



## Sliding Hump Technique and Spaces with the Wilansky Property

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## SLIDING HUMP TECHNIQUE AND SPACES WITH THE WILANSKY PROPERTY

DOMINIKUS NOLL AND WOLFGANG STADLER

(Communicated by John B. Conway)

**ABSTRACT.** We prove that if  $E$  is a  $BK$ - $AK$ -space whose dual  $E'$  as well is  $BK$ - $AK$ , then  $\sigma(E', F)$  and  $\sigma(E', \overline{F})$  have the same convergent sequences whenever  $F$  is a subspace of  $E''$  containing  $\Phi$  and satisfying  $F^\beta = E^\beta$ . This extends a result due to Bennett [B<sub>2</sub>] and the second author [S]. We provide new examples of  $BK$ -spaces having the Wilansky property. We show that the bidual  $E''$  of a solid  $BK$ - $AK$ -space  $E$  whose dual as well is  $BK$ - $AK$  satisfies a separable version of the Wilansky property. This extends a theorem of Bennett and Kalton, who proved that  $l^\infty$  has the separable Wilansky property.

### INTRODUCTION

G. Bennett [B<sub>2</sub>] and the second author [S] have independently obtained a positive answer to the following question of Wilansky: Is  $c_0$  the only  $FK$ -space, densely containing  $\Phi$ , whose  $\beta$ -dual is  $l^1$ ? Both approaches are essentially based on a characterization of the barrelledness of certain sequence spaces by means of their  $\beta$ -duals. In the present paper we extend the Bennett/Stadler result, providing more examples of  $BK$ -spaces having the Wilansky property (in the sense introduced in [B<sub>2</sub>]).

Let us explain the situation by considering a typical example. The classical sliding hump argument (Toeplitz/Schur) asserts that  $\sigma(l^1, c_0)$ -bounded sets are  $\|\cdot\|_1$ -bounded. The Bennett/Stadler result generalizes this to the extent that still  $\sigma(l^1, E)$ -bounded sets are  $\|\cdot\|_1$ -bounded, when  $\Phi \subset E \subset c_0$  and  $E^\beta = l^1$ . The latter may be expressed equivalently by saying that every subspace  $E$  of  $c_0$  containing  $\Phi$  and having  $E^\beta = l^1$  is barrelled. Finally, our present attempt shows that  $\sigma(l^1, E)$ -bounded sets are  $\|\cdot\|_1$ -bounded when  $\Phi \subset E \subset l^\infty$  and  $E^\beta = l^1$ . Actually, we prove a little more. We show that  $\sigma(l^1, E)$  and  $\sigma(l^1, \overline{E})$  have the same convergent sequences in case  $\Phi \subset E \subset l^\infty$  and  $E^\beta = l^1$ . This extension requires a modified technique, since both the approaches in [B<sub>2</sub>] and

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[S] make use of the sectional convergence in  $E$  (when  $E \subset c_0$ ), and the latter is no longer available (when  $E \subset l^\infty$ ).

We obtain new classes of  $BK$ -spaces having the Wilansky property. For instance, we prove that every  $BK$ - $AK$ -space  $E$ , such that  $S(E')$  is separably complemented in  $E'$ , has the Wilansky property. Here  $S(E')$  denotes the space of all  $y \in E'$  which have sectional convergence with respect to the norm.

We prove that the bidual  $E''$  of a solid  $BK$ - $AK$ -space  $E$  whose dual  $E'$  is as well  $BK$ - $AK$  has the following separable Wilansky type property: If  $D$  is a norm dense subspace of  $E''$  containing  $\Phi$  and having  $D^\beta = E^\beta (= E')$ , then every separable  $FK$ -space  $F$  containing  $D$  must actually contain  $E''$ . When applied to the case  $E = c_0$ , this provides a result of Bennett and Kalton [BK<sub>1</sub>, W, p. 259].

*Notation.* The sections of a sequence  $x \in \omega$  are denoted by

$$P_n x = \sum_{i=1}^n x_i e^i,$$

where  $e^i$  are the unit vectors. If  $P_n x \rightarrow x$  ( $n \rightarrow \infty$ ), then  $x$  is said to have sectional convergence. If  $E$  is a  $BK$ -space, then  $S(E)$  denotes the space of all  $x \in E$  having sectional convergence with respect to the norm on  $E$ .

Concerning all other notions from sequence space theory, we refer to the book [W].

#### THE MAIN THEOREM

This section presents our fundamental result.

**Theorem 1.** *Let  $E$  be a  $BK$ - $AK$ -space such that  $S(E')$  is complemented in  $E'$  with separable complement  $L$ . Let  $M = S(E')^\perp$  be the annihilator of  $S(E')$  in  $E''$ . Let  $F$  be any subspace of  $E^{\beta\beta}$  containing  $\Phi$  and suppose  $F^\beta = E^\beta (= E')$ . Then  $\sigma(E', F + M)$  and  $\sigma(E', \bar{F} + M)$  have the same convergent sequences.*

*Proof.* We need some preparations. We may assume that  $E$  has a monotone norm (see [W, p. 104]). Let  $p_1: E' \rightarrow S(E')$ ,  $p_2: E' \rightarrow L$  be the projection operators corresponding with the decomposition  $E' = S(E') \oplus L$ . Notice that  $E'' = S(E')^\perp \oplus L^\perp$ ,  $L' = S(E')^\perp = M$ . We define norm continuous linear operators  $Q_n: E' \rightarrow E'$ ,  $n \in \mathbf{N}$ , by

$$Q_n y = P_n \circ p_1 y + p_2 y.$$

Then we have

$$\|y - Q_n y\| = \|p_1 y - P_n \circ p_1 y\| \rightarrow 0.$$

We have to prove that  $\sigma(E', F + M)$ -convergent sequences are  $\sigma(E', \bar{F} + M)$ -convergent. To this end, it suffices to prove that every  $\sigma(E', F + M)$ -null

sequence is bounded in norm. Indeed, suppose this has been proved for a  $\sigma(E', F + M)$ -null sequence  $(y^n)$ ,  $\|y^n\| \leq K$ , say. Then, for  $\bar{x} \in \bar{F}$  fixed and  $\varepsilon > 0$  choose  $x \in F$  having  $\|x - \bar{x}\| < \varepsilon/K$ . Then

$$|\langle \bar{x}, y^n \rangle| \leq K\|x - \bar{x}\| + |\langle x, y^n \rangle| < \varepsilon$$

for  $n \geq n(\varepsilon)$ .

Let  $(y^n)$  be a  $\sigma(E', F + M)$ -null sequence and assume it is not bounded in norm,  $\|y^n\| \geq n2^n$ , say. Let  $v^n = y^n/n$ .

I. There exist strictly increasing sequences  $(k_j)$ ,  $(n_j)$  of integers such that the following conditions (1) and (2) are satisfied:

$$(1) \|Q_{k_{j-1}} v^{n_j}\| \leq 2^{-j}, \quad j = 1, 2, \dots,$$

$$(2) \|v^{n_j} - Q_{k_j} v^{n_j}\| \leq 2^{-j}, \quad j = 1, 2, \dots$$

Suppose  $k_1, \dots, k_j$  and  $n_1, \dots, n_j$  have already been defined in accordance with (1) and (2). We claim that  $\|Q_{k_j} v^n\| \rightarrow 0$  ( $n \rightarrow \infty$ ). Since  $(y^n)$  is  $\sigma(E', F + M)$ -null,  $(p_2 y^n)$  is bounded for  $\sigma(L, M)$ , hence is norm bounded, hence  $\|p_2 v^n\| \rightarrow 0$ . On the other hand,  $y^n = p_1 y^n + p_2 y^n$  implies that  $(p_1 y^n)$  is  $\sigma(E', F + M)$ -bounded, hence  $(p_1 v^n)$  is  $\sigma(E', F + M)$ -null, hence is coordinatewise null in view of  $\Phi \subset F$ . Clearly this implies  $\|P_{k_j} p_1 v^n\| \rightarrow 0$ , proving our claim. But now it is clear that a choice of  $n_{j+1} > n_j$  satisfying (1) is possible.

Next observe that  $\|v^{n_{j+1}} - Q_{k_j} v^{n_{j+1}}\| \rightarrow 0$  ( $k \rightarrow \infty$ ). This permits a choice of  $k_{j+1} > k_j$  in accordance with (2).

II. Let  $z^j = Q_{k_j} v^{n_j} - Q_{k_{j-1}} v^{n_j} = P_{k_j} p_1 v^{n_j} - P_{k_{j-1}} p_1 v^{n_j}$ , and let  $\alpha_j = 1/\|z^j\|$ . Then  $(\alpha_j)$  is an  $l^1$ -sequence by (1), (2). Observe that  $\alpha_j z^j \rightarrow 0$  with respect to  $\sigma(E', F + M)$ , but  $\|\alpha_j z^j\| = 1$ . Therefore, a result of Pelczyński [P] guarantees the existence of a basic subsequence  $(\alpha_{j_i} z^{j_i})$  of  $(\alpha_j z^j)$ . To simplify the reasoning in the following, we assume that  $(\alpha_j z^j)$  itself is a basic sequence in  $E'$ .

III. We claim the existence of a null sequence  $(\lambda_j)$  such that the sequence  $z$ , defined by

$$(*) \quad z_k = \lambda_j \alpha_j z_k^j \quad \text{for } k_{j-1} < k \leq k_j,$$

is not an element of  $S(E')$ .

Let  $G$  denote the subspace of  $E'$  consisting of all sequences

$$z = \sum_{j=1}^{\infty} \lambda_j \alpha_j z^j,$$

where  $(\lambda_j)$  is in  $c_0$  and the series converges in norm. Define a linear operator  $\varphi: G \rightarrow c_0$  by setting

$$\varphi(z) = \varphi \left( \sum_{j=1}^{\infty} \lambda_j \alpha_j z^j \right) = (\lambda_j).$$

$\varphi$  is well defined since  $(\alpha_j z^j)$  is a basic sequence by assumption. We prove that  $\varphi$  is continuous. Let  $z \in G$ ,  $z = \sum \lambda_j \alpha_j z^j$ . Then

$$\begin{aligned} |\lambda_j| &= \|\lambda_j \alpha_j z^j\| = \left\| \sum_{i=1}^j \lambda_i \alpha_i z^i - \sum_{i=1}^{j-1} \lambda_i \alpha_i z^i \right\| \\ &= \|P_{k_j} z - P_{k_{j-1}} z\| \leq 2\|z\|, \end{aligned}$$

the latter in view of the monotonicity of the norm on  $E$  (and thus on  $E'$ ). This proves that  $\varphi$  is continuous.

Let  $\overline{G}$  be the norm closure of  $G$  in  $E'$ . Then  $\varphi$  extends to a continuous, linear operator  $\overline{\varphi}: \overline{G} \rightarrow c_0$ . Let  $z \in \overline{G}$ , then  $z = \sum \lambda_j \alpha_j z^j$  for some sequence  $(\lambda_j)$ , since  $(\alpha_j z^j)$  is a basic sequence. But notice that  $\overline{\varphi}(z) = (\lambda_j)$  by a  $K$ -space argument. So actually  $(\lambda_j)$  is in  $c_0$ , hence  $z \in G$ , proving  $G = \overline{G}$ .

Notice that  $\varphi$  is a continuous injection. This proves that  $\varphi$  is not surjective. For supposing it were, it would be a homeomorphism by the open mapping theorem, i.e. we would have  $G \approx c_0$ . But this is absurd, since no separable dual space may contain a copy of  $c_0$ . So  $\varphi$  is not surjective. Let  $(\lambda_j)$  be any null sequence which is not in the range of  $\varphi$ . We prove that  $z$ , defined by (\*), is not in  $S(E')$ . Indeed,  $z \in S(E')$  would imply  $\|z - P_{k_j} z\| \rightarrow 0$  ( $j \rightarrow \infty$ ). But note that

$$P_{k_j} z = \sum_{i=1}^j \lambda_i \alpha_i z^i,$$

hence  $z$  would be in  $G$ , which was excluded. This ends step III.

IV. We prove that  $(P_k z)$  is  $\sigma(E', F + M)$ -convergent with limit  $z$ . Indeed, let  $x \in F + M$ ,  $k \in \mathbb{N}$ ,  $k_{j-1} < k \leq k_j$ . Then we have

$$\langle x, P_k z \rangle = \sum_{i=1}^{j-1} \lambda_i \alpha_i \langle x, z^i \rangle + \lambda_j \alpha_j \langle x, P_k z^j \rangle.$$

Here the first summand converges ( $k \rightarrow \infty, k_{j-1} < k \leq k_j$ ) since  $\langle x, z^i \rangle \rightarrow 0$  and  $(\alpha_j) \in l^1$ . But the second summand converges as well in view of  $\lambda_j \rightarrow 0$  ( $k \rightarrow \infty, k_{j-1} < k \leq k_j$ ) and

$$|\alpha_j \langle x, P_k z^j \rangle| = |\langle P_k x, \alpha_j z^j \rangle| \leq \|P_k x\| \|\alpha_j z^j\| \leq \|x\|.$$

In view of  $F^\beta = E'$  this implies  $z \in E'$  and so  $P_k z \rightarrow z$  in  $\sigma(E', F + M)$ .

Now observe that the operators  $Q_r$  are  $\sigma(E', F+M)$ -continuous, so  $Q_r(P_k z) \rightarrow Q_r z$  ( $k \rightarrow \infty$ ), proving  $P_r z = Q_r z$ , hence  $z \in S(E')$ . But this contradicts step III and therefore ends the proof.  $\square$

In the case where  $S(E') = E'$ , i.e. when  $E'$  has sectional convergence, the proof may be simplified. Here we have  $M = \{0\}$ ,  $Q_n = P_n$ . This yields the following.

**Corollary 1.** *Let  $E$  be a BK-AK-space such that  $E'$  is as well BK-AK. Let  $F$  be a subspace of  $E''$  containing  $\Phi$  and satisfying  $F^\beta = E^\beta$  ( $= E'$ ). Then  $\sigma(E', F)$  and  $\sigma(E', \overline{F})$  have the same convergent sequences.  $\square$*

SPACES WITH THE WILANSKY PROPERTY

An FK-space  $E$  is said to have the Wilansky property if every subspace  $F$  of  $E$  satisfying  $F^\beta = E^\beta$  is barrelled in  $E$  (see [B<sub>2</sub>]). In [B<sub>2</sub>] and [S] it is proved that every BK-AK-space  $E$  whose dual  $E'$  is as well a BK-AK-space has the Wilansky property. Here we obtain:

**Theorem 2.** *Let  $E$  be a BK-AK-space such that  $S(E')$  has a separable complement  $L$  in  $E'$ . Let  $G$  be any FK-space having  $E \subset G \subset E^{\beta\beta}$ . Then  $G$  has the Wilansky property if and only if  $E$  is of finite codimension in  $G$ .*

*Proof. Necessity.* Suppose  $E$  is of infinite codimension in  $G$ . Let  $(y^n)$  be a linearly independent sequence in  $G \setminus E$ . Since  $E^\beta = G^\beta$ ,  $E$  is barrelled as a subspace of  $G$ , hence is closed in  $G$ . But now  $F = E + \text{lin}\{y^n: n \in \mathbb{N}\}$  is a subspace of  $G$  having  $F^\beta = G^\beta$  which is not barrelled. Indeed, we may define a sequence  $(f_n)$  in  $G'$  such that  $f_n$  is 0 on  $E + \text{lin}\{y^1, \dots, y^{n-1}\}$  and satisfies  $f_n(y^n) = n|y^n|$  (for some continuous seminorm  $|\cdot|$  on  $G$ ). Then  $f_n \rightarrow 0$ ,  $\sigma(G', F)$ , but  $(f_n)$  is not bounded in  $G'$ .

*Sufficiency.* Let  $F$  be a subspace of  $G$  with  $F^\beta = G^\beta$ . We may assume that  $F$  contains  $\Phi$  (see [B<sub>2</sub>, Theorem 1]).

Let  $U$  be a barrel in  $F$ . Since  $M \cap E = \{0\}$ ,  $M = S(E')^\perp$ , the space  $F \cap M$  is finite dimensional. Let  $S$  be some topological complement of  $F \cap M$  in  $M$ . Let  $B$  denote the unit ball in  $S$ . Note that  $B$  is  $\sigma(E'', E')$ -compact, since the unit ball in  $M \approx L'$  is weak \* compact and  $S$  is of finite codimension in  $M$ . Now let  $V = U + B$ . Then  $V^0$ , the  $\langle E'', E' \rangle$ -polar of  $V$ , is  $\sigma(E', F+M)$ -bounded, since  $V$  spans  $F+M$ . By Theorem 1,  $\sigma(E', F+M)$ -bounded sets are norm bounded in  $E'$ , so that  $V^0$  is norm bounded in  $E'$ . Hence  $V^{00}$  is a norm neighbourhood of 0 in  $E''$ , hence  $V^{00} \cap F$  is a norm neighbourhood of 0 in  $F$ , since  $G$  (and hence  $F$ ) must have the topology induced by  $E''$ . We end the proof by showing  $V^{00} \cap F \subset U$ . By the definition of  $V$ , we have  $V^{00} = \overline{U} + B$ , the closure being taken in  $\sigma(E'', E')$ , since  $B$  is  $\sigma(E'', E')$ -compact. But  $V^{00} \cap F = \overline{U} \cap F$  in view of  $B \cap F = \{0\}$ . Since  $F$  has only finitely many dimensions "outside  $E$ ", we deduce that  $\overline{U} \cap F = U$ , which ends the proof of Theorem 2.  $\square$

**Corollary 2.** [B<sub>2</sub>, § 6].  $c$  and  $cs$  have the Wilansky property.  $\square$

More generally, a  $BK$ - $AK$ -space  $E$  has the Wilansky property if  $S(E')$  is of finite codimension in  $E'$ , and the same is true for any  $G$  having  $E \subset G \subset E^{\beta\beta}$  such that  $E$  is of finite codimension in  $G$ . In a forthcoming paper [NS], we use this fact to prove that for every invertible permanent triangular matrix  $A$  whose inverse  $A^{-1}$  is a bidiagonal matrix, the convergence domain  $c_A$  has the Wilansky property.

*Remark.* In Theorems 1,2, the assumption that  $E$  has separable dual may be replaced by any condition ensuring that  $c_0$  does not embed into  $E'$ . See for instance [Kw].

#### SEPARABLE WILANSKY PROPERTY

It is clear from Theorem 2 that the bidual  $E''$  of a  $BK$ - $AK$ -space  $E$  whose dual  $E'$  is as well  $BK$ - $AK$  does not have the Wilansky property unless  $E$  has finite codimension in  $E''$ . Nevertheless, the bidual space  $E''$  satisfies some weaker Wilansky type property, which might be called the separable Wilansky property.

**Theorem 3.** Let  $E$  be a solid  $BK$ - $AK$ -space whose dual  $E'$  is as well  $BK$ - $AK$ . Let  $D$  be a norm dense subspace of  $E''$  containing  $\Phi$  and satisfying  $D^\beta = E^\beta (= E')$ . Then every separable  $FK$ -space  $F$  which contains  $D$ , actually contains  $E''$ .

*Proof.* Let  $x \in E''$  be fixed. Since  $D$  is a norm dense in  $E''$ , it is also  $\tau(E'', E')$ -sequentially dense in  $E''$ , i.e. there exists a sequence  $(x^n)$  in  $D$  which converges to  $x$  in  $\tau(E'', E')$ . We claim that  $\tau(E'', E')|_D = \tau(D, E')$ .

Indeed, by Theorem 1,  $\sigma(E', D)$  and  $\sigma(E', E'')$  have the same convergent sequences, hence the same compact sets [W, p. 252]. This implies  $\tau(E'', E')|_D = \tau(D, E')$ .

Consequently, the sequence  $(x^n)$  is Cauchy in  $(D, \tau(D, E'))$ . We prove that the inclusion mapping  $i: (D, \tau(D, E')) \rightarrow F$  is continuous. This is a consequence of Kalton's closed graph theorem (see [BK<sub>2</sub>, Theorem 5]), for  $\sigma(E', D)$  is sequentially complete. Indeed, since  $\sigma(E', D)$  and  $\sigma(E', E'')$  have the same convergent sequences, they also have the same Cauchy sequences. But  $\sigma(E', E'')$  is sequentially complete as a consequence of the fact that  $E$ , and hence  $E' = E^\alpha$ , is solid. This proves that  $\sigma(E', D)$  is sequentially complete.

Since  $i: (D, \tau(D, D^\beta)) \rightarrow F$  is continuous, the sequence  $(x^n)$  is Cauchy in  $F$ , and hence converges to some  $\bar{x} \in F$ . From  $K$ -space reasons, we have  $x = \bar{x}$ , proving  $x \in F$ .  $\square$

Certainly, in Theorem 3, the solidity of the space  $E$  may be replaced by the condition that  $\sigma(E', E'')$  is sequentially complete.

**Corollary 3.** (Compare [BK<sub>1</sub>, Theorem 3].) Let  $F$  be a separable  $FK$ -space containing  $\Phi$  and suppose  $F \cap l^\infty$  is norm dense in  $l^\infty$ . Then  $l^\infty \subset F$ .

*Proof.* This follows from Theorem 3 and the fact that every norm dense subspace  $D$  of  $l^\infty$  satisfies  $D^\beta = l^1$  (see [W, Lemma 16.3.3]).  $\square$

The result of Bennett and Kalton has been generalized by Snyder [Sn] to a nonseparable version. He proves that every  $FK$ -space  $F$  containing  $\Phi$  and satisfying  $F + c_0 = l^\infty$  must have  $F = l^\infty$ .

#### SCARCE COPIES

The concept of scarce copies of sequence spaces has been introduced by Bennett [B<sub>1</sub>]. He proves that every scarce copy of  $\omega$  and  $l^1$  is barrelled, but that all other standard sequence spaces do not have this property. For instance,  $c_0$  does not have any barrelled scarce copy at all (see [B<sub>1</sub>] for details). Here we obtain another negative result on the barrelledness of scarce copies.

**Theorem 4.** *Let  $E$  be a  $FK$ - $AB$ -space contained in  $l^\infty$  such that  $E^\gamma \subset bs$ . Then  $E$  does not have any barrelled scarce copy.*

*Proof.* Suppose  $\Sigma(E, r)$  is a barrelled scarce copy of  $E$ . This implies  $\Sigma(E, r)^\beta \subset E^f = E^\gamma$ , the latter since  $E$  has  $AB$  (see [W, p. 167]). Therefore  $\Sigma(E, r)^\beta \subset bs$ .

We prove that  $\Sigma(c_0, r)$  is a barrelled scarce copy of  $c_0$ , thus obtaining a contradiction, since  $c_0$  has no barrelled scarce copies. Since  $c_0$  has the Wilansky property, barrelledness of  $\Sigma(c_0, r)$  will be a consequence of  $\Sigma(c_0, r)^\beta \subset l^1$ . So let  $y \notin l^1$ . Since  $c_0^\gamma = l^1$ , there exists  $x \in c_0$  such that  $xy \notin bs$ , hence  $xy \notin \Sigma(E, r)^\beta$ . Let  $z \in \Sigma(E, r)$  be chosen with  $xyz \notin cs$ . By the definition of  $\Sigma(E, r)$ , there exist  $z^1, \dots, z^n \in \sigma(E, r)$  having  $z = z^1 + \dots + z^n$ . This implies  $xyz^i \notin cs$  for some  $i$ . We claim that  $xz^i \in \sigma(c_0, r) \subset \Sigma(c_0, r)$ . Since  $z^i \in E \subset l^\infty$ , we have  $xz^i \in c_0$ . On the other hand,

$$c_n(xz^i) \leq c_n(z^i) \leq r_n$$

for every  $n$  implies  $xz^i \in \sigma(c_0, r)$ . This proves  $y \notin \Sigma(c_0, r)^\beta$ .  $\square$

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## References

### <sup>Sn</sup> **A Property of the Embedding of $c_0$ in $l_1$**

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