lambda, \varphi))) \leq \omega(A; 0, \Omega_n(0, \tilde{\Lambda}, \psi))$ for any $A \subset \{|z| = R_n\}$. Notice also that $\min_{A_n} \varphi$ is attained for z with

$$1 - |z| = \frac{(1 - R_n)(1 - |p|)}{1 + R_n|p|} \leq (1 - R_n)(1 - |p|) = K^{-n}(1 - |p|),$$

and therefore

$$\int_0^1 \frac{dt}{t \log(1/\psi(t))} \simeq \sum_{n=1}^{\infty} \frac{1}{\log(1/\psi(K^{-n}))} \leq \sum_{n=1}^{\infty} \frac{1}{\log(1/\varphi(K^{-n}(1 - |p|)))} \simeq \int_0^1 \frac{dt}{t \log(1/\varphi(t))}.$$

We have thus

$$u^+(p) \leq \int_{|\zeta|=R_n} (u^+ \circ \phi_p)(\zeta) d\omega(\zeta; 0, \Omega_n(0, \tilde{\Lambda}, \psi)).$$

As mentioned in Remark 4, the hypothesis implies $\omega(\partial\mathbb{D}; 0, \mathbb{D} \setminus \overline{\tilde{\Lambda}(\psi)}) = 0$. In order to see that the previous integrals tend to zero we need a slight refinement of Theorem 1 in [OrSe]. Let $d\sigma_n = d\theta/(2\pi R_n)$ denote the normalized Lebesgue measure in $|z| = R_n$.

Lemma 5.2. *Given a uniformly dense sequence Λ and a non-decreasing continuous function φ satisfying (16), there exist $R_n < 1$ with $\lim_n R_n = 1$, and $\epsilon_n > 0$ with $\lim_n \epsilon_n = 0$ such that $\omega(I; 0, \Omega_n(0, \Lambda, \varphi)) \leq \epsilon_n \sigma_n(I)$ for all intervals $I \subset \{\zeta : |\zeta| = R_n\}$.*

Once this lemma is proved, the above estimate yields

$$u^+(p) \leq \epsilon_n \int_{|\zeta|=R_n} (u^+ \circ \phi_p)(\zeta) d\sigma_n(\zeta) \leq \frac{\epsilon_n}{R_n} \sup_{r < 1} \int_0^{2\pi} (u^+ \circ \phi_p)(re^{i\theta}) \frac{d\theta}{2\pi},$$

and letting $n \rightarrow \infty$ we obtain $u^+(p) \leq 0$, as desired. ■

Proof of Lemma 5.2. We prove this by induction. We drop the superindex in D_λ^φ and denote $\Omega_n(0, \Lambda, \varphi)$ simply by Ω_n .

There is no restriction in assuming that there are no D_λ in $D(0, R_1)$; thus $\omega(I; 0, \Omega_1) \leq |I|$ for all intervals $I \subset \{\zeta : |\zeta| = R_1\}$.

We have

$$\omega(I; 0, \Omega_n) = \int_{|z|=R_{n-1}} P(z \rightarrow I) d\omega(z; 0, \Omega_{n-1}),$$

where $P(z \rightarrow I)$ denotes the probability that a Brownian motion starting at z exits Ω_n through I . The hypothesis of induction gives then

$$(17) \quad \omega(I; 0, \Omega_n) \leq \epsilon_{n-1} \int_{|z|=R_{n-1}} P(z \rightarrow I) d\sigma_{n-1}(z).$$

In the estimate of $P(z \rightarrow I)$ we use the uniform density of Λ : there exist $\delta \in (0, 1)$ and $K > 1$ (independent of n) such that for each $z \in \{|z| = R_{n-1}\}$ there is $\lambda \in \Lambda$ with $D_\lambda \in D(0, R_n) \setminus D(0, R_{n-1})$ and $\rho(z, \lambda) \leq \delta$. Then

$$P(z \rightarrow I) \leq \omega(I; z, D(0, R_n) \setminus D_\lambda) .$$

This harmonic measure can be estimated by comparing with an explicit harmonic function. Let $\Psi_n(z) = z/R_n$, which sends $D(0, R_n)$ to \mathbb{D} , and let $P_z^{(n)}(\zeta)$ denote the Poisson kernel in $D(0, R_n)$. Let $\lambda^{(n)} \in \mathbb{D}$, $r_\lambda^{(n)} \in (0, 1)$ be such that $\Psi_n(D_\lambda) = \Psi_n(D(\lambda, r_\lambda)) = D(\lambda^{(n)}, r_\lambda^{(n)})$, and define the harmonic function on $D(0, R_n) \setminus D_\lambda$

$$F_\lambda(z, I) = \omega(I; z, D(0, R_n)) - \left(\inf_{w \in \partial D_\lambda} \int_I P_w^{(n)}(\zeta) d\sigma_n(\zeta) \right) \frac{\log \rho(\lambda^{(n)}, \Psi_n(z))}{\log r_\lambda^{(n)}} .$$

It is clear that $\omega(I; \eta, D(0, R_n) \setminus D_\lambda) \leq F_\lambda(\eta, I)$ for η in the boundary of $D(0, R_n) \setminus D_\lambda$, and therefore in all $D(0, R_n) \setminus D_\lambda$. Hence

$$P(z \rightarrow I) \leq F_\lambda(z, I) .$$

We want to give an estimate of $F_\lambda(z, I)$ independent of λ . Using that $\omega(I; z, D(0, R_n)) = \int_I P_z^{(n)}(\zeta) d\sigma_n(\zeta)$, we can write

$$F_\lambda(z, I) = \left(\int_I P_z^{(n)}(\zeta) d\sigma_n(\zeta) \right) \left(1 - \frac{\inf_{w \in \partial D_\lambda} \int_I P_w^{(n)}(\zeta) d\sigma_n(\zeta) \log \rho(\lambda^{(n)}, \Psi_n(z))}{\int_I P_z^{(n)}(\zeta) d\sigma_n(\zeta) \log r_\lambda^{(n)}} \right) .$$

Since $\lim_{|\lambda| \rightarrow 1} r_\lambda = 0$ and $\rho(z, \lambda) \leq \delta$, by the Harnack's estimates (or by a direct computation), there exists $c > 0$ such that

$$\frac{\inf_{w \in \partial D_\lambda} \int_I P_w^{(n)}(\zeta) d\sigma_n(\zeta)}{\int_I P_z^{(n)}(\zeta) d\sigma_n(\zeta)} \geq c .$$

Also, there exists $\delta' > 0$ such that for n big enough $\rho(\lambda^{(n)}, \Psi_n(z)) \leq \delta'$. With this and the fact that $K^{-n-1} \leq 1 - |\lambda| < K^{-n}$ we deduce that there exists some $C > 0$ such that

$$P(z \rightarrow I) \leq \left(\int_I P_z^{(n)}(\zeta) d\sigma_n(\zeta) \right) \left(1 - \frac{C}{\log 1/\varphi(K^{-n})} \right) .$$

From (17) we have therefore

$$\begin{aligned} \omega(I; 0, \Omega_n) &\leq \epsilon_{n-1} \left(1 - \frac{C}{\log 1/\varphi(K^{-n})} \right) \int_I \int_{|z|=R_{n-1}} P_z^{(n)}(\zeta) d\sigma_{n-1}(z) d\sigma_n(\zeta) \\ &= \epsilon_{n-1} \left(1 - \frac{C}{\log 1/\varphi(K^{-n})} \right) \sigma_n(I) . \end{aligned}$$

Defining

$$\epsilon_n = \epsilon_{n-1} \left(1 - \frac{C}{\log 1/\varphi(K^{-n})} \right) = \prod_{j=1}^n \left(1 - \frac{C}{\log 1/\varphi(K^{-j})} \right)$$

and using Remark 4 we obtain the stated properties. ■

6. APPENDIX.

Here we give a direct proof that a determination set for \mathcal{N} is a Hayman-Lyons set. According to [Ga, Corollary 2] this implies $|NT(\Lambda)| = 2\pi$, and therefore Λ is determination set for \mathcal{H}^∞ .

Let $\delta \in (0, 1)$ and let $\Lambda_0 \subset \Lambda$ be maximal among the subsequences of Λ such that $\rho(\lambda, \lambda') \geq \delta$ for all $\lambda, \lambda' \in \Lambda_0$, $\lambda \neq \lambda'$. We want to prove that

$$\sum_{\lambda \in \Lambda_0} (1 - |\lambda|) P_\lambda(\zeta) = \infty \quad \text{for all } \zeta \in \mathbb{T}.$$

There is no loss of generality in reducing ourselves to the case $\zeta = 1$. Also, we restrict our attention to those $\lambda \in \Lambda_0$ with $P_\lambda(1) \geq 1$, and denote by $\tilde{\Lambda}_0$ the subsequence made with such points. Notice that $\Lambda \cap \{z : P_z(1) \leq 1\}$ cannot be a determination sequence for \mathcal{N} anyway, as the function $f \in \mathcal{N}$ with $\log |f(z)| = P_z(1)$ shows. Thus, let us assume that

$$\sum_{\lambda \in \tilde{\Lambda}_0} (1 - |\lambda|) P_\lambda(1) < \infty$$

and see that there exists $f \in \mathcal{N} \setminus \mathcal{H}^\infty$ with $\sup_\Lambda |f| < \infty$.

Consider the sequence Z consisting of the points $\lambda \in \tilde{\Lambda}_0$, with multiplicity $[P_\lambda(1)]$. By assumption Z is a Blaschke sequence, and therefore, for any $C > 0$, there exists $f \in \mathcal{N}$ such that

$$\log |f(z)| = \log |B_Z(z)| + C P_z(1).$$

As seen in Example 1, such f cannot be bounded in the whole disk.

We want to choose C so that $\sup_\Lambda |f| < \infty$. By construction, we only need to consider $\lambda \notin \tilde{\Lambda}_0$. We separate two cases:

- (i) If $P_\lambda(1) \leq 2$ obviously $\log |f(\lambda)| \leq 2C$.
- (ii) If $P_\lambda(1) > 2$ there exists $\lambda_0 \in \tilde{\Lambda}_0$ such that $\rho(\lambda, \lambda_0) \leq \delta$. By Harnack's inequalities we obtain:

$$\log |f(\lambda)| \leq \log \rho(\lambda, \lambda_0)^{[P_{\lambda_0}(1)]} + C P_\lambda(1) \leq \frac{1}{2}(\log \delta) P_{\lambda_0}(1) + C \left(\frac{1 + \delta}{1 - \delta} \right) P_{\lambda_0}(1).$$

Choosing $C = \frac{1}{2} \frac{1 - \delta}{1 + \delta} \log \frac{1}{\delta}$ we see that in this case $\log |f(\lambda)| \leq 0$, as desired.

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