

THE SOLAR JULIA SETS OF BASIC QUADRATIC CREMER POLYNOMIALS

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ABSTRACT. In general, little is known about the exact topological structure of Julia sets containing a Cremer point. In this paper we show that there exist quadratic Cremer Julia sets of positive area such that for a full Lebesgue measure set of angles the impressions are degenerate, the Julia set is connected in kleinen at the landing points of these rays, and these points are contained in no other impression.

1. INTRODUCTION

A long outstanding problem of whether polynomial Julia sets of positive area exist has recently been solved in [BC06]. In that paper the authors implemented a program initiated by A. Douady and showed that there exist quadratic polynomials of various types all of which have Julia sets of positive area. In particular, there exist quadratic polynomials with a fixed Cremer point whose Julia set has positive area. The topological structure of Julia sets containing a Cremer point (including the above mentioned ones of positive area) has remained elusive. In the present paper we want to shed some light upon this problem.

Let us first discuss the topological structure of connected polynomial Julia sets in general. The best case scenario is the case when J is locally connected. Then J is homeomorphic to the quotient space $S^1 / \sim = J_{\sim}$ of the unit circle with respect to a specific equivalence relation \sim called an *invariant lamination*. Moreover, the factor map in this case conjugates the polynomial to the map f_{\sim} induced on J_{\sim} by the map $z^d : S^1 \rightarrow S^1$ with d being the degree of the polynomial. Spaces like J_{\sim} are called below *topological Julia sets* while the induced maps f_{\sim} on J_{\sim} are called *topological polynomials*. Thus, in the locally connected case

Date: June 11, 2007.

2000 Mathematics Subject Classification. Primary 37F10; Secondary 37B45, 37C25.

Key words and phrases. Complex dynamics; Julia set; Cremer fixed point.

The first author was partially supported by NSF grant DMS-0456748.

The fourth author was partially supported by NSF grant DMS-0405774.

topological polynomials are good (one-to-one) models for true complex polynomials on their Julia sets.

The picture is more complicated when J is not locally connected. Still even in that case recently developed tools allow one to use topological polynomials as models (albeit not as good as above). Given a polynomial P , denote by J_P its Julia set. Call irrational neutral periodic points *CS-points*; a CS-point p is said to be a *Cremer point* if the power of the map which fixes p is not linearizable in a small neighborhood of p . Suppose that P is a polynomial with connected Julia set and no CS-points. In his fundamental paper [K04] Jan Kiwi introduced for P an invariant lamination \sim_P on S^1 such that $P|_{J_P}$ is semi-conjugate to the induced map $f_{\sim_P} : J_{\sim_P} \rightarrow J_{\sim_P}$ by a monotone map $m : J_P \rightarrow J_{\sim_P}$ (by *monotone* we mean a continuous map whose point preimages are connected). Then J_{\sim_P} is a locally connected model for J , and $P|_J$ is monotonically semiconjugate to f_{\sim_P} . In addition Kiwi proved in [K04] that at all periodic points p of P in J_P the set J_P is locally connected at p and $m^{-1} \circ m(p) = \{p\}$.

However in some cases the entire approach which uses modeling of the Julia set by means of a monotone map onto a locally connected continuum breaks down. From now on we will consider only quadratic polynomials; the critical point will be always denoted by c . A quadratic polynomial P with a *Cremer fixed point* (i.e. with a neutral non-linearizable fixed point $p \in J$ such that $P'(p) = e^{2\pi i\alpha}$ with α irrational) is said to be a *basic Cremer polynomial*, and its Julia set is called a *basic Cremer Julia set* (in this case we always denote the Cremer fixed point of P by p). The main result of a recent preprint [BO06b] is that if P is a basic Cremer polynomial then any monotone map $m : J_P \rightarrow A$ with $m(J_P)$ locally connected must collapse all of J_P to a point. Hence studying the topology of basic Cremer Julia sets requires new tools (see, e.g., the fundamental papers [Per94] and [Per97] by Perez-Marco); some tools here are provided by continuum theory and developed in [GMO99] (in which results of [Yoc95] were used) and further in [BO06a]. Before introducing them let us have an overview of a few known results.

By Sullivan [Sul83], a basic Cremer Julia set J is not locally connected. Moreover, by Kiwi [K00] the critical point c is not accessible. Still, there are points in J at which rays are landing (e.g., repelling periodic points [DH85]), so it makes sense to study in more detail the pattern in which such landing can occur. In this respect the following important question is due to C. McMullen [McM94]: can a basic Cremer Julia set contain any points at which at least two rays are landing (so-called *biaccessible points*)? This question was partially answered by Schleicher and Zakeri in [SZ99, Theorem 3] (see also [Zak00, Theorem

3]) where they show that *if* a basic Cremer Julia set contains a biaccessible point then this point eventually maps to the Cremer point. In [BO06a] it is shown that if a basic Cremer Julia set has a biaccessible point then it is a *solar* Julia set as described in Theorem 1.1. However it is still unknown if there exist basic Cremer Julia sets with biaccessible points. Another paper studying the topology of basic Cremer Julia sets is that of Sørensen [Sor98]. In that paper the author constructs basic Cremer polynomials with external rays which accumulate on both the Cremer point and its preimage and thus gives examples of basic Cremer polynomials whose Julia sets have very interesting topological properties.

Now we would like to state the results of [BO06a]. If P is a basic Cremer polynomial then by [Mn93] $p \in \omega(c)$ (see also [Per97] and [C05, Theorem 1.3]). Following Kiwi [K04] we say that two angles θ, γ are *K-equivalent* if there are angles $x_0 = \theta, \dots, x_n = \gamma$ such that the impressions of x_{i-1} and x_i are non-disjoint for $1 \leq i \leq n$; a class of K-equivalence is called a *K-class*, and an angle whose impression is disjoint from all other impressions is said to be *K-separate*. A continuum X is *connected im kleinen at a point* x provided for each open set U containing x there exists a connected set $C \subset U$ such that x is in the interior of C (relative to X). A continuum X is *locally connected at a point* x provided there exists a basis of open connected neighborhoods at x . Observe that sometimes different terminology is used. For example, in Milnor's book [Mil00, p. 168] the property of local connectivity is called "open local connectivity" while to the property of being connected im kleinen at a point Milnor refers to as the property of being "locally connected at a point". On the other hand, in the textbook by Munkres [Mun00, p. 162] connected im kleinen is called "weakly locally connected". Using our terminology, if a space is locally connected at x , then it is connected im kleinen at x . It is well known that if a continuum is connected im kleinen at each point, then it is locally connected (see, e.g., [Mun00, p. 162, Ex. 6]). However, a continuum can be connected im kleinen at a point without being locally connected at this point (as an example one can consider the so-called *infinite broom*, see [Mun00, p. 162, Ex. 7]).

The main result of [BO06a] is the following theorem (by a *degenerate* impression we mean an impression consisting of one point).

Theorem 1.1. *Let P be a basic Cremer polynomial. Then its Julia set J must be one of the following two types.*

Solar Julia set: *J has the following equivalent properties:*

- (1) *there is an impression not containing the Cremer point;*

- (2) *there is a degenerate impression;*
- (3) *the set Y of all K -separate angles with degenerate impressions contains all angles with dense orbits (Y contains a full Lebesgue measure G_δ -set dense in S^1) and a dense in S^1 set of periodic angles, and the Julia set J is connected im kleinen at the landing points of these rays;*
- (4) *there is a point at which the Julia set is connected im kleinen;*
- (5) *not all angles are K -equivalent.*

Red dwarf Julia set: *J has the following equivalent properties:*

- (1) *All impressions are non-degenerate.*
- (2) *The intersection of all impressions is a non-degenerate subcontinuum of J containing the Cremer point and the limit set of the critical point.*
- (3) *J is nowhere connected im kleinen.*

The main aim of this paper is to prove the following theorem.

Theorem 1.2. *There exist basic Cremer polynomials with solar Julia sets of positive area.*

We prove Theorem 1.2 combining results from [BC06] and [BO06a]. An interesting remaining problem then is that of the existence of red dwarf Julia sets. It is related to a well-known problem concerning the existence of *indecomposable Julia sets* (a continuum is *indecomposable* if it cannot be represented as the union of two proper subcontinua). It is known that if the Julia set is indecomposable then every impression coincides with the entire Julia set (see, e.g., [MR93] and [CMR05]).

2. PRELIMINARIES

2.1. General facts and notation. In what follows we use standard tools of Carathéodory theory. An *unshielded* continuum $X \subset \mathbb{C}$ is a continuum which coincides with the boundary of the infinite complementary component U of X . Given an unshielded continuum X let ϕ be the normalized Riemann map from the unit disk \mathbb{D} onto U . The *external ray* R_α is the image of the radius of \mathbb{D} corresponding to the *external angle* α . The *impression* $Imp(\alpha)$ of R_α is defined (see, e.g., [Pom92]) as the set of all limit points of sequences $\phi(x_i)$ taken over all sequences $x_i \rightarrow e^{2\pi i\alpha}$, $x_i \in \mathbb{D}$ (if we do not want to specify the angle we will omit it from the notation). By a *component* of a set we always mean a *connected component* of this set, and by a *non-separating planar set* we mean a set whose complement in the plane is connected.

Also, given sets A, B such that $A \cap B$ is a singleton, we shall say that A is *attached* to B .

Given a polynomial $f : \mathbb{C} \rightarrow \mathbb{C}$ with non-separating Julia set $J(P)$ and a continuum $K \subset J(P)$, a set K'' is said to be a K -pullback (of order n by f) if K'' is a component of $f^{-n}(K)$. Clearly, any K -pullback K'' maps onto K as a branched covering map. For us the most interesting is the case of quadratic polynomials with non-separating Julia sets and subcontinua K of the Julia set. Then if K contains $f(c)$, the first K -pullback is unique and maps onto K in a 2-to-1 branched covering fashion while if K does not contain $f(c)$ then there are two first K -pullbacks each of which maps onto K homeomorphically. Given a quadratic polynomial f with the critical point $c_f = c$, we denote by $\psi_f = \psi$ the involution which maps any z onto the other preimage of $f(z)$ (e.g., $\psi(c) = c$, and if $f(z) = z^2 + v$ then $\psi(z) = -z$). Below we often consider forward invariant continua $K \subset J_f$ which contain c but are such that the first n -segment of the orbit of c avoids $\psi(K) = K'$ (i.e., $f(c) \notin K', \dots, f^n(c) \notin K'$). In this case we consider K' -pullbacks of order at most n (K' is considered its own pullback of order 0). Because of the assumptions, all these K' -pullbacks map univalently onto K' .

2.2. Siegel polynomials. A quadratic polynomial is said to be a *basic Siegel polynomial* if it has an invariant Siegel disk. Denote by \mathcal{S}_{lc} the family of basic Siegel polynomials with locally connected Julia set. We need a few well-known facts concerning their Julia sets J (see, e.g., [GMO99]). Given a polynomial $P \in \mathcal{S}_{lc}$, let Δ be its closed Siegel disk, $S = \partial\Delta$, and $\psi(\Delta) = \Delta'$. Since J is locally connected, $\{c\} = \Delta' \cap \Delta$, and so Δ' is *attached* to Δ at c and contains no forward images of c . Consider the branch c_{-1}, c_{-2}, \dots of the backward orbit of $c = c_0$ consisting only of points of S (here $P(c_{-n-1}) = c_{-n}$). At each point c_{-n} the appropriate n -th pullback of Δ' , corresponding to c_{-n} as the pullback of c , is attached to Δ . However all other Δ' -pullbacks are disjoint from Δ . Since *all* forward images of c avoid Δ' then the picture described in the previous subsection applies to Δ and we have a family of well-defined univalent Δ' -pullbacks of all orders. The entire set $P^{-n}(\Delta)$ is a connected union of Δ and $2^n - 1$ Δ' -pullbacks, n of which are attached to Δ , while others are disjoint from Δ .

The way Δ and Δ' -pullbacks intersect (but not their relative location on the plane!) is the same for all polynomials $P \in \mathcal{S}_{lc}$. To describe it, observe that two external rays landing at c cut \mathbb{C} into two half-planes. Assign 1 to the closed half-plane $L \supset \Delta$ and 0 to the closed half-plane $R \supset \Delta'$ and study the symbolic dynamics of points of J in terms of

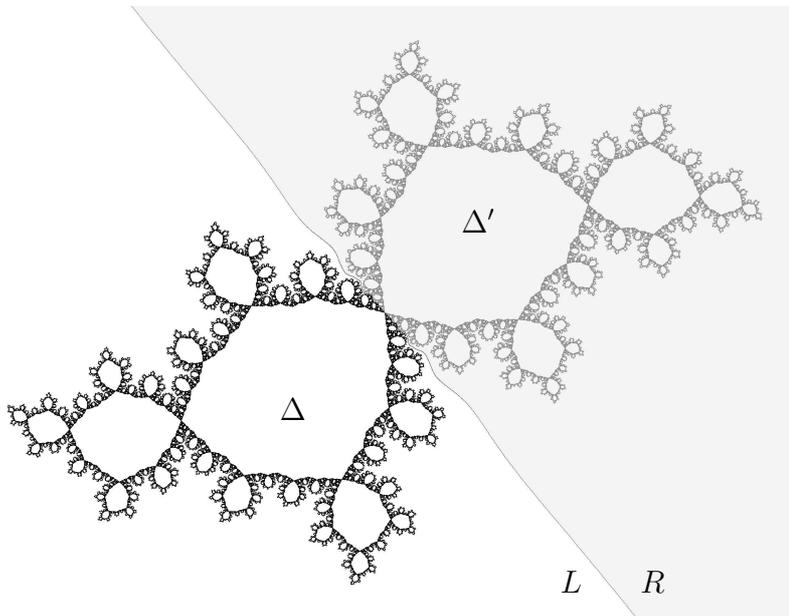


FIGURE 1. Example of a locally connected basic Siegel polynomial Julia set: that with the golden mean rotation number. We put the two external rays landing at the critical point and grayed the half-plane R .

this partition of the plane. To each point $x \in J$ we associate its infinite itinerary $i(x)$ defined in the obvious way. Clearly, the only ambiguity in $i(x)$ arises if the point x is a critical preimage because the only point of J which belongs to both L and R is c (and hence c can be assigned both 0 and 1 as the first entry in its itinerary). This ambiguity is resolved though if instead of points we deal with Δ' -pullbacks. Namely, if Q is Δ or a Δ' -pullback then we assign as its infinite itinerary $i(Q)$ the itinerary of any non-precritical point of Q (any such itinerary $i(Q)$ from some time on consists of 1's). To simplify the notation, let us denote by 1_i the string of 1's of length i (possibly, $i = \infty$). Similarly, if a finite string $i' = i_0 i_1 \dots i_l$ is given then $i'_k = \{i_0 \dots i_l\}_k$ is the concatenation of k copies of i' (here again k can be ∞). Then we have, e.g., that $i(\Delta) = 1_\infty$, $i(\Delta') = 01_\infty$, etc.

For c and its preimages the above ambiguity can be dealt with by considering c as a point of Δ or as a point of Δ' and assigning different itineraries respectively. That is, if we take a preimage of c then until it maps onto c its itinerary is well-defined but at the time when it maps onto c we either consider it as a point of Δ and assign 1 to it, or consider it as a point of Δ' and assign 0 to it. For the forthcoming images of c we

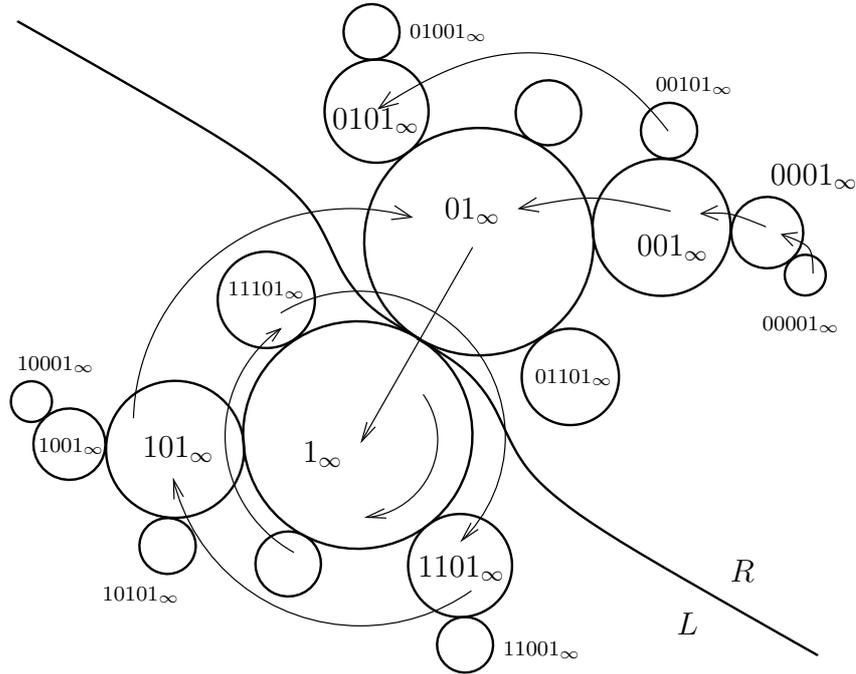


FIGURE 2. Example of itineraries of Δ and of pullbacks of Δ' and of their dynamics for the Golden mean basic Siegel Julia set. Some of the missing arrows can be determined by using the central symmetry: two symmetric components are mapped to the same component.

again have no ambiguity (1 is assigned to all of them). In other words, to each preimage of c exactly two itineraries are assigned as explained above. This implies that two Δ' -pullbacks with itineraries $\bar{i} = i_0i_1\dots$ and $\bar{j} = j_0j_1\dots$ have a point in common if and only if there exists k such that $i_0 = j_0, \dots, i_{k-1} = j_{k-1}, i_k = 1, j_k = 0$ while $i_r = j_r = 1$ for any $r > k$ (in particular, no more than two Δ' -pullbacks can intersect at one point). In what follows we denote the Δ' -pullback with itinerary \bar{i} as $\Delta_{\bar{i}}$. Observe that since Δ and Δ' have only one point - namely c - in common, then any two Δ' -pullbacks (or Δ) may have at most one point in common and no three Δ' -pullbacks (or Δ) intersect (any common point of two Δ' -pullbacks maps onto c while the two pullbacks in question map onto Δ and Δ').

So far we have considered as examples points of Δ' -pullbacks (or Δ' -pullbacks themselves). To deal with other points of J we need well-known facts about Julia sets of polynomials $P \in \mathcal{S}_{lc}$ (see, e.g., [GMO99]); the notation introduced here will be used from now on. Let

p be the fixed point of P belonging to Δ , σ be the angle doubling map on S^1 , (γ, γ') (resp. $[\gamma, \gamma']$) be the open (resp. closed) counterclockwise circle arc from γ to γ' , and let $\overline{\gamma\gamma'}$ be the chord connecting γ and γ' in the disk. If $P'(p) = e^{2\pi i\rho}$ (ρ is irrational) then there exists a special *rotational* σ -invariant Cantor set $F \subset S^1$ such that $\sigma|_F$ is no more than 2-to-1 semiconjugate to the irrational rotation by the angle $2\pi\rho$ [BS94]. More precisely, call an arc complementary to F an F -hole. Then the semiconjugacy maps the endpoints of every F -hole into one point and otherwise is one-to-one. The most important F -hole is the longest one which is the half-circle (β, α) with the endpoints denoted below by $0 < \alpha < 1/2$ and $\beta = \alpha + 1/2$; the chord $\overline{\alpha\beta}$ connecting α and β is called the *critical leaf (diameter)*. Other F -holes are preimages of (β, α) .

The limit set $F = \omega(\alpha)$ is exactly the set of angles whose entire orbits are contained in $[\alpha, \beta]$; also, the angles in F are exactly the angles whose rays land at points of $S = \partial\Delta$. The endpoints of an F -hole can be denoted by α_{-n}, β_{-n} (since they are appropriate preimages of α and β). Both rays $R_{\alpha_{-n}}$ and $R_{\beta_{-n}}$ land at the point c_{-n} , the n -th pullback of c belonging to Δ . We need the following simple fact.

Lemma 2.1. *Suppose that two angles $\alpha'' \neq \beta''$ are given none of which maps into F by any power of σ . Then there exists m such that $\sigma^m(\alpha'')$ and $\sigma^m(\beta'')$ are separated by the critical leaf $\overline{\alpha\beta}$.*

Proof. Define $d(\theta, \theta')$ as the length of the shortest arc between θ and θ' (we normalize the circle so that its length is equal to 1). It is easy to see that $d(\sigma(\theta), \sigma(\theta')) = T(d(\theta, \theta'))$ where $T : [0, 1/2] \rightarrow [0, 1/2]$ is the appropriate scaling of the full tent map. Since $T(x) > x$ for $0 < x < 1/3$, there exists m such that $d(\sigma^m(\alpha''), \sigma^m(\beta'')) \geq 1/3$. If $d(\sigma^m(\alpha''), \sigma^m(\beta'')) = 1/2$ then since α'', β'' are not preimages of $\sigma(\alpha)$ we see that $\sigma^m(\alpha''), \sigma^m(\beta'')$ are separated by $\overline{\alpha\beta}$ and we are done. Assume that $\sigma^m(\alpha''), \sigma^m(\beta'')$ are not separated by $\overline{\alpha\beta}$ and $d(\sigma^m(\alpha''), \sigma^m(\beta'')) < 1/2$. Since the longest complementary arcs to the set $\sigma^{-1}(F)$ are of length $1/4$, we see that $\sigma^m(\alpha''), \sigma^m(\beta'')$ belong to two distinct complementary arcs of $F \cup F + 1/2$ located on one side of $\overline{\alpha\beta}$. Thus after several steps the σ -image of, say, α'' will belong to (β, α) while the corresponding image of β'' will still be inside (α, β) . This means that these two images of α'', β'' will be separated by $\overline{\alpha\beta}$. \square

Call a point $y \in J$ a *local cutpoint* of J if the point y is a cutpoint of some connected neighborhood U of y in J . The union of boundaries of Δ and all Δ' -pullbacks forms the set of all local cutpoints of J . The remaining points of J are called the *endpoints* of J , or, more informally,

the “dust”. We say that a connected set X *connects* a connected set A and a connected set B if the union $A \cup B \cup X$ is connected (in practice we use this term when A and B are disjoint). Also, by a *string* of Δ' -pullbacks we mean a countable collection of Δ' -pullbacks concatenated to each other (so that consecutive pullbacks in the string intersect over exactly one point). Lemma 2.2 studies how dust points in J are connected to Δ .

Lemma 2.2. *Let $y \in J$ be a dust point with itinerary $\bar{i} = (i_0 i_1 \dots)$. Then there exists a unique string S_y of Δ' -pullbacks which connects Δ and y . Denote the Δ' -pullbacks in S_y as follows: $\Delta^1(y)$ is the closest to Δ (in the sense of the spatial order on the string), $\Delta^2(y)$ is the second one, etc. Then the itinerary of $\Delta^j(y)$ is obtained from the itinerary $\bar{i} = i_0 i_1 \dots$ of y as follows: choose the j -th appearance of 0 in \bar{i} , keep all the entries before that, and replace all other entries in \bar{i} by 1.*

Proof. Since J is locally connected, it is arcwise connected. Hence there exists an *arc* (homeomorphic image of the interval $[0, 1]$) $I' \subset J$ connecting y and c . Let us show that $I' \cap \Delta = I' \cap S$ is connected (recall, that $S = \partial\Delta$). Indeed, suppose that there exists an arc in J whose endpoints belong to S while otherwise the arc is disjoint from S . Then it follows that parts of S are “shielded” from infinity by this arc, i.e. are not accessible from infinity, a contradiction. Hence such arcs in J do not exist which shows that $I' \cap S$ is either an arc or the point c . If $I' \cap S = \{c\}$ is a point set $\pi(y) = c$, otherwise let $\pi(y)$ be the other endpoint of $I' \cap S$. Observe that by the above argument such point is unique so that π is well-defined. Denote the arc connecting y and $\pi(y)$ by I ; then $I \cap S = \pi(y)$. Intuitively, one can think of the point $\pi(y)$ as a “projection” of y into S .

Since all points of I except for y are not from the dust, it follows that $I \setminus \{y, \pi(y)\}$ is contained in the union of some Δ' -pullbacks. The union of these Δ' -pullbacks forms the desired string S_y . Observe, that the string S_y is unique by the same geometric argument as above - otherwise some points of the Julia set are not accessible from infinity because they will be “shielded” from infinity by other connected subsets of J (these subsets will be parts of the two strings which hypothetically connect Δ and y and will form the boundary of a simply connected domain in the plane containing points of J). A similar geometric argument is often used in the paper, so we explain it here in detail while simply alluding to it in the future.

By the definition we do **not** include Δ in S_y and begin S_y from $\Delta^1(y)$, the Δ' -pullback closest to Δ in S_y . Thus, $\Delta^1(y)$ is either Δ' or a pullback of Δ' attached to Δ . Therefore $\Delta^1(y)$ has itinerary $1_{k_1} 0 1_\infty$

with $k_1 \geq 0$ digits 1 to begin with (if $k_1 = 0$ then $\Delta^1(y) = \Delta'$). The next pullback $\Delta^2(y)$ in the string S_y is attached to $\Delta^1(y)$, hence its itinerary coincides with that of $\Delta^1(y)$ until $\Delta^1(y)$ maps onto Δ . At this moment $\Delta^2(y)$ becomes the closest to Δ pullback of Δ' in the appropriate image of S_y and the process repeats itself with the only difference that now the image of $\Delta^2(y)$ needs k_2 steps to move around Δ until it finally gets mapped onto Δ' and then onto Δ . This argument yields that the “spatially” j -th pullback $\Delta^j(y)$ of Δ' in S_y has itinerary $1_{k_1}01_{k_2}0\dots 1_{k_j}01_\infty$. It has to coincide with the itinerary of y until the first time $\Delta^j(y)$ maps onto Δ . Also, numbers k_i may be equal to zero. Hence the itinerary of $\Delta^j(y)$ is obtained from the itinerary $\bar{i} = i_0i_1\dots$ of y as follows: choose the j -th appearance of 0 in \bar{i} , keep all the entries before that, and replace all other entries in \bar{i} by 1. \square

As an example consider the periodic point x with itinerary $\bar{i} = \{011\}_\infty$. Then the following are the pullbacks of Δ' forming the string S_x : $\Delta^1(x) = \Delta_{01_\infty}$, $\Delta^2(x) = \Delta_{01101_\infty}$, $\Delta^3(x) = \Delta_{01101101_\infty}$ etc. By Lemma 2.1 any two distinct periodic points $u \in J$ and $v \in J$ have distinct itineraries (it is enough to consider rays landing at u and v). Therefore their strings S_u and S_v may have a certain initial piece in common (perhaps empty), and then will separate. For example, let z be the periodic point of itinerary $\{0110111\}_\infty$. Then the string S_z consists of the following pullbacks of Δ' : $\Delta^1(z) = \Delta_{01_\infty}$, $\Delta^2(z) = \Delta_{01101_\infty}$, $\Delta^3(z) = \Delta_{011011101_\infty}, \dots$. Comparing S_x and S_z we see that $\Delta^1(x) = \Delta^1(z)$, $\Delta^2(x) = \Delta^2(z)$, but $\Delta^3(x) \neq \Delta^3(z)$. It follows that $\Delta^3(x)$ and $\Delta^3(z)$ are disjoint (both are attached to the same Δ' -pullback but at distinct points, hence if they meet then some points of the Julia set will not be accessible from infinity). From this moment on the strings S_x and S_z go their own ways, converging to x and z respectively.

Consider now the dynamics of the string S_x . Since x is of period 3 then S_x must cover itself under P^3 while $P^3(S_x)$ contains Δ . In fact, already the first application of P restricted onto S_x maps $\Delta^1(x)$ onto Δ . Then S_x rotates about Δ for one step and on the next step S_x maps over itself. Thus, $P^3(\Delta^2(x)) = \Delta^1(x)$, $P^3(\Delta^3(x)) = \Delta^2(x)$ etc. In other words, P^3 shifts the pullbacks in S_x 1 pullback “down” (i.e. closer to Δ). The number 1 is then called the *basic length* of S_x ; the string S_x consists of countably many fragments whose length is 1 and who are shifted by P^3 one onto another closer to Δ except for the first fragment of S_x which maps by P^3 onto Δ .

In the case of the string S_z the picture is a bit more complicated, however it has essentially the same properties (in our description of the dynamics of S_z we skip discussing simple rotations of S_z around

Δ). It is easy to check that first P^3 shifts $\Delta^2(z)$ onto $\Delta^1(z)$ while $\Delta^3(z) = \Delta_{011011101_\infty}$ maps onto Δ_{011101_∞} . Then P^4 maps Δ_{011101_∞} onto $\Delta' = \Delta^1(z)$ and the entire string S_z finally covers itself. In other words, since the periodic fragment of the itinerary of z contains two zeros, then P^7 shifts the pullbacks in S_z down (closer to Δ) by 2 pullbacks. In this case the basic length of S_z is 2; the string S_z consists of countably many fragments whose length is 2 and who are shifted by P^7 one onto another closer to Δ except for the first fragment of S_z which maps by P^7 onto Δ . Clearly, P^7 maps the first fragment of S_z onto Δ as a continuous map (there have to be critical points), but otherwise the map P^7 shifts fragments in S_z homeomorphically.

In general, given a periodic orbit y of period k whose itinerary has the minimal periodic fragment with l zeros we see that the map P^k shifts the Δ' -pullbacks in S_y down (closer to Δ) by l pullbacks. In this case the first fragment of S_y is $\Delta^1(y) \cup \dots \cup \Delta^l(y)$, the second fragment of S_y is the union $\Delta^{l+1}(y) \cup \dots \cup \Delta^{2l}(y)$, etc. Observe that all the fragments in S_y are in fact the pullbacks of the first fragment by the appropriate branch of the inverse function to P^l , and from the second fragment on all the fragments in S_y are disjoint from Δ . Moreover, since in this case the critical limit set $\omega_P(c)$ coincides with Δ , we see that by well-known shrinking properties of pullbacks under polynomial/rational maps (see, e.g., Shrinking Lemma [LM97]) the diameters of the pullbacks in S_y converge to 0. If diameters of the sets in a sequence converge to 0 then the sets are said to form a *null sequence*; it follows that in this case the fragments in S_y described above form a *null sequence*. Of course, in the case at hand *any* string S_ζ converges to the point ζ defining it - after all, the Julia set J is locally connected. However even in the case of basic Cremer Julia sets (which are not locally connected) these ideas, with some modifications, still apply.

Let us go back to the example we have already partially considered before, i.e. to the periodic points x, z and their strings S_x, S_z . As we have seen, S_x and S_z converge to the periodic points x and z respectively. On the other hand, we have seen that the strings S_x and S_z separate after the pullback $\Delta^2(x) = \Delta^2(z) = \Delta_{01101_\infty}$. Let $R_\gamma, R_{\gamma'}$ be the external rays landing at x and z respectively. Denote by I the open arc between γ and γ' contained in the 0-semicircle. Denote by \hat{S}_x the closure of the “tail” of S_x taken from Δ_{01101_∞} to the point x and by \hat{S}_z the closure of the “tail” of S_z taken from Δ_{01101_∞} to the point z (so that $\hat{S}_x \cap \hat{S}_z = \Delta_{01101_\infty}$). Then the union of $\hat{S}_x, \hat{S}_z, R_\gamma$ and $R_{\gamma'}$ is a “fork” which encloses a wedge containing all rays $R_\theta, \theta \in I$. The impression of $\theta \in I$ must be contained in the closure of this wedge and

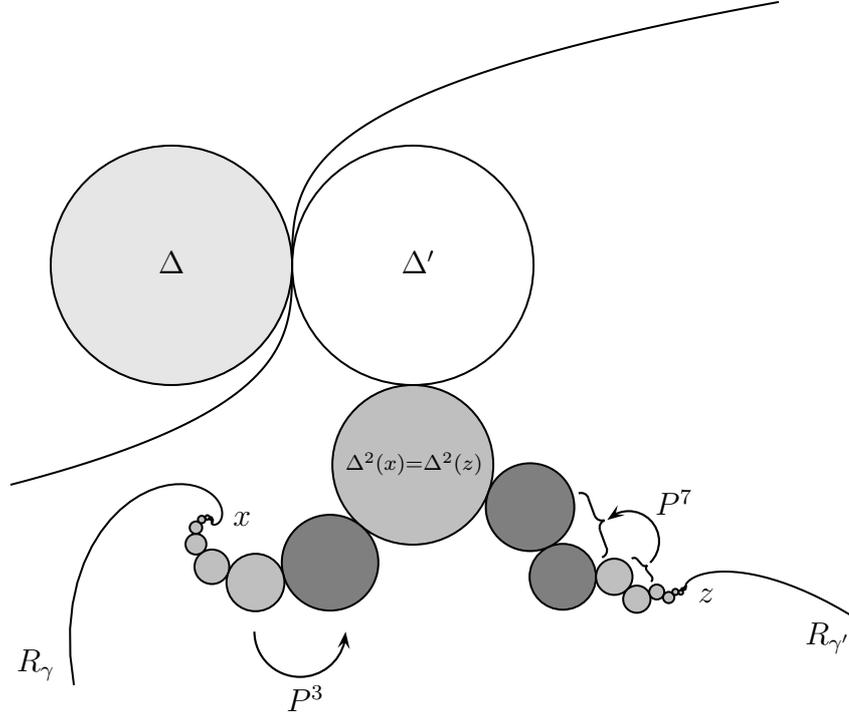


FIGURE 3. Illustration, for the golden mean basic Siegel Julia set, of the examples of periodic points x and z considered in the text, together with the associated strings of Δ -pullbacks.

therefore is disjoint from Δ . Again, for the polynomials in \mathcal{S}_{lc} this fact can be shown in a much easier way. However the argument is valuable because it applies in more general situations.

Let σ_2 be the one-sided shift on the space of all sequences with symbols 1 and 0, and let $A_n = \sigma_2^{-n}(1_\infty)$. Notice that A_n includes all σ_2 -preimages of 1_∞ of order less than or equal to n . Consider elements of A_n as vertices of a graph connected by edges if and only if the Δ' -pullbacks with these itineraries intersect. Clearly, this makes A_n into a tree (if there is a loop in A_n then as before there must be points of J shielded from the infinity, a contradiction). From now on we always consider A_n endowed with the tree structure. Suppose that for each $\bar{s} \in A_n$ a set $M_{\bar{s}}$ is given and $g : \cup M_{\bar{t}} \rightarrow \cup M_{\bar{t}}$ is a function such that 1) $g(M_{\bar{s}}) \subset M_{\sigma_2(\bar{s})}$, and 2) $M_{\bar{s}} \cap M_{\bar{t}} \neq \emptyset$ if and only if $\Delta_{\bar{s}} \cap \Delta_{\bar{t}} \neq \emptyset$. Then we say that $(g, \{M_{\bar{s}}, \bar{s} \in A_n\})$ has the same *(dynamical) intersection*

pattern as $\{\Delta_{\bar{s}}, \bar{s} \in A_n\}$. It follows from the results of [BC06] (see below) that for certain basic Cremer polynomials f there is an invariant continuum M which plays the role of the Siegel disk Δ above in the sense that the intersection pattern of M and all appropriately defined M' -pullbacks of order n and the intersection pattern of Δ and all Δ' -pullbacks of order n are the same.

2.3. Basic Cremer polynomials. Let us introduce terminology and notation. Let $P_\alpha(z) = e^{2\pi i\alpha}z + z^2$. Clearly, the critical point of P_α is $c_\alpha = (-.5)e^{2\pi i\alpha}$; set $M_\alpha = \omega(c_\alpha)$ and call it the *critical limit set* of P_α . It is well-known (see, e.g., [Mn93]) that if P_α is a basic Cremer polynomial then its Cremer fixed point p_α belongs to M_α . The next lemma is obtained in [C05].

Lemma 2.3 (Childers). *If P_α is a basic Cremer polynomial then the set M_α is a continuum.*

The construction in the next section aims at proving that certain Julia sets of positive area are solar. We need the following definition: an angle θ is said to be of *bounded type* if there exists K such that in the continued fraction expansion $[a_1, a_2, \dots]$ of θ we have $a_i < K$ for all i . Consider the polynomial $P_\theta(z)$ assuming that θ is of bounded type. Then P_θ has an invariant closed Siegel disk Δ_θ , $\partial\Delta_\theta = M_\theta$ is a simple closed curve and J_{P_θ} is locally connected [Pet96]. For every N , define \tilde{S}_N as the set of all θ 's with $a_i \geq N, i = 1, 2, \dots$. Given two sets A, B let us denote by $\partial[A, B]$ the number $\sup\{\text{dist}(a, B) : a \in A\}$ (thus, if $\partial[A, B] = \varepsilon$ then the set A is contained in the closed ε -neighborhood of B). Theorem 2.4 is proven in [BC06].

Theorem 2.4 (Buff-Chéritat). *There exists N such that for every $\theta \in \tilde{S}_N$ of bounded type and every $\varepsilon > 0$ if $\alpha \in \tilde{S}_N$ is sufficiently close to θ then $\partial[M_\alpha, \Delta_\theta] < \varepsilon$ and $M_\alpha \neq J_{P_\alpha}$. Moreover, there exist basic Cremer polynomials P_α with $\alpha \in \tilde{S}_N$ arbitrarily close to θ and with positive area Julia sets.*

In what follows we will use the notation introduced above.

3. MAIN THEOREM

The aim of this section is to prove Theorem 1.2. From now on we fix N as in Theorem 2.4. Set $\psi_\alpha(M_\alpha) = M'_\alpha$. The following lemma uses self-explanatory notation.

Lemma 3.1. *Given n , $\theta \in \tilde{S}_N$ of bounded type, and $\varepsilon > 0$ there exists a neighborhood U of θ such that for any $\alpha \in \tilde{S}_N \cap U$ we have*

$P_\alpha^i(c_\alpha) \notin M'_\alpha, i \leq n$, and there exists a collection of well-defined M'_α -pullbacks $M_{\alpha, \bar{j}}, \bar{j} \in A_n$ by P_α such that $\partial[M_{\alpha, \bar{j}}, \Delta_{\theta, \bar{j}}] < \varepsilon$ for any $\bar{j} \in A_n$ and the M'_α -pullbacks $\{M_{\alpha, \bar{j}}, \bar{j} \in A_n\}$ have the same intersection pattern as Δ'_θ -pullbacks $\{\Delta_{\theta, \bar{j}}, \bar{j} \in A_n\}$.

Proof. The proof is based upon Theorem 2.4 and continuity arguments. By Theorem 2.4, M'_α is very close to Δ'_θ for $\alpha \in \tilde{S}_N$ sufficiently close to θ . Since $P_\theta^i(c_\theta) \notin \Delta'_\theta$, it follows then that $P_\alpha^i(c_\alpha) \notin M'_\alpha, i \leq n$ for $\alpha \in \tilde{S}_N$ sufficiently close to θ (so that an i -th pullback of M'_α is mapped 1-to-1 if $i \leq n$). Moreover, by continuity and by Theorem 2.4 given an itinerary $\bar{j} \in A_n$ we can correctly define M'_α -pullbacks $M_{\alpha, \bar{j}}$ by P_α corresponding to Δ'_θ -pullbacks $\Delta_{\theta, \bar{j}}$ by P_θ and guarantee that 1) $\partial[M_{\alpha, \bar{j}}, \Delta_{\theta, \bar{j}}] < \varepsilon$ for any $\bar{j} \in A_n$, and hence 2) for two itineraries $\bar{s} \in A_n, \bar{t} \in A_n$ if $\Delta_{\theta, \bar{s}} \cap \Delta_{\theta, \bar{t}} = \emptyset$ then $M_{\alpha, \bar{s}} \cap M_{\alpha, \bar{t}} = \emptyset$. Let us show that if $\Delta_{\theta, \bar{s}} \cap \Delta_{\theta, \bar{t}} \neq \emptyset$ then $M_{\alpha, \bar{s}} \cap M_{\alpha, \bar{t}} \neq \emptyset$. Observe that since A_n is a tree then if we remove the edge in A_n connecting \bar{s} and \bar{t} then A_n falls into two trees, $A_{\bar{s}}$ and $A_{\bar{t}}$. Now, it is easy to see that the union of all pullbacks $M_{\alpha, \bar{r}}, \bar{r} \in A_n$ is the set $P_\alpha^{-n}(M_\alpha)$ which is connected because the entire orbit of c_α is contained in M_α (c_α is recurrent for P_α and $M_\alpha = \omega(c_\alpha)$). On the other hand suppose that $M_{\alpha, \bar{s}} \cap M_{\alpha, \bar{t}} = \emptyset$. Then the unions $M_{\alpha, A_{\bar{s}}} = \cup_{\bar{i} \in A_{\bar{s}}} M_{\alpha, \bar{i}}$ and $M_{\alpha, A_{\bar{t}}} = \cup_{\bar{i} \in A_{\bar{t}}} M_{\alpha, \bar{i}}$ are disjoint because they could only intersect over $M_{\alpha, \bar{s}} \cap M_{\alpha, \bar{t}}$ which is empty. This contradiction shows that $M_{\alpha, \bar{s}} \cap M_{\alpha, \bar{t}} \neq \emptyset$ as desired. \square

We are now ready to prove Theorem 1.2. However it will be convenient to first prove a simple technical lemma.

Lemma 3.2. *Let P be a basic Cremer polynomial and $K \subset J$ be a continuum such that for some $k > 0$ we have that $P^{-k}(K) \cap K \neq \emptyset$ and $P^i(c) \notin P^k(K)$ for any $i \geq 0$. Then there exists a unique sequence $K(0) = K, K(-1), \dots$ of K pullbacks by P^k such that $K(-i) \cap K(-i-1) \neq \emptyset$ and $P^k(K(-i-1)) = K(-i)$ for all $i \geq 0$. Moreover, if $P^{2k}(K)$ is disjoint from K then in the sequence of sets $P^{2k}(K), P^k(K), K, \dots$ non-empty intersections are only possible between two consecutive sets.*

Proof. Since J is a non-separating one-dimensional continuum, J is a tree-like continuum (i.e., for each $\varepsilon > 0$ there exists a map $\phi : J \rightarrow T$ where T is a finite tree such that for each $t \in T$ the diameter of $\phi^{-1}(t) < \varepsilon$). Since $P^i(c) \notin K$ then it is clear that for every i the family of K -pullbacks by P^k consists of 2^{ki} pairwise disjoint continua contained in J . Since $P^{-k}(K) \cap K \neq \emptyset$, there exists a K -pullback $K(-1)$ of order 1 by P^k non-disjoint from K . Such pullback is unique. Indeed, otherwise there exists another K -pullback K' of order 1 by

P^k which is not disjoint from K . Set $K(-1) \cup K \cup K' = Y$. Then $P^k|_Y$ is not 1-to-1 which implies by [H96] that $P^k|_Y$ has a critical point $y \in Y$. This means that for some $j, 0 \leq j \leq k-1$ we have $P^j(y) = c$. If $y \in K(-1) \cup K'$ then this implies that $P^{2k-j}(c) \in P^k(K)$, a contradiction to the assumptions. On the other hand, if $y \in K$ then $P^{k-j}(c) \in P^k(K)$, again a contradiction to the assumptions. Hence such point $y \in Y$ does not exist, and $K(-1)$ is unique. Since $K(-1)$ clearly satisfies the same assumptions as K itself we see that the desired sequence of pullbacks of K exists and is unique.

Suppose that $P^{2k}(K) \cap K = \emptyset$. Then $P^k(K) \cap K(-1) = \emptyset$ and for any $i \geq 0$ we have $K(-i) \cap K(-i-2) = \emptyset$ (otherwise we apply the appropriate power of P^k to get a contradiction). Let us show that then $K(-j) \cap P^{2k}(K) = \emptyset$ for any $j \geq 0$. Indeed, otherwise choose the minimal such j that $K(-j) \cap P^{2k}(K) \neq \emptyset$. Then $j \geq 1$ and by the choice of j the only non-empty intersections among sets $P^{2k}(K), P^k(K), K, \dots, K(-j)$ are intersections among consecutive pullbacks and the intersection $K(-j) \cap P^{2k}(K) \neq \emptyset$. Set $P^{2k}(K) \cup P^k(K) \cup \dots \cup K(-j+1) = E$. Then

$$E \cap K(-j) = [P^{2k}(K) \cap K(-j)] \cup [K(-j+1) \cap K(-j)]$$

is disconnected as the union of two disjoint non-empty continua. However all continua are contained in a non-separating continuum J with empty interior. Hence the intersection of any two sub-continua of J must be connected. It follows that $K(-j) \cap P^k(K) = \emptyset$ for any $j \geq 1$ as desired. \square

To prove Theorem 1.2 we need the following construction. Choose two P_θ -periodic points u and v of periods k and l respectively. Then depending on their itineraries the strings S_u, S_v of Δ'_θ -pullbacks will have a few common pullbacks and then, starting at the last common pullback, will consist of two pairwise disjoint sequences of pullbacks. Clearly, the points u and v can be chosen so that the strings S_u and S_v have at least two common Δ'_θ -pullbacks. For example, if $u = x$ has itinerary $\{011\}_\infty$ and $v = z$ has itinerary $\{0110111\}_\infty$ then the strings S_x and S_z have two pullbacks $\Delta'_\theta = \Delta_{\theta,01_\infty}$ and $\Delta_{\theta,01101_\infty}$ in common, yet from the third pullback on the strings S_x and S_z are disjoint. In any case, and this is important for what follows, the last common pullback of the strings S_x and S_z is $\Delta_{\theta,01101_\infty}$, and it is disjoint from Δ_θ .

Let us assume that the basic length of S_u is w , the basic length of S_v is q , and the initial finite string F , common to both S_u and S_v , consists of $m \geq 2$ Δ'_θ -pullbacks. For simplicity and without loss

of generality assume that $m < \min(w, q)$. Denote the last common Δ'_θ -pullback in F by L . Consider w Δ'_θ -pullbacks in S_u immediately following F and denote their union by \hat{F}_u ; also, denote the union of w Δ'_θ -pullbacks in S_u immediately following \hat{F}_u by F_u . Similarly, consider q Δ'_θ -pullbacks in S_v immediately following F and denote their union by \hat{F}_v ; also, denote the union of q Δ'_θ -pullbacks in S_v immediately following \hat{F}_v by F_v . By Lemma 3.2 there exists a string of F_u -pullbacks by P_θ^k $F_u(0) = F_u, F_u(-1), \dots$ such that $S_u = F \cup \hat{F}_u \cup (\cup_{i=0}^\infty F_u(-i))$ and a string of F_v -pullbacks by P_θ^l $F_v(0) = F_v, F_v(-1), \dots$ such that $S_v = F \cup \hat{F}_v \cup (\cup_{i=0}^\infty F_v(-i))$.

Set $n = m + 3k + 3l$. We choose $\alpha \in \tilde{S}_N$ very close to θ so that a few conditions are satisfied. By Theorem 2.4 we may assume that the area of $J(P_\alpha)$ is positive and that P_α is a basic Cremer polynomial. We use Lemma 3.1 to guarantee that $\partial[M_\alpha, \Delta_\theta]$ is so small that the first n iterates of c_α avoid M'_α (so that M'_α -pullbacks by P_α of order at most n map to M'_α univalently), all M'_α -pullbacks by P_α of order n are very close to the corresponding Δ'_θ -pullbacks by P_θ and the intersection patterns of $\Delta'_{\theta, \bar{i}}$, $\bar{i} \in A_n$ and $M'_{\alpha, \bar{i}}$, $\bar{i} \in A_n$ are the same. Hence we may talk about the strings $F^\alpha, \hat{F}_u^\alpha, \hat{F}_v^\alpha, F_u^\alpha, F_v^\alpha$ of M'_α -pullbacks by P_α which correspond to the strings $F, \hat{F}_u, \hat{F}_v, F_u, F_v$, and all the sets $F^\alpha, \hat{F}_u^\alpha, \hat{F}_v^\alpha, F_u^\alpha, F_v^\alpha$ are continua. Denote by L^α the M'_α -pullback corresponding to the Δ'_θ -pullback L . Recall that since $m \geq 2$ then $L \cap \Delta_\theta = \emptyset$ and hence (by the choice of α) we may assume that $M_\alpha \cap (L^\alpha \cup \hat{F}_u^\alpha \cup \hat{F}_v^\alpha) = \emptyset$.

Consider the set F_u^α . Then $P_\alpha^k(F_u^\alpha) = \hat{F}_u^\alpha$ is disjoint from $M_\alpha = \omega(c_\alpha)$ and hence $P_\alpha^i(c_\alpha) \notin P_\alpha^k(F_u^\alpha)$ for any $i \geq 0$. On the other hand, by the choice of n we have that $P^{-k}(F_u^\alpha) \cap F_u^\alpha \neq \emptyset$. Hence by Lemma 3.2 there is a sequence of F_u^α -pullbacks $F_u^\alpha = F_u^\alpha(0), F_u^\alpha(-1), \dots$ by P_α^k . Observe that F_u^α is disjoint from $P^{2k}(F_u^\alpha)$ by the choice of α (clearly, $P^{2k}(F_u^\alpha) = P_\alpha^k(\hat{F}_u^\alpha)$ is the string of M'_α -pullbacks by P_α^k connecting L^α and M_α united with M_α itself). By Lemma 3.2 we conclude that the set

$$\hat{F}_u^\alpha \cup \bigcup_{i=0}^{\infty} F_u^\alpha(-i) = Q_u^\alpha$$

is a chain of “concatenated” continua such that intersections among them are only possible between \hat{F}_u^α and $F_u^\alpha = F_u^\alpha(0)$ and between two consecutive pullbacks of F_u^α .

Analogous claims can be proven for \hat{F}_v^α and F_v^α . For the corresponding pullbacks of F_v^α we use similar notation and get the set

$$\hat{F}_v^\alpha \cup \bigcup_{i=0}^{\infty} F_v^\alpha(-i) = Q_v^\alpha,$$

a chain of “concatenated” continua such that intersections among them are only possible between \hat{F}_v^α and $F_v^\alpha = F_v^\alpha(0)$ and between two consecutive pullbacks of F_v^α .

It is easy to see that the sets Q_u^α and Q_v^α are disjoint. Indeed, suppose otherwise. Then we can choose minimal r, s such that $F_u^\alpha(-r) \cap F_v^\alpha(-s) \neq \emptyset$. It follows that $r > 1$ and $s > 1$. Then the continua $X = L^\alpha \cup (\cup_{i=0}^r F_u^\alpha(-i))$ and $Y = L^\alpha \cup (\cup_{i=0}^s F_v^\alpha(-i))$ have a disconnected intersection (it consists of L^α and a non-empty compact set $F_u^\alpha(-r) \cap F_v^\alpha(-s)$ disjoint from L^α) despite the fact that they both are contained in the Julia set $J(P_\alpha)$ (recall that the Julia sets of basic Cremer polynomials are non-separating continua with empty interior).

Since $M_\alpha \cap (\hat{F}_u^\alpha \cup \hat{F}_v^\alpha) = \emptyset$ by the choice of α then by the Shrinking Lemma [LM97] we know that $\text{diam}(F_u^\alpha(-i)) \rightarrow 0$ as $i \rightarrow \infty$ (i.e., $F_u^\alpha(-i), i = 0, 1, \dots$ is a null-sequence). Hence by continuity any limit point a of this sequence of sets is P_α^k -fixed. Since there are finitely many P_α^k -fixed points while the set of all limit points of the sequence of sets $F_u^\alpha(-i), i = 0, 1, \dots$ is connected (recall, that this sequence of sets is a chain of “concatenated” continua) we conclude that the sequence $F_u^\alpha(-i), i = 0, 1, \dots$ converges to a P_α^k -fixed point which we will denote by u' . Similarly, the sequence $F_v^\alpha(-i), i = 0, 1, \dots$ converges to a P_α^l -fixed point which we will denote by v' .

Let us study possible intersections between some of these sets. Set $Z = P_\alpha^k(\hat{F}_u^\alpha) = P_\alpha^l(\hat{F}_v^\alpha)$ (Z is the string of pullbacks of M_α' connecting L^α and M_α , united with M_α). Lemma 3.2 implies that

$$[Z \cup \hat{F}_u^\alpha] \cap Q_u^\alpha = \hat{F}_u^\alpha$$

and

$$[Z \cup \hat{F}_v^\alpha] \cap Q_v^\alpha = \hat{F}_v^\alpha.$$

Let us show that $u' \notin Z \cup Q_u^\alpha$. Indeed, since u' is a P^k -fixed point then $u' \notin Q_u^\alpha$ because of the way sets $F_u^\alpha(-i), i \geq 0$ intersect. Suppose that $u' \in Z$ and consider two continua, $X = \overline{Q_u^\alpha}$ and $Y = Z \cup \hat{F}_u^\alpha$. It follows that their intersection $X \cap Y = \{u'\} \cup \hat{F}_u^\alpha$ is disconnected, a contradiction. Thus, $u' \notin Z \cup Q_u^\alpha$. Similarly we can show that $v' \notin Z \cup Q_v^\alpha$. Analogous arguments show that since the continua $\overline{Z \cup Q_u^\alpha}$ and $\overline{Z \cup Q_v^\alpha}$ must have a connected intersection then $u' \notin Q_v^\alpha, v' \notin Q_u^\alpha$

and $u' \neq v'$. Finally, since by the construction $M_\alpha \cap (L^\alpha \cup \hat{F}_u^\alpha \cup \hat{F}_v^\alpha) = \emptyset$ then $M_\alpha \cap \overline{L^\alpha \cup Q_u^\alpha \cup Q_v^\alpha} = \emptyset$ (notice that by the above $u', v' \notin M_\alpha$).

Let R_γ be the external ray for P_α landing at u' and R_β be the external ray for P_α landing at v' . The above implies that the union

$$\overline{L_\alpha \cup Q_u^\alpha \cup Q_v^\alpha} \cup R_\gamma \cup R_\beta$$

cuts the plane into two open half-planes W and H , one of which (say, W) contains M_α (and therefore the Cremer point p_α of P_α). Choose any external angle τ whose external ray R_τ is contained in H . Then it follows that the impression of R_τ is contained in $\overline{L_\alpha \cup Q_u^\alpha \cup Q_v^\alpha}$ and hence does not contain p_α . By Theorem 1.1 this implies that $J(P_\alpha)$ is a solar Julia set which completes the proof of Theorem 1.2.

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