

Pluripotential solutions versus viscosity solutions to complex Monge-Ampère flows

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Dedicated to Duong Hong Phong on the occasion of his 65th birthday

Abstract: We compare various notions of weak subsolutions to degenerate complex Monge-Ampère flows, showing that they all coincide. This allows us to show that the viscosity solution coincides with the envelope of pluripotential subsolutions.

Keywords: Parabolic Monge-Ampère equation, pluripotential solution, viscosity solution, Perron envelope.

1. Introduction

A viscosity approach for parabolic complex Monge-Ampère equations (both in local and global contexts) has been developed in [EGZ15, EGZ16, EGZ18, DLT19], while a pluripotential approach has been developed in [GLZ1, GLZ2], which allows to solve these equations with quite degenerate data. The goal of this paper is to compare these two notions, extending the dictionary established in the elliptic case (see [EGZ11, HL13, GLZ17]).

Let Ω be a smooth bounded strictly pseudoconvex domain of \mathbb{C}^n . We consider the parabolic complex Monge-Ampère flow in Ω_T

$$(1.1) \quad (dd^c \varphi_t)^n = e^{\dot{\varphi}_t + F(t, z, \varphi)} g(z) dV(z).$$

Here

- $T > 0$ and $\Omega_T =]0, T[\times \Omega$ with parabolic boundary

$$\partial_0 \Omega_T := \{0\} \times \Omega \cup [0, T[\times \partial \Omega;$$

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- $F : [0, T] \times \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ is a continuous function;
- dV denotes the euclidean volume form in \mathbb{C}^n ;
- $0 \leq g$ is a continuous function on Ω ;
- $(t, x) \mapsto \varphi(t, x) = \varphi_t(x)$ is the unknown function and $\dot{\varphi}_t = \partial_t \varphi$ denotes the time derivative of φ .

We assume throughout this article that $h : \partial_0 \Omega_T \rightarrow \mathbb{R}$ is a continuous Cauchy-Dirichlet boundary data, i.e.

- h is continuous on $\partial_0 \Omega_T$, and
- h_0 is a continuous plurisubharmonic function in Ω .

We first extend the definition of pluripotential subsolutions proposed in [GLZ1]. This new definition applies to functions which are not necessarily locally Lipschitz in t , it thus allows us to consider (1.1) for less regular data.

We then show that these pluripotential parabolic subsolutions coincide with viscosity subsolutions:

Theorem A. *Assume $\varphi \in \mathcal{P}(\Omega_T)$. The following are equivalent:*

- (i) φ is a viscosity subsolution to (1.1);
- (ii) φ is a pluripotential subsolution to (1.1).

Here $\mathcal{P}(\Omega_T)$ denotes the set of parabolic potentials, i.e. locally integrable upper semi-continuous functions φ in Ω_T whose slices $\varphi_t = \varphi(t, \cdot)$ are plurisubharmonic in Ω .

The pluripotential parabolic comparison principle [GLZ1, Theorem 6.5] then allows us to conclude that the envelope of pluripotential subsolutions is the unique viscosity solution to (1.1):

Theorem B. *Assume that $g > 0$ is positive almost everywhere in Ω . Then there is a unique viscosity solution to (1.1) with boundary value h which coincides with the envelope of all pluripotential subsolutions.*

The techniques developed in the local context allow us to obtain analogous results in the compact setting, comparing viscosity and pluripotential notions for complex Monge-Ampère flows that contain the Kähler-Ricci flow as a particular case. These are briefly discussed in Section 5.

2. Pluripotential subsolutions

Let Ω be a smoothly bounded strongly pseudoconvex domain in \mathbb{C}^n . By this we mean there exists a smooth strictly plurisubharmonic function ρ in an open neighborhood of $\bar{\Omega}$ such that $\Omega = \{\rho < 0\}$ and $d\rho \neq 0$ on $\partial\Omega$.

Definition 2.1. The set of parabolic potentials $\mathcal{P}(\Omega_T)$ consists of upper semicontinuous functions $u : \Omega_T :=]0, T[\times \Omega \rightarrow [-\infty, +\infty[$ such that $u \in L^1_{\text{loc}}(\Omega_T)$ and $\forall t \in]0, T[$, the slice $u_t : z \mapsto u(t, z)$ is plurisubharmonic in Ω .

Let us stress that – by comparison with [GLZ1] – we do not assume here that the family $\{u(\cdot, z) ; z \in \Omega\}$ is locally uniformly Lipschitz in $]0, T[$. We nevertheless use the same notation $\mathcal{P}(\Omega_T)$ for the set of parabolic potentials, hoping that no confusion will arise.

A pluripotential subsolution is a parabolic potential φ that satisfies

$$(dd^c \varphi)^n \wedge dt \geq e^{\dot{\varphi}_t + F(t, z, \varphi)} g(z) dV(z) \wedge dt$$

in the weak sense of (positive) measures in Ω_T .

We need to make sense of all these quantities. The LHS is defined as in [GLZ1] by using Bedford-Taylor’s theory, the novelty here concerns mainly the RHS as we explain hereafter.

2.1. Defining the LHS

The LHS can be defined by using Bedford-Taylor theory:

Lemma 2.2. *If $u \in \mathcal{P}(\Omega_T) \cap L^\infty_{\text{loc}}(\Omega_T)$ then $dt \wedge (dd^c u_t)^n$ is well-defined as a positive Borel measure in Ω_T .*

Proof. Fix χ a test function in Ω_T with support contained in $J \times D \Subset \Omega_T$. We regularize u by taking sup convolution: for $(t, z) \in J \times D$ we set

$$u^j(t, z) := \sup\{u(s, z) - j^2(t - s)^2 ; s \in]0, T[\}.$$

The functions u^j decrease pointwise to u on $J \times D$ (by upper semi-continuity of u). Since $t \mapsto u^j$ is continuous, it follows from [GLZ1, Lemma 2.1] that the function

$$t \mapsto \int_{\Omega} \chi(t, z) (dd^c u_t^j)^n$$

is continuous in t . It follows from [BT82] that

$$\lim_{j \rightarrow +\infty} \int_{\Omega} \chi(t, z) (dd^c u_t^j)^n = \int_{\Omega} \chi(t, z) (dd^c u_t)^n.$$

Taking limits as $j \rightarrow +\infty$ we obtain that $t \mapsto \int_{\Omega} \chi(t, z) (dd^c u_t)^n$ is a bounded Borel measurable function in $]0, T[$. The Chern-Levine-Nirenberg inequalities yield

$$\left| \int_{\Omega_T} \chi(t, z) dt \wedge (dd^c u_t)^n \right| \leq C(J, D, u) \sup_{\Omega_T} |\chi|,$$

where $C(J, D, u) > 0$ is a constant. It thus follows that the distribution $dt \wedge (dd^c u_t)^n$ extends as a positive Borel measure in Ω_T . \square

2.2. Defining the RHS

For each $u \in \mathcal{P}(\Omega_T)$, we define $g\partial_t u$ as a distribution on Ω_T by setting

$$\langle g\partial_t u, \chi \rangle := - \int_{\Omega} \int_0^T \partial_t \chi(t, z) u(t, z) g(z) dt dz,$$

for all test functions $\chi \in \mathcal{C}^\infty(\Omega_T)$ with compact support.

To define pluripotential subsolutions, we wish to interpret the RHS as a supremum of (signed) Radon measures, setting

$$e^{\dot{\varphi}_t + F(t, z, \varphi)} g = g \sup_{a > 0} \{ a(\partial_t \varphi + F(t, z, \varphi_t(z)) - a \log a + a) \}.$$

This relies on the following observation:

Lemma 2.3. *Let T be a positive measure in an open set $D \subset \mathbb{R}^N$, f a bounded measurable function on D , and $0 \leq g \in L^p(D)$. If, for all $a > 0$,*

$$T \geq g(af + a - a \log a)\lambda_N,$$

in the sense of measures, then $T \geq e^f g$ in the sense of measures in D .

Here λ_N denotes the Lebesgue measure in D .

Proof. We first assume that $g \geq b > 0$ on D . Replacing T with T/g we can assume that $g \equiv 1$. We regularize T by using non-negative mollifiers, setting $T_\varepsilon := T \star \rho_\varepsilon$. Then for all $a > 0$

$$T_\varepsilon \geq af \star \rho_\varepsilon + a - a \log a,$$

pointwise on D . Taking the supremum over $a > 0$ we obtain

$$T_\varepsilon \geq e^{f \star \rho_\varepsilon}$$

pointwise on D . The inequality thus also holds in the sense of measures. Letting $\varepsilon \rightarrow 0$ yields the conclusion.

We now remove the positivity condition on g . Since f is bounded, for each $\varepsilon > 0$ we can find $c(\varepsilon) > 0, A > 0$ such that, for all $a \in]0, A[$,

$$T + \varepsilon \lambda_N \geq (g + c(\varepsilon))(af - a \log a + a)\lambda_N,$$

It follows from the first step and the fact that f is bounded (so that the supremum can be restricted to $a \in]0, A]$) that

$$T + \varepsilon \lambda_N \geq (g + c(\varepsilon))e^f \lambda_N$$

in the sense of measures on D . The conclusion follows by letting $\varepsilon \rightarrow 0$. \square

This analysis motivates the following:

Definition 2.4. Let $u \in \mathcal{P}(\Omega_T) \cap L^\infty_{loc}(\Omega_T)$. Then u is a pluripotential subsolution to (1.1) if for all constants $a > 0$,

$$(dd^c \varphi)^n \wedge dt \geq g(a(\partial_t \varphi + F(t, z, \varphi_t(z)) - a \log a + a) dV(z) \wedge dt$$

in the sense of distribution in Ω_T .

If $u \in \mathcal{P}(\Omega_T) \cap L^\infty_{loc}(\Omega_T)$ is locally uniformly semi-concave in $t \in]0, T[$, then by Lemma 2.3 u is a pluripotential subsolution to (1.1) iff

$$(dd^c u_t)^n \geq e^{\partial_t^+ u + F(t, z, u_t)} g dV,$$

in the sense of Radon measures in Ω . Here ∂_t^+ is the right derivative defined pointwise in Ω_T (thanks to the semi-concavity property of $t \mapsto u(t, z)$). The above definition thus coincides with the one given in [GLZ1].

Decreasing limits of pluripotential subsolutions are again subsolutions as the following result shows:

Lemma 2.5. Let (u^j) be a sequence of pluripotential subsolutions to (1.1) which decreases to $u \in \mathcal{P}(\Omega_T) \cap L^\infty_{loc}(\Omega_T)$. Then u is a pluripotential subsolution to (1.1).

Proof. It follows from [BT82] that the Radon measures $(dd^c u^j)^n \wedge dt$ weakly converge to $(dd^c u)^n \wedge dt$. On the other hand for each $a > 0$

$$g(a(\partial_t u^j + F) + a - a \log a) \rightarrow g(a(\partial_t u + F) + a - a \log a)$$

in the weak sense of distributions in Ω_T . This completes the proof. \square

Let us emphasize that in Definition 2.4 we do not ask subsolutions to be locally uniformly Lipschitz in t while the definition given in [GLZ1] does assume this regularity. We observe below that the envelopes of subsolutions in both senses do coincide.

Proposition 2.6. Assume that the data (F, h, g, u_0) satisfy the assumption of [GLZ1]. Let U be the upper envelope of pluripotential subsolutions to (1.1)

in the sense of Definition 2.4, and \tilde{U} be the envelope of subsolutions to (1.1) in the sense of [GLZ1]. Then $U = \tilde{U}$.

Proof. By definition we have $\tilde{U} \leq U$. Fix u a pluripotential subsolution to (1.1) in the sense of Definition 2.4. We regularize u by taking convolution (see [GLZ1])

$$u^\varepsilon(t, z) := \int_{\mathbb{R}} u(st, z) \chi((s - 1)/\varepsilon) ds,$$

where χ is a cut-off function. Then $u^\varepsilon - c(\varepsilon)(t + 1)$ is a pluripotential subsolution to (1.1) with data (F, h, g, u_0) , where $c(\varepsilon) \rightarrow 0$ as $\varepsilon \rightarrow 0$. Hence $u^\varepsilon - O(\varepsilon)(t + 1) \leq \tilde{U}$. Letting $\varepsilon \rightarrow 0$ we arrive at $u \leq \tilde{U}$, hence $U \leq \tilde{U}$. \square

3. Viscosity vs pluripotential subsolutions

3.1. Viscosity concepts

We now recall the corresponding viscosity notions introduced in [EGZ15].

Definition 3.1. Given $u : \Omega_T \rightarrow \mathbb{R}$ an u.s.c. bounded function and $(t_0, x_0) \in X_T$, q is a differential test from above for u at (t_0, x_0) if

- $q \in \mathcal{C}^{1,2}$ in a small neighborhood V_0 of (t_0, x_0) ;
- $u \leq q$ in V_0 and $u(t_0, x_0) = q(t_0, x_0)$.

Definition 3.2. An u.s.c. bounded function $u : \Omega_T \rightarrow \mathbb{R}$ is a *viscosity subsolution* to (1.1) if for all $(t_0, x_0) \in \Omega_T$ and all differential tests q from above,

$$(dd^c q_{t_0}(x_0))^n \geq e^{\dot{q}_{t_0}(x_0) + F(t_0, x_0, u(t_0, x_0))} q(x_0) dV(x_0).$$

Here are few basic facts about viscosity subsolutions:

- a $\mathcal{C}^{1,2}$ -smooth function is a viscosity subsolution iff it is psh and a classical subsolution;
- if u_1, u_2 are viscosity subsolutions, then so is $\max(u_1, u_2)$;
- if $(u_\alpha)_{\alpha \in A}$ is a family of subsolutions which is locally uniformly bounded from above, then $\varphi := (\sup\{u_\alpha ; \alpha \in A\})^*$ is a subsolution;
- If u is a subsolution to (1.1)_g then it is also a subsolution to (1.1)_f with g replaced by f , as long as $0 \leq f \leq g$.
- u is a subsolution to (1.1) with $g \equiv 0$ iff u_t is psh for all t .

Definition 3.3. A bounded l.s.c. function $u : \Omega_T \rightarrow \mathbb{R}$ is a *viscosity supersolution* to (1.1) if for all $(t_0, z_0) \in \Omega_T$ and all differential tests q from below,

$$(dd^c q_{t_0}(x_0))_+^n \leq e^{\dot{q}_{t_0}(x_0) + F(t_0, x_0, u(t_0, x_0))} q(x_0) dV(x_0).$$

Here, for a real $(1, 1)$ -form α we define α_+ to be α if it is semipositive and 0 otherwise.

Definition 3.4. A function u is a viscosity solution to (1.1) if it is both a viscosity subsolution and a viscosity supersolution to (1.1).

Note in particular that viscosity solutions are continuous functions.

In viscosity theory it is convenient to define the notion of relaxed upper and lower limits of a family of functions. Let $\phi^\epsilon : (E, d) \rightarrow \mathbb{R}$, $\epsilon > 0$ be a family of locally uniformly bounded functions on a metric space (E, d) . We set

$$\begin{aligned} \underline{\phi}(x) &= \liminf_* \phi^\epsilon(x) &:=& \liminf_{(\epsilon, y) \rightarrow (0, x)} \phi^\epsilon(y) \\ \overline{\phi}(x) &= \limsup^* \phi^\epsilon(x) &:=& \limsup_{(\epsilon, y) \rightarrow (0, x)} \phi^\epsilon(y). \end{aligned}$$

Observe that $\underline{\phi}$ (resp. $\overline{\phi}$) is lower (resp. upper) semi-continuous on E and $\underline{\phi} \leq (\liminf_{\epsilon \rightarrow 0^+} \phi^\epsilon)_*$. If the family is constant and equal to ϕ , $\underline{\phi} = \phi_*$ and $\overline{\phi} = \phi^*$ correspond to the lower and upper semi-continuous regularisations of ϕ respectively.

Lemma 3.5. Assume that $(F^\epsilon)_{0 < \epsilon < \epsilon_0}$ is a family of continuous functions on $]0, T[\times \Omega \times \mathbb{R}$ which converges locally uniformly to F , and let $(g^\epsilon)_{0 < \epsilon < \epsilon_0}$ be a family of continuous non negative functions on Ω which converges uniformly to g .

Assume that for any $0 < \epsilon < \epsilon_0$, $u^\epsilon : \Omega_T \rightarrow \mathbb{R}$ is a viscosity subsolution (resp. supersolution) to the equation (1.1) for the data (F^ϵ, g^ϵ) . Then the function \overline{u} (resp. \underline{u}) is a viscosity subsolution (resp. supersolution) to the equation (1.1) for the data (F, g) .

The proof below is essentially classical (see [DI04]) but we give a complete account for the reader’s convenience.

Proof. We prove the statement for supersolutions. The dual arguments work for subsolutions.

Let q be a lower test function for \underline{u} at $\zeta_0 := (t_0, z_0) \in]0, T[\times \Omega$. Fix $r > 0$ such that $D_r := [t_0 - r, t_0 + r] \times \overline{B}(z_0, r) \subset \Omega$. By definition there exists a sequence $(\zeta_j)_{j \in \mathbb{N}}$ in D_r converging to ζ_0 and a sequence $(\epsilon_j)_{j \in \mathbb{N}}$ decreasing to 0 such that $\lim_{j \rightarrow +\infty} u^{\epsilon_j}(\zeta_j) = \underline{u}(\zeta_0)$.

Fix $\delta > 0$ and set

$$p(z) := q(t, z) - u^{\epsilon_j}(t, z) - \delta(|z - z_0|^2 + (t - t_0)^2), \quad z \in D_r.$$

For each $j \in \mathbb{N}$ let $w_j := (t_j, z_j)$ be a point in D_r such that $p(w_j) = \max_{D_r} p$. We have

$$q(\zeta_j) - u^{\varepsilon_j}(\zeta_j) - \delta|\zeta_j - \zeta_0|^2 = p(\zeta_j) \leq p(w_j) = q(w_j) - u^{\varepsilon_j}(w_j) - \delta|w_j - \zeta_0|^2.$$

Taking a subsequence if necessary we can assume that $w_j \rightarrow w_0 \in D_r$. Then letting $j \rightarrow +\infty$ and taking into account the fact that

$$\liminf_{j \rightarrow +\infty} u^{\varepsilon_j}(w_j) \geq \underline{u}(w_0),$$

we obtain

$$q(\zeta_0) - \underline{u}(\zeta_0) \leq q(w_0) - \underline{u}(w_0) - \delta|w_0 - \zeta_0|^2.$$

This implies that $\zeta_0 = w_0$, since q is a lower test function for \underline{u} at ζ_0 . Hence the sequence (w_j) converges to ζ_0 and then for j large enough w_j is in the interior of D_r . By definition of w_j , it follows that for j large enough, the function $q_j(t, z) := q(t, z) - \delta(|z - z_0|^2 + (t - t_0)^2)$ is a lower test function for u^{ε_j} at the point w_j . Since u^{ε_j} is a supersolution to the equation (1.1) for the data $(F^{\varepsilon_j}, g^{\varepsilon_j})$, it follows that at the point $w_j = (t_j, z_j)$ we have

$$(3.1) \quad (dd^c q - \delta\beta)_+^n \leq e^{\partial_t q(t_j, z_j) - 2\delta(t_j - t_0) + F^{\varepsilon_j}(t_j, z_j, q(t_j, z_j))} g^{\varepsilon_j}(z_j) dV,$$

where $\beta = dd^c|z|^2$ is the standard Kähler form on \mathbb{C}^n .

We want to prove that at $\zeta_0 = (t_0, z_0)$ we have

$$(dd^c q)_+^n \leq e^{\partial_t q + F(t_0, z_0, q(t_0, z_0))} g(z_0) dV.$$

If $dd^c q(z_0)$ has an eigenvalue ≤ 0 then $(dd^c q)_+^n(z_0) = 0$ and the inequality is trivial. If $dd^c q(z_0) > 0$ then letting $j \rightarrow +\infty$ and then $\delta \rightarrow 0$ in (3.1) we arrive at the desired inequality. \square

3.2. Comparison of subsolutions

The main result of this note provides an identification between viscosity and pluripotential subsolutions:

Theorem 3.6. *Let $u \in \mathcal{P}(\Omega_T) \cap L_{\text{loc}}^\infty(\Omega_T)$. The following are equivalent:*

- (i) u is a viscosity subsolution to (1.1);
- (ii) u is a pluripotential subsolution to (1.1).

The proof relies on corresponding results in the elliptic case, as well as on the parabolic comparison principle established in [GLZ1, Theorem 6.5].

Proof. We first prove (i) \implies (ii). Assume u is a viscosity subsolution to (1.1). Fix $J_1 \Subset J_2 \Subset]0, T[$ compact subintervals. We are going to prove that u is a pluripotential subsolution to (1.1) in $J_1 \times \Omega$.

We regularize u by taking the sup-convolution with respect to the t -variable: for $\varepsilon > 0$ small enough we define

$$u_\varepsilon(t, z) := \sup \left\{ u(t', z) - \frac{1}{2\varepsilon^2}(t - t')^2 ; t' \in J_2 \right\}.$$

The function u_ε is semi-convex in $t \in J_1$, upper semicontinuous in z . We claim that

$$(dd^c u_\varepsilon)^n \geq e^{\partial_t u_\varepsilon + F_\varepsilon(t, z, u_\varepsilon)} g dV,$$

in the viscosity sense where

$$F_\varepsilon(t, z, r) := \inf \{ F(t + s, z, r) ; |s| \leq C\varepsilon \},$$

for a uniform constant $C > 0$ depending on $\sup_{J_2 \times \Omega} |u|$. The argument is classical but we recall it for the reader's convenience. Let q be a differential test from above for u_ε at $(t_0, z_0) \in J_1 \times \Omega$ and let $s_0 \in J_2$ be such that

$$u_\varepsilon(t_0, z_0) = u(s_0, z_0) - \frac{1}{2\varepsilon^2}(s_0 - t_0)^2.$$

Then $|t_0 - s_0| \leq C\varepsilon$. Consider the function q_ε defined by

$$q_\varepsilon(t, z) := q(t + t_0 - s_0) + \frac{1}{2\varepsilon^2}(s_0 - t_0)^2.$$

Then $q_\varepsilon(s_0, z_0) = u(s_0, z_0)$, and for all $(t, z) \in J_1 \times \Omega$,

$$q_\varepsilon(t, z) \geq u_\varepsilon(t + t_0 - s_0) + \frac{1}{2\varepsilon^2}(s_0 - t_0)^2 \geq u(t).$$

In other words, q_ε is a differential test from above for u at (s_0, z_0) . Hence

$$(dd^c q_\varepsilon)^n(s_0, z_0) \geq e^{\partial_t q_\varepsilon(s_0, z_0) + F(s_0, z_0, q_\varepsilon(s_0, z_0))} g(z_0) dV.$$

Since F is increasing in r and $q_\varepsilon(s_0, z_0) \geq q(t_0, z_0)$ we obtain

$$\begin{aligned} (dd^c q)^n(t_0, z_0) &\geq e^{\partial_t q(t_0, z_0) + F(s_0, z_0, q(t_0, z_0))} g(z_0) dV \\ &\geq e^{\partial_t q(t_0, z_0) + F_\varepsilon(t_0, z_0, q(t_0, z_0))} g(z_0) dV, \end{aligned}$$

as claimed.

Let $\partial_t^- u_\varepsilon$ denote the left derivative in t of u_ε . Since $\partial_t^- u_\varepsilon + F_\varepsilon$ is bounded, by considering $u_\varepsilon + \delta|z|^2$ and letting $\delta \rightarrow 0$, we can assume that $g \geq c > 0$ is

strictly positive in Ω . The function

$$(t, z) \mapsto G(t, z) = e^{\partial_t^- u_\varepsilon(t,z) + F_\varepsilon(t,z,u_\varepsilon(t,z))} g(z),$$

is lower semicontinuous in Ω_T . It can be approximated from below by a sequence of positive continuous functions (G_j) . By definition of viscosity subsolutions (applied to u_ε) we have

$$(3.2) \quad (dd^c u_\varepsilon)^n \geq G_j dV$$

in the parabolic viscosity sense. Since G_j is continuous, we can thus invoke [EGZ15, Proposition 3.6] to conclude that (3.2) holds in the elliptic viscosity sense for each $t \in J_1$ fixed. It then follows from [EGZ11, Proposition 1.5] that (3.2) holds in the elliptic pluripotential sense for each $t \in J_1$ fixed. Now, [GLZ1, Proposition 3.2] ensures that u_ε is a parabolic pluripotential subsolution to (1.1). Since u_ε decreases to u , Lemma 2.5 insures that u is a pluripotential subsolution to (1.1).

We now prove (ii) \implies (i). Assume that u is a pluripotential subsolution to (1.1). Fix $(t_0, z_0) \in \Omega_T$ and q a differential test from above defined in a neighborhood $J \times U \Subset]0, T[\times \Omega$ of (t_0, z_0) . We need to prove that

$$(3.3) \quad (dd^c q)^n(t_0, z_0) \geq e^{\partial_t q(t_0,z_0) + F(t_0,z_0,q(t_0,z_0))} g(z_0) dV.$$

It follows from [EGZ11] that $dd^c q$ is semipositive at (t_0, z_0) . If $g(z_0) = 0$ the inequality follows from the elliptic theory (see [EGZ11]). Since g is continuous up to shrinking U , we can assume that $g > 0$ in U .

Assume by contradiction that (3.3) does not hold. Then, by continuity of the functions involved, there exists $\varepsilon, r, \delta > 0$ small enough such that

$$(dd^c q + \varepsilon dd^c |z|^2)^n < e^{\partial_t q(t,z) + F(t,z,q(t,z)) - \delta} g(z) dV$$

holds in the classical sense in $[t_0 - r, t_0 + r] \times B(z_0, r)$. Consider the function

$$v(t, z) := q(t, z) + \gamma(|z - z_0|^2 - r^2 + t_0 - t),$$

for $(t, z) \in [t_0 - r, t_0] \times B(z_0, r)$. For γ small enough one can check that

$$\begin{aligned} (dd^c v)^n &\leq e^{\partial_t q(t,z) + F(t,z,q(t,z)) - \delta} g(z) dV \\ &\leq e^{\partial_t v + F(t,z,v + \gamma r^2 + \gamma(t-t_0)) + \gamma - \delta} g(z) dV \\ &\leq e^{\partial_t v + F(t,z,v)} g(z) dV, \end{aligned}$$

hence v is a supersolution to (1.1) in $]t_0 - r, t_0[\times B(z_0, r)$. We next compare v and u on the parabolic boundary of $]t_0 - r, t_0[\times B(z_0, r)$. For all $z \in B(z_0, r)$ we have

$$v(t_0 - r, z) \geq q(t_0 - r, z) + \gamma(r - r^2) \geq q(t_0 - r, z) \geq u(t_0 - r, z),$$

if $r < 1$. For all $t \in [t_0 - r, t_0], \zeta \in \partial B(z_0, r)$ we have

$$v(t, \zeta) = q(t, \zeta) + \gamma(t_0 - t) \geq u(t, \zeta).$$

If u is locally uniformly Lipschitz in t , it follows from [GLZ1, Theorem 6.5] that $u \leq v$ in $[t_0 - r, t_0] \times B(z_0, r)$. This yields a contradiction as

$$v(t_0, z_0) = q(t_0, z_0) - \gamma r^2 < u(t_0, z_0).$$

We finally remove the Lipschitz assumption on u . For each $\varepsilon > 0$ we define u_ε by

$$u_\varepsilon(t, z) := \int_{\mathbb{R}} u(st, z) \chi((s - 1)/\varepsilon) ds,$$

where χ is a cut-off function. Let F_j be a family of smooth functions which increases to F . Then u is a pluripotential subsolution to (1.1) with data F_j . Arguing as in [GLZ1, Theorem 6.5] we can show that $u_\varepsilon - c(\varepsilon)(t + 1)$ is a pluripotential subsolution to (1.1) (with data F_j) which is locally uniformly Lipschitz. Hence, we can apply the first step to show that $u_\varepsilon - c(\varepsilon)(t + 1)$ is a viscosity subsolution to (1.1) with data F_j . Thanks to Lemma 3.5 we can let $\varepsilon \rightarrow 0$ and then $j \rightarrow +\infty$ to conclude the proof. \square

4. Viscosity vs pluripotential (super)solutions

The notion of pluripotential supersolutions has been introduced in [GLZ1]. In case $u \in \mathcal{P}(\Omega_T) \cap L^\infty_{loc}(\Omega_T)$ is locally uniformly semiconcave, it is a pluripotential supersolution to (1.1) if

$$(dd^c u)^n \wedge dt \leq e^{\partial_t^- u + F(t, z, u)} g dV \wedge dt,$$

in the sense of Radon measures in Ω_T .

As in the viscosity setting, a *pluripotential solution* is a parabolic potential which is both a subsolution and a supersolution.

4.1. Comparison of supersolutions

Theorem 4.1. *Assume $v \in \mathcal{P}(\Omega_T) \cap C(\Omega_T)$ is a pluripotential supersolution to (1.1) which is locally uniformly semi-concave in $t \in]0, T[$. Then v is a viscosity supersolution to (1.1).*

The proof relies on the parabolic pluripotential comparison principle [GLZ1, Theorem 6.5] which requires the extra semi-concavity hypothesis.

Proof. We can assume that $g > 0$. Fix $(t_0, z_0) \in \Omega_T$ and let q be a differential test from below for v at (t_0, z_0) , defined in $J \times U \Subset \Omega_T$. We want to prove that

$$(4.1) \quad (dd^c q)_+^n(t_0, z_0) \leq e^{\partial_t q(t_0, z_0) + F(t_0, z_0, q(t_0, z_0))} g(z_0) dV.$$

Assume, by contradiction, that it is not the case. Then $dd^c q_{t_0}(z_0)$ is semipositive and there is a constant $\delta > 0$ such that

$$(dd^c q_{t_0}(z_0))^n > e^{\partial_t q(t_0, z_0) + F(t_0, z_0, q(t_0, z_0)) + 2\delta} g(z_0) dV(z_0).$$

Since $g > 0$ and the data is continuous, we can find $r \in]0, 1[$ so small that

$$(dd^c q - \varepsilon dd^c |z|^2)^n \geq e^{\partial_t q(t, z) + F(t, z, q(t, z)) + \delta} g(z) dV(z)$$

holds in the classical sense in $]t_0 - r, t_0 + r[\times B(z_0, r)$. Consider the function

$$u(t, z) := q(t, z) - \gamma(|z - z_0|^2 - r^2 + t_0 - t),$$

for $(t, z) \in]t_0 - r, t_0[\times B(z_0, r)$. For γ small enough one can check that

$$\begin{aligned} (dd^c u)^n &\geq e^{\partial_t q(t, z) + F(t, z, q(t, z)) + \delta} g(z) dV \\ &\geq e^{\partial_t u - \gamma + F(t, z, u - \gamma r^2 + \gamma(t_0 - t)) - \delta} g(z) dV \\ &\geq e^{\partial_t u + F(t, z, u)} g(z) dV, \end{aligned}$$

hence u is a subsolution to (1.1) in $]t_0 - r, t_0[\times B(z_0, r)$. We next compare v and u on the parabolic boundary of $]t_0 - r, t_0[\times B(z_0, r)$. For all $z \in B(z_0, r)$ we have

$$u(t_0 - r, z) \leq q(t_0 - r, z) + \gamma(r^2 - r) \leq q(t_0 - r, z) \leq v(t_0 - r, z),$$

since $r < 1$. For all $t \in]t_0 - r, t_0[$, $\zeta \in \partial B(z_0, r)$ we have

$$u(t, \zeta) = q(t, \zeta) - \gamma(t_0 - t) \leq v(t, \zeta).$$

Since v is locally uniformly semi-concave, we can invoke [GLZ1, Theorem 6.5] to conclude that $u \leq v$ in $[t_0 - r, t_0] \times B(z_0, r)$. This yields a contradiction since $u(t_0, z_0) = q(t_0, z_0) + \gamma r^2 > v(t_0, z_0)$. \square

In the reverse direction we have the following observation:

Theorem 4.2. *Let v be a viscosity supersolution to (1.1) and assume that v is locally uniformly semi-concave in $t \in]0, T[$. Then $P(v)$ is a pluripotential supersolution to (1.1).*

Here $P(v)(t, z) = P(v_t)(z)$ is the slice plurisubharmonic envelope of v : for each t fixed, we set

$$P(v_t)(z) := \sup\{w(z); w \leq v_t \text{ and } w \text{ plurisubharmonic in } \Omega\},$$

i.e. $P(v)_t := P(v_t)$ is the largest psh function lying below v_t .

Proof. We first observe that $t \mapsto P(v)(t, z)$ is locally uniformly semi-concave. This follows from the fact that $v \mapsto P(v)$ is increasing and concave: assume for simplicity that $t \mapsto v(t, z)$ is uniformly concave, then

$$\frac{v_{t+s} + v_{t-s}}{2} \leq v_t \Rightarrow \frac{P(v_{t+s}) + P(v_{t-s})}{2} \leq P\left(\frac{v_{t+s} + v_{t-s}}{2}\right) \leq P(v_t).$$

Fix $U \Subset \Omega$ and $S \in]0, T[$. Let v^ε denote the inf-convolution of v . Then v^ε increases pointwise to v and $P(v^\varepsilon) \uparrow P(v)$ as $\varepsilon \downarrow 0$. Since $\partial_t P(v^\varepsilon)$ converges a.e. to $\partial_t P(v)$ (see [GLZ1]), it suffices to prove that each $P(v^\varepsilon)$ is a pluripotential supersolution to (1.1). We can thus assume that v is continuous in Ω_T .

The left derivative $\partial_t^- v$ is upper semicontinuous in Ω_T . It follows from [EGZ15, Proposition 3.6] that, for all $t \in]0, T[$, the inequality

$$(dd^c v_t)_+^n \leq e^{\partial_t^- v + F(t, \cdot, v_t)} g dV$$

holds in the viscosity sense in Ω . It thus follows from [GLZ17] that $P(v_t)$ satisfies

$$(dd^c P(v_t))^n \leq e^{\partial_t^- v + F(y, \cdot, P(v_t))} g dV$$

in the pluripotential sense. Set

$$E = \{(t, z) \in \Omega_T, \partial_t^+ v(t, z) = \partial_t^- v(t, z) \text{ \& } \partial_t^+ P(v)(t, z) = \partial_t^- P(v)(t, z)\}.$$

Then $\Omega_T \setminus E$ has zero Lebesgue measure. If $(t, z) \in E \cap \{P(v_t) = v_t\}$ then $\partial_t^- P(v)(t, z) = \partial_t^- v(t, z)$. Therefore,

$$(dd^c P(v))^n \wedge dt \leq e^{\partial_t P(v) + F(t, z, P(v))} g dV(z) \wedge dt$$

holds in the pluripotential sense in Ω_T . □

4.2. Viscosity comparison principle

The following stability estimate follows directly from the viscosity comparison principle established in [EGZ15, Theorem B].

Lemma 4.3. *Assume u is a bounded viscosity subsolution to (1.1) with data F and v is a bounded viscosity supersolution to (1.1) with data G . Then*

$$\sup_{\Omega_T} (u - v) \leq \sup_{\partial_0 \Omega_T} (u^* - v_*)_+ + T \|(G - F)_+\|,$$

where $\|(F - G)_+\| := \max_{[0, T] \times \bar{\Omega} \times [-C_0, +C_0]} (F - G)_+$ and $C_0 > 0$ is a uniform bound on $|u|$ and $|v|$ in Ω_T .

Proof. Set

$$M_1 := \sup_{\partial_0 \Omega_T} (u^* - v_*)_+, \quad M_2 := \|(G - F)_+\|,$$

and $\tilde{u} := u - M_1 - M_2 t$. Then $\tilde{u}^* \leq v^*$ on $\partial_0 \Omega_T$. It follows directly from the definition of viscosity subsolutions that \tilde{u} is a viscosity subsolution to (1.1) with data G since $F + (G - F)_+ \geq G$. It thus follows from [EGZ15, Theorem B] that $\tilde{u} \leq v$, giving the desired estimate. □

Corollary 4.4. *Assume that $F^j \rightarrow F$ locally uniformly in $\Omega_T \times \mathbb{R}$. Let h^j be a sequence of parabolic boundary data converging locally uniformly to a parabolic boundary datum h on $\partial_0 \Omega$.*

Let ϕ^j be the unique viscosity solution to the Cauchy Dirichlet problem for the data (F^j, g, h^j) . Then $(\phi^j)_{j \in \mathbb{N}}$ converges locally uniformly in Ω_T to a continuous function ϕ which is the unique viscosity solution to the Cauchy-Dirichlet problem of the equation (1.1) for the data (F, g, h) .

Proof. By the viscosity comparison principle (Lemma 4.3) we have for $j, k \in \mathbb{N}$, for any $0 < S < T$,

$$\sup_{\bar{\Omega}_S} |\phi_j - \phi_k| \leq \sup_{\partial_0 \Omega_S} |h_j - h_k| + S \|F^j - F^k\|_{\bar{\Omega}_S \times L},$$

where $L \subset \mathbb{R}$ is a compact set containing the values of ϕ^j , $j \in \mathbb{N}$, on the compact set $\bar{\Omega}_S$. It follows that (ϕ_j) is a Cauchy sequence for the norm of the uniform convergence on each $\bar{\Omega}_S$. Then the sequence has a limit which is a continuous function $\phi : [0, T] \times \bar{\Omega}$. By Lemma 3.5, the function ϕ is a solution to the equation (1.1) for the data (F, g, h) . Set

$$\alpha_j := \sup_{\partial_0 \Omega_S} |h_j - h_k| + S \|F^j - F^k\|_{\bar{\Omega}_S \times L}.$$

Then $\alpha_j \rightarrow 0$ and for $j \gg 1$ we have

$$\phi_j - \alpha_j \leq \phi \leq \phi_j + \alpha_j,$$

in Ω_S . From this inequality it follows that the boundary values of ϕ coincide with h on $\partial_0 \Omega_S$. Letting $S \rightarrow T$, we see that ϕ is the unique solution to the equation (1.1) for the data (F, g, h) . \square

4.3. Viscosity vs pluripotential solutions

If h does not depend on t , it was shown in [EGZ15] that there exists a unique viscosity solution to (1.1) with boundary value h . This is the Perron envelope of all viscosity subsolutions with boundary value h .

This result has been recently extended by Do-Le-Tô [DLT19] to boundary data that are time-dependent. Combining viscosity and pluripotential techniques we provide an alternative proof of this existence result:

Theorem 4.5. *The Perron envelope of viscosity subsolutions to (1.1) with boundary value h is the unique viscosity solution to (1.1) with boundary value h . It coincides with the envelope of all pluripotential subsolutions to (1.1) with boundary value h .*

Proof. We first assume that the data (h, F) satisfy the assumptions of [GLZ1]. Let U be the envelope of all pluripotential subsolutions to (1.1) with boundary value h , and V be the Perron envelope of viscosity subsolutions to (1.1) with boundary value h . Theorem 3.6 ensures that $U = V$. By Proposition 2.6 and [GLZ1], $U \in \mathcal{C}(\Omega_T)$ is a pluripotential solution to (1.1) which is locally uniformly semi-concave. It then follows from Theorem 4.1 that U is a viscosity supersolution to (1.1), hence U is a viscosity solution to (1.1). Lemma 4.3 ensures that U is the unique viscosity solution to (1.1) with boundary value h .

We now treat the general case. Let (h_j, F_j) be approximants of (h, F) which satisfy the assumptions in [GLZ1], and let U_j be the envelope of pluripotential subsolutions to (1.1) with data (h_j, F_j) . Then U_j is a pluripotential

solution to (1.1) which is locally uniformly semiconcave. The previous step ensures that U_j is a viscosity solution to (1.1) with data (h_j, F_j) . By stability of viscosity solutions (see Lemma 4.3), U_j uniformly converges to U and $U = h$ on $\partial_0\Omega_T$. By Corollary 4.4, U is a solution to the equation (1.1) in Ω_T . Hence U is a solution to the Cauchy-Dirichlet problem for (1.1) in Ω_T with boundary values h .

Uniqueness follows from the viscosity comparison principle in Lemma 4.3 (see [EGZ15, Theorem B]). □

5. Compact Kähler manifolds

The techniques developed in the local context allow us to obtain analogous results in the compact setting.

We consider the following complex Monge-Ampère flow

$$(5.1) \quad (\omega_t + dd^c\varphi_t)^n = e^{\dot{\varphi}_t + F(t,x,\varphi_t)} g dV,$$

where X is a compact Kähler manifold of dimension n and

1. $X_T :=]0, T[\times X$ with $T > 0$;
2. $0 < g$ is a continuous function on X ;
3. $t \mapsto \omega(t, x)$ is a smooth family of closed semi-positive $(1, 1)$ -forms such that $\theta(x) \leq \omega_t(x) \leq \Theta$, where θ is a closed semi-positive big form, and Θ is a Kähler form;
4. $(t, x, r) \mapsto F(t, x, r)$ is continuous in $[0, T[\times X \times \mathbb{R}$, increasing in r ;
5. $\varphi : [0, T[\times X \rightarrow \mathbb{R}$ is the unknown function, with $\varphi_t := \varphi(t, \cdot)$.

Let φ_0 be a bounded ω_0 -psh function on X which is continuous in Ω , the ample locus of $\{\theta\}$.

Definition 5.1. The set $\mathcal{P}(X_T, \omega_t)$ of parabolic potentials consists of functions $u : X_T \rightarrow \mathbb{R} \cup \{-\infty\}$ such that

- u is upper semi-continuous on X_T and $u \in L^1_{loc}(X_T)$;
- for each $t \in]0, T[$, the function $u_t := u(t, \cdot)$ is ω_t -psh on X .

Definition 5.2. A parabolic potential $u \in \mathcal{P}(X_T, \omega_t) \cap L^\infty(X_T)$ is a pluripotential subsolution to (5.1) if for all constant $a > 0$,

$$(\omega_t + dd^c u_t)^n \wedge dt \geq g(a(\partial_t \varphi + F(t, z, u_t(z)) - a \log a + a) dV(z) \wedge dt$$

holds in the sense of distribution in X_T .

If $u \in \mathcal{P}(X_T, \omega_t) \cap L^\infty(X_T)$ is locally uniformly Lipschitz in t then our definition coincides with that of [GLZ2].

Theorem 5.3. *Let U (respectively V) be the envelope of all pluripotential (respectively viscosity) subsolutions u to (5.1) such that $\limsup_{t \rightarrow 0} u_t \leq \varphi_0$. Then $U = V$ is the unique viscosity solution to (5.1) starting from φ_0 .*

The last condition in the theorem means that $\lim_{t \rightarrow 0^+} U_t = \varphi_0$ locally uniformly in $\Omega := \text{Amp}(\{\theta\})$, the ample locus of the class $\{\theta\}$.

Proof. The equivalence of pluripotential and viscosity subsolutions for a given parabolic potential $u \in \mathcal{P}(X_T) \cap L^\infty(X_T)$ follows from Theorem 3.6, since being a pluripotential (resp. viscosity) subsolution is a local property. It follows in particular that $U = V$ on X_T .

We approximate F uniformly by a sequence of data F^j which satisfy the assumptions in [GLZ2] (one can e.g. take the convolution with a smoothing kernel in t, r). We approximate ω_t by $\omega_t^j := \omega_t + 2^{-j}\Theta$. Then ω^j also satisfies the assumptions in [GLZ2]. Let U^j be the envelope of pluripotential subsolutions to (1.1) with data $(F^j, \omega^j, \varphi_0)$. By [GLZ2] and the proof of Proposition 2.6, U^j is locally uniformly semi-concave in t , $\lim_{t \rightarrow 0^+} U_t^j = \varphi_0$, for all j , and U^j is a pluripotential solution to (1.1) with data (F^j, ω^j) . By continuity of φ_0 in Ω and [GLZ2, Proposition 2.2], we infer that U_t^j locally uniformly converges to φ_0 in Ω .

The proof of Theorem 4.1 shows that U^j is a viscosity solution to (5.1) in Ω . We now prove that U^j locally uniformly converges to U on Ω_T . If we can do this then $U \in \mathcal{C}(\Omega_T)$ is a viscosity solution to (5.1) (thanks to Lemma 3.5), and $\lim_{t \rightarrow 0^+} U_t = \varphi_0$ locally uniformly in Ω .

In the arguments below we use $\varepsilon(j)$ to denote various positive constants which tend to 0 as $j \rightarrow +\infty$.

Since $\omega \leq \omega^j$, the function $U - \varepsilon(j)t$ is a pluripotential subsolution to (5.1) with datum (F^j, ω^j) , hence

$$(5.2) \quad U - \varepsilon(j)t \leq U^j.$$

To obtain the other bound we fix $\rho \in \text{PSH}(X, \theta) \cap L^\infty(X)$, $\sup_X \rho = 0$, such that

$$(\theta + dd^c \rho)^n = 2^n e^{c_1} g dV,$$

for some constant $c_1 \in \mathbb{R}$. The existence of ρ follows from [EGZ09]. Let $\psi \leq 0$ be a θ -psh function which is smooth in Ω and satisfies

$$\theta + dd^c \psi \geq 2c_0 \Theta,$$

for some positive fixed constant c_0 .

Set for $j \in \mathbb{N}$,

$$W^j := (1 - \lambda_j)U^j + \lambda_j \frac{\rho + \psi}{2}, \quad \text{with } \lambda_j := \frac{2^{-j}}{2^{-j} + c_0}.$$

Given this choice of λ_j , a direct computation shows that

$$\begin{aligned} \omega_t + dd^c W^j &\geq (1 - \lambda_j)(\omega_t + dd^c U_t^j) + \lambda_j(\omega_t + dd^c((\rho + \psi)/2)) \\ &\geq (1 - \lambda_j)(\omega_t^j + dd^c U_t^j) + \lambda_j(\theta + dd^c \rho)/2 \geq 0. \end{aligned}$$

Hence, applying [GLZ2, Lemma 3.15] we obtain

$$\begin{aligned} (\omega_t + dd^c W^j)^n &\geq e^{(1-\lambda_j)(\partial_t U_t^j + F^j(t,x,U^j)) + \lambda_j c_1} gdV \\ &\geq e^{\partial_t W^j + F(t,x,W^j) - \varepsilon'(j)} gdV, \end{aligned}$$

in the weak sense on Ω , where $\varepsilon'(j) \rightarrow 0$.

It thus follows that $W^j - \varepsilon(j)t$ is a pluripotential subsolution to the equation (5.1) on Ω_T with datum (F, ω) . Observe that W^j is not bounded on X . Since, for C large enough $u := \rho + nt \log t - Ct - C$ is a bounded pluripotential subsolution to the equation (5.1) in X_T with datum (F, ω) , it follows that $\tilde{W}^j := \sup\{W^j - \varepsilon(j)t, u\}$ is a bounded subsolution to the equation (5.1) on X_T . Since $W^j(t, x) \leq U^j(t, x) + \varepsilon_j''$ where $\varepsilon_j'' \rightarrow 0$, and $\lim_{t \rightarrow 0} U^j(t, x) = \varphi_0(x)$ for any $x \in X$, it follows that

$$(5.3) \quad \tilde{W}^j - \varepsilon_j'' \leq U, \quad \text{in } X_T.$$

From (5.2) and (5.3) we conclude that U^j locally uniformly converges to U on X_T .

The uniqueness follows from [To19]. □

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