The genus of regular languages and other ideas from low-dimensional topology

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Joint work with Guillaume Bonfante.

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1) The genus of regular languages, 2012. Math. Str. Computer Sc., 2016.

2) The decidability of language genus computation, 2016. Available on ArXiv.

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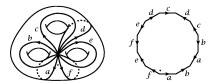
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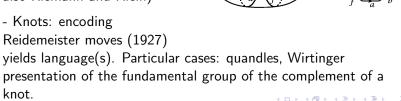


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What I will talk about in this talk

Languages \implies Topology (as a tool to study languages): *topology* as a language invariant

This talk: language invariants from *low-dimensional topology*.

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"Moore's Law"

Moore's "Law" (1960s)

The number of transistors in a dense integrated circuit doubles every two years.

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Regular languages

Set-up:

- the class $\operatorname{Reg}_{\mathscr{A}}$ of regular languages on a finite alphabet $\mathscr{A}.$
- the class $DFA_{\mathscr{A}}$ of deterministic finite automata on $\mathscr{A}.$

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- Union of two languages:

$$(L, L') \mapsto L \cup L' = \{ w \in A^* \mid w \in L, \text{or } w \in L' \}.$$

- Composition of two languages:

$$(L,L')\mapsto LL'=\{ww'\mid w\in L,w'\in L'\}$$

- Star operation: $L \mapsto L^* = \bigcup_{n \ge 0} L^n$

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Automata

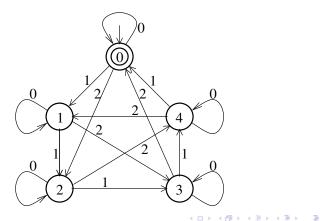
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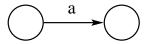
Regular languages as computations

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Automata

Decoration:

- label each directed edge (transition) by a letter of the alphabet \mathscr{A} .



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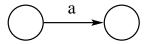
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Automata

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- label each directed edge (transition) by a letter of the alphabet $\mathscr{A}.$



- distinguish special states: one initial state, one subset of final states.

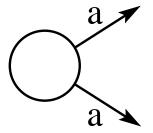
Pictorial convention for initial and final states:



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Deterministic automaton

The automaton is *deterministic* if there is at most one transition labelled by a given letter.

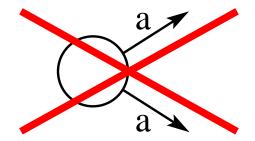


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Let $A \in DFA$. The language L(A) computed by A is the set of all words $w \in \mathscr{A}^*$ read from (the sequence of labels of) a path starting at the initial state and ending at some final state of A.

Theorem

The assignment $\mathbb{A} \mapsto L(\mathbb{A})$ defines a surjective map $DFA_{\mathscr{A}} \to Reg_{\mathscr{A}}$.

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In the words of the topologists

Challenge: define "quantum invariants" of L = L(A) (beyond the size of L), locally computable from a picture of any automaton A computing L. The computation from two equivalent automata should give the same invariant.

Why is it a challenge ? Nonlocal nature of the computation: two automata can be nonlocally equivalent.

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The simplest invariant of language.

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Definition

The size |L| of a language L is the smallest number of states required to produce a deterministic automaton A computing L:

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Theorem (Myhill-Nerode, 1950s)

Let L be a regular language. There is a unique automaton $A \in DFA$ such that L(A) = L with number |A| of states equal to |L|.

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Such an automaton is called the *minimal* automaton of *L*.

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Classification of closed oriented surfaces

Theorem (first stated ~1850, proved ~1920): The topological type of a closed oriented surface Σ is determined by one natural number $g(\Sigma) \in \mathbb{N}$.

$$\bigcirc S_0 \bigcirc S_1 \bigcirc \odot \odot S_2 \bigcirc \odot \odot \odot S_3 \quad \cdots$$

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Theorem (first stated ~1850, proved ~1920): The topological type of a closed oriented surface Σ is determined by one natural number $g(\Sigma) \in \mathbb{N}$.



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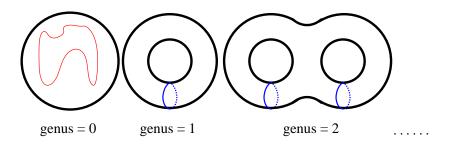
The genus is the number of "handles" required to produce the surface Σ from the sphere.

The genus $g(\Sigma)$ of Σ is the maximal number of mutually disjoint simple closed curves C_1, \ldots, C_g such that the complement $\Sigma - (C_1 \cup \cdots \cup C_g)$ remains connected.

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Examples



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Embedding an automaton into a closed oriented surface

An embedding of a graph is essentially a "drawing of the graph without crossings of the edges".

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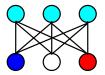
An embedding of a graph is essentially a "drawing of the graph without crossings of the edges".

Definition

An embedding of a graph G = (E, V) into a closed oriented surface Σ is a map $\varphi : (E, V) \to \Sigma$ sending injectively vertices to points, sending edges to simple arcs in Σ such that $\varphi(\partial e) = \partial \varphi(e)$ for any edge $e \in E$, $\varphi(e) \cap \varphi(e') = \varphi(\partial e) \cap \varphi(\partial e')$ for any pair $e, e' \in E$.

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Example. The "Utility Graph" (complete bipartite $K_{3,3}$)

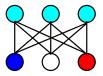


is not embeddable in the sphere (Kuratowski).

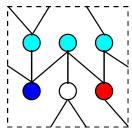
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Example. The "Utility Graph" (complete bipartite $K_{3,3}$)



is not embeddable in the sphere (Kuratowski). However, $K_{3,3}$ embeds into a torus (genus 1).



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Definition

Let L be a regular language. The genus g(L) is defined as

$$g(L) = \min\{g(A) \mid A \in DFA, L(A) = L\}.$$

If g(L) = 0, then L is said to be *planar*.

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Remark: the definition makes sense because any graph embeds into some closed oriented surface.

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Recall: the simplest invariant of a regular language L is its size

$$|L| = \min\{|A| \mid A \in DFA, L(A) = L\}.$$

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Recall: the simplest invariant of a regular language L is its size

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Question: relation between the genus and the size of a language ?

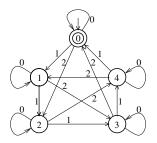
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Basic observation: the automaton A for which a minimal embedding (with minimal genus) is realized *may not be* the minimal automaton.

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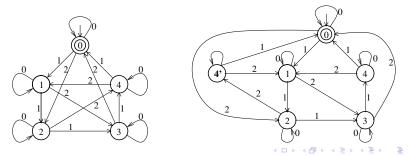
Alphabet: $\mathscr{A} = \{0, 1, 2\}$ Morphism: $\varphi : \mathscr{A}^* \to \mathbb{Z}/5\mathbb{Z}$ defined by $\varphi(aw) = \varphi(a) + \varphi(w)$ for any $a \in \mathscr{A}$, $w \in \mathscr{A}^*$. Language: $L = \{w \in \mathscr{A}^* \mid \varphi(w) = 0 \mod 5\}$



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Topological size

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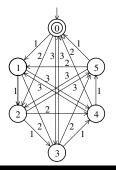
Another example.

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Topological size

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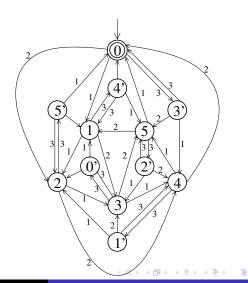
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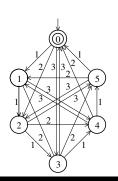


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Another example.

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Topological size

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Topological size

Definition

The *topological size* of a language *L* is

$$|L|_{\text{top}} = \min\{|A| \mid L(A) = L, g(A) = g(L)\}.$$

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By definition: $|L|_{top} \ge |L|$.

The topological size $|L|_{top}$ is regarded as "the cost" you are willing to pay for the simplest topological embedding of the representing automaton of L.

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Topological size

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Question

Is there a universal bound $|L|_{\rm top} \leq f(|L|)$ for some explicit function f ?

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Question

Is there a universal bound $|L|_{\rm top} \leq f(|L|)$ for some explicit function f ?

If such a function exists, it has to be at least exponential.

Theorem (2015)

There is a family of planar regular languages $(L_n)_{n\geq 1}$ such that for some K > 2, $|L_n|_{top} = O(K^{|L_n|})$.

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Book and Chandra (1978) raised the question of whether the planarity of a language is decidable.

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One may generalize the question and ask whether the following is true.

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Conjecture

The genus of a regular language is computable.

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Conjecture

The genus of a regular language is computable.

Partial positive answer:

Theorem (2012, 2015)

If the language has "no short cycles", the conjecture is true.

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"No short cycles".

Definition

A language has no cycles of length less than k if the underlying graph of its minimal automaton has no cycles of length less than k.

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 $\label{eq:cycle} Cycle = simple \ cycle = closed \ path \ without \ repeated \ edge \ (no \ matter \ its \ orientation), \ regardless \ of \ the \ orientation \ of \ the \ original \ graph.$

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Theorem (2012)

Let L be a language on m letters. Assume that $m \ge 4$ and that L has no cycles of length ≤ 2 . Then

$$1 + \frac{m-3}{6}|L| \le g(L) \le 1 + \frac{m-1}{2}|L|.$$

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Hierarchies of languages

Remark Every language on one letter is planar (exercise).

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Hierarchies of languages

Remark Every language on one letter is planar (exercise).

Book and Chandra (1978) construct an example of a language on two letters which is nonplanar from a minimal deterministic automaton with 35 states.

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Theorem (2012, 2015)

Let A be an alphabet of at most 2 letters. There exists a family of languages $(L_n)_{n \in \mathbb{N}}$ on alphabet A such that $g(L_n) = n$.

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Remark. The minimal example of nonplanar language on two letters has 30 states.

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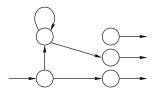
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The following definition is the "directed version" of Fellows' graph emulator (in connection with the planar finite cover conjecture in the 1980s).

Definition

Let G = (E, V) be a directed graph. A *directed emulator* of G is a graph $\tilde{G} = (\tilde{E}, \tilde{V})$ such that there is a surjective simplicial map $\varphi : \tilde{G} \to G$ sending *surjectively outgoing edges* of each vertex $\tilde{v} \in \tilde{V}$ onto outgoing edges of the *image vertex* $\varphi(\tilde{v})$.



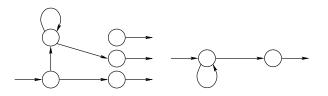
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Idea: the directed emulator map mimicks the canonical projection map between an automaton and its minimal automaton.

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Theorem

A language L has genus $\leq g$ iff (the underlying directed graph of) its minimal automaton A_{\min} has a directed emulator of genus $\leq g$.

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A short overview of topology and languages interactions Introduction Crash course on regular languages Genus of a regular language Genus and size Computability Genus growth Hierarchies of languages Directed Emulators Some recent speculations

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More on this: come to Denis Kuperberg's talk.

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Star height: has a topological refinement. Existence of a hierarchy. Computability: unknown.

Graph width, cohomology theories based on graphs...

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