

# Universal Galois groups of $q$ -difference equations

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# $q$ -difference equations

- ▶ Let  $K := \mathbb{C}(\{z\})$  be the field of convergent Laurent series. We take a complex number  $q$  such that  $|q| > 1$  and define the operator  $\sigma_q$  on  $K$  by  $\sigma_q(f)(z) = f(qz)$ .
- ▶ A (linear)  $q$ -difference equation is an equation with coefficients in  $K$  of the form

$$f(q^n z) + a_{n-1}f(q^{n-1}z) + \cdots + a_0f(z) = 0$$

$$\Leftrightarrow \sigma_q^n f + a_{n-1}\sigma_q^{n-1}f + \cdots + a_0f = 0$$

# $q$ -difference modules I

- ▶  $q$ -difference equations can be seen as systems  $\sigma_q(X) = AX, A \in GL_n(K)$ , left  $K \langle \sigma_q, \sigma_q^{-1} \rangle$ -modules of finite length or as  $q$ -difference modules.
- ▶ A  $q$ -difference module on  $K$  is a pair  $(V, \phi)$  where  $V$  is a  $K$ -vector space of finite dimension and  $\phi$  is a  $\sigma_q$ -linear automorphism on  $V$ .

# $q$ -difference modules II

- ▶ The  $q$ -difference module associated to a  $q$ -difference system  $\sigma_q X = AX$  is of the form  $(K^n, \phi_A)$  with  $\phi_A = A^{-1}\sigma_q$ .
- ▶ Each  $q$ -difference system is isomorphic to one of these by choice of a basis of  $V$ .

# Slopes of a $q$ -difference module I

- ▶ Each  $q$ -difference module seen as a  $K \langle \sigma_q, \sigma_q^{-1} \rangle$  is isomorphic to a module of the form  $\frac{K \langle \sigma_q, \sigma_q^{-1} \rangle}{K \langle \sigma_q, \sigma_q^{-1} \rangle L}$  where  $L$  is an operator of the form  $\sum_{i=0}^n a_i \sigma_q^i$  (vector cyclic lemma).
- ▶ To such an operator  $L$  we associate a Newton polygon by taking the convex hull of  $\{(i, j) | j \geq v_0(a_i)\}$  where  $v_0$  is the valuation on  $K$ .

# Slopes of a $q$ -difference module II

- ▶ The slopes of the lower boundary of this Newton polygon are called slopes of the  $q$ -difference module; they constitute an invariant for  $q$ -difference modules.
- ▶ A module with one slope is said to be pure isoclinic and a module which is a direct sum of pure isoclinic modules is said to be pure.

# Slopes of a $q$ -difference module III

- ▶ To a  $q$ -difference module  $M$  of slopes  $\mu_1 < \dots < \mu_k$  we can associate a tower of submodules

$$0 \subset M_1 \subset \dots \subset M_k = M$$

such that the  $\frac{M_i}{M_{i-1}}$  are pure isoclinics of slope  $\mu_i$ .

- ▶ Furthermore the associated graded module  $\bigoplus \frac{M_i}{M_{i-1}}$  is unique up to isomorphism and define a functor  $gr$  from  $q$ -difference modules to pure  $q$ -difference modules.



# Tannakian Galois group of a category I

Let  $k$  be an algebraic closed field of characteristic 0.

- ▶ A tannakian category over  $k$  is an abelian rigid symmetric monoidal category such that  $\text{End}(I) = k$  where  $I$  is the unit for the monoidal structure.
- ▶ A fiber functor over a tannakian category  $\mathcal{C}$  is a functor  $\mathcal{C} \rightarrow \mathbf{Vect}_k^f$  which is exact, faithful,  $k$ -linear and tensor-compatible. A tannakian category with a fiber functor is said to be neutral.

# Tannakian Galois group of a category II

- ▶ We call tannakian Galois group of the tannakian category  $\mathcal{C}$  neutralized by  $\omega$  the affine group scheme  $\underline{Aut}^{\otimes}(\omega)$ .
- ▶ It is a proalgebraic group and an algebraic group if and only if  $\mathcal{C}$  is generated as a tannakian category by one element.

# Tannakian Galois group of a category III

- ▶ A neutral tannakian category is equivalent to the category of representations of its tannakian Galois group.
- ▶ Usual Galois groups (of an object) can be seen as tannakian Galois group of the tannakian category generated by the object or as the image of the representation associated.

# Tannakian formalism I

Used categories :

- ▶  $\mathcal{E}_p$  the category of pure  $q$ -difference modules over  $K$
- ▶  $\mathcal{E}_{p,0}$  the category of fuchsian modules over  $K$ , i.e. pure isoclinic  $q$ -difference modules of slope 0
- ▶  $\mathcal{E}_{p,r}$  the category of pure  $q$ -difference modules over  $K$  of slopes  $\frac{k}{r}$

# Tannakian formalism II

Let  $z_0 \in \mathbb{C}^*$ , we define the functor :

$$\begin{array}{rcl} \omega_{z_0} : & \mathcal{E}_p & \longrightarrow \mathbf{Vect}_{\mathbb{C}}^f \\ & (K^n, \phi_A) & \longmapsto \mathbb{C}^n \\ & F & \longmapsto F(z_0) \end{array}$$

It is a fiber functor for the now neutral tannakian category  $\mathcal{E}_p$  and we define the Galois group associated with  $\mathcal{E}_p$  by  $G_p := \mathrm{Aut}^{\otimes}(\omega_{z_0})$ .

# Tannakian formalism III

$\mathcal{E}_{p,0}$  and  $\mathcal{E}_{p,r}$  are tannakian subcategories of  $\mathcal{E}_p$  and  $\omega_{z_0}$  can be restricted to these categories. We define then their Galois groups by

$$G_{p,0} := \mathrm{Aut}^{\otimes}(\omega_{z_0}|_{\mathcal{E}_{p,0}})$$

and

$$G_{p,r} := \mathrm{Aut}^{\otimes}(\omega_{z_0}|_{\mathcal{E}_{p,r}})$$

# Galois group for modules with integral slopes

Theorem (Baranovsky-Ginzburg)

$$G_{p,0} = \operatorname{Hom}_{\mathbf{Grp}} \left( \frac{\mathbb{C}^*}{q^{\mathbb{Z}}}, \mathbb{C}^* \right) \times \mathbb{C}$$

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## Theorem (Ramis, Sauloy)

$$G_{p,1} = \mathbb{C}^* \times \mathrm{Hom}_{\mathrm{Grp}} \left( \frac{\mathbb{C}^*}{q^{\mathbb{Z}}}, \mathbb{C}^* \right) \times \mathbb{C}$$



# Action of $G_{p,1}$

Given a pure isoclinic module of integral slope  $\mu$   $M = (K^n, \phi_{z^{-\mu}A})$  with  $A \in GL_n(\mathbb{C})$ , an element  $\varphi = (t, \gamma, \lambda)$  acts on  $M$  by :

$$\varphi(A) = t^\mu \gamma(A_s) A_u^\lambda$$

# Galois group for pure modules with slopes with fixed denominator

Virginie Bugeaud said in her thesis that an element  $\varphi \in G_{p,r}$  can be seen as a triple  $(t, \gamma, \lambda) \in \mathbb{C}^* \times \text{Hom}_{\mathbf{Grp}}\left(\frac{\mathbb{C}^*}{q^{\mathbb{Z}}}, \mathbb{C}^*\right) \times \mathbb{C}$ . Furthermore she showed that  $G_{p,r} = H_r \times \mathbb{C}$  as a group where

$$\begin{array}{ccccccc} 1 & \longrightarrow & \mathbb{C}^* & \longrightarrow & H_r & \longrightarrow & \text{Hom}_{\mathbf{Grp}}\left(\frac{\mathbb{C}^*}{q^{\mathbb{Z}}}, \mathbb{C}^*\right) \longrightarrow 1 \\ & & t & \longmapsto & (t, 1) & & \\ & & & & (t, \gamma) & \longmapsto & \gamma \end{array}$$

is a central extension

# Universal Galois group for pure $q$ -difference modules I

- ▶ If  $r|s$  then  $\mathcal{E}_{p,r}$  is a full subcategory of  $\mathcal{E}_{p,s}$  and we have a group morphism which is onto :

$$\begin{aligned} \varphi_{r,s} : \quad G_{p,s} &\longrightarrow G_{p,r} \\ (t, \gamma, \lambda) &\longmapsto (t^{\frac{s}{r}}, \gamma, \lambda) \end{aligned}$$

- ▶  $(G_{p,r}, \varphi_{r,s})_{r|s}$  is a projective system and

$$G_p = \varprojlim_{r|s} G_{p,r}$$

# Universal Galois group for pure $q$ -difference modules II

Let  $H = \varprojlim H_r$ , then  $G_p = H \times \mathbb{C}$  and

$$\begin{array}{ccccccc}
 1 & \rightarrow & \mathrm{Hom}_{\mathbf{Grp}}(\mathbb{Q}, \mathbb{C}^*) & \rightarrow & H & \rightarrow & \mathrm{Hom}_{\mathbf{Grp}}\left(\frac{\mathbb{C}^*}{q^{\mathbb{Z}}}, \mathbb{C}^*\right) \rightarrow 1 \\
 & & \alpha & & \mapsto (\alpha, 1) & & \\
 & & & & (\alpha, \gamma) & \mapsto & \gamma
 \end{array}$$

is a central extension.

# Galois group of $q$ -difference equations I

Let  $\mathcal{E}$  be the category of all  $q$ -difference modules over  $K$ . To each of these modules we can associate a unique graded module which will be a pure module : this defines a functor  $gr : \mathcal{E} \rightarrow \mathcal{E}_p$ . The functor  $\hat{\omega}_{z_0} := \omega_{z_0} \circ gr$  is a fiber functor for  $\mathcal{E}$  and we denote by  $G$  the Galois group associated.

If we denote by  $i$  the inclusion of  $\mathcal{E}_p$  in  $\mathcal{E}$  by tannakian duality we have

$$G \underset{gr^*}{\overset{i^*}{\rightleftarrows}} G_p$$

with  $i^* \circ gr^* = id_{G_p}$ .

# Galois group of $q$ -difference equations II

Let  $S := \ker i^*$  be the Stokes group. We have a split exact sequence

$$1 \longrightarrow S \longrightarrow G \longrightarrow G_p \longrightarrow 1$$

We deduce that  $G = S \rtimes G_p$  where  $G_p$  acts on  $S$  by conjugation.

Density in  $G_1$ 

Let  $\mathcal{E}_r$  be the category of  $q$ -difference modules of slopes  $\frac{k}{r}$ , and  $G_r$  be the Galois group associated. We might want to add some explicit elements to  $G_{p,r}$  in order to create a Zariski-dense subgroup of  $G_r$ .

In the case  $r = 1$  Ramis and Sauloy has constructed  $q$ -alien derivations with the Stokes operators which generate the Lie algebra of  $S$  (the wild monodromy group) which led to a density theorem :

## Theorem (Ramis, Sauloy)

*$G_{p,1}$  and the group associated with the wild monodromy group generate a Zariski-dense subgroup of  $G_1$ .*

# Density in $G_r$

In her thesis Bugeaud generalized this theorem by creating an analogous to the  $q$ -alien derivation and associating a group.

## Theorem (Bugeaud)

*This group and  $G_{p,r}$  generate a Zariski-dense subgroup of  $G_r$ .*



# Open problems

- ▶ The Stokes group of a module is known in the case of two slopes but not in the case of arbitrary number of slopes.
- ▶ For a module with three arbitrary slopes we don't know any explicit member of the Stokes group.
- ▶ Thus we cannot describe well  $G$  or the Galois group associated to a module with arbitrary slopes.

Thank you for your  
attention !