Strichartz estimates and the Isozaki-Kitada parametrix on asymptotically hyperbolic manifolds

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### Let $(\mathcal{M}^n, G)$ be a riemannian manifold

- Laplace operator  $\Delta_G$ ,
- ▶ riemannian measure  $dG = \det G(x)^{1/2} dx$ .

We are interested in the unitary group

$$e^{it\Delta_G}: L^2(\mathcal{M}, dG) \to L^2(\mathcal{M}, dG),$$

which solves the Schrödinger equation

$$i\partial_t u + \Delta_G u = 0, \qquad u_{|t=0} = u_0,$$

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#### 1st possible answer: prove Sobolev embeddings

$$||v||_{L^q(\mathcal{M},dG)} \lesssim ||(1-\Delta_G)^{\sigma/2}v||_{L^2(\mathcal{M},dG)} =: ||v||_{H^{\sigma}},$$

with

$$\sigma > n\left(\frac{1}{2} - \frac{1}{q}\right).$$

<u>Rem</u>: we know they hold on many reasonable manifolds.

For the original problem:

$$||u(t)||_{L^q(\mathcal{M},dG)} \lesssim ||u(t)||_{H^{\sigma}} = ||u_0||_{H^{\sigma}}.$$

- Advantage:  $[t \mapsto u(t)] \in C(\mathbb{R}, L^q(\mathcal{M}, dG)),$
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$$||u||_{L^p_t L^q_x} := \left(\int_0^1 ||u(t)||^p_{L^q(\mathcal{M}, dG)} dt\right)^{1/p} \lesssim ||u_0||_{H^s},$$

with

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eq(2,\infty),\qquad p\geq 2,$$

and some  $s \ge 0$  (**loss** of derivatives).

Pairs (p, q) as above are called admissible pairs.

- M = ℝ<sup>n</sup> (flat): no loss s = 0, due to Strichartz, Ginibre-Velo, Keel-Tao.
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# **Important fact** Strichartz estimates are twice better than Sobolev embeddings:

$$\sigma_q = n\left(\frac{1}{2} - \frac{1}{q}\right),\,$$

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$$s_q \leq \frac{n}{2}\left(\frac{1}{2}-\frac{1}{q}\right).$$

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Strichartz estimates show only that  $u(t) \in L^q$  for a.e. *t*...

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- There are Strichartz estimates for other dispersive equations (*e.g.* wave equations).

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The general question we want to address is :

#### When can one prove Strichartz estimates without losses ?

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<u>Observation</u>: for  $\psi_0 \in C_0^\infty(\mathbb{R})$ , set

$$U_{\psi_0}(t) = e^{it\Delta_G}\psi_0(\Delta_G).$$

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$$||U_{\psi_0}(\cdot)u_0||_{L^p_t L^q_x} \leq \sup_t ||\psi(\Delta_G)e^{it\Delta_G}u_0||_{L^q} \lesssim ||u_0||_{L^2},$$

by Sobolev embeddings.

Interpretation: Losses in the Strichartz estimates may only come from high frequency effects.

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- "High frequency waves travel along the geodesic flow".
- $\Rightarrow$  The losses should be related to the geodesic flow.
- More precisely: for the Schrödinger equation, solutions localized at frequency 1/*h* travel at speed 1/*h*: for initial data spectrally localized at frequency ~ 1/*h* → ∞, ie

$$u_0^h = \psi(h^2 \Delta_G) u_0, \qquad ext{for some } \psi \in C_0^\infty(\mathbb{R} \setminus 0),$$

we have

$$\Phi_{\text{geodesic}}^{T}\left(WF_{\text{s-cl}}(u_{0}^{h})\right) = WF_{\text{s-cl}}\left(e^{ihT\Delta_{G}}u_{0}^{h}\right)$$

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By semiclassical correspondance,

- "High frequency waves travel along the geodesic flow".
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**Theorem** [Burq-Gérard-Tzvetkov] For a general  $\mathcal{M}$ ,

 $||u||_{L^p_t L^q_x} \lesssim ||u_0||_{H^{1/p}}.$ 

Sharp for p = 2 and  $\mathcal{M} = \mathbb{S}^3$ .

**Theorem** [Bourgain] On  $\mathcal{M} = \mathbb{T}^2$ ,

 $||u||_{L^4_t L^4_x} \leq C_\epsilon ||u_0||_{H^\epsilon},$ 

for all  $\epsilon > 0$ .

<u>Rem</u>: many closed geodesics on  $\mathbb{S}^n$  / a few of them on  $\mathbb{T}^n$ .

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#### Non compact manifolds (1/2)

**Theorem** If  $\mathcal M$  is asymptotically euclidean and non trapping

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**Theorem** For certain  $\mathcal{M}$  with non positive constant Ricci curvature

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as well as for some spherically symmetric manifolds and radial data (Banica-Duyckaerts). Furthermore, these estimates hold in weighted *L<sup>q</sup>* spaces.

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# The results for negatively curved manifolds hold under rigid conditions.

- ⇒ Can we obtain similar results in more general cases ? (*e.g.* avoid spherical symmetry, Lie group structure, constant curvature.)
- Understand which regions of the phase space may cause losses.
- In particular, how necessary is the non trapping condition (cf Tzvetkov-Takaoka, Burq-Guillarmou-Hassell) ?

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$(\mathcal{M}, G)$  is **asymptotically hyperbolic** if, outside some compact subset  $\mathcal{K} \subseteq \mathcal{M}$ ,

$$(\mathcal{M}\setminus\mathcal{K},\mathcal{G})\simeq\left((\mathcal{R}_0,+\infty)\times\mathcal{S},dr^2+e^{2r}g(r)
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#### where

- S is a compact manifold,
- ▶ for each r, g(r) is a riemannian metric on S,
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Some remarks:

- our definition is more general than conformally compact manifolds,
- it contains  $\mathbb{H}^n$  and some of its infinite volume quotients,
- physically, asymptotically hyperbolic manifolds appear as spacelike hypersurfaces of black hole spacetimes (*e.g.* de Sitter-Reissner-Nordstöm black holes)
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**Theorem** [B.] *If*  $(\mathcal{M}, G)$  *is asymptotically hyperbolic, there* exists  $\chi \in C_0^{\infty}(\mathcal{M})$  ( $\chi \equiv 1$  on a large enough compact subset), such that, for all admissible pair (p, q),

 $||(1-\chi)u||_{L^p_t L^q_x} \lesssim ||u_0||_{L^2}.$ 

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#### Asymptotically hyperbolic manifolds Some formulas (near infinity):

Laplacian

$$\Delta_G = \partial_r^2 + e^{-2r} \Delta_{g(r)} + c(r,s) \partial_r + (n-1) \partial_r,$$

where

$$c(r,s) = rac{\partial_r \det g(r,s)}{2 \det g(r,s)}.$$

using local coordinates  $\theta_1, \ldots, \theta_{n-1}$ , the principal symbol is

$$p(\mathbf{r},\theta,\rho,\eta) = \rho^2 + \mathbf{e}^{-2\mathbf{r}}q(\mathbf{r},\theta,\eta)$$
  
=  $\rho^2 + q(\mathbf{r},\theta,\mathbf{e}^{-\mathbf{r}}\eta),$ 

with q(r, .., .) the principal symbol of  $-\Delta_{g(r)}$ 

Measure

$$dG = e^{(n-1)r} dr dg(r),$$

with dg(r) the riemannian measure on S relatively to  $g(r)_{= -22\%}$ 

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$$\Delta_G = \partial_r^2 + e^{-2r} \Delta_{g(r)} + c(r,s)\partial_r + (n-1)\partial_r,$$

where

$$c(r,s) = rac{\partial_r \det g(r,s)}{2 \det g(r,s)}.$$

using local coordinates  $\theta_1, \ldots, \theta_{n-1}$ , the principal symbol is

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=  $\rho^2 + q(\mathbf{r},\theta,\mathbf{e}^{-\mathbf{r}}\eta),$ 

with q(r, .., .) the principal symbol of  $-\Delta_{g(r)}$ . • Measure

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$$||(1-\chi)v||_{L^q} \lesssim \left(\sum_{h^2=2^{-k}} ||(1-\chi)\psi(h^2\Delta_G)v||_{L^q}^2\right)^{1/2} + ||v||_{L^2}.$$

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**Consequence** It suffices to show that, for some  $\chi$ ,

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To deal with pseudo-differential operators, it is convenient to introduce

$$\widetilde{dG} = e^{-(n-1)r} dG,$$

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# Proof: reduction to a semiclassical problem We also set $P = -e^{(n-1)r/2} \Delta_G e^{-(n-1)r/2}$

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L<sup>2</sup> estimates,
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- 1.  $L^2$  estimates,
- **2**.  $L^1 \rightarrow L^\infty$  estimates.

## 1-<u>L<sup>2</sup> estimates</u>:

$$||B_j(h)||_{L^2(\mathcal{M},\widetilde{dG})\to L^2(\mathcal{M},\widetilde{dG})}\leq C,$$

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for all  $h \in (0, 1]$ .

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# Proof: reduction to a semiclassical problem

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for  $h \in (0,1]$  and  $0 < |t| \leq 1$ 

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**Lemma** Let  $C(h) : L^2(\mathcal{M}, \widetilde{dG}) \to L^2(\mathcal{M}, dG)$  be a family of bounded operators. Then, the following properties are equivalent

$$\begin{split} ||C(h)e^{iThP}C(h)^*||_{L^1\to L^{\infty}} &\leq \frac{C}{|Th|^{n/2}}, \qquad h \in (0,1], \ \ 0 < |T| \leq h^{-1}, \\ ||C(h)e^{iThP}C(h)^*||_{L^1\to L^{\infty}} &\leq \frac{C}{(Th)^{n/2}}, \qquad h \in (0,1], \ \ 0 < T \leq h^{-1}, \\ ||C(h)e^{iThP}C(h)^*||_{L^1\to L^{\infty}} &\leq \frac{C}{|Th|^{n/2}}, \qquad h \in (0,1], \ \ -h^{-1} < T < 0. \end{split}$$

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Given a symbol  $a \in S^0(\mathbb{R}^n \times \mathbb{R}^n)$ , we recall that

$$Op_h(a)u(x) = (2\pi)^{-n}\int e^{ix\cdot\xi}a(x,h\xi)\hat{u}(\xi)d\xi.$$

In other words, the Schwartz kernel of  $Op_h(a)$  is

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Recall also the Calderon-Vaillancourt Theorem:

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Assume (without loss of generality) that  $\chi = \chi(r)$  and satisfies

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**Proposition** Up to "nice" remainder terms,  $(1 - \chi)\psi(h^2 P)$  takes the following form in charts

$$Op_h\left(a_0+ha_1+\cdots+h^Ma_M\right),$$

with

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$$Op_h\left(a_0+ha_1+\cdots+h^Ma_M\right),$$

with

$$a_k(r,\theta,\rho,\eta) = b_k(r,\theta,\rho,e^{-r}\eta),$$

for some  $b_k(r, \theta, \xi)$  compactly supported in  $\xi$ . More precisely,

 $\operatorname{supp}(a_k) \subset \{r \geq R\} \cap \{p(r, \theta, \rho, \eta) \in \operatorname{supp}(\psi)\}.$ 

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For  $J \in (0, +\infty)$ , we decompose the region of the phase space  $\{r > R\} \cap \{p = p(r, \theta, \rho, \eta) \in J\} = \Gamma^+(R, J) \cup \Gamma^-(R, J),$ with

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They are the outgoing and incoming areas.

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They are the **outgoing** and **incoming** areas.

$$\Gamma^+_{\mathrm{st}}(\boldsymbol{R}, \boldsymbol{J}, \epsilon) = \left\{ \boldsymbol{r} > \boldsymbol{R}, \ \boldsymbol{p} \in \boldsymbol{J}, \ \frac{\rho}{\boldsymbol{p}^{1/2}} > (1-\epsilon) \right\},$$

which we call strongly outgoing areas and

$$\Gamma^+_{\text{inter}}(R,J,\epsilon) = \left\{ r > R, \ p \in J, \ (1-\epsilon) \ge \frac{\rho}{p^{1/2}} > -\frac{1}{2} \right\}$$

which we call **intermediate outgoing area** (+ similar definitions in the incoming case). Given an additional  $\delta > 0$ , we can cover

$$\Gamma_{\text{inter}}^+(R,J,\epsilon) = \cup_{j=1}^{N-1} \left\{ r > R, \ p \in J, \ \frac{\rho}{p^{1/2}} \in K_j \right\}$$

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$$\cup_{j=1}^{N-1} K_j = [-1/2, 1-\epsilon], \qquad K_j = \text{ interval of length} \le \delta.$$

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For any  $R \gg 1$ ,  $\epsilon > 0$  and  $\delta > 0$  we choose a partition of unity

$$\sum_{j=1}^{N} \chi_j^+ + \chi_j^- \equiv 1 \qquad \text{near}\{r > R\} \cap \{p \in J = \text{supp}(\psi)\}$$

such that

1.

 $\operatorname{supp}\left(\chi_{\boldsymbol{N}}^{\pm}\right)\subset\Gamma_{\operatorname{st}}^{\pm}(\boldsymbol{R},\boldsymbol{J},\epsilon),$ 

2. for 
$$j = 1, ..., N - 1$$
,  
 $\operatorname{supp}\left(\chi_{j}^{\pm}\right) \subset \left\{r > R, \ p \in J, \ \frac{\rho}{p^{1/2}} \in K_{j}\right\},$ 

and such that each  $\chi^\pm_i$  has the form

$$\chi_j^{\pm}(r,\theta,\rho,\eta) = c_j^{\pm}(r,\theta,\rho,e^{-r}\eta), \qquad 1 \le j \le N,$$

with  $c_j^{\pm}(r,\theta,\xi)$  compactly supported with respect to  $\xi$ .

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The operators  $B_j(h)$  will be pseudo-differential operators obtained after decomposition of

$$(1-\chi)\psi(h^2P)pprox Op_h(a(h)),$$

according to our partition of unity, ie

$$\boldsymbol{a}(\boldsymbol{h}) = \sum_{j} \chi_{j}^{+} \boldsymbol{a}(\boldsymbol{h}) + \sum_{j} \chi_{j}^{-} \boldsymbol{a}(\boldsymbol{h}),$$

( $\rightarrow$  there are actually 2*N* operators  $B_j(h)$ ). For any choice of  $\epsilon$  and  $\delta$ , the bound

$$||B_j(h)||_{L^2 \to L^2} \le C, \qquad h \in (0, 1],$$

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#### **Proof:** An Isozaki-Kitada parametrix **Proposition** For $R \gg 1$ , $0 < \epsilon \ll 1$ , and any $\chi_+$ such that

 $\chi_+(\mathbf{r},\theta,\rho,\eta) = \mathbf{c}(\mathbf{r},\theta,\rho,\mathbf{e}^{-\mathbf{r}}\eta),$ 

with  $c(r, \theta, \xi)$  compactly supported with respect to  $\xi$ , and

 $\operatorname{supp}(\chi_+) \subset \Gamma^+_{\operatorname{st}}(\boldsymbol{R}, \boldsymbol{J}, \epsilon)$ 

we have a parametrix valid for times  $0 \le T \le h^{-1}$  of the form

$$e^{-iThP}\mathcal{O}p_h(\chi_+)pprox\mathcal{H}_+(a_+(h))e^{-iThD_r^2}\mathcal{H}_+(b_+(h))^*$$

where  $\mathcal{H}_+(a)$  denotes an FIO with kernel of the form

$$(2\pi h)^{-n} \int \int e^{\frac{i}{\hbar}(S_+(r,\theta,\rho,\eta)-r'\rho-\theta'\cdot\eta)} a(r,\theta,\rho,e^{-r}\eta) d\rho d\eta$$

with phase

$$S_+(r,\theta,\rho,\eta) = r
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we have a parametrix valid for times  $0 \le T \le h^{-1}$  of the form

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The dispersion estimates for the operators  $B_j(h)$  localized in strongly outgoing (or incoming areas) reduces to estimate the  $\overline{L^{\infty}}$  norm of the kernel. Up to remainders, it reduces to oscillatory integrals of the form

$$e^{-(n-1)\frac{r+r'}{2}}\int\int e^{\frac{i}{\hbar}\Phi_t}\overline{a}(r,\theta,\rho,e^{-r}\eta)b(r',\theta',\rho,e^{-r'}\eta)\frac{d\rho d\eta}{(2\pi h)^n},$$

with

$$\Phi_t = S_+(r,\theta,\rho,\eta) - t\rho^2 - S_+(r',\theta',\rho,\eta)$$
  
 
$$\approx (r-r')\rho + (\theta-\theta') \cdot \eta - T\left(\rho^2 + \frac{e^{-2r'}q(\theta',\eta) - e^{-2r}q(\theta,\eta)}{4\rho T}\right)$$

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is empty for times  $T \ge T_0$  (similar incoming case for  $T \le -T_0$ ).

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By Sobolev embeddings, we obtain that

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