

MARKOV MOVES FOR QUASIPOSITIVE BRAIDS

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Let B_m denotes the group of braids with m strings (m -braids). Recall that it is defined by $\sigma_1, \dots, \sigma_{m-1}$ and relations $[\sigma_j, \sigma_k] = 1$ for $|k - j| > 1$ and $\sigma_j \sigma_k \sigma_j = \sigma_k \sigma_j \sigma_k$ for $|k - j| = 1$. Denote by $e : B_m \rightarrow \mathbf{Z}$ the "exponent sum" homomorphism: $e(\sigma_i) = 1$ for all $i = 1, \dots, m - 1$. An m -braid b is called *quasipositive* (see [5]) if $b = \prod_{j=1}^k a_j \sigma_1 a_j^{-1}$ for some braids $a_j \in B_m$ (recall that all standard generators are conjugated).

One says that $b' \in B_{m+1}$ is obtained from $b \in B_m$ by a *Markov move* if $b' = b\sigma_m^\varepsilon$ for $\varepsilon = \pm 1$ (we identify here B_m with the subgroup of B_{m+1} generated by $\sigma_1, \dots, \sigma_{m-1}$). Say that the Markov move is *positive* if $\varepsilon = 1$ and *negative* otherwise. It follows immediately from the definitions that a braid is quasipositive if it is obtained from a quasipositive braid by a positive Markov move. Here we prove the converse.

Theorem 1. *Let $b' \in B_{m+1}$ be obtained from b by a positive Markov move. If b' is quasipositive then b is also quasipositive.*

This is a pure existence theorem. Our proof is based on Gromov's theory of pseudo holomorphic curves [2] and it is absolutely non-constructive: we do not know an algorithm to find a quasipositive presentation of b starting with a quasipositive presentation of b' .

Remark. If b' is obtained from any braid b by a negative Markov move then b' is never quasipositive. This is an immediate consequence from the following result of Burckel [1] and Laver [3] (a proof was given by Wiest [6]; see also [4]): *any conjugate of a quasipositive braid is positive in Dehornoy right-invariant order.*

Recall that a smooth oriented 2-surface F in a smooth symplectic 4-manifold (X, ω) is called *symplectic* if $j^*(\omega)$ is positive on F where j is the imbedding $F \subset X$. Let (z, w) , $z = x + iy$, $w = u + iv$, $i = \sqrt{-1}$, be coordinates in \mathbf{C}^2 . Denote by ω_0 the standard symplectic form $\omega_0 = dx \wedge dy + du \wedge dv$ on \mathbf{C}^2 .

Lemma. *Let $F \in \mathbf{C}^2$ be the graph of a smooth function $w = f(z) = u(z) + iv(z)$ defined in a domain $D \subset \mathbf{C}$. If $|u'_x|, |u'_y|, |v'_x|, |v'_y| < 1/\sqrt{2}$ then F is symplectic.*

Proof. Let $p(z, w) = z$. Then $((p|_F)^{-1})^*(\omega_0) = (1 + u'_x v'_y - u'_y v'_x) dx \wedge dy$. \square

We shall consider m -braids as isotopy classes of m -valued functions $f : [0, 1] \rightarrow \mathbf{C}$ such that each of $f(0)$ and $f(1)$ is the set of m points with distinct real parts. The generator $\sigma_k \in B_m$ are represented by $t \mapsto \{1, \dots, k-1, k+(1 \pm e^{\pi i t})/2, k+1, \dots, m\}$.

Proof of Theorem 1. Denote by H the conic $w^2 - 2w = z^2$ and let $p = (0, 2) \in H$. Denote by C_∞ the cylinder $\{(z, w) \mid \text{Im } z \geq 0, |z| \leq 3\}$. Let D be the half-disk

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$C_\infty \cap \{w = 0\}$ and $C_p = \{(z, w) \mid \operatorname{Im}(2z/(2-w)) \geq 0, |2z/(2-w)| \leq 3\}$ the cone over D with the vertex p (the union of all complex lines (pp') , $p' \in D$).

H is the graph of the 2-valued function $w = 1 \pm \sqrt{1+z^2}$. It has two points of ramification $z = \pm i$. Let h_- and h_+ be the single-valued branches in the band $\{|\operatorname{Im} z| < 1\}$ such that $h_-(0) = 0$ and $h_+(0) = 2$. Denote also the graphs of h_- and h_+ by H_- and H_+ .

Denote by U the cylinder $\{(z, w) \mid \operatorname{Im} z = 0, |z| \leq 1, |w| \leq 1\}$. Let us fix a geometric realization $B \subset U$ of the braid $\sigma_1^{-1} \sigma_2^{-1} \dots \sigma_{m-1}^{-1} b \sigma_{m-1}^{-1} \dots \sigma_2^{-1} \sigma_1^{-1}$ such that $B \cap (\{\pm 1\} \times \mathbf{C}) = \{\pm 1\} \times (\{-1\} \cup X)$ where X is a finite set $\{x_2, \dots, x_m\} \subset [-1/2, 1/2]$. Thus, the "lower corners" $(-1, -1)$ and $(1, -1)$ belong to B (they correspond to the first string of the braid).

For a real $\varepsilon > 0$, denote by U_ε , and B_ε the images of U , and B under the linear transformation $(z, w) \mapsto (\varepsilon z/2, -h_-(\varepsilon/2)w)$, and let $X_\varepsilon = \{x_{2,\varepsilon}, \dots, x_{m,\varepsilon}\}$ where $x_{j,\varepsilon} = -h_-(\varepsilon/2)x_j$ (so, we place the "lower corners" of U_ε onto the lower branch of H). B_ε is the graph of an m -valued function $w = f(z)$ defined on the segment $[-\varepsilon/2, \varepsilon/2]$. Let us continue f to the rectangle $R = \{|\operatorname{Re} z| \leq \varepsilon, |\operatorname{Im} z| \leq \varepsilon\}$. If $|\operatorname{Re} z| \leq \varepsilon/2$, we put $f(z) = f(\operatorname{Re} z)$. If $|\operatorname{Re} z| \geq \varepsilon/2$, we put $f(z) = \{f_1(z), x_{2,\varepsilon}, \dots, x_{m,\varepsilon}\}$, where $f_1(z) = h_-(z)$ for $\operatorname{Re} z = \pm\varepsilon$, $f_1(z) = h_-(\varepsilon/2)$ for $\operatorname{Re} z = \pm\varepsilon/2$, and f_1 is linear on each segment $[\pm\varepsilon + yi, \pm\varepsilon/2 + yi]$ with $|y| \leq \varepsilon$. For small z , we have $h_-(z) = -z^2 + o(z^2)$. Hence, for $\varepsilon \ll 1$, the branches of f do not meet each other (see Figure 1).

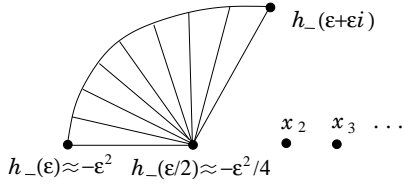


FIGURE 1

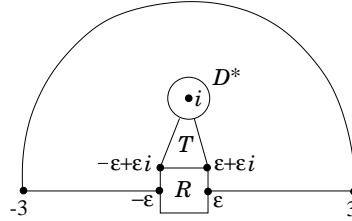


FIGURE 2

When $\varepsilon \rightarrow 0$, the height of U_ε decreases faster than the width. Hence, $\max_{z \in R} |f'(z)| \rightarrow 0$, and one can choose ε so small that the complexifications of real lines passing through p meet the graph of f transversally and cut from it a braid B_ε^p which is equivalent to B . For such ε , the graph of the restriction of f onto the upper side of R is contained in C_p .

Put $L = \mathbf{C} \times X_\varepsilon$ and $\bar{B}_\varepsilon = B_\varepsilon \cup (((H \cup L) \cap \partial C_\infty) \setminus U_\varepsilon)$. In other words, \bar{B} is the graph of the $(m+1)$ -valued function on the closed curve ∂D . On $[-\varepsilon, \varepsilon]$ it is defined as $\{f, h_+\}$; outside $[-\varepsilon, \varepsilon]$, its graph contains the both branches of H and $m-1$ constant branches L . Easy to check (see Figure 3) that the braid representing by \bar{B} is conjugated to $b' = b\sigma_m$.

Now, let us construct a symplectic surface F in $C_\infty \cup C_p$ such that $F \cup \partial C_\infty = \bar{B}$ and $F \cup \partial C_p$ represents b . Define F in $R \times \mathbf{C}$ as the graph of $\{f, h_+\}$. Define F outside $(R \times \mathbf{C}) \cup (C_\infty \cap C_p)$ as $(H \cup L) \setminus ((C_\infty \cap C_p) \cup (R \times \mathbf{C}))$.

The vertical line $\{z = i\}$ is tangent to H at $q = (i, 1)$. Since $q \in \operatorname{Int} C_p$ and $\{i\} \times X_\varepsilon \subset \operatorname{Int} C_p$ for $\varepsilon \ll 1$, we may choose a disk $D^* \subset \mathbf{C}$ centered at i such that $(D^* \times \mathbf{C}) \cap (H \cup L) \subset C_p$.

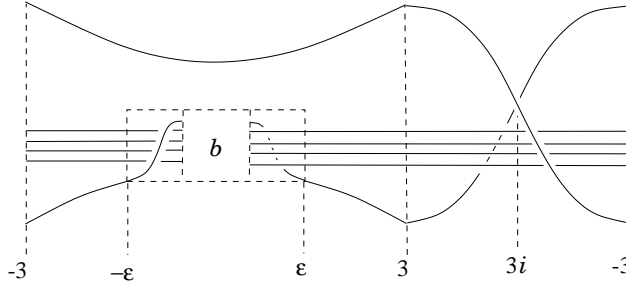


FIGURE 3

Let us extend the surface F into $(D \setminus D^*) \times \mathbf{C}$ so that its projection onto the axis $w = 0$ is an unbranched covering over $D \setminus D^*$ and the part of ∂F which is over ∂D^* is contained in C_p . Let $T \subset \mathbf{C}$ be the triangle with vertices $-\varepsilon + \varepsilon i$, $\varepsilon + \varepsilon i$, i (see Figure 2). Define F outside $(R \cup T \cup D^*) \times \mathbf{C}$ as $(H \cup L) \cap ((D \setminus (R \cup T \cup D^*)) \times \mathbf{C})$. It is an unbranched covering over $D \setminus (R \cup T \cup D^*)$ because $L \cap H \subset R \times \mathbf{C}$.

For $z \in \mathbf{C}$, let $C_p(z) = \{w \mid (z, w) \in C_p\}$ be the fiber of C_p over z . We have

$$\begin{aligned} C_p(z) &= \{w \mid \operatorname{Im} \bar{z}(w - 2) \geq 0, |2z| \leq 3 \cdot |2 - w|\} \\ &= \{w \mid \operatorname{Arg}(w - 2) \in [\theta, \pi + \theta], |w - 2| \geq 2r/3\}, \quad z = re^{i\theta}. \end{aligned}$$

In Figure 4, the domain $C_p(z)$ is shaded. Easy to check that for $z \in T$ we have

$$x_{2,\varepsilon}, \dots, x_{m,\varepsilon}, \operatorname{Re} h_-(z) < 1 < \operatorname{Re} h_+(z) \quad \text{and} \quad h_-(z) \in C_p(z) \quad (1)$$

(the images of T under h_- and h_+ are depicted in Figure 5).

Let $\hat{H}_+ = \{(z, w) \in C_p \mid z \in T, \operatorname{Arg}(w - h_+(z)) = \operatorname{Arg}(z/i)\}$, it is the union of all segments $[(z, h_+(z)), (z, \hat{h}_+(z))]$ where $z \in T$ is such that $h_+(z) \in C_p$ and $\hat{h}_+(z)$ is the intersection of $\partial C_p(z)$ with the line orthogonal to the half-plane containing $C_p(z)$ (see Figure 4).

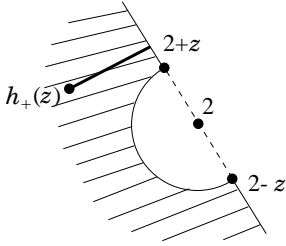


FIGURE 4

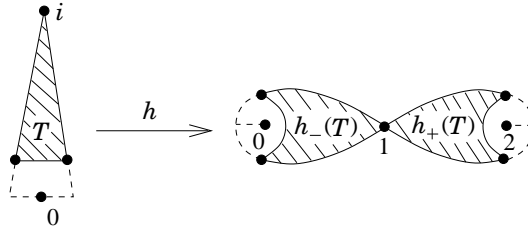


FIGURE 5

Let $E = \operatorname{Int}(C_p \cap ((T \setminus D^*) \times \mathbf{C})) \setminus \hat{H}_+$ and $\operatorname{pr} : E \rightarrow T \setminus D^*$ the projection $(z, w) \mapsto z$. This is a fibration whose fibers are diffeomorphic to \mathbf{R}^2 . The condition (1) assures that the part of $F \setminus H_+$ which is already constructed, defines pairwise non-intersecting sections of pr over $(\partial T) \setminus D^*$. Since the fibration is trivial, they can be extended to pairwise non-intersecting sections over $T \setminus D^*$. Let us define F in $(T \setminus D^*) \times \mathbf{C}$ as the union of the graphs of these sections and $H_+ \cap ((T \setminus D^*) \times \mathbf{C})$.

Thus, F is constructed over $D \setminus D^*$. Let us fill D^* . Since $F \cap ((D \setminus D^*) \times \mathbf{C})$ is unbranched over $D \setminus D^*$, its boundary braid over ∂D^* is b' . Let $\prod_{j=1}^k a_j \sigma_1 a_j^{-1}$ be a quasipositive presentation of b' (with respect to some base point z_0). Choose inside D^* distinct points z_1, \dots, z_k and paths α_j connecting z_0 to some $z'_j \in \partial D_j$ where D_j is a small disk centered at z_j . Define $F_j = F \cap (D_j \times \mathbf{C})$ as the graph of $(m+1)$ -valued function $z \mapsto \{\pm\sqrt{z-z_j}, 2\delta_j, \dots, m\delta_j\}$ where δ_j and the radius of D_j are chosen so small that $F_j \subset C_p$. Note that each $F_j \cap \partial D_j$ represents the braid $\sigma_1 \in B_{m+1}$.

Let us define the sets $A_j = F \cup (\alpha_j \times \mathbf{C})$ so that they geometrically represent the braids a_j and define the part of F over $D^* \setminus \bigcup (D_j \cup \alpha_j)$ as the graph of an isotopy between b' and $\prod_{j=1}^k a_j \sigma_1 a_j^{-1}$. Since the both braids are inside C_p , and $\text{pr} : C_p \cap (D^* \times \mathbf{C}) \rightarrow D^*$ is a trivial fibration by disks (see Figure 4), the isotopy can be chosen also inside C_p .

Let $z_* = 2z/(2-w)$, $w_* = w/(2-w)$ be the affine coordinates in \mathbf{CP}^2 where C_p is the cylinder $\{(z_*, w_*) \mid \text{Im } z_* \geq 0, |z_*| \leq 3\}$ over D . Then F defines an m -valued function $w_*(z_*)$ on ∂D . The corresponding braid is b . Indeed, the branches of $F \cap \partial C_p$ close to ∂D are isotopic to those of $F \cap \partial C_\infty$, and H becomes a parabola $w_* = -z_*^2/4$, hence, the braid looks as in Figure 6.

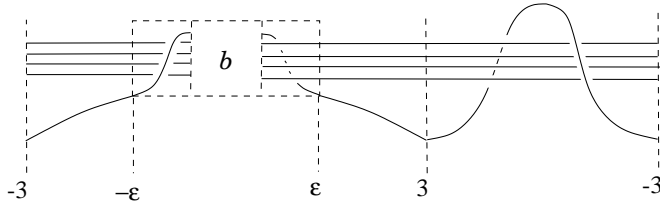


FIGURE 6

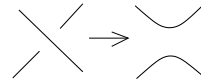


FIGURE 7

Let us describe what happens with the braid when the projection point p_t moves continuously from $(0 : 1 : 0)$ to p along the segment $z = \text{Re } w = 0$, $\text{Im } w \geq 2$ (the segment $z_* = \text{Re } w_* = 0$, $\text{Im } z_* \leq 1$ in coordinates (z_*, w_*)). The two branches of H over the point $q_0 = (3i, 0)$ approaches to each other and at the moment when the line (p_t, q_0) is tangent to H , they bifurcate as in Figure 7. After the bifurcation, σ_m disappears and the $(m+1)$ -th string turns into a circle non-linked with the rest of the braid. Then this circle mounts and goes away to infinity.

Let us smooth the constructed surface F in small neighbourhoods of its non-smooth points. The surface $F \subset (C_\infty \cap C_p)$ contains a complex analytic part F_{an} such that $F \setminus F_{an}$ is compact and unbranched with respect to the projection $(z, w) \mapsto z$. Hence, $A(F)$ is symplectic by Lemma where $A(z, w) = (z, aw)$, $0 < a \ll 1$, and $B(F)$ is symplectic with respect to Fubini-Study symplectic form ω_{FS} on \mathbf{CP}^2 where $B(z, w) = (bz, aw)$, $0 < a \ll b \ll 1$ (we suppose that \mathbf{C}^2 is embedded to \mathbf{CP}^2 by $(z, w) \mapsto (z : w : 1)$). Thus, the closure of F in \mathbf{CP}^2 is symplectic with respect to $\omega = B^*(\omega_{FS})$.

Let us choose an almost complex structure J , tamed by ω (see [2]), such that F and all lines (pp') for $p' \in \partial D$ are J -holomorphic. This is possible because all these lines meet F transversally and the intersections are positive. By the results of Gromov [2], $C_p \setminus \{p\}$ is fibered over D by J -holomorphic lines passing through

p . Since F is J -holomorphic, its projection onto D along the fibers is a branched covering which has only positive ramifications. Hence, b is quasipositive. Theorem 1 is proven.

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