

# Independent dominating sets in graphs of girth five via the semi-random method

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Introduction: The semi-random method

Independent dominating sets in graphs of girth five

Main Idea of the proof

The tools used in the proof

Conclusion

# Hypergraph covering

- ▶ Let  $2 \leq l < k < n$ . Let  $M(n, k, l)$  be the minimum size of a family  $\mathcal{K}$  of  $k$ -element subsets of  $\{1, \dots, n\}$  such that every  $l$ -element subset is contained in at least one  $A \in \mathcal{K}$ .

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- ▶ Solved by V. Rödl in 1985.

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- ▶ The method of solution: Think algorithmically!
- ▶ Build the covering family  $\mathcal{K}$  over many iterations.

# A randomized algorithm

- ▶ Start  $\mathcal{K} = \emptyset$ .
- ▶ In each iteration, randomly pick few  $k$ -element sets to add to the covering family  $\mathcal{K}$ .
- ▶ Argue that in each iteration  $\mathcal{K}$  does not grow too fast **whp** (1).
- ▶ Argue that in each iteration no  $l$ -element set is covered more than once **whp** (2).
- ▶ Deduce that with **positive probability** there is a choice of  $k$ -element sets to pick satisfying conditions (1) and (2).
- ▶ Condition on this good occurrence and... Repeat!

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- ▶ Each iteration is **Deterministic**: we use probability to show that a good decision (nibble) exists.

## Other fundamental results proved using the semi-random method

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- ▶ Ramsey theory:  $R(3, t) \sim t^2 / \log t$ . (Kim 1996)
- ▶ Designs: “Existence” Conjecture (Keevash 2014)

# Probabilistic machinery involved: High Level View

The success of the method hinges on two concepts

- 1: Almost all random variables have a Normal-like distribution.
- 2: Almost all random variables are only **locally** dependent on each other.

# Dominating sets

## Definition

Graph  $G = (V, E)$ : set  $S \subset V$  is a *dominating set* if every  $v \in V - S$  is adjacent to a vertex in  $S$ .

# An old theorem

Theorem (Lovasz(1975), Payan(1974), Arnautov(1974))

*Graph  $G$  with minimum degree  $d$ . Then  $G$  has a dominating set of size at most  $\frac{n(\log(d+1)+1)}{d+1}$ .*

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- ▶ Set  $Y_X :=$  vertices not dominated by  $X$ . Then  $E[|Y_X|] \leq n(1 - p)^{d+1}$



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- ▶  $X \cup Y_X$  is a dominating set with  $E[|X \cup Y_X|] \leq np + n(1-p)^{d+1}$ .



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- ▶  $X \cup Y_X$  is a dominating set with  $E[|X \cup Y_X|] \leq np + n(1-p)^{d+1}$ .
- ▶ Therefore,  $\exists$  a dominating set of size  $np + n(1-p)^{d+1}$ .  
Setting  $p = \frac{\log(d+1)}{d+1}$  gives the result.





# Independent dominating sets

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- ▶ A maximal independent set in  $G$  is an independent dominating set.

# The Main Result

Theorem (Horn, Verstraete, H. 2012)

Every  $d$ -regular graph of girth at least five has an independent dominating set of size at most  $\frac{n(\log d + c)}{d}$ , where  $c$  is an absolute constant.

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- ▶ **Idea:** pick vertices with smaller probability (roughly  $1/d$ ), remove dominated vertices from the graph, and repeat.

# A randomized algorithm

The proof uses the following randomized algorithm.

- ▶ Build an independent dominating set by iterations.
- ▶ During each iteration  $t$ , we randomly select each undominated vertex with probability  $p = 1/d_t$ , where  $d_t$  will be roughly the average degree of the graph at time  $t$ . If two adjacent vertices were selected, un-select both of them.
- ▶ Mark all the neighbors of the selected vertices as dominated. These vertices will not be selected at future iterations.
- ▶ **Technical Trashcan:** Put each vertex  $v$  not in the current dominating set or their neighborhood in a set  $C$  with some probability  $q(v)$ . Purpose: to keep the **undominated** graph **regular**.

# Sets and Random Variables

We have the following sets:

$\mathbf{X}_t$  := the set vertices in  $G$  that still need to be dominated at time  $t$

$\mathbf{S}_{t+1}$  := the set of vertices selected to be in the independent dominating set at time  $t$

$\mathbf{Q}_{t+1}$  := the set of vertices put in the trashcan at time  $t$ . These vertices will not be used to build the independent dominating set.

Also define real numbers:

$\mathbf{n}_t$  := roughly the size of  $X_t$  we would expect at time  $t$

$\mathbf{d}_t$  := average degree of a vertex in  $X_t$  that we would expect at time  $t$ .

Set  $S_0 = Q_0 = \emptyset$ ,  $X_0 = V(G)$ ,  $d_0 = d$ ,  $n_0 = n$ .

# Sets and Random Variables

- ▶ Define  $d_t = d \prod_{i=1}^t q_i$  and  $n_t = n \prod_{i=1}^t q_i$ , where  $q_i \approx e^{-1/e}$ .
- ▶ At time  $t$ , select each vertex in  $X_t$  with probability  $1/d_t$ , independently. Let  $S_{t+1}$  be the set of selected vertices in  $X_t$  which have no selected neighbors.
- ▶ For each vertex  $v \in X_t \setminus (S_{t+1} \cup \partial S_{t+1})$ , we put  $v$  in  $Q_{t+1}$  with probability  $q_{t+1}(v)$ .  $q_{t+1}(v)$  is defined so that  $P(v \notin \partial S_{t+1}) = q_{t+1}(v)$ .



## Updating the sets

- ▶  $X_t$  is the set from which we can take vertices to build the independent dominating set at time  $t$ .
- ▶  $C_t$  is the set of vertices which will not be used to build the independent dominating set.
- ▶ How the sets are (roughly) updated:

$$C_{t+1} = C_t \cup Q_{t+1}$$

$$X_{t+1} = X_t \setminus (Q_{t+1} \cup S_{t+1} \cup \partial S_{t+1}).$$

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- ▶ For a vertex  $v \in X_t \cup C_t$ , define the random variable  $d_t(v)$  to be the number of neighbors of  $v$  in  $X_t$ .

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- ▶ For a vertex  $v \in X_t \cup C_t$ , define the random variable  $d_t(v)$  to be the number of neighbors of  $v$  in  $X_t$ .
- ▶ For a vertex  $v \in X_t \cup C_t$ , define the random variable  $\gamma_t(v)$  to be the number of neighbors of  $v$  in  $C_t$ .

## The algorithm is *semi-random*

We show that at each iteration all of the following set of events hold simultaneously with **positive** probability:

$$|d_{t+1}(v) - d_{t+1}| \leq \epsilon_{t+1} \quad \forall v \in X_{t+1} \cup C_{t+1} \quad (1)$$

$$\gamma_{t+1}(v) \leq 100\epsilon_{t+1} \quad \forall v \in X_{t+1} \cup C_{t+1} \quad (2)$$

$$|C_{t+1}| \leq 200 \frac{\epsilon_{t+1} n_{t+1}}{d_{t+1}} \quad (3)$$

$$|S_{t+1} - \frac{n}{ed}| \leq 3 \max\left\{ \frac{\epsilon_{t+1} n_{t+1}}{d_{t+1}^2}, \frac{n_{t+1}}{\sqrt{d_{t+1} d}} \right\} \quad (4)$$

$$|X_{t+1} - n_{t+1}| \leq 20 \frac{n_{t+1}}{d_{t+1}}. \quad (5)$$

**provided** they hold at time  $t$ .

## How long is the algorithm be run?

- ▶ We run the algorithm until time  $T \approx e \log d$
- ▶ Since  $|S_t| \approx \frac{n}{ed}$  for all  $t$ ,  $|\cup_{t=1}^T S_t|$ , the total size of the selected vertices over the  $T$  iterations, is  $\approx n \log d/d$ .
- ▶ Since  $|X_t| \approx n_t \approx ne^{-t/e}$ ,  $|X_T| \approx n/d$
- ▶ Since  $|C_t| \approx \frac{n_t}{d_t} = \frac{n}{d}$ , then  $|C_T \cup X_T| = O(n/d)$ .
- ▶ Just pick a maximal independent set in  $C_T \cup X_T$ .
- ▶ There is an independent dominating set in  $G$  of size at most  $|\cup_{t=1}^T S_t \cup X_T \cup C_T| \leq \frac{n \log d}{d} + O(n/d)$ .



## Preserving the property

At each step we want to show that the following set of events hold with positive probability:

$$|d_{t+1}(v) - d_{t+1}| \leq \epsilon_{t+1} \quad \forall v \in X_{t+1} \cup C_{t+1} \quad (6)$$

$$\gamma_{t+1}(v) \leq 100\epsilon_{t+1} \quad \forall v \in X_{t+1} \cup C_{t+1} \quad (7)$$

$$|C_{t+1}| \leq 200 \frac{\epsilon_{t+1} n_{t+1}}{d_{t+1}} \quad (8)$$

$$\left| S_{t+1} - \frac{n}{ed} \right| \leq 3 \max \left\{ \frac{\epsilon_{t+1} n_{t+1}}{d_{t+1}^2}, \frac{n_{t+1}}{\sqrt{d_{t+1} d}} \right\} \quad (9)$$

$$|X_{t+1} - n_{t+1}| \leq 20 \frac{n_{t+1}}{d_{t+1}}. \quad (10)$$

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- ▶ 1. Show that each *single* event occurs with high probability.
- ▶ Use concentration inequalities.
- ▶ 2. Argue that the events are only locally dependent.
- ▶ Use the Lovasz Local Lemma to show that *all* events occur with positive probability.

# Martingale/Concentration Inequalities

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- ▶ Suppose  $X = f(Z_1, Z_2, \dots, Z_k)$  is a random variable that is a function of many independent random variables  $Z_i$  with the property that changing each single  $Z_i$  will have little impact on  $X$ . Then whp  $X$  does not deviate too much from its mean.



# Hoeffding-Azuma Inequality

## Theorem (Hoeffding-Azuma Inequality)

Let  $X = f(Z_1, \dots, Z_l)$  where the  $Z_i$  are independent random variables and suppose that changing the outcome of each single  $Z_k$  can change  $X$  by at most the amount  $c_k$ . Then  $X$  satisfies

$$P[|X - E[X]| > t] \leq 2 \exp\left\{-2t^2 / \sum_1^l c_k^2\right\}$$

for all  $t > 0$ .

# Lovasz Local Lemma

## Theorem

Let  $A_1, \dots, A_m$  be a set of "bad" events in some probability space, and suppose that for some set  $J_i \subset [n]$ ,  $A_i$  is mutually independent of  $\{A_j : j \notin J_i \cup \{i\}\}$ . If there exist real numbers  $\gamma_i \in [0, 1)$  such that  $P(A_i) \leq \gamma_i \prod_{j \in J_i} (1 - \gamma_j)$ , then

$$P(\bigcap_{i=1}^n A_i^c) \geq \prod_{i=1}^n (1 - \gamma_i) > 0.$$

## Applying the concentration inequality

**Lemma** Let  $v \in X_{t+1}$  and  $d_t > K$ ,  $K$  a large constant. Then

$$P[|d_{t+1}(v) - d_{t+1}| > \epsilon_{t+1}] \leq d_t^{-100}.$$

**Proof sketch**

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- ▶  $d_{t+1}(v)$  is a function of r.v.'s  $I_u$  and  $J_u$ .
- ▶ Since girth  $\geq 5$ , then whp no single r.v  $I_u$  and  $J_u$  can affect  $d_{t+1}(v)$  very much.

# Concluding Remarks



## Concluding Remarks

- ▶ The upper bound in the theorem cannot be significantly improved: all the independent dominating sets in the random  $d$ -regular graph on  $n$  vertices have size at least  $\frac{n \log d}{d} - cn/d$  for some constant  $c$ .

## Relaxing the regularity condition in the theorem

- ▶ The regularity condition cannot be significantly improved:  
Take the graph that consists of the random graph  $G_{n/2, 2d/n}$  and  $\bar{K}_{n/2}$  where each vertex  $v \in \bar{K}_{n/2}$  is connected to  $d$  randomly chosen vertices in  $G_{n/2, 2d/n}$ .
- ▶ If  $d$  is large, whp every vertex has degree at least  $d$  and at most  $3d$ . We can remove a few edges to ensure that there are no triangles or 4-cycles.
- ▶ Every independent set in  $G_{n/2, 2d/n}$  has size at most  $\approx \frac{n \log d}{2d} \Rightarrow$  many vertices in  $\bar{K}_{n/2}$  will be uncovered.

## Relaxing the girth condition

The girth 5 condition cannot be improved: take the graph consisting of disjoint copies of  $K_{d,d}$ .

# An open question...

Is the following conjecture true?

## Conjecture

*There exists an absolute constant  $c$  such that any  $n$ -vertex  $d$ -regular graph with no cycles of length 4 has an independent dominating set of size at most  $\frac{n(\log d + c)}{d}$ .*

Thank You